

Arkansas Public Higher Education Operating Recommendations

FISCAL YEAR 2020-21



Universities
and
Colleges

Arkansas Division of Higher Education

423 Main Street, Suite 400, Little Rock, Arkansas 72201

February 2020

ARKANSAS PUBLIC HIGHER EDUCATION OPERATING RECOMMENDATIONS 2020-21 FISCAL YEAR

OVERVIEW, UNIVERSITIES AND COLLEGES

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Institutional Abbreviations

Universities

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas - Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Colleges

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University Mid-South	ASUMS
Arkansas State University Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
College of the Ouachitas (Malvern)	CoIO
East Arkansas Community College (Forrest City)	EACC
North Arkansas College (Harrison)	NAC
National Park College (Hot Springs)	NPC
Northwest Arkansas Community College (Bentonville)	NWACC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
South Arkansas Community College (El Dorado)	SACC
Southern Arkansas University - Tech (Camden)	SAUT
Southeast Arkansas College (Pine Bluff)	SEAC
UA Community College at Batesville	UACCB
UA Community College at Hope-Texarkana	UACCH-T
UA Community College at Morrilton	UACCM
UA Community College at Rich Mountain (Mena)	UACCRM
UA - Pulaski Technical College (North Little Rock)	UAPTC

Non-Formula Institutions / Entities

Arkansas Delta Training and Education Consortium	ADTEC
Arkansas Research and Education Optical Network	AREON
ASU - System Office	ASU-SYS
ASU - Heritage Sites	ASU-Heritage
HSU - Community Education Center	HSU-CEC
NWACC - Child Protection Training Center	NWACC-CPTC
SACC - Arboretum	SACC - Arboretum
SAUT - Environmental Training Academy	SAUT-ETA
SAUT - Fire Training Academy	SAUT-FTA
UA - System Office	UA-SYS
UA - Archeological Survey	UA-AS
UA - Division of Agriculture	UA-DivAgri
UA - School of Mathematics, Sciences, and the Arts	UA-ASMSA
UA - Clinton School of Public Service	UA-CS
UA - Criminal Justice Institute	UA-CJI
UAF - Arkansas Research and Technology Park	UAF-ARTP
UAF - Arkansas Centers for Rural Education in Autism and Related Disabilities	UAF-Autism
UAF - Garvan Woodland Gardens	UAF-GWG
UAF - The David & Barbara Pryor Center for Arkansas Oral and Visual History	UAF-Pryor Center
UAF - World Trade Center Arkansas	UAF - WTC AR
UALR - Research and Public Service	UALR-RAPS
University of Arkansas at Pine Bluff (1890 Land Grant Universities Match)	UAPB - Nonformula
University of Arkansas for Medical Sciences	UAMS

Technical Centers

Arkansas Tech University - Ozark	ATU-O
UAM - College of Technology - Crossett	UAM-Crossett
UAM - College of Technology - McGehee	UAM-McGehee

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**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION
FISCAL YEAR 2020-21**

Background

A.C.A. §6-61-234 (Act 148 of 2017) directs the Arkansas Higher Education Coordinating Board (AHECB) to adopt policies developed by the Arkansas Division of Higher Education (ADHE) necessary to implement a productivity-based funding model for state-supported institutions of higher education. That language reads as follows:

“(a) (1) (A) The Arkansas Higher Education Coordinating Board shall adopt policies developed by the Division of Higher Education necessary to implement a productivity-based funding model for state-supported institutions of higher education.”

Productivity-based funding is a mechanism to align institutional funding with statewide priorities for higher education by incentivizing progress toward statewide goals. At the same time, such models encourage accountability to students and policymakers by focusing on the success of students through the achievement of their educational goals. The new funding model is built around a set of shared principles developed by institutions and aligned with goals and objectives for post-secondary attainment in our state.

At its October 27, 2017 meeting, the AHECB approved the productivity funding model policies for the two-year colleges and universities. The policies were developed in conjunction with presidents and chancellors after meetings and revisions. The AHECB shall use the productivity-based funding model as the mechanism for recommending funding for applicable state-supported institutions of higher education. According to A.C.A. §6-61-234, the productivity-based funding model shall not determine the funding needs of special units such as a medical school, division of agriculture, or system offices. These special units are known as non-formula entities and ADHE staff reviewed justification requests submitted by the non-formula entities and prepared funding recommendations for Fiscal Year 2020-21 based upon those requests.

Operating Funding Recommendations for the 2020-21 Fiscal Year

The operating recommendations are based upon the productivity funding formula policies approved by the AHECB in

October 2017 as well as requests submitted by the non-formula entities.

The two-year college and university productivity funding models have been calculated using academic year 2015 through academic year 2018 student data as well as academic year 2014 through academic year 2017 expenditure data reported to and published by the Integrated Postsecondary Education Data System (IPEDS). The productivity funding model calculations represent a total productivity increase of 1.52% for two-year colleges and universities, which supports the recommendation for state funding of those institutions of higher education of \$642,302,315 in 2020-21. The total funding recommendation for the non-formula entities is \$237,092,289 in 2020-21.

For the **universities**, an increase in funding is recommended for those institutions with productivity increases for a total change in university funding of \$6,271,012.

For the **two-year colleges**, an increase in funding is recommended for those institutions with productivity increases for a total change in two-year college funding of \$2,194,503.

For the **non-formula entities**, the recommendation is for a 1.8% increase based on the HEPI index and a recommendation of full funding of Operations and Program Enhancement requests which combined would require an additional \$24.5 million, of which \$6.1 million would be for the University of Arkansas for Medical Sciences (UAMS).

The individual institutional recommendations for all three types of institutions (Colleges, Universities, and Non-Formula Entities) were determined in the following manner: The general revenue funds were distributed based upon the productivity funding distribution policy adopted by the AHECB in October 2017. The non-formula needs were based on the justifications submitted by the institutions. **The total funding recommendation for 2020-21 for Colleges and Universities is \$8,465,514 in new revenue with \$5,318,002 of that being redistributed from one time incentive funds. The total recommendation for 2020-2021 for the Non-Formula Entities is \$24,464,578 in new revenue.**

In addition to these funding recommendations, an additional appropriation increase recommendation of 2% of the formula based entities funding recommendation has been added to address any changes in disbursements for Educational Excellence Trust Fund revenues or any other state funding adjustments. This increase totals \$9,275,848 for the **universities** and \$3,570,198 for the **two-year colleges**.

Table A. Summary of Operating Recommendations for the 2020-21 Fiscal Year



Institution Type	Fiscal Year 2019-20 Base				FY2020-21 AHECB Recommendations		
	EETF Forecast	WF2000	RSA Forecast	Total Base (RSA, EETF & WF2000)	Total Recommendation	New Funds	% Inc
	Universities	41,922,399	2,157,610	416,530,620	457,521,384	463,792,395	6,271,012
Colleges	8,261,439	23,372,671	146,910,063	176,315,417	178,509,920	2,194,503	1.2%
Total	50,183,838	25,530,281	563,440,683	633,836,801	642,302,315	8,465,514	1.3%

Non-Formula Entity Type	Fiscal Year 2019-20 Base			FY2020-21 AHECB Recommendation		
	EETF Forecast	RSA Forecast	Total Base (RSA & EETF)	Total Recommendation	New Funds	% Inc
	Non-Formula Entities	15,441,737	89,408,248	104,849,985	120,960,504	16,110,519
Health Care-Related UAMS	10,859,616	96,918,110	107,777,726	113,327,684	5,549,958	5.1%
Total	26,301,353	186,326,358	212,627,711	234,288,188	21,660,477	10.2%

Year 3 - Productivity Index

Productivity Index for FY2021 Recommendations	1.52%		
		Universities	Colleges
FY2021 Base RSA Forecast	\$ 558,122,681	74%	26%
Productivity Recommendation	\$ 8,465,514	6,271,012	2,194,503

Institution	FY2020 RSA Forecast	FY2020 Incentive Funding	FY2021 Base RSA	2015-17 Productivity Index	2016-18 Productivity Index	Change in Productivity Index	% Change in Productivity Index
ASUJ	\$ 56,114,738	\$ -	\$ 56,114,738	28,296	29,203	908	3.21%
ATU	\$ 32,809,862	\$ -	\$ 32,809,862	21,408	22,047	639	2.98%
HSU	\$ 18,966,487	\$ -	\$ 18,966,487	7,536	7,529	(7)	-0.09%
SAUM	\$ 17,542,719	\$ 1,565,781	\$ 15,976,938	9,122	10,452	1,330	14.58%
UAF	\$ 122,015,998	\$ 1,523,465	\$ 120,492,533	56,968	59,553	2,584	4.54%
UAFS	\$ 20,574,769	\$ -	\$ 20,574,769	11,798	11,667	(130)	-1.11%
UALR	\$ 56,283,313	\$ -	\$ 56,283,313	20,928	21,011	84	0.40%
UAM	\$ 15,786,582	\$ -	\$ 15,786,582	5,691	5,898	207	3.63%
UAPB	\$ 22,134,337	\$ -	\$ 22,134,337	6,556	6,959	403	6.15%
UCA	\$ 54,301,814	\$ -	\$ 54,301,814	22,602	23,655	1,052	4.66%
4YR SUB	416,530,620	3,089,246	413,441,374	190,905	197,974	7,070	3.70%
ANC	\$ 8,491,281	\$ -	\$ 8,491,281	2,777	2,864	87	3.13%
ASUB	\$ 11,929,786	\$ -	\$ 11,929,786	10,339	10,015	(324)	-3.13%
ASUMH	\$ 3,840,826	\$ 100,965	\$ 3,739,860	3,611	3,519	(92)	-2.55%
ASUMS	\$ 3,945,500	\$ -	\$ 3,945,500	2,721	2,761	41	1.49%
ASUN	\$ 6,973,622	\$ 830,623	\$ 6,142,999	5,047	5,322	274	5.44%
BRTC	\$ 6,052,381	\$ -	\$ 6,052,381	4,086	3,690	(396)	-9.70%
CCCUA	\$ 3,612,602	\$ 131,396	\$ 3,481,206	3,158	3,154	(5)	-0.15%
COTO	\$ 3,523,733	\$ -	\$ 3,523,733	3,081	3,011	(70)	-2.26%
EACC	\$ 8,348,134	\$ -	\$ 8,348,134	2,074	2,048	(26)	-1.24%
NAC	\$ 7,925,968	\$ -	\$ 7,925,968	4,161	3,919	(242)	-5.82%
NPC	\$ 8,956,024	\$ -	\$ 8,956,024	4,895	4,666	(230)	-4.69%
NWACC	\$ 11,406,258	\$ 627,768	\$ 10,778,490	11,966	12,550	584	4.88%
OZC	\$ 3,095,210	\$ -	\$ 3,095,210	2,786	2,652	(135)	-4.83%
PCCUA	\$ 8,972,457	\$ -	\$ 8,972,457	2,374	2,381	7	0.29%
SACC	\$ 6,252,791	\$ 127,969	\$ 6,124,822	3,378	3,262	(117)	-3.45%
SAUT	\$ 5,648,456	\$ -	\$ 5,648,456	3,617	3,567	(50)	-1.37%
SEAC	\$ 5,580,430	\$ -	\$ 5,580,430	3,328	3,128	(200)	-6.00%
UACCB	\$ 4,195,173	\$ 2,146	\$ 4,193,027	3,034	2,968	(65)	-2.15%
UACCHT	\$ 5,012,860	\$ 407,889	\$ 4,604,971	3,253	3,415	162	4.98%
UACCM	\$ 4,985,709	\$ -	\$ 4,985,709	5,465	5,626	161	2.95%
UA-RM	\$ 3,174,800	\$ -	\$ 3,174,800	1,555	1,715	160	10.30%
UA-PT	\$ 14,986,063	\$ -	\$ 14,986,063	15,707	13,560	(2,147)	-13.67%
2 YR SUB	\$ 146,910,063	\$ 2,228,756	\$ 144,681,307	102,414	99,793	(2,621)	-2.56%
TOTAL	\$ 563,440,683	\$ 5,318,002	\$ 558,122,681	293,319	297,768	4,449	1.52%

Table B. 2020-21 Four-Year Universities Recommendations



Inst	FY2019-20					PRODUCTIVITY DISTRIBUTIONS								FY2020-21 Recommendations				
	EETF Forecast	WF2000 Forecast	RSA Forecast	One-Time Incentive Funding in RSA	Total Base (RSA + EETF + WF2000 - Incentive Funding)	% Change in Productivity Index	Productivity Index Increases	Contribution to Increase	Distribution of Productivity Funding (New Funds)	% Increase over RSA	Reallocation Losses (1.5%)	Reallocation of Productivity Losses	RSA Increase (Capped at 2.0%)	Incentive Funding	Total Funding Recommendation	New Funds	2% Appropriation Adjustment	Total Recommendation
ASUJ	\$ 6,825,014	\$ -	\$ 56,114,738	\$ -	\$ 62,939,751	3.21%	908	12.60%	789,877	1.41%	-	30,775	\$ 820,651	\$ -	\$ 63,760,403	\$ 820,651	\$ 1,275,208	\$ 65,035,611
ATU*	\$ 2,342,589	\$ 794,492	\$ 32,809,862	\$ -	\$ 35,946,943	2.98%	639	8.86%	555,850	1.69%	-	21,657	\$ 577,506	\$ -	\$ 36,524,449	\$ 577,506	\$ 730,489	\$ 37,254,938
HSU	\$ 2,423,298	\$ -	\$ 18,966,487	\$ -	\$ 21,389,785	-0.09%	-	0.00%	-	0.00%	16,758	(16,758)	\$ -	\$ -	\$ 21,373,027	\$ (16,758)	\$ 427,461	\$ 21,800,487
SAUM	\$ 1,432,707	\$ -	\$ 17,542,719	\$ 1,565,781	\$ 17,409,645	14.58%	1,330	18.45%	1,157,158	7.24%	-	45,084	\$ 319,539	\$ 882,704	\$ 18,611,887	\$ 1,202,242	\$ 372,238	\$ 18,984,125
UAF	\$ 10,477,800	\$ -	\$ 122,015,998	\$ 1,523,465	\$ 130,970,333	4.54%	2,584	35.86%	2,248,903	1.87%	-	87,620	\$ 2,336,523	\$ -	\$ 133,306,856	\$ 2,336,523	\$ 2,666,137	\$ 135,972,993
UAFS	\$ 3,550,812	\$ -	\$ 20,574,769	\$ -	\$ 24,125,581	-1.11%	-	0.00%	-	0.00%	227,568	(227,568)	\$ -	\$ -	\$ 23,898,013	\$ (227,568)	\$ 477,960	\$ 24,375,974
UALR	\$ 6,153,980	\$ -	\$ 56,283,313	\$ -	\$ 62,437,293	0.40%	84	1.16%	72,845	0.13%	-	2,838	\$ 75,683	\$ -	\$ 62,512,976	\$ 75,683	\$ 1,250,260	\$ 63,763,236
UAM*	\$ 1,236,471	\$ 1,363,118	\$ 15,786,582	\$ -	\$ 18,386,171	3.63%	207	2.87%	179,846	1.14%	-	7,007	\$ 186,853	\$ -	\$ 18,573,024	\$ 186,853	\$ 371,460	\$ 18,944,484
UAPB	\$ 2,146,487	\$ -	\$ 22,134,337	\$ -	\$ 24,280,824	6.15%	403	5.59%	350,840	1.59%	-	13,669	\$ 364,509	\$ -	\$ 24,645,333	\$ 364,509	\$ 492,907	\$ 25,138,240
UCA	\$ 5,333,242	\$ -	\$ 54,301,814	\$ -	\$ 59,635,056	4.66%	1,052	14.60%	915,693	1.69%	-	35,677	\$ 951,370	\$ -	\$ 60,586,426	\$ 951,370	\$ 1,211,729	\$ 61,798,155
Total	\$ 41,922,399	\$ 2,157,610	\$ 416,530,620	\$ 3,089,246	\$ 457,521,384	3.70%	7,207	100%	6,271,012	1.52%	244,326	-	\$ 5,632,635	\$ 882,704	\$ 463,792,395	\$ 6,271,012	\$ 9,275,848	\$ 473,068,243

*Includes ATU-Ozark
**Includes UAM-Crossett and UAM-McGehee

Table C. 2020-21 Two Year Colleges Recommendations



Inst	FY2019-20					PRODUCTIVITY DISTRIBUTIONS									FY2020-21 Recommendations			
	EETF Forecast	WF2000 Forecast	RSA Forecast	One-Time Incentive Funding in RSA	Total Base (RSA + EETF + WF2000 - Incentive Funding)	% Change in Productivity Index	Productivity Index Increases	Contribution to Increase	Distribution of Productivity Funding (New Funds)	% Increase over RSA	Reallocation Losses (1.5%)	Reallocation of Productivity Losses	RSA Increase (Capped at 2.0%)	Incentive Funding	Total Funding Recommendation	New Funds	2% Appropriation Adjustment	Total Recommendation
ANC	\$ 835,829	\$ 730,954	\$ 8,491,281	\$ -	\$ 10,058,064	3.13%	87	0.058804022	129,046	1.52%	-	78,101	\$ 169,826	\$ 37,320	\$ 10,265,211	\$ 207,146	\$ 205,304	\$ 10,470,515
ASUB	\$ 1,667,324	\$ 801,945	\$ 11,929,786	\$ -	\$ 14,399,055	-3.13%	-	0.00%	-	0.00%	178,947	(178,947)	\$ -	\$ -	\$ 14,220,108	\$ (178,947)	\$ 284,402	\$ 14,504,510
ASUMH	\$ -	\$ 823,929	\$ 3,840,826	\$ 100,965	\$ 4,563,789	-2.55%	-	0.00%	-	0.00%	56,098	(56,098)	\$ -	\$ -	\$ 4,507,691	\$ (56,098)	\$ 90,154	\$ 4,597,845
ASUMS	\$ -	\$ 2,190,914	\$ 3,945,500	\$ -	\$ 6,136,414	1.49%	41	2.75%	60,356	1.53%	-	36,528	\$ 78,910	\$ 17,974	\$ 6,233,298	\$ 96,884	\$ 124,666	\$ 6,357,964
ASUN	\$ -	\$ 1,417,628	\$ 6,973,622	\$ 830,623	\$ 7,560,627	5.44%	274	18.59%	408,061	6.64%	-	246,965	\$ 122,860	\$ 532,167	\$ 8,215,653	\$ 655,027	\$ 164,313	\$ 8,379,967
BRTC	\$ -	\$ 2,245,209	\$ 6,052,381	\$ -	\$ 8,297,590	-9.70%	-	0.00%	-	0.00%	90,786	(90,786)	\$ -	\$ -	\$ 8,206,804	\$ (90,786)	\$ 164,136	\$ 8,370,940
CCCUA	\$ -	\$ 1,350,337	\$ 3,612,602	\$ 131,396	\$ 4,831,543	-0.15%	-	0.00%	-	0.00%	5,167	(5,167)	\$ -	\$ -	\$ 4,826,376	\$ (5,167)	\$ 96,528	\$ 4,922,904
COTO	\$ -	\$ 1,156,386	\$ 3,523,733	\$ -	\$ 4,680,119	-2.26%	-	0.00%	-	0.00%	52,856	(52,856)	\$ -	\$ -	\$ 4,627,263	\$ (52,856)	\$ 92,545	\$ 4,719,808
EACC	\$ 872,552	\$ 783,221	\$ 8,348,134	\$ -	\$ 10,003,907	-1.24%	-	0.00%	-	0.00%	103,829	(103,829)	\$ -	\$ -	\$ 9,900,079	\$ (103,829)	\$ 198,002	\$ 10,098,080
NAC	\$ 515,319	\$ 575,177	\$ 7,925,968	\$ -	\$ 9,016,464	-5.82%	-	0.00%	-	0.00%	118,890	(118,890)	\$ -	\$ -	\$ 8,897,574	\$ (118,890)	\$ 177,951	\$ 9,075,526
NPC	\$ 1,305,024	\$ 668,021	\$ 8,956,024	\$ -	\$ 10,929,069	-4.69%	-	0.00%	-	0.00%	134,340	(134,340)	\$ -	\$ -	\$ 10,794,729	\$ (134,340)	\$ 215,895	\$ 11,010,623
NWACC	\$ 1,153,305	\$ -	\$ 11,406,258	\$ 627,768	\$ 11,931,795	4.88%	584	39.58%	868,598	8.06%	-	525,690	\$ 215,570	\$ 1,178,718	\$ 13,326,083	\$ 1,394,288	\$ 266,522	\$ 13,592,604
OZC	\$ -	\$ 1,271,841	\$ 3,095,210	\$ -	\$ 4,367,051	-4.83%	-	0.00%	-	0.00%	46,428	(46,428)	\$ -	\$ -	\$ 4,320,623	\$ (46,428)	\$ 86,412	\$ 4,407,036
PCCUA	\$ 849,748	\$ 529,856	\$ 8,972,457	\$ -	\$ 10,352,061	0.29%	7	0.46%	10,114	0.11%	-	6,121	\$ 16,236	\$ -	\$ 10,368,297	\$ 16,236	\$ 207,366	\$ 10,575,663
SACC	\$ 596,763	\$ 461,389	\$ 6,252,791	\$ 127,969	\$ 7,182,974	-3.45%	-	0.00%	-	0.00%	91,872	(91,872)	\$ -	\$ -	\$ 7,091,101	\$ (91,872)	\$ 141,822	\$ 7,232,923
SAUT	\$ 235,253	\$ -	\$ 5,648,456	\$ -	\$ 5,883,709	-1.37%	-	0.00%	-	0.00%	77,544	(77,544)	\$ -	\$ -	\$ 5,806,165	\$ (77,544)	\$ 116,123	\$ 5,922,288
SEAC	\$ -	\$ 1,975,199	\$ 5,580,430	\$ -	\$ 7,555,629	-6.00%	-	0.00%	-	0.00%	83,706	(83,706)	\$ -	\$ -	\$ 7,471,923	\$ (83,706)	\$ 149,438	\$ 7,621,361
UACCB	\$ -	\$ 866,760	\$ 4,195,173	\$ 2,146	\$ 5,059,787	-2.15%	-	0.00%	-	0.00%	62,895	(62,895)	\$ -	\$ -	\$ 4,996,892	\$ (62,895)	\$ 99,938	\$ 5,096,829
UACCH	\$ -	\$ 1,958,947	\$ 5,012,860	\$ 407,889	\$ 6,563,918	4.98%	162	10.98%	240,848	5.23%	-	145,765	\$ 92,099	\$ 294,514	\$ 6,950,532	\$ 386,613	\$ 139,011	\$ 7,089,542
UACCM	\$ -	\$ 1,291,186	\$ 4,985,709	\$ -	\$ 6,276,895	2.95%	161	10.90%	239,297	4.80%	-	144,827	\$ 99,714	\$ 284,410	\$ 6,661,019	\$ 384,124	\$ 133,220	\$ 6,794,239
UA-RM	\$ 230,322	\$ -	\$ 3,174,800	\$ -	\$ 3,405,122	10.30%	160	10.85%	238,183	7.50%	-	144,152	\$ 63,496	\$ 318,839	\$ 3,787,457	\$ 382,335	\$ 75,749	\$ 3,863,206
UA-PT	\$ -	\$ 2,273,772	\$ 14,986,063	\$ -	\$ 17,259,835	-13.67%	-	0.00%	-	0.00%	224,791	(224,791)	\$ -	\$ -	\$ 17,035,044	\$ (224,791)	\$ 340,701	\$ 17,375,745
Total	\$ 8,261,439	\$ 23,372,671	\$ 146,910,063	\$ 2,228,756	\$ 176,315,417	-2.56%	1,476	100%	2,194,503	1.52%	1,328,150	-	\$ 858,711	\$ 2,663,941	\$ 178,509,920	\$ 2,194,503	\$ 3,570,198	\$ 182,080,118

Table D. 2020-21 Non-Formula Entities Recommendations



Institution/Entity	FY2019-20			FY 2020-21 Recommendations			
	EETF Forecast	RSA Forecast	Total Base (RSA & EETF)	1.8% Continuing Level of RSA	Base Operations & Program Enhancements	Total New Funds over 2019-20 Rec.	Total Recommendation
ADTEC/ADWIRED	\$ -	\$ 1,527,000	\$ 1,527,000	\$ 27,486	\$ -	\$ 27,486	\$ 1,554,486
AREON	\$ -	\$ -	\$ -	\$ -	\$ 1,200,000	\$ 1,200,000	\$ 1,200,000
ASU-System Office	\$ 163,475	\$ 2,405,208	\$ 2,568,683	\$ 43,294	\$ -	\$ 43,294	\$ 2,611,977
ASU-Heritage	\$ -	\$ 356,300	\$ 356,300	\$ 6,413	\$ 2,079,580	\$ 2,085,993	\$ 2,442,293
HSU-CEC	\$ -	\$ 81,234	\$ 81,234	\$ 1,462	\$ -	\$ 1,462	\$ 82,697
NWACC-CPTC	\$ -	\$ -	\$ -	\$ -	\$ 228,232	\$ 228,232	\$ 228,232
SACC-Arboretum	\$ -	\$ -	\$ -	\$ -	\$ 75,000	\$ 75,000	\$ 75,000
SAUT-ETA	\$ 39,313	\$ 375,035	\$ 414,348	\$ 6,751	\$ 100,000	\$ 106,751	\$ 521,098
SAUT-FTA	\$ 99,499	\$ 1,680,943	\$ 1,780,442	\$ 30,257	\$ 638,792	\$ 669,049	\$ 2,449,491
UA-SYS	\$ 520,248	\$ 3,479,473	\$ 3,999,721	\$ 62,631	\$ 572,103	\$ 634,734	\$ 4,634,455
UA-AS	\$ 150,872	\$ 2,369,273	\$ 2,520,145	\$ 42,647	\$ -	\$ 42,647	\$ 2,562,792
UA-DivAgri	\$ 6,192,688	\$ 63,930,540	\$ 70,123,228	\$ 1,150,750	\$ 2,250,000	\$ 3,400,750	\$ 73,523,978
UA-ASMSA	\$ 8,275,641	\$ 1,133,049	\$ 9,408,690	\$ 20,395	\$ 500,000	\$ 520,395	\$ 9,929,085
UA-CS	\$ -	\$ 2,336,895	\$ 2,336,895	\$ 42,064	\$ 107,000	\$ 149,064	\$ 2,485,959
UA-CJI	\$ -	\$ 1,858,633	\$ 1,858,633	\$ 33,455	\$ 699,464	\$ 732,919	\$ 2,591,552
UAF-ARTP	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000	\$ 250,000
UAF-Autism	\$ -	\$ -	\$ -	\$ -	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
UAF-GWG	\$ -	\$ -	\$ -	\$ -	\$ 740,000	\$ 740,000	\$ 740,000
UAF-Pryor Center	\$ -	\$ -	\$ -	\$ -	\$ 104,784	\$ 104,784	\$ 104,784
UAF-WTC AR	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000	\$ 250,000
UALR-RAPS	\$ -	\$ 4,161,417	\$ 4,161,417	\$ 74,906	\$ 1,437,500	\$ 1,512,406	\$ 5,673,823
UAPB-Nonformula*	\$ -	\$ 3,713,248	\$ 3,713,248	\$ 66,838	\$ 768,716	\$ 835,554	\$ 4,548,802
Total	\$ 15,441,737	\$ 89,408,248	\$ 104,849,985	\$ 1,609,348	\$ 14,501,171	\$ 16,110,519	\$ 120,960,504

*UAPB's Recommendation for RSA funding is for federal matching purposes.

Health-Related Non-Formula Entity - UAMS

Institution/Entity	FY2019-20			FY 2020-21 Recommendations			
	EETF Forecast	RSA Forecast	Total Base (RSA & EETF)	1.8% Continuing Level of RSA	Base Operations & Program Enhancements	Total New Funds over 2019-20 Rec.	Total Recommendation
UAMS	\$ 10,595,948	\$ 88,012,881	\$ 98,608,829	\$ 1,584,232	\$ 3,805,432	\$ 5,389,664	\$ 103,998,493
UAMS-ABUSE/RAPE/DV	\$ -	\$ 748,230	\$ 748,230	\$ 13,468	\$ -	\$ 13,468	\$ 761,698
UAMS-Child Safety	\$ -	\$ 733,559	\$ 733,559	\$ 13,204	\$ -	\$ 13,204	\$ 746,763
UAMS-Ped/Pysch/Res.	\$ -	\$ 1,985,100	\$ 1,985,100	\$ 35,732	\$ -	\$ 35,732	\$ 2,020,832
UAMS-IC	\$ 263,668	\$ 5,438,340	\$ 5,702,008	\$ 97,890	\$ -	\$ 97,890	\$ 5,799,898
Total	\$ 10,859,616	\$ 96,918,110	\$ 107,777,726	\$ 1,744,526	\$ 3,805,432	\$ 5,549,958	\$ 113,327,684

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DEFINITIONS OF EXPENDITURE CATEGORIES

Academic Support. This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

Equipment Replacement. Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

Institutional Support. This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

Instruction. This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

Plant Maintenance and Operation. This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

Public Service. This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

Research. This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

Scholarships and Fellowships. This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

Student Services. This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

Transfers. Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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Table 1. Annual Full-Time Equivalent Enrollment (FTE) for FY2019-20

Four-Year Universities		Two-Year Colleges			
2019-20 FTE		2019-20 FTE		2019-20 FTE	
ASUJ	12,744	ANC	885	NWACC	4,984
ATU	8,614	ASUB	2,525	OZC	788
HSU	3,483	ASUMH	973	PCCUA	915
SAUM	3,894	ASUMS	816	SACC	1,042
UAF	25,261	ASUN	1,895	SAUT	937
UAFS	5,236	BRTC	1,224	SEAC	816
UALR	7,591	CCCUA	916	UACCB	954
UAM	2,608	CoTO	717	UACCH-T	981
UAPB	2,422	EACC	754	UACCM	1,414
UCA	9,977	NAC	1,292	UACCRM	522
		NPC	1,833	UAPTC	3,709
Subtotal	81,831				30,892
Grand Total					112,723

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TABLE 2

2019-20 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
LOCAL TAXES (IN-DISTRICT)				
ANC	N/A	2,130	380	2,510
ASUMS	N/A	2,850	640	3,490
CCCUA	N/A	2,220	1,350	3,570
EACC	N/A	2,580	354	2,934
NAC	N/A	2,220	720	2,940
NPC	N/A	2,700	1,350	4,050
NWACC	N/A	2,250	1,008	3,258
PCCUA	N/A	2,190	830	3,020
SACC	N/A	2,520	840	3,360
UACCB	N/A	2,220	930	3,150
UACCH-T	N/A	2,040	940	2,980
UACCM	N/A	2,760	1,260	4,020
UACCRM	N/A	2,490	1,350	3,840
MEDIAN ANNUAL	N/A	2,250	930	3,258
LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)				
ANC	3,720	2,430	380	2,810
ASUMS	3,720	3,450	640	4,090
CCCUA	3,720	2,610	1,350	3,960
EACC	3,720	2,880	354	3,234
NAC	3,720	2,970	720	3,690
NPC	3,720	3,000	1,500	4,500
NWACC	3,720	4,050	1,008	5,058
PCCUA	3,720	2,580	830	3,410
SACC	3,720	2,910	840	3,750
UACCB	3,720	2,625	930	3,555
UACCH-T	3,720	2,310	940	3,250
UACCM	3,720	3,060	1,260	4,320
UACCRM	3,720	2,910	1,350	4,260
MEDIAN ANNUAL	3,720	2,910	930	3,750
NO LOCAL TAXES (IN-STATE)				
ASUB	3,720	3,060	600	3,660
ASUMH	3,720	2,940	690	3,630
ASUN	3,720	2,880	690	3,570
BRTC	3,720	2,880	1,170	4,050
COTO	3,720	3,060	1,010	4,070
OZC	3,720	2,700	1,030	3,730
SAUT	3,720	3,240	1,350	4,590
SEAC	3,720	2,880	970	3,850
UAPTC	3,720	4,020	1,650	5,670
MEDIAN ANNUAL	3,720	2,940	1,010	3,850

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 2
2019-20 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
LOCAL TAXES				
ANC	8,753	3,930	380	4,310
ASUMS	8,753	4,650	640	5,290
CCCUA	8,753	3,060	1,350	4,410
EACC	8,753	3,450	354	3,804
NAC	8,753	5,070	720	5,790
NPC	8,753	4,110	1,620	5,730
NWACC	8,753	4,500	1,008	5,508
PCCUA	8,753	4,080	830	4,910
SACC	8,753	5,160	840	6,000
UACCB	8,753	3,360	930	4,290
UACCH-T	8,753	3,360	940	4,300
UACCM	8,753	3,900	1,260	5,160
UACCRM	8,753	3,210	1,350	4,560
MEDIAN ANNUAL	8,753	3,930	930	4,910
NO LOCAL TAXES				
ASUB	8,753	5,220	600	5,820
ASUMH	8,753	4,950	690	5,640
ASUN	8,753	4,710	690	5,400
BRTC	8,753	5,670	1,170	6,840
COTO	8,753	6,090	1,010	7,100
OZC	8,753	5,640	1,030	6,670
SAUT	8,753	4,680	1,350	6,030
SEAC	8,753	5,760	970	6,730
UAPTC	8,753	5,250	1,650	6,900
MEDIAN ANNUAL	8,753	5,250	1,010	6,670

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 2
2019-20 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
UAF	11,024	7,568	1,817	9,385
LEVEL 1 GROUP MEDIAN	11,024	7,568	1,817	9,385
UALR	10,728	6,495	3,034	9,529
LEVEL 2 GROUP MEDIAN	10,728	6,495	3,034	9,529
ASUJ	9,065	6,540	2,360	8,900
ATU	9,065	6,960	2,295	9,255
UCA	9,065	6,810	2,378	9,188
LEVEL 3 GROUP MEDIAN	9,065	6,810	2,360	9,188
HSU	8,092	6,930	1,881	8,811
SAUM	8,092	6,420	2,560	8,980
LEVEL 4 GROUP MEDIAN	8,092	6,675	2,221	8,896
UAM	7,881	4,779	3,130	7,909
LEVEL 5 GROUP MEDIAN	7,881	4,779	3,130	7,909
UAFS	7,716	5,130	2,209	7,339
UAPB	7,716	5,130	2,934	8,064
LEVEL 6 GROUP MEDIAN	7,716	5,130	2,571	7,701

FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
UAF	28,548	24,056	1,817	25,873
LEVEL 1 GROUP MEDIAN	28,548	24,056	1,817	25,873
UALR	24,033	18,750	3,034	21,784
LEVEL 2 GROUP MEDIAN	24,033	18,750	3,034	21,784
ASUJ	23,122	13,500	2,360	15,860
ATU	23,122	13,920	2,295	16,215
UCA	23,122	13,620	2,378	15,998
LEVEL 3 GROUP MEDIAN	23,122	13,620	2,360	15,998
HSU	21,506	8,640	1,881	10,521
SAUM	21,506	10,920	2,560	13,480
LEVEL 4 GROUP MEDIAN	21,506	9,780	2,221	12,001
UAM	17,014	10,629	3,130	13,759
LEVEL 5 GROUP MEDIAN	17,014	10,629	3,130	13,759
UAFS	18,756	14,220	2,209	16,429
UAPB	18,756	11,640	2,934	14,574
LEVEL 6 GROUP MEDIAN	18,756	12,930	2,571	15,501

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 2

2019-20 Full-time Annualized Fall Tuition and Mandatory Fees

FOUR-YEAR INSTITUTION GRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
UAF	12,114	10,337	1,453	11,789
LEVEL 1 GROUP MEDIAN	12,114	10,337	1,453	11,789
UALR	10,970	7,680	2,439	10,119
LEVEL 2 GROUP MEDIAN	10,970	7,680	2,439	10,119
ASUJ	9,733	6,648	1,898	8,546
ATU	9,733	7,008	1,836	8,844
UCA	9,733	6,691	1,942	8,633
LEVEL 3 GROUP MEDIAN	9,733	6,691	1,898	8,633
HSU	7,835	6,744	1,605	8,349
SAUM	7,835	6,720	2,046	8,766
LEVEL 4 GROUP MEDIAN	7,835	6,732	1,826	8,558
UAM	9,198	6,631	2,496	9,127
LEVEL 5 GROUP MEDIAN	9,198	6,631	2,496	9,127
UAFS	9,452	9,456	650	10,106
UAPB	9,452	5,184	2,386	7,570
LEVEL 6 GROUP MEDIAN	9,452	7,320	1,518	8,838

FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
UAF	28,065	28,042	1,453	29,494
LEVEL 1 GROUP MEDIAN	28,065	28,042	1,453	29,494
UALR	23,866	17,400	2,439	19,839
LEVEL 2 GROUP MEDIAN	23,866	17,400	2,439	19,839
ASUJ	21,652	13,296	1,898	15,194
ATU	21,652	14,016	1,836	15,852
UCA	21,652	12,818	1,636	14,455
LEVEL 3 GROUP MEDIAN	21,652	13,296	1,836	15,194
HSU	19,863	8,424	1,605	10,029
SAUM	19,863	10,560	2,046	12,606
LEVEL 4 GROUP MEDIAN	19,863	9,492	1,826	11,318
UAM	17,696	12,511	2,496	15,007
LEVEL 5 GROUP MEDIAN	17,696	12,511	2,496	15,007
UAFS	23,582	15,192	650	15,842
UAPB	23,582	11,760	2,386	14,146
LEVEL 6 GROUP MEDIAN	23,582	13,476	1,518	14,994

TABLE 2

2019-20 Full-time Annualized Fall Tuition and Mandatory Fees

PROFESSIONAL UNDERGRADUATE

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
RESIDENT				
UAMS - HRP	N/A	6,000	1,658	7,658
UAMS- NURSING*	N/A	7,200	1,658	8,858
NONRESIDENT				
UAMS - HRP	N/A	13,728	1,658	15,386
UAMS- NURSING*	N/A	15,168	1,658	16,826

*Definition of full-time for purposes of tuition calculation is 12 credit hours for a student enrolled in UAMS's undergraduate nursing program

PROFESSIONAL GRADUATE

INSTITUTION	ADHE ESTIMATE OF 2019-20 SREB MEDIAN TUITION & FEES	2019-20 ANNUAL TUITION	2019-20 ANNUAL FEES	2019-20 TUITION & FEES
RESIDENT				
UAF-LAW	20,675	11,719	1,564	13,283
UALR-LAW	20,675	10,681	3,012	13,693
UAMS-MEDICINE	35,190	33,010	1,658	34,668
UAMS-PHARMACY	23,239	19,280	1,658	20,938
UAMS-GRADUATE	N/A	8,100	1,658	9,758
NONRESIDENT				
UAF-LAW	39,501	28,228	1,564	29,791
UALR-LAW	39,501	23,436	3,012	26,448
UAMS-MEDICINE	62,314	65,180	1,658	66,838
UAMS-PHARMACY	42,864	38,560	1,658	40,218
UAMS-GRADUATE	N/A	16,200	1,658	17,858

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UNIVERSITIES

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	66,076,047		65,864,735		69,065,733		70,089,881		70,089,881	
2	CASH	141,169,576		213,825,000		213,825,000		237,174,683		237,174,683	
3	ARKANSAS BIOSCIENCES INSTITUTE	3,422,406		3,312,423		5,643,838		5,643,838		5,643,838	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$210,668,029	2,047	\$283,002,158	2,090	\$288,534,571	2,244	\$312,908,402	2,233	\$312,908,402	2,230
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	59,090,991	28%	58,876,246	21%			61,826,184	20%	61,826,184	20%
14	EDUCATIONAL EXCELLENCE TRUST FUND	6,853,744	3%	6,988,489	2%			6,988,489	2%	6,988,489	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	128,213,477	61%	198,825,000	70%			198,825,000	64%	198,825,000	64%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	12,956,099	6%	15,000,000	5%			38,349,683	12%	38,349,683	12%
19	TOBACCO SETTLEMENT FUNDS	3,422,406	2%	3,312,423	1%			5,643,838	2%	5,643,838	2%
20	OTHER FUNDS	131,312	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$210,668,029	100%	\$283,002,158	100%			\$311,633,194	100%	\$311,633,194	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$1,275,208		\$1,275,208	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$24,387,505
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$5,412,019
INVENTORIES	\$1,907,348
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$14,564,757
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,403,381

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS STATE UNIVERISTY - SYSTEM
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	2,185,405	2,324,105	2,370,587	2,370,587
2	PERSONAL SERVICES MATCHING	524,162	657,684	670,838	670,838
3	EXTRA HELP WAGES	23,066	15,000	15,000	15,000
4	OPERATING EXPENSES	323,744	422,730	439,072	439,072
5	TRAVEL		59,500	59,500	59,500
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$3,056,377	\$3,479,019	\$3,554,997	\$3,554,997
17	NET LOCAL INCOME	533,374	910,336	943,020	943,020
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	2,362,680	2,405,208	2,448,502	2,448,502
20	EDUCATIONAL EXCELLENCE*	160,323	163,475	163,475	163,475
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$3,056,377	\$3,479,019	\$3,554,997	\$3,554,997

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY - HERITAGE SITES
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	559,177	558,731	1,119,807	1,119,807
2	PERSONAL SERVICES MATCHING	170,173	179,722	315,609	315,609
3	EXTRA HELP WAGES	39,169	16,000	53,006	53,006
4	OPERATING EXPENSES	210,184	132,755	953,871	953,871
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$978,703	\$887,208	\$2,442,293	\$2,442,293
17	NET LOCAL INCOME	628,703	530,908		
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	350,000	356,300	2,442,293	2,442,293
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$978,703	\$887,208	\$2,442,293	\$2,442,293

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO

APPROPRIATION _____ 299

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	50,151,667	50,006,436	51,816,000	51,567,641	51,816,000
2	EXTRA HELP WAGES				53,006	
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	8,024,267	8,055,524	8,342,376	8,352,521	8,342,376
5	OPERATING EXPENSES	7,379,161	7,302,775	7,507,357	8,716,713	7,507,357
6	CONFERENCE FEES & TRAVEL	0	0	0		0
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY	0	0	400,000	400,000	400,000
9	FUNDED DEPRECIATION	500,000	500,000	1,000,000	1,000,000	1,000,000
10	WORKER'S COMP/SURETY PREMIUM	20,952				
11						
12						
13	TOTAL APPROPRIATION	\$66,076,047	\$65,864,735	\$69,065,733	\$70,089,881	\$69,065,733
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	59,090,991	58,876,246		61,826,184	61,826,184
16	EDUCATIONAL EXCELLENCE TRUST FUND	6,853,744	6,988,489		6,988,489	6,988,489
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	131,312				
21	TOTAL INCOME	\$66,076,047	\$65,864,735		\$68,814,673	\$68,814,673
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$1,275,208	\$251,060

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Tuition Adjustment Fund

Allocation Request/Recommendation for General Revenue:

	2020-2021
(1) ASU-Jonesboro	65,035,611
(2) ASU-System Office	2,611,977
(3) ASU Heritage Sites	2,442,293
Total	\$ 70,089,881

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION _____ 318

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	1,140,148	1,245,186	2,149,024	2,149,024	2,149,024
2	EXTRA HELP WAGES	9,650	15,000	15,914	15,914	15,914
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	312,488	349,152	551,206	551,206	551,206
5	OPERATING EXPENSES	1,626,744	1,603,085	2,527,694	2,527,694	2,527,694
6	CONFERENCE FEES & TRAVEL		0	0	0	0
7	PROFESSIONAL FEES AND SERVICES		0	0	0	0
8	CAPITAL OUTLAY	333,376	100,000	400,000	400,000	400,000
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$3,422,406	\$3,312,423	\$5,643,838	\$5,643,838	\$5,643,838
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	3,422,406	3,312,423		5,643,838	5,643,838
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$3,422,406	\$3,312,423		\$5,643,838	\$5,643,838
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2050000

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO

APPROPRIATION

A70

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	32,908,842	35,000,000	35,000,000	36,500,000	35,000,000
2	EXTRA HELP WAGES	9,490,350	10,000,000	10,000,000	10,250,000	10,000,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	12,992,241	14,500,000	14,500,000	14,700,000	14,500,000
5	OPERATING EXPENSES	39,395,362	48,500,000	48,500,000	55,000,000	48,500,000
6	CONFERENCE FEES & TRAVEL	0	7,000,000	7,000,000	7,000,000	7,000,000
7	PROFESSIONAL FEES AND SERVICES	24,976,553	27,500,000	27,500,000	29,000,000	27,500,000
8	CAPITAL OUTLAY	7,165,832	11,675,000	11,675,000	18,000,000	11,675,000
9	CAPITAL IMPROVEMENTS	0	41,450,000	41,450,000	44,399,683	51,396,158
10	DEBT SERVICE	14,045,846	18,000,000	18,000,000	22,000,000	18,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	194,550	200,000	200,000	200,000	200,000
13	RESALE				125,000	125,000
14						
15						
16	TOTAL APPROPRIATION	\$141,169,576	\$213,825,000	\$213,825,000	\$237,174,683	\$223,896,158
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	90,000,000	99,600,000		99,600,000	99,600,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,861,391	2,500,000		2,500,000	2,500,000
21	INVESTMENT INCOME	3,349,626	2,500,000		2,500,000	2,500,000
22	FEDERAL CASH FUNDS	12,956,099	15,000,000		38,349,683	38,349,683
23	OTHER CASH FUNDS	33,002,460	94,225,000		94,225,000	94,225,000
24	TOTAL INCOME	\$141,169,576	\$213,825,000		\$237,174,683	\$237,174,683
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$13,278,525)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	2,047	2,090	2,244	2,233	2,230	2,244
TOBACCO POSITIONS	19	24	38	38	38	38
EXTRA HELP ***	840	882	2,114	2,114	2,114	2,114

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - JONESBORO
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	12,526,651	20,630,511		(8,103,860)	13,085,107	18,594,808	0	(5,509,701)
2	HOUSING	14,296,110	5,396,030	6,306,744	2,593,336	13,590,173	4,381,172	9,206,603	2,398
3	FOOD SERVICES	1,602,827	347,044		1,255,783	1,585,000	321,105		1,263,895
4	STUDENT UNION	2,451,463	1,205,193	1,200,434	45,836	2,357,000	1,164,010	1,201,305	(8,315)
5	BOOKSTORE	340,416	64,594		275,822	304,000	68,000		236,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	(50)	(8,096)		8,046	0	0		0
7	OTHER (FOOTNOTE BELOW)	2,602,153	1,776,724	409,050	416,379	3,288,432	1,950,816	409,346	928,270
8	SUBTOTAL	\$33,819,570	\$29,412,000	\$7,916,228	(\$3,508,658)	\$34,209,712	\$26,479,911	\$10,817,254	(\$3,087,453)
9	ATHLETIC TRANSFER**	3,358,644			3,358,644	2,733,150			2,733,150
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	150,014			150,014	354,303			354,303
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$37,328,228	\$29,412,000	\$7,916,228	\$0	\$37,297,165	\$26,479,911	\$10,817,254	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - First National Bank Arena, Parking Services, and Miscellaneous

NOTE: Line 10 Other Transfers - Student Union, Miscellaneous, Undesignated (Transfers In); Athletics, Housing, Food Service, Farms, Parking (Transfers Out)

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - JONESBORO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>1,609</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>145</u>	Black Male:	<u>20</u>	Other Male:	<u>12</u>	Total Male: <u>177</u>
White Female:	<u>262</u>	Black Female:	<u>60</u>	Other Female:	<u>7</u>	Total Female: <u>329</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>199</u>	Black Male:	<u>23</u>	Other Male:	<u>7</u>	Total Male: <u>229</u>
White Female:	<u>277</u>	Black Female:	<u>47</u>	Other Female:	<u>18</u>	Total Female: <u>342</u>
Faculty:						
White Male:	<u>191</u>	Black Male:	<u>7</u>	Other Male:	<u>46</u>	Total Male: <u>244</u>
White Female:	<u>235</u>	Black Female:	<u>30</u>	Other Female:	<u>23</u>	Total Female: <u>288</u>
Total White Male:						<u>535</u>
Total White Female:						<u>774</u>
Total Black Male:						<u>50</u>
Total Black Female:						<u>137</u>
Total Other Male:						<u>65</u>
Total Other Female:						<u>48</u>
Total White:						<u>1309</u>
Total Black:						<u>187</u>
Total Other:						<u>113</u>
Total Minority:						<u>300</u>
Total Employees:						<u>1,609</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS STATE UNIVERSITY - JONESBORO

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
Running Threads LLC	\$51,267							X
Sarah Arnold	\$52,128							X
Erin McClelland Museum Services	\$55,318							X
SHI International Corp	\$114,992							X
Pruitt Promotions Inc.	\$132,589							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 5

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$16,178,006
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 1%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY
June 30, 2019**

<i>Finding No. 1:</i>	The University had uninsured and uncollateralized deposits totaling \$328,406 at June 30, 2019 in noncompliance with Ark. Code Ann. §§ 19-8-201 – 19-8-203.
<i>Institution's Response:</i>	The University concurs with the finding. This error resulted from the maturing of an investment at June 28, 2019 and its temporary conversion to cash. The University's finance officers have reviewed and revised procedures to insure the appropriate monitoring and safeguarding of investments.

**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS TECH UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	35,974,088		35,946,944		36,609,624		37,254,938		37,254,938	
2	CASH	84,225,195		162,427,960		162,427,960		162,427,960		162,427,960	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$120,199,283	1,245	\$198,374,904	1,383	\$199,037,584	1,670	\$199,682,898	1,670	\$199,682,898	1,670
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	32,910,223	27%	32,809,863	17%			33,387,368	17%	33,387,368	17%
14	EDUCATIONAL EXCELLENCE TRUST FUND	2,297,421	2%	2,342,589	1%			2,342,589	1%	2,342,589	1%
15	WORKFORCE 2000	772,186	1%	794,492	0%			794,492	0%	794,492	0%
16	CASH FUNDS	81,110,446	67%	158,927,960	80%			158,927,960	80%	158,927,960	80%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	3,114,749	3%	3,500,000	2%			3,500,000	2%	3,500,000	2%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$120,205,025	100%	\$198,374,904	100%			\$198,952,409	100%	\$198,952,409	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$5,742)		\$0				\$730,489		\$730,489	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$50,034,977
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,463,244
INVENTORIES	\$32,113
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$1,618,500
MAJOR CRITICAL SYSTEMS FAILURES	\$1,500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,195,887
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$600,000
OTHER (FOOTNOTE BELOW)	\$30,957,070
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$668,163

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION _____

567

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	27,767,198	27,801,354	27,977,197	28,190,150	27,977,197
2	EXTRA HELP WAGES	1,644,756	1,728,942	1,728,942	1,728,942	1,728,942
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	4,938,969	4,941,031	5,039,836	5,233,430	5,039,836
5	OPERATING EXPENSES	1,274,284	1,126,736	1,514,768	1,753,535	1,514,768
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881
10						
11						
12						
13	TOTAL APPROPRIATION	\$35,974,088	\$35,946,944	\$36,609,624	\$37,254,938	\$36,609,624
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	32,910,223	32,809,863		33,387,368	33,387,368
16	EDUCATIONAL EXCELLENCE TRUST FUND	2,297,421	2,342,589		2,342,589	2,342,589
17	SPECIAL REVENUES * [WF2000]	772,186	794,492		794,492	794,492
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$35,979,830	\$35,946,944		\$36,524,449	\$36,524,449
22	EXCESS (FUNDING)/APPROPRIATION	(\$5,742)	\$0		\$730,489	\$85,175

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2100000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION _____

B11

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	23,298,342	29,985,982	29,985,982	29,985,982	29,985,982
2	EXTRA HELP WAGES	4,410,459	7,500,000	7,500,000	7,500,000	7,500,000
3	OVERTIME	104,364	250,000	250,000	250,000	250,000
4	PERSONAL SERVICES MATCHING	11,262,171	13,694,747	13,694,747	13,694,747	13,694,747
5	OPERATING EXPENSES	28,480,274	35,000,000	35,000,000	35,000,000	35,000,000
6	CONFERENCE FEES & TRAVEL	913,564	1,200,000	1,200,000	1,200,000	1,200,000
7	PROFESSIONAL FEES AND SERVICES	168,337	5,000,000	5,000,000	5,000,000	5,000,000
8	CAPITAL OUTLAY	1,972,366	15,000,000	15,000,000	15,000,000	15,000,000
9	CAPITAL IMPROVEMENTS	7,509,317	44,497,231	44,497,231	44,497,231	44,497,231
10	DEBT SERVICE	5,972,300	10,000,000	10,000,000	10,000,000	10,000,000
11	PROMOTIONAL ITEMS	133,701	300,000	300,000	300,000	300,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$84,225,195	\$162,427,960	\$162,427,960	\$162,427,960	\$162,427,960
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	70,073,720	80,000,000		80,000,000	80,000,000
19	ALL OTHER FEES	62,885	79,000		79,000	79,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,899,383	2,153,287		2,153,287	2,153,287
21	INVESTMENT INCOME	2,353,912	2,000,000		2,000,000	2,000,000
22	FEDERAL CASH FUNDS	3,114,749	3,500,000		3,500,000	3,500,000
23	OTHER CASH FUNDS	6,720,546	74,695,673		74,695,673	74,695,673
24	TOTAL INCOME	\$84,225,195	\$162,427,960		\$162,427,960	\$162,427,960
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	1,245	1,383	1,670	1,670	1,670	1,670
TOBACCO POSITIONS						
EXTRA HELP ***	1,615	1,615	1,615	1,615	1,615	1,615

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS TECH - RUSSELLVILLE CAMPUS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	4,569,469	5,815,173	225,900	(1,471,604)	4,508,657	6,132,160	350,737	(1,974,240)
2	HOUSING	8,823,157	4,973,026	2,453,466	1,396,665	10,288,888	7,635,819	2,653,069	0
3	FOOD SERVICES	7,231,117	5,283,222	420,907	1,526,988	7,412,049	6,990,578	421,471	0
4	STUDENT UNION	0	0	0	0	760,547	760,547	0	0
5	BOOKSTORE	309,528	202,419	0	107,109	318,072	318,072	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	515,506	384,300	0	131,206	401,194	435,025	0	(33,831)
7	OTHER (FOOTNOTE BELOW)	1,947,722	1,620,260	0	327,462	1,864,247	1,864,247	0	0
8	SUBTOTAL	\$23,396,499	\$18,278,400	\$3,100,273	\$2,017,826	\$25,553,654	\$24,136,448	\$3,425,277	(\$2,008,071)
9	ATHLETIC TRANSFER**	1,974,240			1,974,240	1,974,240			1,974,240
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(3,436,301)			(3,436,301)	33,831			33,831
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$21,934,438	\$18,278,400	\$3,100,273	\$555,765	\$27,561,725	\$24,136,448	\$3,425,277	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Student Health Services, Athletic Camps, Athletic Endowment - Women's Golf, Federal CWS for Auxiliaries, Intra-fund Transfers Out, GASB 75

NOTE: Line 10 Other Transfers - Student Health Services, Student Newspaper, Student Activities Revenue Less Expense, Transfers In, Transfers Out

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS TECH - OZARK CAMPUS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	11,526	14,961	0	(3,435)	11,910	11,910	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	68,512	1,111	0	67,401	64,428	64,428	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	21,000	21,000	0	0	21,000	21,000	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	\$101,038	\$37,072	\$0	\$63,966	\$97,338	\$97,338	\$0	\$0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$101,038	\$37,072	\$0	\$63,966	\$97,338	\$97,338	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS TECH UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>1,245</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>105</u>	Black Male:	<u>7</u>	Other Male:	<u>4</u>	Total Male: <u>116</u>
White Female:	<u>147</u>	Black Female:	<u>8</u>	Other Female:	<u>5</u>	Total Female: <u>160</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>102</u>	Black Male:	<u>1</u>	Other Male:	<u>8</u>	Total Male: <u>111</u>
White Female:	<u>175</u>	Black Female:	<u>2</u>	Other Female:	<u>15</u>	Total Female: <u>192</u>
Faculty:						
White Male:	<u>255</u>	Black Male:	<u>13</u>	Other Male:	<u>48</u>	Total Male: <u>316</u>
White Female:	<u>295</u>	Black Female:	<u>18</u>	Other Female:	<u>37</u>	Total Female: <u>350</u>
Total White Male:	<u>462</u>	Total Black Male:	<u>21</u>	Total Other Male:	<u>60</u>	Total Male: <u>543</u>
Total White Female:	<u>617</u>	Total Black Female:	<u>28</u>	Total Other Female:	<u>57</u>	Total Female: <u>702</u>
Total White:	<u>1079</u>	Total Black:	<u>49</u>	Total Other:	<u>117</u>	Total Employees: <u>1,245</u>
				Total Minority:	<u>166</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS TECH UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
Welsco Inc.	\$102,708							X
Cornerstone Construction of Russellville	\$69,788							X
SHI Corporation	\$67,559				X			
Burris Inc.	\$64,535							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 4

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$2,968,201
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 9%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS TECH UNIVERSITY
June 30, 2019**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION HENDERSON STATE UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	21,339,648		21,389,785		21,769,923		21,883,184		21,883,184	
2	CASH	38,889,463		61,498,745		52,989,056		95,350,000		95,350,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$60,229,111	645	\$82,888,530	570	\$74,758,979	630	\$117,233,184	630	\$117,233,184	630
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	18,971,741	31%	19,047,721	23%			19,032,426	16%	19,032,426	16%
14	EDUCATIONAL EXCELLENCE TRUST FUND	2,376,574	4%	2,423,298	3%			2,423,298	2%	2,423,298	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	38,881,085	65%	61,484,513	74%			95,330,000	82%	95,330,000	82%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	8,378	0%	14,232	0%			20,000	0%	20,000	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$60,237,778	100%	\$82,969,764	100%			\$116,805,724	100%	\$116,805,724	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$8,667)		(\$81,234)				\$427,460		\$427,460	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	(\$5,154,505)
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$6,960,763
INVENTORIES	\$27,475
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$33,500
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,977,843
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$18,154,086)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

HENDERSON STATE UNIVERSITY
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	23,924	26,042	26,823	26,823
2	PERSONAL SERVICES MATCHING	13,000	8,348	8,599	8,599
3	EXTRA HELP WAGES	4,000	7,000	7,210	7,210
4	OPERATING EXPENSES	38,874	39,844	43,065	43,065
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$79,798	\$81,234	\$85,697	\$85,697
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	79,798	81,234	82,697	82,697
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$79,798	\$81,234	\$82,697	\$82,697

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	16,049,261	16,536,685	16,536,685	17,000,000	16,536,685
2	EXTRA HELP WAGES	40,000	40,000	40,000	40,000	40,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,691,000	2,518,744	2,700,000	2,500,000	2,700,000
5	OPERATING EXPENSES	1,991,149	1,736,118	1,925,000	1,692,249	1,925,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	450,000	440,000	450,000	450,000	450,000
9	FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238
10	GRANT TO COMMUNITY EDUCATION CENTER				82,697	
11						
12						
13	TOTAL APPROPRIATION	\$21,339,648	\$21,389,785	\$21,769,923	\$21,883,184	\$21,769,923
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	18,971,741	19,047,721		19,032,426	19,032,426
16	EDUCATIONAL EXCELLENCE TRUST FUND	2,376,574	2,423,298		2,423,298	2,423,298
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$21,348,315	\$21,471,019		\$21,455,724	\$21,455,724
22	EXCESS (FUNDING)/APPROPRIATION	(\$8,667)	(\$81,234)		\$427,460	\$314,199

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION _____

A74

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	9,391,445	20,059,250	20,059,250	22,750,000	22,065,175
2	EXTRA HELP WAGES	1,543,986	1,452,397	1,452,397	2,000,000	1,597,637
3	OVERTIME	11,918	12,817	12,817	100,000	14,099
4	PERSONAL SERVICES MATCHING	1,322,843	1,605,793	1,605,793	3,500,000	1,766,373
5	OPERATING EXPENSES	16,829,639	21,699,531	21,699,531	23,000,000	23,869,484
6	CONFERENCE FEES & TRAVEL	554,789	526,506	526,506	1,000,000	579,157
7	PROFESSIONAL FEES AND SERVICES	590,605	208,253	208,253	1,000,000	229,078
8	CAPITAL OUTLAY	610,634	33,768	33,768	3,000,000	37,145
9	CAPITAL IMPROVEMENTS	0	9,599,689	1,000,000	25,000,000	1,000,000
10	DEBT SERVICE	5,167,312	6,234,727	6,324,727	10,000,000	7,057,200
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,866,292	66,014	66,014	4,000,000	72,616
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$38,889,463	\$61,498,745	\$52,989,056	\$95,350,000	\$58,287,964
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	25,434,663	31,000,074		32,000,000	32,000,000
19	ALL OTHER FEES	856,567	1,528,764		1,750,000	1,750,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	17,150	12,800		15,000	15,000
21	INVESTMENT INCOME	82,160	15,000		20,000	20,000
22	FEDERAL CASH FUNDS	8,378	14,232		20,000	20,000
23	OTHER CASH FUNDS	12,490,545	28,927,875		61,545,000	61,545,000
24	TOTAL INCOME	\$38,889,463	\$61,498,745		\$95,350,000	\$95,350,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$37,062,036)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	645	570	630	630	630	630
TOBACCO POSITIONS						
EXTRA HELP ***	183	210	950	950	950	950

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	1,832,060	4,819,381		(2,987,321)	2,259,000	4,645,470		(2,386,470)
2	HOUSING	7,357,823	2,212,240	3,361,203	1,784,380	7,930,592	2,460,202	3,103,333	2,367,057
3	FOOD SERVICES	4,654,294	3,661,235	213,107	779,952	5,075,820	4,279,248	318,341	478,231
4	STUDENT UNION	146,215	285,276	99,662	(238,723)	189,355	310,295		(120,940)
5	BOOKSTORE	124,854			124,854	130,000	0		130,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	89,177	90,577		(1,400)	47,000	40,000		7,000
7	OTHER (FOOTNOTE BELOW)	1,531,864	1,335,049	413,947	(217,132)	1,535,785	1,373,679	504,169	(342,063)
8	SUBTOTAL	\$15,736,287	\$12,403,758	\$4,087,919	(\$755,390)	\$17,167,552	\$13,108,894	\$3,925,843	\$132,815
9	ATHLETIC TRANSFER**	1,333,453			1,333,453				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	99,300			99,300				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$17,169,040	\$12,403,758	\$4,087,919	\$677,363	\$17,167,552	\$13,108,894	\$3,925,843	\$132,815

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Student Health, Student Government Association Fee, Vending, Student Rec Center, HSU Intramural, Other Auxiliary, Pre-School Functions

NOTE: Line 10 - Sturgis Honors Center Aux., East Hall, West Hall, H G Smith Hall, Newberry Hall, Garrison Center M&O, Student Health Center, HSU Intramural, Student Recreation Center, Student Health Center & STAR

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>569</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>48</u>	Black Male:	<u>9</u>	Other Male:	<u>6</u>	Total Male: <u>63</u>
White Female:	<u>68</u>	Black Female:	<u>11</u>	Other Female:	<u>5</u>	Total Female: <u>84</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>17</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>	Total Male: <u>22</u>
White Female:	<u>62</u>	Black Female:	<u>16</u>	Other Female:	<u>4</u>	Total Female: <u>82</u>
Faculty:						
White Male:	<u>117</u>	Black Male:	<u>6</u>	Other Male:	<u>23</u>	Total Male: <u>146</u>
White Female:	<u>137</u>	Black Female:	<u>13</u>	Other Female:	<u>22</u>	Total Female: <u>172</u>
Total White Male:	<u>182</u>	Total Black Male:	<u>20</u>	Total Other Male:	<u>29</u>	Total Male: <u>231</u>
Total White Female:	<u>267</u>	Total Black Female:	<u>40</u>	Total Other Female:	<u>31</u>	Total Female: <u>338</u>
Total White:	<u>449</u>	Total Black:	<u>60</u>	Total Other:	<u>60</u>	Total Employees: <u>569</u>
				Total Minority:	<u>120</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

HENDERSON STATE UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,646,438
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF HENDERSON STATE UNIVERSITY
 June 30, 2019**

<p><i>Finding No. 1 REPEAT:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The University's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Comparative Statement of Net Position included:</p> <ul style="list-style-type: none"> • Due to recording errors, net pension liability was overstated by \$530,482, deferred inflows related to pensions were understated by \$364,383, and deferred outflows related to pensions were overstated by \$685,035. The net effect of these errors to the University's unrestricted net position was an overstatement of \$518,936. • Due to recording errors, cash and accounts payable were understated by \$196,422. <p>The financial statements, for the above misstatements, were corrected by University personnel during the audit. A similar finding was reported in the previous audit.</p>
<p><i>Institution's Response:</i></p>	<p>The University concurs with the finding. Additional review procedures of financial statements will be implemented. Ms. Rita Fleming has been hired as the new Vice President for Finance and Administration and assumed her position officially on December 3, 2019. The University appreciates the opportunity to correct the statements.</p>
<p><i>Finding No. 2 REPEAT:</i></p>	<p>We examined the University's policies and procedures regarding enrolling students with account balances and collection on current students' accounts. The University's policy, although not written, was to allow students with a current balance of less than \$4,800 to register for the upcoming semester and to automatically enroll students in a payment plan each semester. During our examination of 10 student accounts, we noted the following exceptions:</p> <ul style="list-style-type: none"> • 8 of 10 students tested were allowed to enroll with a balance that exceeded the University's policy. • 5 of 10 students tested did not make any payments during the fall but were allowed to re-enroll in the spring and/or summer semester. <p>Additionally, we examined 10 delinquent student accounts to determine compliance with the University's policies and procedures and state regulations</p>

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF HENDERSON STATE UNIVERSITY
 June 30, 2019**

	<p>regarding collection of outstanding debt and noted the following exceptions:</p> <ul style="list-style-type: none"> • For 8 of the 10 student accounts tested, the University was not diligently and actively pursuing collection of the outstanding balances, in noncompliance with University policy and Ark. Code Ann. § 19-2-305. <p>A similar finding was reported in the previous audit</p>
<p><i>Institution's Response:</i></p>	<p>The University concurs with the finding. Management developed a plan in August of 2019 to address the student accounts issue. This was of critical importance to collect old debts and prevent future students from accruing such debts. The board of trustees approved policies, which are reflective of industry best practices, for both current students and future students.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	17,435,648		18,975,426		19,326,757		18,984,125		18,984,125	
2	CASH	26,351,585		50,654,085		54,850,000		55,000,000		55,000,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$43,787,233	478	\$69,629,511	476	\$74,176,757	529	\$73,984,125	529	\$73,984,125	529
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	16,072,947	37%	17,542,719	25%			17,179,180	23%	17,179,180	23%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,405,082	3%	1,432,707	2%			1,432,707	2%	1,432,707	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	26,351,585	60%	51,154,085	73%			55,000,000	75%	55,000,000	75%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	1,391	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$43,831,005	100%	\$70,129,511	100%			\$73,611,887	100%	\$73,611,887	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$43,772)		(\$500,000)				\$372,238		\$372,238	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$1,091,426
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	\$124,984
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$125,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	(\$966,914)
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,808,356

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION _____

292

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	15,332,121	16,745,569	17,096,900	17,009,427	17,096,900
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,770,000	1,899,700	1,899,700	1,899,700	1,899,700
5	OPERATING EXPENSES	75,905	74,998	74,998	74,998	74,998
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	255,159	255,159	255,159	0	255,159
10	SURETY PREMIUM	2,463				
11						
12						
13	TOTAL APPROPRIATION	\$17,435,648	\$18,975,426	\$19,326,757	\$18,984,125	\$19,326,757
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	16,072,947	17,542,719		17,179,180	17,179,180
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,405,082	1,432,707		1,432,707	1,432,707
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	1,391				
21	TOTAL INCOME	\$17,479,420	\$18,975,426		\$18,611,887	\$18,611,887
22	EXCESS (FUNDING)/APPROPRIATION	(\$43,772)	\$0		\$372,238	\$714,870

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION _____

83G

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	43,773	45,000	45,000	45,000	45,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	13,500	13,500	13,500	13,500
5	OPERATING EXPENSES	0	41,500	41,500	41,500	41,500
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$43,773	\$100,000	\$100,000	\$100,000	\$100,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	43,773	100,000		100,000	100,000
21	TOTAL INCOME	\$43,773	\$100,000		\$100,000	\$100,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - System Appropriations

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2080000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION _____

A63

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	6,285,634	7,033,000	7,033,000	7,033,000	7,033,000
2	EXTRA HELP WAGES	114,904	3,550,000	3,550,000	3,550,000	3,550,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	3,114,482	5,955,000	5,955,000	5,955,000	5,955,000
5	OPERATING EXPENSES	10,846,421	19,112,000	19,112,000	19,262,000	19,262,000
6	CONFERENCE FEES & TRAVEL	51,815	1,100,000	1,100,000	1,100,000	1,100,000
7	PROFESSIONAL FEES AND SERVICES	400,516	1,000,000	1,000,000	1,000,000	1,000,000
8	CAPITAL OUTLAY	516,958	1,700,000	1,700,000	1,700,000	1,700,000
9	CAPITAL IMPROVEMENTS	1,620,293	6,304,085	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	3,400,563	4,100,000	4,100,000	4,100,000	4,100,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	800,000	800,000	800,000	800,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$26,351,585	\$50,654,085	\$54,350,000	\$54,500,000	\$54,500,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	26,067,815	29,386,598		30,250,000	30,250,000
19	ALL OTHER FEES		9,110,261		10,000,000	10,000,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	283,770	448,200		500,000	500,000
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS		11,709,026		13,750,000	13,750,000
24	TOTAL INCOME	\$26,351,585	\$50,654,085		\$54,500,000	\$54,500,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	478	476	529	529	529	529
TOBACCO POSITIONS						
EXTRA HELP ***	1,678	1,850	1,900	1,900	1,900	1,900

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2080100

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION _____ X67

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	VETERINARY TECHNOLOGY PROGRAM EXPENSES		500,000	500,000	500,000	500,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$0	\$500,000	\$500,000	\$500,000	\$500,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS		500,000		500,000	500,000
24	TOTAL INCOME	\$0	\$500,000		\$500,000	\$500,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	NA					
TOBACCO POSITIONS						
EXTRA HELP ***	NA					

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

NOTE: Line 23 - Fundraising, Project not funded

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	1,923,768	4,677,272	487,976	(3,241,480)	2,147,837	4,774,775	307,138	(2,934,076)
2	HOUSING	7,828,799	4,342,796	1,806,988	1,679,015	7,740,000	4,438,907	1,126,669	2,174,424
3	FOOD SERVICES	5,178,153	4,062,417		1,115,737	5,311,195	4,177,373		1,133,822
4	STUDENT UNION	11,791	226,305		(214,514)	7,500	278,988		(271,488)
5	BOOKSTORE	256,192	12,188		244,004	240,000	11,836		228,164
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	632,601	610,966		21,635	599,275	545,344		53,931
7	OTHER (FOOTNOTE BELOW)	623,978	597,367		26,611	449,619	633,700		(184,081)
8	SUBTOTAL	\$16,455,282	\$14,529,311	\$2,294,964	(\$368,993)	\$16,495,426	\$14,860,923	\$1,433,807	\$200,696
9	ATHLETIC TRANSFER**	1,333,453			1,333,453	1,362,789			1,362,789
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(760,744)			(760,744)	(212,535)			(212,535)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$17,027,991	\$14,529,311	\$2,294,964	\$203,716	\$17,645,680	\$14,860,923	\$1,433,807	\$1,350,950

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Post Office and CWSP Allocation

NOTE: Line 10 - Post Office and Health Services

FORM FR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						478
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	70	Black Male:	8	Other Male:	5	Total Male: 83
White Female:	80	Black Female:	16	Other Female:	3	Total Female: 99
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	35	Black Male:	6	Other Male:	_____	Total Male: 41
White Female:	69	Black Female:	17	Other Female:	2	Total Female: 88
Faculty:						
White Male:	63	Black Male:	7	Other Male:	12	Total Male: 82
White Female:	77	Black Female:	5	Other Female:	3	Total Female: 85
Total White Male:						168
Total White Female:						226
Total Black Male:						21
Total Black Female:						38
Total Other Male:						17
Total Other Female:						8
Total White:						394
Total Black:						59
Total Other:						25
Total Minority:						84
Total Employees:						478

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

SOUTHERN ARKANSAS UNIVERSITY _____

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$508,023
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY
June 30, 2018

<p><i>Finding No. 1:</i></p>	<p>In July 2018, the University discovered, and we confirmed, three unauthorized payments from the University’s payroll account to unauthorized individuals who submitted direct deposit change forms containing forged signatures of University employees. Gross and net wage amounts of the three payments totaled \$16,084 and \$10,949, respectively. An investigation into these matters is ongoing, and the University has not recovered these funds.</p>
<p><i>Institution’s Response:</i></p>	<p>Three forged requests to change direct deposit information resulted in unauthorized payroll disbursements. The requests were generated from authentic user accounts with compromised passwords. The University has always recommended periodic password changes, but has now committed to IT forced password resets.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	79,398,279		80,838,622		87,334,848		86,103,212		86,103,212	
2	CASH	64,267,749		147,976,000		147,976,000		166,702,000		166,702,000	
3	STATE TREASURY - CJJ	150,000		150,000		150,000		150,000		150,000	
4	STATE TREASURY - AREON	0		0		1,200,000		1,200,000		1,200,000	
5	CASH- AREON	5,257,298		55,003,500		55,003,500		55,003,500		55,003,500	
6	CASH- SOILS TESTING	1,413,497		3,113,000		3,113,000		3,113,000		3,113,000	
7	STATE TREASURY - UA BUFFALO WATERSHED MONITORING	0		0		200,000		200,000		200,000	
8											
9											
10											
11	TOTAL	\$150,486,823	2,149	\$287,081,122	2,149	\$294,977,348	2,259	\$312,471,712	2,259	\$312,471,712	2,259
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	1,538,689	1%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	72,666,812	48%	73,974,814	26%			80,439,404	26%	80,439,404	26%
14	EDUCATIONAL EXCELLENCE TRUST FUND	6,731,467	4%	6,863,808	2%			6,863,808	2%	6,863,808	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	41,175,190	27%	175,211,150	61%			187,648,500	60%	187,648,500	60%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	28,224,665	19%	30,881,350	11%			37,170,000	12%	37,170,000	12%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	150,000	0%	150,000	0%			350,000	0%	350,000	0%
21	TOTAL INCOME	\$150,486,823	100%	\$287,081,122	100%			\$312,471,712	100%	\$312,471,712	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$57,779,258
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,473,870
INVENTORIES	\$19,327
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,349,837
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$13,419,000
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$28,417,224

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS
NAME OF INSTITUTION _____

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	6,143,686	6,580,172	7,044,265	7,044,265
2	PERSONAL SERVICES MATCHING	1,937,309	1,882,095	2,021,890	2,021,890
3	EXTRA HELP WAGES	27,653	20,000	20,000	20,000
4	OPERATING EXPENSES	2,135,861	2,948,503	3,118,457	3,118,457
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS	50,607	50,607	50,607	50,607
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS		175,000	175,000	175,000
16	TOTAL UNREST. E&G EXP.	\$10,295,116	\$11,656,377	\$12,430,219	\$12,430,219
17	NET LOCAL INCOME	6,614,023	7,656,656	7,795,764	7,795,764
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	3,417,950	3,479,473	4,114,207	4,114,207
20	EDUCATIONAL EXCELLENCE*	510,217	520,248	520,248	520,248
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$10,542,190	\$11,656,377	\$12,430,219	\$12,430,219

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS ARCHEOLOGICAL SURVEY
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	1,615,508	1,702,354	1,751,466	1,751,466
2	PERSONAL SERVICES MATCHING	437,700	452,866	499,299	499,299
3	EXTRA HELP WAGES			31,370	31,370
4	OPERATING EXPENSES	279,628	365,123	332,748	332,748
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$2,332,836	\$2,520,343	\$2,614,883	\$2,614,883
17	NET LOCAL INCOME	53,317	198	52,091	52,091
18	PRIOR YEAR BALANCE**		0		
	STATE FUNDS:				
19	GENERAL REVENUE*	2,327,380	2,369,273	2,411,920	2,411,920
20	EDUCATIONAL EXCELLENCE*	147,963	150,872	150,872	150,872
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$2,528,660	\$2,520,343	\$2,614,883	\$2,614,883

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UA SYSTEM DIVISION OF AGRICULTURE
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	SALARIES	47,797,743	50,324,309	51,399,774	51,399,774
2	FRINGE BENEFITS	14,699,496	15,286,139	16,245,919	16,245,919
3	EXTRA HELP WAGES	891,723	1,600,000	1,600,000	1,600,000
4	OPERATING EXPENSE	14,030,801	15,421,426	19,939,045	19,939,045
5	CAPITAL OUTLAY	2,245,447	2,422,912		
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS	20,391			
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	2,660,078	2,820,000	663,397	663,397
16	TOTAL UNREST. E&G EXP.	\$82,345,679	\$87,874,786	\$89,848,135	\$89,848,135
17	NET LOCAL INCOME	13,243,299	14,891,292	16,324,157	16,324,157
18	PRIOR YEAR BALANCE**		2,860,266		
	STATE FUNDS:				
19	GENERAL REVENUE*	62,800,138	63,930,540	67,331,290	67,331,290
20	EDUCATIONAL EXCELLENCE*	6,073,288	6,192,688	6,192,688	6,192,688
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	1,000,000			
24	TOTAL SOURCES OF INCOME	\$83,116,725	\$87,874,786	\$89,848,135	\$89,848,135

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 - Governor's Rainy Day Fund

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	Salaries-Nonclassified	1,721,445	1,827,493	2,005,376	2,005,376
2	Extra Help	78,037	73,916	71,824	71,824
3	Staff Benefits	529,797	502,414	581,469	581,469
4	Scholarships	459,135	530,000	458,674	458,674
5	Maintenance & Operating	695,645	677,272	825,439	825,439
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS		60,800	60,800	60,800
16	TOTAL UNREST. E&G EXP.	\$3,484,059	\$3,671,895	\$4,003,582	\$4,003,582
17	NET LOCAL INCOME	1,217,871	1,335,000	1,517,623	1,517,623
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	2,295,575	2,336,895	2,485,959	2,485,959
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$3,513,446	\$3,671,895	\$4,003,582	\$4,003,582

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE-UA SYSTEM
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	2,424,605	2,792,634	3,782,944	3,782,944
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	316,924			
16	TOTAL UNREST. E&G EXP.	\$2,741,529	\$2,792,634	\$3,782,944	\$3,782,944
17	NET LOCAL INCOME	603,055	583,220	522,650	522,650
18	PRIOR YEAR BALANCE**	162,705	200,781	214,266	214,266
	STATE FUNDS:				
19	GENERAL REVENUE*	1,825,769	1,858,633	2,896,028	2,896,028
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	150,000	150,000	150,000	150,000
24	TOTAL SOURCES OF INCOME	\$2,741,529	\$2,792,634	\$3,782,944	\$3,782,944

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 - Special State Assets Forfeiture Funds - \$150,000

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARK RESEARCH & EDUC OPTICAL NETWORK
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	RESEARCH	3,773,546	4,201,765	5,371,395	5,371,395
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	41,605			
16	TOTAL UNREST. E&G EXP.	\$3,815,151	\$4,201,765	\$5,371,395	\$5,371,395
17	NET LOCAL INCOME	3,718,608	4,201,765	4,171,395	4,171,395
18	PRIOR YEAR BALANCE**	96,542			
	STATE FUNDS:				
19	GENERAL REVENUE*			1,200,000	1,200,000
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$3,815,151	\$4,201,765	\$5,371,395	\$5,371,395

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS SYSTEM

FUND CAA1000 & CAA1300

INSTITUTION AND VARIOUS DIVISIONS

APPROPRIATION _____ N51

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	59,920,233	58,051,910	62,500,000	62,500,000	62,500,000
2	EXTRA HELP WAGES				250,000	
3	OVERTIME				10,000	
4	PERSONAL SERVICES MATCHING	15,754,914	15,811,924	16,875,000	16,875,000	16,875,000
5	OPERATING EXPENSES	3,473,132	6,724,788	7,709,848	6,218,212	7,709,848
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	CAPITAL IMPROVEMENT	250,000	250,000	250,000	250,000	250,000
11						
12						
13	TOTAL APPROPRIATION	\$79,398,279	\$80,838,622	\$87,334,848	\$86,103,212	\$87,334,848
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	72,666,812	73,974,814		79,239,404	79,239,404
16	EDUCATIONAL EXCELLENCE TRUST FUND	6,731,467	6,863,808		6,863,808	6,863,808
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$79,398,279	\$80,838,622		\$86,103,212	\$86,103,212
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$1,231,636

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

Allocations:

SYSTEM ADMINISTRATION	4,634,455
DIVISION OF AGRICULTURE	73,523,978
CRIMINAL JUSTICE INSTITUTE	2,896,028
ARCHEOLOGICAL SURVEY	2,562,792
CLINTON SCHOOL	2,485,959
	<u>86,103,212</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA1100

INSTITUTION CRIMINAL JUSTICE INSTITUTE

APPROPRIATION _____ N52

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	ILLICIT DRUG EDUCATION & TRAINING	150,000	150,000	150,000	150,000	150,000
2	REGULAR SALARIES					
3	EXTRA HELP WAGES					
4	OVERTIME					
5	PERSONAL SERVICES MATCHING					
6	OPERATING EXPENSES					
7	CONFERENCE FEES & TRAVEL					
8	PROFESSIONAL FEES AND SERVICES					
9	CAPITAL OUTLAY					
10	FUNDED DEPRECIATION					
11						
12						
13	TOTAL APPROPRIATION	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	150,000	150,000		150,000	150,000
21	TOTAL INCOME	\$150,000	\$150,000		\$150,000	\$150,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Asset Forfeiture Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA1200

INSTITUTION BUFFALO RIVER WATERSHED MONITORING

APPROPRIATION _____ N54

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	BUFFALO RIVER WATERSHED MONITORING	0	0	200,000	200,000	200,000
2	REGULAR SALARIES					
3	EXTRA HELP WAGES					
4	OVERTIME					
5	PERSONAL SERVICES MATCHING					
6	OPERATING EXPENSES					
7	CONFERENCE FEES & TRAVEL					
8	PROFESSIONAL FEES AND SERVICES					
9	CAPITAL OUTLAY					
10	FUNDED DEPRECIATION					
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$200,000	\$200,000	\$200,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				200,000	200,000
21	TOTAL INCOME	\$0	\$0		\$200,000	\$200,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0400

INSTITUTION ARK RESEARCH & EDUC OPTICAL NETWORK

APPROPRIATION _____ N53

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	ARK. RESEARCH & EDUCATION OPTICAL NETWORK OPERATIONS			1,200,000	1,200,000	1,200,000
2	REGULAR SALARIES					
3	EXTRA HELP WAGES					
4	OVERTIME					
5	PERSONAL SERVICES MATCHING					
6	OPERATING EXPENSES					
7	CONFERENCE FEES & TRAVEL					
8	PROFESSIONAL FEES AND SERVICES					
9	CAPITAL OUTLAY					
10	FUNDED DEPRECIATION					
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$1,200,000	\$1,200,000	\$1,200,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				1,200,000	1,200,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$0	\$0		\$1,200,000	\$1,200,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2000500 & 2000700

INSTITUTION UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS

APPROPRIATION

C76

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	10,838,961	25,450,000	25,450,000	25,520,000	25,520,000
2	EXTRA HELP WAGES	4,038,945	5,078,000	5,078,000	5,081,000	5,081,000
3	OVERTIME	3,790,484	200,000	200,000	203,000	203,000
4	PERSONAL SERVICES MATCHING	106	7,418,000	7,418,000	7,436,000	7,436,000
5	OPERATING EXPENSES	29,124,928	38,346,000	38,346,000	38,758,000	38,758,000
6	CONFERENCE FEES & TRAVEL	2,815,808	3,657,000	3,657,000	3,657,000	3,657,000
7	PROFESSIONAL FEES AND SERVICES	7,920,249	25,104,000	25,104,000	43,324,000	43,324,000
8	CAPITAL OUTLAY	4,900,696	13,854,000	13,854,000	13,854,000	13,854,000
9	CAPITAL IMPROVEMENTS	775,591	24,811,000	24,811,000	24,811,000	24,811,000
10	DEBT SERVICE	23,111	4,000,000	4,000,000	4,000,000	4,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	25,220	58,000	58,000	58,000	58,000
12	PROMOTIONAL ITEMS	13,649				
13						
14						
15						
16	TOTAL APPROPRIATION	\$64,267,749	\$147,976,000	\$147,976,000	\$166,702,000	\$166,702,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,792,925	3,795,664		3,900,000	3,900,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	15,593,837	17,791,057		17,900,000	17,900,000
21	INVESTMENT INCOME	658,901	2,625,000		2,625,000	2,625,000
22	FEDERAL CASH FUNDS	28,224,665	30,881,350		37,170,000	37,170,000
23	OTHER CASH FUNDS	16,997,421	92,882,929		105,107,000	105,107,000
24	TOTAL INCOME	\$64,267,749	\$147,976,000		\$166,702,000	\$166,702,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	2,149	2,149	2,259	2,259	2,259	2,259
TOBACCO POSITIONS						
EXTRA HELP ***	591	700	700	700	700	700

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2000400

INSTITUTION ARK RESEARCH & EDUC OPTICAL NETWORK

APPROPRIATION _____

C78

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	629,700	800,000	800,000	800,000	800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	146,400	225,000	225,000	225,000	225,000
5	OPERATING EXPENSES	3,033,085	7,878,500	7,878,500	7,878,500	7,878,500
6	CONFERENCE FEES & TRAVEL	55,945	100,000	100,000	100,000	100,000
7	PROFESSIONAL FEES AND SERVICES	1,379,496	8,000,000	8,000,000	8,000,000	8,000,000
8	CAPITAL OUTLAY	0	8,000,000	8,000,000	8,000,000	8,000,000
9	CAPITAL IMPROVEMENTS	12,673	30,000,000	30,000,000	30,000,000	30,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,257,298	\$55,003,500	\$55,003,500	\$55,003,500	\$55,003,500
17	PRIOR YEAR FUND BALANCE**	1,538,689				
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	3,718,609	55,003,500		55,003,500	55,003,500
24	TOTAL INCOME	\$5,257,298	\$55,003,500		\$55,003,500	\$55,003,500
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	NA					
TOBACCO POSITIONS						
EXTRA HELP ***	NA					

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2000600

INSTITUTION SOILS TESTING & RESEARCH

APPROPRIATION _____

B76

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	568,223	591,000	591,000	591,000	591,000
2	EXTRA HELP WAGES	77,637	92,000	92,000	92,000	92,000
3	OVERTIME	0	0	0	0	0
4	PERSONAL SERVICES MATCHING	223,105	242,000	242,000	242,000	242,000
5	OPERATING EXPENSES	469,961	651,000	651,000	651,000	651,000
6	CONFERENCE FEES & TRAVEL	10,304	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	20,040	17,000	17,000	17,000	17,000
8	CAPITAL OUTLAY	44,226	495,000	495,000	495,000	495,000
9	CAPITAL IMPROVEMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$1,413,497	\$3,113,000	\$3,113,000	\$3,113,000	\$3,113,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,413,497	3,113,000		3,113,000	3,113,000
24	TOTAL INCOME	\$1,413,497	\$3,113,000		\$3,113,000	\$3,113,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	NA					
TOBACCO POSITIONS						
EXTRA HELP ***	NA					

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS
 (NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVIS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>1,307</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>301</u>	Black Male:	<u>10</u>	Other Male:	<u>49</u>	Total Male: <u>360</u>
White Female:	<u>317</u>	Black Female:	<u>39</u>	Other Female:	<u>49</u>	Total Female: <u>405</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>73</u>	Black Male:	<u>14</u>	Other Male:	<u>4</u>	Total Male: <u>91</u>
White Female:	<u>209</u>	Black Female:	<u>38</u>	Other Female:	<u>12</u>	Total Female: <u>259</u>
Faculty:						
White Male:	<u>110</u>	Black Male:	<u>5</u>	Other Male:	<u>26</u>	Total Male: <u>141</u>
White Female:	<u>38</u>	Black Female:	<u>3</u>	Other Female:	<u>10</u>	Total Female: <u>51</u>
Total White Male:						<u>484</u>
Total White Female:						<u>564</u>
Total Black Male:						<u>29</u>
Total Black Female:						<u>80</u>
Total Other Male:						<u>79</u>
Total Other Female:						<u>71</u>
Total White:						<u>1048</u>
Total Black:						<u>109</u>
Total Other:						<u>150</u>
Total Minority:						<u>259</u>
Total Employees:						<u>1,307</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$4,221,437
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS - FAYETTEVILLE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	132,813,268		132,628,940		134,937,611		135,972,993		135,972,993	
2	CASH	641,124,471		954,968,000		954,968,000		999,928,000		999,928,000	
3	STATE-LAW SCHOOL	503,558		739,096		800,000		800,000		800,000	
4	STATE-PRYOR CENTER	0		0		173,087		173,087		173,087	
5	STATE-ELEC ENERGY ADVANCE. PROG.	0		0		800,000		800,000		800,000	
6	STATE-PARTNERS FOR INCLUSIVE COMMUNITIES	0		0		250,000		250,000		250,000	
7	STATE-ARK CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES	0		0		2,500,000		2,500,000		2,500,000	
8	STATE-GARVAN GARDENS	0		0		1,200,000		1,200,000		1,200,000	
9	STATE-ARK. RESEARCH & TECH. PARK	0		0		250,000		250,000		250,000	
10	STATE-ARK. WORLD TRADE CENTER	0		0		252,588		252,588		252,588	
11	TOBACCO-UA, FAYETTEVILLE	1,826,312		1,767,620		2,375,563		2,375,563		2,375,563	
12	TOBACCO-UA, DIVISION OF AGRICULTURE	1,826,312		1,767,621		2,415,432		2,415,432		2,415,432	
13	TOTAL	\$778,093,921	5,002	\$1,091,871,277	4,640	\$1,100,922,281	5,466	\$1,146,917,663	5,466	\$1,146,917,663	5,466
14	FUNDING SOURCES		%		%				%		%
15	PRIOR YEAR FUND BALANCE*	0	0%	231,206	0%			0	0%	0	0%
16	GENERAL REVENUE	122,494,054	16%	122,015,998	11%			127,204,731	11%	127,204,731	11%
17	EDUCATIONAL EXCELLENCE TRUST FUND	10,275,777	1%	10,477,800	1%			10,477,800	1%	10,477,800	1%
18	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
19	CASH FUNDS	641,124,471	82%	954,968,000	87%			999,928,000	87%	999,928,000	87%
20	SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%
21	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
22	TOBACCO SETTLEMENT FUNDS	3,652,624	0%	3,535,241	0%			4,790,995	0%	4,790,995	0%
23	OTHER FUNDS	778,200	0%	739,096	0%			1,850,000	0%	1,850,000	0%
24	TOTAL INCOME	\$778,325,126	100%	\$1,091,967,341	100%			\$1,144,251,526	100%	\$1,144,251,526	100%
25	EXCESS (FUNDING)/APPROPRIATION	(\$231,205)		(\$96,064)				\$2,666,137		\$2,666,137	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$180,639,368
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$17,249,923
INVENTORIES	\$1,063,912
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$69,003,126
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$92,222,407

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UA GARVAN WOODLAND GARDENS
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	3,428,347	3,533,440	740,000	740,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$3,428,347	\$3,533,440	\$740,000	\$740,000
17	NET LOCAL INCOME	3,428,347	3,533,440	4,090,976	4,090,976
18	PRIOR YEAR BALANCE***				
19	GENERAL REVENUE			740,000	740,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$3,428,347	\$3,533,440	\$4,830,976	\$4,830,976

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

PRYOR CNTR FOR ARK. ORAL & VISUAL HIST.

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	ACADEMIC SUPPORT	818,885	835,581	104,784	104,784
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$818,885	\$835,581	\$104,784	\$104,784
17	NET LOCAL INCOME	818,885	835,581	701,617	701,617
18	PRIOR YEAR BALANCE***				
19	GENERAL REVENUE			104,784	104,784
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$818,885	\$835,581	\$806,401	\$806,401

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARK.-RESEARCH & TECHNOLOGY PARK
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	0	0	250,000	250,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$0	\$0	\$250,000	\$250,000
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE***				
19	GENERAL REVENUE			250,000	250,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$0	\$0	\$250,000	\$250,000

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARK CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	0	0	2,500,000	2,500,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$0	\$0	\$2,500,000	\$2,500,000
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE***				
19	GENERAL REVENUE			2,500,000	2,500,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$0	\$0	\$2,500,000	\$2,500,000

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARK.-WORLD TRADE CENTER
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	1,019,042	902,072	250,000	250,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$1,019,042	\$902,072	\$250,000	\$250,000
17	NET LOCAL INCOME	1,019,042	902,072	1,120,045	1,120,045
18	PRIOR YEAR BALANCE***				
19	GENERAL REVENUE			250,000	250,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$1,019,042	\$902,072	\$1,370,045	\$1,370,045

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0100 INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE APPROPRIATION 534

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	100,209,841	98,801,319	98,801,319	99,681,393	98,801,319
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	20,000,000	22,629,037	22,629,037	22,784,345	22,629,037
5	OPERATING EXPENSES	10,380,125	11,198,584	11,941,979	11,941,979	11,941,979
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	2,205,802	0	1,565,276	1,565,276	1,565,276
10	CLAIMS	17,500				
11						
12						
13	TOTAL APPROPRIATION	\$132,813,268	\$132,628,940	\$134,937,611	\$135,972,993	\$134,937,611
14	PRIOR YEAR FUND BALANCE**		231,206			
15	GENERAL REVENUE	122,494,054	122,015,998		122,829,056	122,829,056
16	EDUCATIONAL EXCELLENCE TRUST FUND	10,275,777	10,477,800		10,477,800	10,477,800
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	274,643				
21	TOTAL INCOME	\$133,044,474	\$132,725,004		\$133,306,856	\$133,306,856
22	EXCESS (FUNDING)/APPROPRIATION	(\$231,206)	(\$96,064)		\$2,666,137	\$1,630,755

* Report WF2000 funds on line 18 - "Special Revenues".

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**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Tuition Adj. Funds, Gas Lease funds and FVD0000 Agency 0610 funds.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0300

INSTITUTION UNIVERSITY OF ARKANSAS FUND - LAW

APPROPRIATION _____ 534B

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	UNIVERSITY OF ARKANSAS SCHOOL OF LAW EXPENSES	503,558	739,096	800,000	800,000	800,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$503,558	\$739,096	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	503,558	739,096		800,000	800,000
21	TOTAL INCOME	\$503,558	\$739,096		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 - Uniform Filing Fees.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0500

INSTITUTION UA GARVAN WOODLAND GARDENS

APPROPRIATION _____ 59G

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	GARVAN WOODLAND GARDENS OPERATIONS	0	0	1,200,000	1,200,000	1,200,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$1,200,000	\$1,200,000	\$1,200,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				1,200,000	1,200,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$1,200,000	\$1,200,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND ME A0000

INSTITUTION ELECTRICAL ENERGY ADVANCE. PROGR.

APPROPRIATION _____ 87B

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	ELECTR. ENERGY ADVANCE. PROG. SCHOLARSHIPS, FELLOWSHIPS, PROJECT COSTS, AND INSTITUTIONS' RESEARCH PROJECTS	0	0	800,000	800,000	800,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS				800,000	800,000
21	TOTAL INCOME	\$0	\$0		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0700

INSTITUTION PRYOR CNTR FOR ARK. ORAL & VISUAL HIST.

APPROPRIATION _____ L96

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	PRYOR CENTER OPERATING EXPENSES	0	0	173,087	173,087	173,087
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$173,087	\$173,087	\$173,087
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				173,087	173,087
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$173,087	\$173,087
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIV. OF ARK.-RESEARCH &

FUND CAA0100

INSTITUTION TECHNOLOGY PARK

APPROPRIATION _____ M79

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	RESEARCH AND TECHNOLOGY PARK OPERATING EXPENSES	0	0	250,000	250,000	250,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				250,000	250,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0100

INSTITUTION UNIV. OF ARK.-WORLD TRADE CENTER

APPROPRIATION _____ M80

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	WORLD TRADE CENTER OPERATING EXPENSES	0	0	252,588	252,588	252,588
2						
3						
4						
5						
6						
7						
8						
10						
11						
12						
13						
14	TOTAL APPROPRIATION	\$0	\$0	\$252,588	\$252,588	\$252,588
15	PRIOR YEAR FUND BALANCE**					
16	GENERAL REVENUE				252,588	252,588
17	EDUCATIONAL EXCELLENCE TRUST FUND					
18	SPECIAL REVENUES * [WF2000]					
19	FEDERAL FUNDS IN STATE TREASURY					
20	TOBACCO SETTLEMENT FUNDS					
21	OTHER STATE TREASURY FUNDS					
22	TOTAL INCOME	\$0	\$0		\$252,588	\$252,588
23	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CAA0100

INSTITUTION PARTNERS FOR INCLUSIVE COMMUNITIES

APPROPRIATION _____

M81

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	PARTNERS FOR INCLUSIVE COMMUNITIES - PERSONAL SERVICES, MAINTENANCE AND OPERATING EXPENSES, MATCHING, AND GRANTS	0	0	250,000	250,000	250,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS				250,000	250,000
21	TOTAL INCOME	\$0	\$0		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

ARK CENTERS FOR RURAL EDUCATION
INSTITUTION IN AUTISM AND RELATED DISABILITIES

FUND CAA0900

APPROPRIATION _____ N50

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	ARK. CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES OPERATING EXPENSES	0	0	2,500,000	2,500,000	2,500,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$2,500,000	\$2,500,000	\$2,500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				2,500,000	2,500,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$2,500,000	\$2,500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND TSF0200

INSTITUTION ARKANSAS BIOSCIENCES INSTITUTES

APPROPRIATION _____ 319

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	453,136	480,000	480,000	480,000	480,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	60,463	75,000	75,000	75,000	75,000
5	OPERATING EXPENSES	618,305	800,000	800,000	800,000	800,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	694,408	412,620	1,020,563	1,020,563	1,020,563
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,826,312	\$1,767,620	\$2,375,563	\$2,375,563	\$2,375,563
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,826,312	1,767,620		2,375,563	2,375,563
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$1,826,312	\$1,767,620		\$2,375,563	\$2,375,563
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

DIVISION OF AGRICULTURE -

FUND TSF0202

INSTITUTION ARKANSAS BIOSCIENCES

APPROPRIATION 321

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	955,810	936,400	1,356,100	1,356,100	1,356,100
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	291,445	283,221	359,332	359,332	359,332
5	OPERATING EXPENSES	317,954	380,000	380,000	380,000	380,000
6	CONFERENCE FEES & TRAVEL	15,577	16,000	40,000	40,000	40,000
7	PROFESSIONAL FEES AND SERVICES	87,270	87,000	100,000	100,000	100,000
8	CAPITAL OUTLAY	158,256	65,000	180,000	180,000	180,000
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,826,312	\$1,767,621	\$2,415,432	\$2,415,432	\$2,415,432
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,826,312	1,767,621		2,415,432	2,415,432
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$1,826,312	\$1,767,621		\$2,415,432	\$2,415,432
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2000100

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE

APPROPRIATION _____

B03

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	189,882,843	228,000,000	228,000,000	239,400,000	239,400,000
2	EXTRA HELP WAGES	19,305,878	22,700,000	22,700,000	23,800,000	23,800,000
3	OVERTIME	1,107,746	1,550,000	1,550,000	1,750,000	1,750,000
4	PERSONAL SERVICES MATCHING	53,583,746	60,500,000	60,500,000	63,530,000	63,530,000
5	OPERATING EXPENSES	131,034,138	210,000,000	210,000,000	218,000,000	218,000,000
6	CONFERENCE FEES & TRAVEL	28,737,992	37,750,000	37,750,000	39,640,000	39,640,000
7	PROFESSIONAL FEES AND SERVICES	56,736,602	100,000,000	100,000,000	105,000,000	105,000,000
8	CAPITAL OUTLAY	19,304,862	36,750,000	36,750,000	38,590,000	38,590,000
9	CAPITAL IMPROVEMENTS	141,373,914	250,000,000	250,000,000	262,500,000	262,500,000
10	DEBT SERVICE	56,752	7,718,000	7,718,000	7,718,000	7,718,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS					
13						
14						
15						
16	TOTAL APPROPRIATION	\$641,124,471	\$954,968,000	\$954,968,000	\$999,928,000	\$999,928,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	316,129,466	317,937,627		319,443,037	319,443,037
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	9,297,811	6,252,453		6,531,741	6,531,741
21	INVESTMENT INCOME	12,305,974	1,500,000			
22	FEDERAL CASH FUNDS		0			
23	OTHER CASH FUNDS	303,391,220	629,277,920		673,953,221	673,953,221
24	TOTAL INCOME	\$641,124,471	\$954,968,000		\$999,928,000	\$999,928,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	5,002	4,640	5,466	5,466	5,466	5,466
TOBACCO POSITIONS						
EXTRA HELP **	2,277	3,208	3,208	3,208	3,208	3,208

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
 (NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *	118,754,692	90,536,290	14,763,304	13,455,098	125,621,500	110,884,821	14,736,679	0
2	HOUSING	70,819,480	39,819,660	18,453,065	12,546,755	46,628,021	28,543,286	18,084,735	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	13,904	661,972	0	(648,068)	0	0	0	0
5	BOOKSTORE	13,621,806	13,157,951	1,026,947	(563,092)	9,329,549	7,937,225	1,392,324	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	2,542,627	2,005,629	0	536,998	2,431,471	2,431,471	0	0
7	OTHER	21,301,237	16,574,613	5,290,527	(563,903)	44,175,352	39,149,359	5,025,993	0
8	SUBTOTAL	227,053,746	162,756,115	39,533,843	24,763,788	228,185,893	188,946,162	39,239,731	0
9	ATHLETIC TRANSFER **				0				0
10	OTHER TRANSFERS ***	(26,218,033)			(26,218,033)				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	200,835,713	162,756,115	39,533,843	(1,454,245)	228,185,893	188,946,162	39,239,731	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" includes Health Services, Transit, Parking and Miscellaneous Auxiliary.

NOTE: Line 10 "Other Transfers" includes Transfers for Plant Additions and Other Transfers and Changes.

FORM FR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						4,766
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	600	Black Male:	44	Other Male:	164	Total Male: <u>808</u>
White Female:	747	Black Female:	85	Other Female:	144	Total Female: <u>976</u>
Nonclassified Health Care Employees:						
White Male:	4	Black Male:	0	Other Male:	6	Total Male: <u>10</u>
White Female:	26	Black Female:	2	Other Female:	3	Total Female: <u>31</u>
Classified Employees:						
White Male:	554	Black Male:	39	Other Male:	146	Total Male: <u>739</u>
White Female:	555	Black Female:	28	Other Female:	123	Total Female: <u>706</u>
Faculty:						
White Male:	612	Black Male:	21	Other Male:	228	Total Male: <u>861</u>
White Female:	472	Black Female:	24	Other Female:	139	Total Female: <u>635</u>
Total White Male:	1770	Total Black Male:	104	Total Other Male:	544	Total Male: <u>2,418</u>
Total White Female:	1800	Total Black Female:	139	Total Other Female:	409	Total Female: <u>2,348</u>
Total White:	3,570	Total Black:	243	Total Other:	953	Total Employees: <u>4,766</u>
				Total Minority:	<u>1,196</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution: UNIVERSITY OF ARKANSAS - FAYETTEVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
Urban Works Inc.	\$80,000				X			
ESDEMC Technology LLC	\$55,087				X			
NextWatt LLC	\$346,657				X			
Ex Libris USA Inc.	\$87,500				X			
King Push Touring Inc	\$90,000	X						
BRAVO! Building Services Inc.	\$416,173		X					
KBH2 Elite Inc.	\$5,257,624							X
RSK Group LLC	\$66,461							X
Bon Marche LLC	\$78,301							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 9

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$27,288,837
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 10%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS, FAYETTEVILLE
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit to detect unauthorized changes to the University's vendor master file and resulting losses primarily for the period September 1, 2018 through November 30, 2018. After a suspected automated clearing house (ACH) payment fraud, management filed a report with the University Police Department. IAD found that one vendor's banking information was altered through a domain not belonging to the vendor, resulting in 15 unauthorized ACH payments totaling \$132,079 to an apparent fraudulent recipient. Of this amount, \$13,108 was recovered by the bank, leaving a loss of \$118,971 to the University.</p>
<p><i>Institution's Response:</i></p>	<p>We agreed with and implemented Internal Audit's recommendation as previously communicated in Compliance Internal Audit Report – University of Arkansas, Fayetteville – ACH Payments 19-09. Business Services has developed standard communications for Supplier Services to use in verifying vendor information related to payment information changes. These new communications have been tested both by University management and Internal Audit with preventative communications determined to be effective and operating as expected.</p>

**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	9,062,657		9,579,246		12,628,247		12,846,450		12,846,450	
2	CASH	1,922,154		22,215,000		22,215,000		22,215,000		22,215,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$10,984,811	83	\$31,794,246	81	\$34,843,247	129	\$35,061,450	129	\$35,061,450	129
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	170,556	1%			2,917,365	8%	2,917,365	8%
13	GENERAL REVENUE	1,113,015	10%	1,133,049	4%			1,653,444	5%	1,653,444	5%
14	EDUCATIONAL EXCELLENCE TRUST FUND	8,120,198	73%	8,275,641	26%			8,275,641	24%	8,275,641	24%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	1,922,154	17%	22,215,000	70%			22,215,000	63%	22,215,000	63%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$11,155,367	100%	\$31,794,246	100%			\$35,061,450	100%	\$35,061,450	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$170,556)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,997,598
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$53,238
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$20,630
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$789,029
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,134,701

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	2,564,703	2,871,963	3,563,645	3,563,645
2	ACADEMIC SUPPORT	1,103,760	1,136,151	1,591,800	1,591,800
3	STUDENT SERVICES	1,460,699	1,633,541	2,112,749	2,112,749
4	INSTITUTIONAL SUPPORT	970,253	1,330,635	1,743,710	1,743,710
5	OPERATION & MAINT OF PLANT	2,003,166	3,881,676	2,928,843	2,928,843
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	429,309	773,889	687,500	687,500
16	TOTAL UNREST. E&G EXP.	\$8,531,890	\$11,627,855	\$12,628,247	\$12,628,247
17	NET LOCAL INCOME	1,067,103	661,000	775,000	775,000
18	PRIOR YEAR BALANCE**		1,558,165	1,924,162	1,924,162
	STATE FUNDS:				
19	GENERAL REVENUE*	1,113,015	1,133,049	1,653,444	1,653,444
20	EDUCATIONAL EXCELLENCE*	8,120,198	8,275,641	8,275,641	8,275,641
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$10,300,316	\$11,627,855	\$12,628,247	\$12,628,247

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

ARKANSAS SCHOOL FOR MATHEMATICS,

FUND CMS0000

INSTITUTION SCIENCES AND THE ARTS

APPROPRIATION _____

2FD

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	3,730,082	3,875,563	4,200,000	4,300,000	4,200,000
2	EXTRA HELP WAGES	15,389	13,000	25,000	25,000	25,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,087,574	1,203,741	1,234,000	1,250,000	1,234,000
5	OPERATING EXPENSES	3,108,042	4,021,942	4,469,247	4,571,450	4,493,041
6	CONFERENCE FEES & TRAVEL	156,558	175,000	250,000	250,000	250,000
7	PROFESSIONAL FEES AND SERVICES	39,076	50,000	200,000	200,000	200,000
8	CAPITAL OUTLAY	75,000	90,000	100,000	100,000	100,000
9	FUNDED DEPRECIATION					
10	CAPITAL IMPROVEMENTS	850,936	0	2,000,000	2,000,000	2,000,000
11	LOANS/REIMBURSEMENT	0	150,000	150,000	150,000	150,000
12						
13	TOTAL APPROPRIATION	\$9,062,657	\$9,579,246	\$12,628,247	\$12,846,450	\$12,652,041
14	PRIOR YEAR FUND BALANCE**		170,556		2,917,365	2,917,365
15	GENERAL REVENUE	1,113,015	1,133,049		1,653,444	1,653,444
16	EDUCATIONAL EXCELLENCE TRUST FUND	8,120,198	8,275,641		8,275,641	8,275,641
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$9,233,213	\$9,579,246		\$12,846,450	\$12,846,450
22	EXCESS (FUNDING)/APPROPRIATION	(\$170,556)	\$0		\$0	(\$194,409)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2000300

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION

C77

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	85,403	350,000	350,000	550,000	350,000
2	EXTRA HELP WAGES	0	30,000	30,000	30,000	30,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	16,737	90,000	90,000	150,000	90,000
5	OPERATING EXPENSES	1,201,319	2,000,000	2,000,000	2,000,000	2,000,000
6	CONFERENCE FEES & TRAVEL	104,730	200,000	200,000		200,000
7	PROFESSIONAL FEES AND SERVICES	12,872	910,000	910,000	500,000	910,000
8	CAPITAL OUTLAY	336,278	4,000,000	4,000,000	4,000,000	4,000,000
9	CAPITAL IMPROVEMENTS	0	14,000,000	14,000,000	14,000,000	14,000,000
10	DEBT SERVICE	150,000	450,000	450,000	450,000	450,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	14,815	35,000	35,000	35,000	35,000
13	LOANS/REIMBURSEMENTS		150,000	150,000	300,000	150,000
14						
15						
16	TOTAL APPROPRIATION	\$1,922,154	\$22,215,000	\$22,215,000	\$22,215,000	\$22,215,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	53,275				
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,868,879	22,215,000		22,215,000	22,215,000
24	TOTAL INCOME	\$1,922,154	\$22,215,000		\$22,215,000	\$22,215,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	83	81	129	129	129	129
TOBACCO POSITIONS						
EXTRA HELP ***	4	3	10	10	10	10

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>83</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>17</u>
White Female:	<u>23</u>	Black Female:	<u>7</u>	Other Female:	<u>1</u>	Total Female: <u>31</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Faculty:						
White Male:	<u>21</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>22</u>
White Female:	<u>12</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>13</u>
Total White Male:	<u>35</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>2</u>	Total Male: <u>39</u>
Total White Female:	<u>35</u>	Total Black Female:	<u>8</u>	Total Other Female:	<u>1</u>	Total Female: <u>44</u>
Total White:	<u>70</u>	Total Black:	<u>10</u>	Total Other:	<u>3</u>	Total Employees: <u>83</u>
				Total Minority:	<u>13</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$59,363
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	24,190,209		24,125,581		24,538,260		24,375,974		24,375,974	
2	CASH	46,181,232		115,225,000		115,225,000		119,955,000		119,955,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$70,371,441	724	\$139,350,581	722	\$139,763,260	1,119	\$144,330,974	1,119	\$144,330,974	1,119
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	20,594,615	29%	20,574,769	15%			20,347,201	14%	20,347,201	14%
14	EDUCATIONAL EXCELLENCE TRUST FUND	3,482,348	5%	3,550,812	3%			3,550,812	2%	3,550,812	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	42,198,564	60%	100,102,858	72%			104,832,858	73%	104,832,858	73%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	3,982,668	6%	15,122,142	11%			15,122,142	11%	15,122,142	11%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	113,246	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$70,371,441	100%	\$139,350,581	100%			\$143,853,013	100%	\$143,853,013	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$477,961		\$477,961	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$11,355,072
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,182,475
INVENTORIES	\$16,843
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$420,578
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,267,206
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$632,030)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

APPROPRIATION _____ 568

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	17,255,585	17,805,581	18,218,260	18,055,974	18,218,260
2	EXTRA HELP WAGES	500,000	500,000	500,000	500,000	500,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
5	OPERATING EXPENSES	2,827,835	2,400,000	2,400,000	2,400,000	2,400,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	20,000	20,000	20,000	20,000	20,000
10	CLAIMS	184,178				
11	SURETY PREMIUM	2,611				
12						
13	TOTAL APPROPRIATION	\$24,190,209	\$24,125,581	\$24,538,260	\$24,375,974	\$24,538,260
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	20,594,615	20,574,769		20,347,201	20,347,201
16	EDUCATIONAL EXCELLENCE TRUST FUND	3,482,348	3,550,812		3,550,812	3,550,812
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	113,246				
21	TOTAL INCOME	\$24,190,209	\$24,125,581		\$23,898,013	\$23,898,013
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$477,961	\$640,247

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

APPROPRIATION _____

B12

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	14,381,942	24,000,000	24,000,000	25,200,000	25,200,000
2	EXTRA HELP WAGES	695,732	1,100,000	1,100,000	1,155,000	1,155,000
3	OVERTIME	18,750	500,000	500,000	500,000	500,000
4	PERSONAL SERVICES MATCHING	4,626,961	8,500,000	8,500,000	8,925,000	8,925,000
5	OPERATING EXPENSES	14,880,280	24,000,000	24,000,000	25,200,000	25,200,000
6	CONFERENCE FEES & TRAVEL	215,078	500,000	500,000	525,000	525,000
7	PROFESSIONAL FEES AND SERVICES	769,632	1,500,000	1,500,000	1,575,000	1,575,000
8	CAPITAL OUTLAY	731,039	5,000,000	5,000,000	5,250,000	5,250,000
9	CAPITAL IMPROVEMENTS	946,683	30,000,000	30,000,000	31,500,000	31,500,000
10	DEBT SERVICE	0	8,000,000	8,000,000	8,000,000	8,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	8,826,875	12,000,000	12,000,000	12,000,000	12,000,000
12	PROMOTIONAL ITEMS	88,260	125,000	125,000	125,000	125,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$46,181,232	\$115,225,000	\$115,225,000	\$119,955,000	\$119,955,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	41,076,603	38,283,531		38,283,531	38,283,531
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	336,112	332,110		332,110	332,110
21	INVESTMENT INCOME	785,849	270,000		270,000	270,000
22	FEDERAL CASH FUNDS	3,982,668	15,122,142		15,122,142	15,122,142
23	OTHER CASH FUNDS		61,217,217		65,947,217	65,947,217
24	TOTAL INCOME	\$46,181,232	\$115,225,000		\$119,955,000	\$119,955,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	724	722	1,119	1,119	1,119	1,119
TOBACCO POSITIONS						
EXTRA HELP ***	383	383	910	910	910	910

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS FORT SMITH
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	2,712,461	3,463,334		(750,873)	2,558,360	3,536,654		(978,294)
2	HOUSING	3,975,570	1,720,376	2,663,256	(408,062)	3,837,326	1,787,762	2,655,562	(605,998)
3	FOOD SERVICES	1,411,164	1,282,768		128,396	1,398,711	1,334,200		64,511
4	STUDENT UNION				0				0
5	BOOKSTORE	354,700	20,628		334,072	387,000	331,000		56,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	2,726,489	939,372		1,787,117	2,469,700	875,565		1,594,135
7	OTHER (FOOTNOTE BELOW)	329,712	466,638		(136,926)	316,300	446,654		(130,354)
8	SUBTOTAL	\$11,510,096	\$7,893,116	\$2,663,256	\$953,724	\$10,967,397	\$8,311,835	\$2,655,562	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				(875,278)				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$11,510,096	\$7,893,116	\$2,663,256	\$78,446	\$10,967,397	\$8,311,835	\$2,655,562	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Income: Recovery of Bad Debt, Net Invest Income, Season of Entertainment; Expense: Bad Debt, Season of Entertainment

FORM FR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FORT SMITH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>654</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>15</u>	Black Male:	<u>1</u>	Other Male:	<u>2</u>	Total Male: <u>18</u>
White Female:	<u>4</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>6</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>69</u>	Black Male:	<u>6</u>	Other Male:	<u>8</u>	Total Male: <u>83</u>
White Female:	<u>156</u>	Black Female:	<u>10</u>	Other Female:	<u>16</u>	Total Female: <u>182</u>
Faculty:						
White Male:	<u>147</u>	Black Male:	<u>4</u>	Other Male:	<u>24</u>	Total Male: <u>175</u>
White Female:	<u>154</u>	Black Female:	<u>7</u>	Other Female:	<u>29</u>	Total Female: <u>190</u>
Total White Male:	<u>231</u>	Total Black Male:	<u>11</u>	Total Other Male:	<u>34</u>	Total Male: <u>276</u>
Total White Female:	<u>314</u>	Total Black Female:	<u>19</u>	Total Other Female:	<u>45</u>	Total Female: <u>378</u>
Total White:	<u>545</u>	Total Black:	<u>30</u>	Total Other:	<u>79</u>	Total Employees: <u>654</u>
				Total Minority:	<u>109</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS FORT SMITH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
Turn Key Construction Management	\$646,797							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,180,101
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 12%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS FORT SMITH
June 30, 2019**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	66,990,422		66,798,710		69,163,927		69,437,059		69,437,059	
2	CASH	75,418,816		162,298,699		162,298,699		162,298,699		162,298,699	
3	STATE TREASURY - NANOTECHNOLOGY	0		0		2,000,000		2,000,000		2,000,000	
4	STATE TREASURY - WILLIAM BOWEN SCHOO	503,558		800,000		800,000		800,000		800,000	
5											
6											
7											
8											
9											
10											
11	TOTAL	\$142,912,796	1,675	\$229,897,409	2,280	\$234,262,626	2,280	\$234,535,758	2,250	\$234,535,758	2,250
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	60,755,097	43%	60,444,730	26%			62,032,819	27%	62,032,819	27%
14	EDUCATIONAL EXCELLENCE TRUST FUND	6,035,325	4%	6,153,980	3%			6,153,980	3%	6,153,980	3%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	73,634,333	52%	160,298,699	70%			160,298,699	69%	160,298,699	69%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,784,483	1%	2,000,000	1%			2,000,000	1%	2,000,000	1%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	703,558	0%	1,000,000	0%			2,800,000	1%	2,800,000	1%
21	TOTAL INCOME	\$142,912,796	100%	\$229,897,409	100%			\$233,285,498	100%	\$233,285,498	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$1,250,260		\$1,250,260	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$16,415,163
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,776,518
INVENTORIES	\$172,043
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$216,014
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$18,069,209
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,918,621)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	RESEARCH	783,658	899,587	2,400,439	2,400,439
2	PUBLIC SERVICE	3,385,580	2,499,520	2,740,788	2,740,788
3	NANOTECHNOLOGY/RESEARCH	1,261,262	1,033,152	1,010,086	1,010,086
4	INSTITUTE ON RACE & ETHNICITY	143,365	155,814	292,067	267,062
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$5,573,865	\$4,588,073	\$6,443,380	\$6,418,375
17	NET LOCAL INCOME	1,258,372	426,656	744,552	744,552
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	4,087,836	4,161,417	5,698,828	5,673,823
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	227,657			
24	TOTAL SOURCES OF INCOME	\$5,573,865	\$4,588,073	\$6,443,380	\$6,418,375

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 23 Other State Treasury Funds - GIF for Nano \$227,657

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION _____ 297

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	56,691,300	56,874,998	58,723,444	58,996,826	58,723,444
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	10,275,748	9,923,712	10,440,483	10,440,233	10,440,483
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	23,374				
11						
12						
13	TOTAL APPROPRIATION	\$66,990,422	\$66,798,710	\$69,163,927	\$69,437,059	\$69,163,927
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	60,755,097	60,444,730		62,032,819	62,032,819
16	EDUCATIONAL EXCELLENCE TRUST FUND	6,035,325	6,153,980		6,153,980	6,153,980
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	200,000	200,000			
21	TOTAL INCOME	\$66,990,422	\$66,798,710		\$68,186,799	\$68,186,799
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$1,250,260	\$977,128

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - STRIVE

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION _____ 86P

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NANOTECHNOLOGY CENTER EXPENSES			2,000,000	2,000,000	2,000,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$2,000,000	\$2,000,000	\$2,000,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				2,000,000	2,000,000
21	TOTAL INCOME	\$0	\$0		\$2,000,000	\$2,000,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CEA0100

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	WILLIAM BOWEN SCHOOL OF LAW EXPENSES	503,558	800,000	800,000	800,000	800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$503,558	\$800,000	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	503,558	800,000		800,000	800,000
21	TOTAL INCOME	\$503,558	\$800,000		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION _____

A68

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	19,571,873	38,250,000	38,250,000	38,250,000	38,250,000
2	EXTRA HELP WAGES	2,210,195	3,750,475	3,750,475	3,750,475	3,750,475
3	OVERTIME	0	250,000	250,000	250,000	250,000
4	PERSONAL SERVICES MATCHING	8,282,894	13,609,018	13,609,018	13,609,018	13,609,018
5	OPERATING EXPENSES	25,178,298	35,500,000	35,500,000	35,500,000	35,500,000
6	CONFERENCE FEES & TRAVEL	1,440,704	2,263,188	2,263,188	2,263,188	2,263,188
7	PROFESSIONAL FEES AND SERVICES	4,851,448	9,000,000	9,000,000	9,000,000	9,000,000
8	CAPITAL OUTLAY	3,037,038	16,594,940	16,594,940	16,594,940	16,594,940
9	CAPITAL IMPROVEMENTS	0	30,000,000	30,000,000	30,000,000	30,000,000
10	DEBT SERVICE	10,795,369	13,000,000	13,000,000	13,000,000	13,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	50,997	81,078	81,078	81,078	81,078
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$75,418,816	\$162,298,699	\$162,298,699	\$162,298,699	\$162,298,699
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	66,287,924	78,500,000		78,500,000	78,500,000
19	ALL OTHER FEES	3,604,110	5,000,000		5,000,000	5,000,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,296,586	2,000,000		2,000,000	2,000,000
21	INVESTMENT INCOME	2,445,713	2,000,000		2,000,000	2,000,000
22	FEDERAL CASH FUNDS	1,784,483	2,000,000		2,000,000	2,000,000
23	OTHER CASH FUNDS		72,798,699		72,798,699	72,798,699
24	TOTAL INCOME	\$75,418,816	\$162,298,699		\$162,298,699	\$162,298,699
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	1,675	2,280	2,280	2,250	2,250	2,280
TOBACCO POSITIONS						
EXTRA HELP ***	427	448	1,300	1,300	1,300	1,300

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	6,059,293	9,467,885		(3,408,592)	7,412,667	10,537,667		(3,125,000)
2	HOUSING	5,428,230	2,211,094	3,711,273	(494,137)	5,835,000	2,412,106	3,702,354	(279,460)
3	FOOD SERVICES	2,505,114	2,720,880		(215,766)	3,120,000	2,900,000		220,000
4	STUDENT UNION	675,199	1,747,435	211,880	(1,284,116)	1,447,605	1,761,567	211,296	(525,258)
5	BOOKSTORE	309,912			309,912				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	441,633	300,009		141,624	458,764	470,320		(11,556)
7	OTHER (FOOTNOTE BELOW)	1,160,441	851,953	473	308,015	1,636,817	1,850,809		(213,992)
8	SUBTOTAL	\$16,579,822	\$17,299,256	\$3,923,626	(\$4,643,060)	\$19,910,853	\$19,932,469	\$3,913,650	(\$3,935,266)
9	ATHLETIC TRANSFER**	2,790,449			2,790,449				2,900,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	989,856			989,856				487,652
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$20,360,127	\$17,299,256	\$3,923,626	(\$862,755)	\$19,910,853	\$19,932,469	\$3,913,650	(\$547,614)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - School of Law Auxiliary Services, Duplicating Center, Student Center Contract Income, Maintenance Reserve, Housing, University Plaza

NOTE: Line 10 Other Transfers - E&G, Plant

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>1,675</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>217</u>	Black Male:	<u>30</u>	Other Male:	<u>110</u>	Total Male: <u>357</u>
White Female:	<u>224</u>	Black Female:	<u>80</u>	Other Female:	<u>79</u>	Total Female: <u>383</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>3</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>3</u>
Classified Employees:						
White Male:	<u>66</u>	Black Male:	<u>56</u>	Other Male:	<u>11</u>	Total Male: <u>133</u>
White Female:	<u>64</u>	Black Female:	<u>92</u>	Other Female:	<u>16</u>	Total Female: <u>172</u>
Faculty:						
White Male:	<u>233</u>	Black Male:	<u>16</u>	Other Male:	<u>84</u>	Total Male: <u>333</u>
White Female:	<u>221</u>	Black Female:	<u>27</u>	Other Female:	<u>46</u>	Total Female: <u>294</u>
Total White Male:	<u>516</u>	Total Black Male:	<u>102</u>	Total Other Male:	<u>205</u>	Total Male: <u>823</u>
Total White Female:	<u>512</u>	Total Black Female:	<u>199</u>	Total Other Female:	<u>141</u>	Total Female: <u>852</u>
Total White:	<u>1028</u>	Total Black:	<u>301</u>	Total Other:	<u>346</u>	Total Employees: <u>1,675</u>
				Total Minority:	<u>647</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
Donaldson & Donaldson Associates LLC	\$55,000	X						
Government Supply Services LLC	\$191,639	X					X	
Pettus Office Interiors	\$121,150	X						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 3

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$20,221,231
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 2%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT LITTLE ROCK
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit of rental property agreements and payment information, provided by management, for the University's 17 rental properties for the period July 1, 2017 through June 30, 2018. Property management is handled by multiple departments, and records are maintained manually. IAD noted the following:</p> <ul style="list-style-type: none"> • Six previous tenants owe \$10,140, and one current tenant owes \$148 for a total of \$10,288 in past due rental payments. As of the report date, the six tenants still owe \$10,140. • Two current Campus Living employees did not transfer utilities into their names upon signing lease agreements, and the University improperly paid the utilities for these two properties for 10 months before the error was noted. The employees reimbursed the University through payroll deduction.
<p><i>Institution's Response:</i></p>	<p>Management is currently pursuing collections of all past due rental payments identified in the audit. Past due amounts have been recorded to appropriate receivable accounts, have been submitted to our collection agencies and will be turned over for debt set off in January of 2020</p>

**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	18,483,525		18,611,722		19,062,101		19,294,484		19,294,484	
2	CASH	19,795,675		30,256,341		30,256,341		30,256,341		30,256,341	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$38,279,200	393	\$48,868,063	391	\$49,318,442	520	\$49,550,825	520	\$49,550,825	520
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	15,946,042	42%	15,786,582	32%			15,973,435	32%	15,973,435	32%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,212,631	3%	1,236,471	3%			1,236,471	3%	1,236,471	3%
15	WORKFORCE 2000	1,324,852	3%	1,363,118	3%			1,363,118	3%	1,363,118	3%
16	CASH FUNDS	11,372,377	30%	17,678,841	36%			17,678,841	36%	17,678,841	36%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	8,423,298	22%	12,577,500	26%			12,577,500	26%	12,577,500	26%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	225,552	0%			350,000	1%	350,000	1%
21	TOTAL INCOME	\$38,279,200	100%	\$48,868,064	100%			\$49,179,365	100%	\$49,179,365	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		(\$1)				\$371,460		\$371,460	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$11,051,482
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,438,625
INVENTORIES	\$217,808
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$1,041,258
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,994,131
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,359,660

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION _____ 298

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	11,729,138	11,840,000	11,840,000	11,850,000	11,840,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	625,000	609,052	825,000	825,000	825,000
5	OPERATING EXPENSES	1,816,070	1,850,116	2,017,772	2,188,393	2,017,772
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	100,000	100,000	100,000	100,000	100,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	10,246				
11						
12						
13	TOTAL APPROPRIATION	\$14,280,454	\$14,399,168	\$14,782,772	\$14,963,393	\$14,782,772
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	13,067,823	12,937,145		13,090,385	13,090,385
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,212,631	1,236,471		1,236,471	1,236,471
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***		225,552		350,000	
21	TOTAL INCOME	\$14,280,454	\$14,399,168		\$14,676,856	\$14,326,856
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$286,537	\$455,916

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

Note: Line 20 - Timber Severance Tax Funds

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
Crossett

APPROPRIATION _____ 1MG

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000
2	EXTRA HELP WAGES	320,000	320,000	320,000	320,000	320,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	50,000	50,000	50,000	50,000	50,000
5	OPERATING EXPENSES	297,879	304,780	332,351	354,528	332,351
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,792,879	\$1,799,780	\$1,827,351	\$1,849,528	\$1,827,351
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,154,300	1,142,757		1,156,240	1,156,240
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	638,579	657,023		657,023	657,023
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$1,792,879	\$1,799,780		\$1,813,263	\$1,813,263
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$36,265	\$14,088

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
McGehee

APPROPRIATION _____ 1MF

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	1,495,000	1,495,000	1,495,000	1,495,000	1,495,000
2	EXTRA HELP WAGES	330,000	330,000	330,000	330,000	330,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	50,000	50,000	50,000	50,000	50,000
5	OPERATING EXPENSES	535,192	537,774	576,978	606,563	576,978
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,410,192	\$2,412,774	\$2,451,978	\$2,481,563	\$2,451,978
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,723,919	1,706,680		1,726,810	1,726,810
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	686,273	706,095		706,095	706,095
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$2,410,192	\$2,412,775		\$2,432,905	\$2,432,905
22	EXCESS (FUNDING)/APPROPRIATION	\$0	(\$1)		\$48,658	\$19,073

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION

A69

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	4,769,401	5,750,000	5,750,000	5,750,000	5,750,000
2	EXTRA HELP WAGES	768,600	353,000	353,000	705,000	353,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,007,713	2,500,000	2,500,000	2,200,000	2,500,000
5	OPERATING EXPENSES	5,216,338	8,196,000	8,196,000	8,196,000	8,196,000
6	CONFERENCE FEES & TRAVEL	7,021	2,000	2,000	10,000	2,000
7	PROFESSIONAL FEES AND SERVICES	682,461	870,000	870,000	870,000	870,000
8	CAPITAL OUTLAY	5,642,271	9,800,000	9,800,000	7,800,000	9,800,000
9	CAPITAL IMPROVEMENTS				2,000,000	
10	DEBT SERVICE	91,331	110,000	110,000	50,000	110,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$18,185,136	\$27,581,000	\$27,581,000	\$27,581,000	\$27,581,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	6,910,350	10,500,000		10,500,000	10,500,000
19	ALL OTHER FEES	200,036	314,000		314,000	314,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	30,915	47,500		47,500	47,500
21	INVESTMENT INCOME	50,918	78,000		78,000	78,000
22	FEDERAL CASH FUNDS	7,965,090	12,082,500		12,082,500	12,082,500
23	OTHER CASH FUNDS	3,027,827	4,559,000		4,559,000	4,559,000
24	TOTAL INCOME	\$18,185,136	\$27,581,000		\$27,581,000	\$27,581,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	393	391	520	520	520	520
TOBACCO POSITIONS						
EXTRA HELP ***	8	15	790	790	790	790

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION _____

B83

Crosssett

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	18,239	260,000	260,000	260,000	260,000
2	EXTRA HELP WAGES	37,862	175,000	175,000	175,000	175,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	50,000	50,000	50,000	50,000
5	OPERATING EXPENSES	649,322	456,160	456,160	456,160	456,160
6	CONFERENCE FEES & TRAVEL	0	2,500	2,500	2,500	2,500
7	PROFESSIONAL FEES AND SERVICES	21,588	20,000	20,000	20,000	20,000
8	CAPITAL OUTLAY	47,643	40,000	40,000	40,000	40,000
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$774,654	\$1,003,660	\$1,003,660	\$1,003,660	\$1,003,660
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	427,315	600,000		600,000	600,000
19	ALL OTHER FEES	18,592	45,000		45,000	45,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	3,756	4,000		4,000	4,000
21	INVESTMENT INCOME	1,648	1,000		1,000	1,000
22	FEDERAL CASH FUNDS	240,878	250,000		250,000	250,000
23	OTHER CASH FUNDS	82,465	103,660		103,660	103,660
24	TOTAL INCOME	\$774,654	\$1,003,660		\$1,003,660	\$1,003,660
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	40	38	63	63	63	63
TOBACCO POSITIONS						
EXTRA HELP ***	7	7	36	36	36	36

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
McGehee

APPROPRIATION

B82

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	178,172	260,000	260,000	260,000	260,000
2	EXTRA HELP WAGES	74,938	125,000	125,000	125,000	125,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	50,000	50,000	50,000	50,000
5	OPERATING EXPENSES	500,271	445,150	445,150	445,150	445,150
6	CONFERENCE FEES & TRAVEL	1,817				
7	PROFESSIONAL FEES AND SERVICES	49,928	20,000	20,000	20,000	20,000
8	CAPITAL OUTLAY	30,759	40,000	40,000	40,000	40,000
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$835,885	\$940,150	\$940,150	\$940,150	\$940,150
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	355,636	360,000		360,000	360,000
19	ALL OTHER FEES	22,150	25,000		25,000	25,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,672	2,000		2,000	2,000
21	INVESTMENT INCOME	836	1,000		1,000	1,000
22	FEDERAL CASH FUNDS	217,330	245,000		245,000	245,000
23	OTHER CASH FUNDS	238,261	307,150		307,150	307,150
24	TOTAL INCOME	\$835,885	\$940,150		\$940,150	\$940,150
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	46	43	74	74	74	74
TOBACCO POSITIONS						
EXTRA HELP ***	24	24	36	36	36	36

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2030200

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
Center for Forest Business

APPROPRIATION

D06

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES		328,139	328,139	328,139	328,139
2	EXTRA HELP WAGES		10,000	10,000	10,000	10,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING		85,086	85,086	85,086	85,086
5	OPERATING EXPENSES		198,306	198,306	198,306	198,306
6	CONFERENCE FEES & TRAVEL		110,000	110,000	110,000	110,000
7	PROFESSIONAL FEES AND SERVICES		0	0	0	0
8	CAPITAL OUTLAY		0	0	0	0
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$0	\$731,531	\$731,531	\$731,531	\$731,531
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS		731,531		731,531	731,531
24	TOTAL INCOME	\$0	\$731,531		\$731,531	\$731,531
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS			4	4	4	4
TOBACCO POSITIONS						
EXTRA HELP ***						

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	1,200,162	3,712,835	119,881	(2,632,554)	1,115,142	3,856,375	119,312	(2,860,545)
2	HOUSING	1,915,300	690,382	1,012,364	212,554	2,095,613	692,839	576,556	826,218
3	FOOD SERVICES	1,821,365	1,449,403		371,962	1,707,201	1,247,601	184,647	274,953
4	STUDENT UNION				0				0
5	BOOKSTORE	112,563	2,251		110,312	138,253		200,034	(61,781)
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	1,022,482	131,020		891,462	1,049,601	138,528		911,073
8	SUBTOTAL	\$6,071,872	\$5,985,891	\$1,132,245	(\$1,046,264)	\$6,105,810	\$5,935,343	\$1,080,549	(\$910,082)
9	ATHLETIC TRANSFER**	1,055,000			1,055,000	910,082			910,082
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(8,278)			(8,278)				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$7,118,594	\$5,985,891	\$1,132,245	\$458	\$7,015,892	\$5,935,343	\$1,080,549	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Auxiliary Facilities Fees, Post Office Rent, Locker Rent/Checking Interest, Trotter House Operations, Vendor Sales, Royalties, Cablevision, Stadium, Athletic Fields/Facilities

NOTE: Line 10 Other Transfers - Transfer to Plant Funds

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO CROSSETT
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE	134,932	191,680		(56,748)	255,000	262,672		(7,672)
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	7,802	15,564		(7,762)	7,200	14,579		(7,379)
8	SUBTOTAL	\$142,734	\$207,244	\$0	(\$64,510)	\$262,200	\$277,251	\$0	(\$15,051)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	64,510			64,510	15,051			15,051
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$207,244	\$207,244	\$0	\$0	\$277,251	\$277,251	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Trotter House

NOTE: Line 10 Other Transfers - Transfer from E&G

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO MCGEHEE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE	188,919	182,741		6,178	275,000	264,842		10,158
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	7,802	15,564		(7,762)	7,200	17,358		(10,158)
8	SUBTOTAL	\$196,721	\$198,305	\$0	(\$1,584)	\$282,200	\$282,200	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	1,584			1,584				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$198,305	\$198,305	\$0	\$0	\$282,200	\$282,200	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Trotter House

NOTE: Line 10 Other Transfers - Transfer from E&G

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>509</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>38</u>	Black Male:	<u>7</u>	Other Male:	<u> </u>	Total Male: <u>45</u>
White Female:	<u>49</u>	Black Female:	<u>17</u>	Other Female:	<u> </u>	Total Female: <u>66</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>39</u>	Black Male:	<u>11</u>	Other Male:	<u> </u>	Total Male: <u>50</u>
White Female:	<u>65</u>	Black Female:	<u>29</u>	Other Female:	<u>5</u>	Total Female: <u>99</u>
Faculty:						
White Male:	<u>109</u>	Black Male:	<u>7</u>	Other Male:	<u>16</u>	Total Male: <u>132</u>
White Female:	<u>97</u>	Black Female:	<u>7</u>	Other Female:	<u>13</u>	Total Female: <u>117</u>
Total White Male:						<u>186</u>
Total White Female:						<u>211</u>
Total Black Male:						<u>25</u>
Total Black Female:						<u>53</u>
Total Other Male:						<u>16</u>
Total Other Female:						<u>18</u>
Total White:						<u>397</u>
Total Black:						<u>78</u>
Total Other:						<u>34</u>
Total Minority:						<u>112</u>
Total Employees:						<u>509</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
 STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2019
 Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS AT MONTICELLO

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$853,598
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT MONTICELLO
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding a loss of \$1,195 as the result of a payroll identity theft for the period December 14, 2018 through December 31, 2018. An employee contacted the Payroll Department after she did not receive her payroll direct deposit on December 14, 2018. After verifying that all payroll processes ran correctly and there were no issues with the direct deposit file sent to the bank, the Payroll Department staff inquired about the changes made to the employee’s direct deposit information in the self-service portal and found that this information changed from a local bank to an online bank. The Chief Information Officer noted the employee’s self-service portal and email accounts were hacked, and the employee did not make the changes.</p>
<p><i>Institution’s Response:</i></p>	<p>The University of Arkansas at Monticello accepted the report for the identity theft that occurred on December 14, 2018. In response, management implemented new procedures to verify any changes to an employee’s direct deposit information. This policy was implemented April 24, 2019, in which a copy was sent campus-wide to inform employees of the change. A report is now being generated daily and the Payroll Services Coordinator and Payroll Manager are confirming all changes. The report indicates if the change was initiated by a paper form or online by the employee through their self-service portal. Any changes indicated by a physical form are then reconciled to forms received. Those forms that indicate a self-service change are now verified through an email and followed up with a verbal confirmation from the Business Manager. The dual verification process will assist us in identifying compromised accounts quicker than our previous policy, which was done through a negative confirmation process. If the employee cannot be reached, payroll staff will notify Information Technology to trace the IP address that the change was initiated through. Running the report daily allows time for positive confirmation prior to the payroll deadline.</p>

**INSTITUTION APPROPRIATION SUMMARY
2020-2021 FISCAL YEAR**

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION	HISTORICAL DATA						2020-2021			
	2018-2019		2019-2020		2019-2020		INST REQUEST	POS	AHECB REC	POS
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS				
1 STATE TREASURY UAMS State Appropriation - Operations	93,456,602		99,780,529		116,201,297		116,201,297		116,201,297	
2 STATE TREASURY UAMS State Appropriation - Healthcare Initiative	7,100,000		7,100,000		7,100,000		7,100,000		7,100,000	
3 STATE TREASURY UAMS State Appropriation - Psychiatric Research Inst & Dept of Pediatrics	1,950,000		1,985,100		1,985,100		2,020,832		2,020,832	
4 STATE TREASURY UAMS State Appropriation - Colorectal Cancer Screening & Research	0		0		5,000,000		5,000,000		5,000,000	
5 STATE TREASURY UAMS State Appropriation - Newborn Umbilical Cord Blood Program	150,000		150,000		250,000		250,000		250,000	
6 STATE TREASURY UAMS State Appropriation - Adult Sickle Cell Disease Program	0		0		379,993		379,993		379,993	
7 STATE TREASURY UAMS State Appropriation - Child Abuse & Neglect Programs	1,798,857		1,800,000		5,251,521		5,251,521		5,251,521	
8 STATE TREASURY Medical Loans & Scholarships	325,076		325,076		450,000		450,000		450,000	
9 STATE TREASURY Nursing Loans & Scholarships	200,000		300,000		300,000		300,000		300,000	
10 STATE TREASURY Poison & Drug Information Center Disease Management	299,959		299,959		299,959		299,959		299,959	
12 STATE TREASURY Poison & Drug Information Center	0		0		400,000		400,000		400,000	
13 STATE TREASURY AR Center for Health Improvement	500,000		500,000		500,000		500,000		500,000	
14 STATE TREASURY Breast Cancer Research	845,296		850,000		1,194,216		1,194,216		1,194,216	
15 STATE TREASURY Breast Cancer Research - Susan G. Komen	176,441		200,000		500,000		500,000		500,000	
16 STATE TREASURY Children's Advocacy Centers of Arkansas	19,816		20,000		500,000		500,000		500,000	
17 STATE TREASURY Newborn Umbilical Cord Blood Initiative	3,252		3,300		546,000		546,000		546,000	
18 STATE TREASURY Domestic Violence Shelter Programs & Grants	521,046		530,000		7,150,000		7,150,000		7,150,000	
19 STATE TREASURY Winthrop P Rockefeller Cancer Institute Designation Trust	0		20,000,000		20,000,000		20,000,000		20,000,000	
20 STATE TREASURY Tobacco Funding: Institute on Aging	1,980,488		2,320,796		2,320,796		2,320,796		2,320,796	
21 STATE TREASURY Tobacco Funding: College of Public Health	2,748,257		3,195,946		3,195,946		3,195,946		3,195,946	
22 STATE TREASURY Tobacco Funding: Arkansas Biosciences	3,704,061		6,180,957		6,180,957		6,180,957		6,180,957	
23 STATE TREASURY Tobacco Funding: Delta Regional Program	1,911,330		2,235,565		2,235,565		2,235,565		2,235,565	
24 CASH UAMS State Appropriation	1,394,554,289		1,995,843,000		1,996,343,000		1,996,343,000		1,996,343,000	
26 TOTAL	\$1,512,244,770	9,257	\$2,143,620,228	10,302	\$2,178,284,350	10,302	\$2,178,320,082	0	\$2,178,320,082	0
27 FUNDING SOURCES		%		%				%		%
28 PRIOR YEAR FUND BALANCE*	0	0.0%	0	0.0%			0	0.0%	0	0.0%
29 GENERAL REVENUE - INCLUDES CARDVC, CHILD SAFETY, PED & PRI REVENUE	89,862,249	5.9%	91,479,770	4.3%			96,931,838	4.4%	96,931,838	4.4%
30 INDIGENT CARE APPROPRIATION	5,342,181	0.4%	5,438,340	0.3%			5,536,230	0.3%	5,536,230	0.3%
31 EDUCATIONAL EXCELLENCE TRUST FUND	10,391,647	0.7%	10,595,948	0.5%			10,595,948	0.5%	10,595,948	0.5%
32 EDUC EXCEL TRUST - INDIGENT CARE	258,584	0.0%	263,668	0.0%			263,668	0.0%	263,668	0.0%
33 CASH FUNDS	1,309,337,748	86.3%	1,911,944,478	89.2%			1,921,343,000	88.2%	1,921,343,000	88.2%
34 FEDERAL FUNDS	85,216,541	5.6%	83,898,522	3.9%			75,000,000	3.4%	75,000,000	3.4%
35 TOBACCO SETTLEMENT FUNDS	10,344,136	0.7%	13,933,264	0.7%			13,933,264	0.6%	13,933,264	0.6%
36 OTHER FUNDS (BAIL BONDS)	176,820	0.0%	180,000	0.0%			0	0.0%	0	0.0%
37 OTHER FUNDS	5,638,943	0.4%	25,824,937	1.2%			54,716,134	2.5%	54,716,134	2.5%
38 TOTAL INCOME	\$1,516,568,849	100%	\$2,143,558,927	100.0%			\$2,178,320,082	100.0%	\$2,178,320,082	100.0%
39 EXCESS (FUNDING)/APPROPRIATION	(\$4,324,079)		\$61,301				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$335,947,584
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$198,725,248
INVENTORIES	\$23,520,475
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$159,188,532
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$45,486,671)

*Line 25 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED *	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	COLLEGE OF MEDICINE	273,735,487	251,909,177	256,443,542	256,443,542
2	COLLEGE OF NURSING	7,976,883	8,050,507	8,195,416	8,195,416
3	COLLEGE OF PHARMACY	13,482,079	14,881,827	15,149,700	15,149,700
4	COLLEGE OF HEALTH PROFESSIONS	13,182,743	12,616,966	12,844,071	12,844,071
5	COLLEGE OF PUBLIC HEALTH	5,828,788	6,498,198	6,615,166	6,615,166
6	GRADUATE SCHOOL	1,640,148	1,703,961	1,734,632	1,734,632
7	INSTITUTIONAL SUPPORT	28,911,439	25,423,956	25,881,587	25,881,587
8	CHANCELLOR	4,480,466	4,259,186	4,335,851	4,335,851
9	ACADEMIC AFFAIRS	14,595,297	13,673,896	13,920,026	13,920,026
10	FINANCE	8,455,248	9,749,592	9,925,085	9,925,085
11	CAMPUS OPERATIONS	19,061,604	15,846,642	16,131,882	16,131,882
12	COMMUNICATIONS	5,257,130	5,056,399	5,147,414	5,147,414
13	INSTITUTIONAL ADVANCEMENTS	4,227,565	6,017,374	6,125,687	6,125,687
14	DIVERSITY AFFAIRS	902,477	979,158	996,783	996,783
15	INSTITUTIONAL COMPLIANCE	3,352,045	3,378,274	3,439,083	3,439,083
16	RESEARCH	7,514,238	8,228,849	8,376,968	8,376,968
17	INFORMATION TECHNOLOGY	36,896,587	37,097,831	37,765,592	37,765,592
18	HOSPITAL & CLINIC PROGRAMS	967,843,982	950,323,500	967,429,323	967,429,323
19	REGIONAL PROGRAMS	37,234,096	55,790,226	56,794,450	56,794,450
20	NW ARKANSAS CAMPUS	7,563,836	6,874,814	6,998,561	6,998,561
22	ROCKEFELLER CANCER INSTITUTE	2,375,087	4,193,826	4,269,315	4,269,315
23	TRANSLATIONAL RESEARCH INSTITUTE	3,639,479	2,643,232	2,690,810	2,690,810
24	HUMAN RESOURCES	7,423,391	7,895,028	8,037,139	8,037,139
25	POLICE DEPARTMENT	2,606,489	3,566,995	3,631,201	3,631,201
26	INSTITUTIONAL SUPPORT SVCS	1,212,842	657,857	669,698	669,698
27	MANDATORY TRANSFERS - Debt	23,000,000	19,547,648	19,899,506	19,899,506
28	AUXILIARY TRANSFERS				
29	NON-MANDATORY TRANSFERS - MM	(70,474,932)	(75,009,687)	(75,009,687)	(75,009,687)
30	TOTAL UNREST. E&G EXP.	\$1,431,924,494	\$1,401,855,232	\$1,428,438,801	\$1,428,438,801
31	NET LOCAL INCOME	1,309,909,934	1,274,078,004	1,266,377,444	1,266,377,444
32	PRIOR YEAR BALANCE****		0		
33	GENERAL REVENUE INCLUDES:				
34	PRI	89,862,249	91,479,770	96,931,838	96,931,838
35	INDIGENT CARE	5,342,181	5,438,340	5,799,898	5,799,898
36	EDUCATIONAL EXCELLENCE	10,391,647	10,595,948	10,595,948	10,595,948
37	EDUCATIONAL EXCELLENCE - IC	258,584	263,668	263,668	263,668
38	WORKFORCE 2000				
39	TOBACCO SETTLEMENT FUNDS	10,344,136	13,933,264	13,933,264	13,933,264
40	OTHER STATE FUNDS ****	344,226	350,000		
41	OTHER STATE FUNDS *****	5,471,537	5,654,937	34,836,141	34,836,141
42	TOTAL SOURCES OF INCOME	\$1,431,924,494	\$1,401,793,931	\$1,428,738,201	\$1,428,738,201

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 32 - Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 40 Other State Funds - Marriage License Fees.

NOTE: Line 41 Other State Funds - Other Fees.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0000

INSTITUTION FOR MEDICAL SCIENCES
OPERATIONS

APPROPRIATION 429

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	82,553,530	87,491,562	93,131,226	93,131,226	94,789,571
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	500,000	1,777,300	12,558,404	12,558,404	13,121,841
5	OPERATING EXPENSES					
6	WORKERS COMP/SURETY PREMIUM	138,667				
7	M&R EXPENSES	85,733				
8	FUNDED DEPRECIATION	1,130,663	1,193,320	1,193,320	1,193,320	1,195,303
9	TEXARKANA AHEC	253,300	261,659	261,659	261,659	262,094
10	POISON & DRUG INFO CENTER	802,116	828,587	828,587	828,587	829,964
11	ADDITIONAL AHEC SUPPORT	2,331,696	2,408,643	2,408,643	2,408,643	2,412,646
12	GRANTS/AID (ACH & INDIGENT CARE)	5,600,897	5,819,458	5,819,458	5,819,458	5,829,129
13	CLAIMS	60,000				
14						
15						
16	TOTAL APPROPRIATION	\$93,456,602	\$99,780,529	\$116,201,297	\$116,201,297	\$118,440,548
17	PRIOR YEAR FUND BALANCE**					
18	GENERAL REVENUE	79,206,661	80,762,881		81,052,545	81,052,545
19	INDIGENT CARE APPROPRIATION	5,342,181	5,438,340		5,536,230	5,536,230
20	EDUC EXCELLENCE TRUST FUND	10,391,647	10,595,948		10,595,948	10,595,948
21	EDUC EXCEL TRUST - INDIGENT CARE	258,584	263,668		263,668	263,668
22	SPECIAL REVENUES***[WF2000]					
23	FEDERAL FUNDS IN STATE TREASURY					
24	TOBACCO SETTLEMENT FUNDS					
25	OTHER STATE TREASURY FUNDS	2,581,608	2,658,391		18,752,906	18,752,906
26	TOTAL INCOME	\$97,780,681	\$99,719,228		\$116,201,297	\$116,201,297
27	EXCESS (FUNDING)/APPROPRIATION	(\$4,324,079)	\$61,301		\$0	\$2,239,251

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 25 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 25 Other State Treasury Funds - Mixed Drink Revenue (CCA0200), Tuition Adjustment funding and M&R less transfer to AR Ch Hospital

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0000

INSTITUTION FOR MEDICAL SCIENCES
UAMS - HEALTHCARE INITIATIVE

APPROPRIATION _____ 59P

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	AREA HEALTH EDUCATION CENTERS	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
11	ARKANSAS HEALTH DATA INITIATIVE	700,000	700,000	700,000	700,000	700,000
12	UAMS NORTHWEST ARK. MEDICAL SCHOOL	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
13	TOTAL APPROPRIATION	\$7,100,000	\$7,100,000	\$7,100,000	\$7,100,000	\$7,100,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	7,100,000	7,100,000		7,100,000	7,100,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$7,100,000	\$7,100,000		\$7,100,000	\$7,100,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0100

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

83C

PSYCHIATRIC RESEARCH INSTITUTE & DEPARTMENT OF PEDIATRICS

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	PSYCHIATRIC RESEARCH INSTITUTE EXPENSES FOR TRAINING, TREATMENT, COMMUNITY PROVIDERS, & PERSONAL SERVICES	1,250,000	1,285,100	1,285,100	1,320,832	1,320,832
11	DEPARTMENT OF PEDIATRICS EXPENSES FOR TRAINING, TREATMENT, & ADVOCATE EDUCATION	500,000	500,000	500,000	500,000	500,000
12	DEPARTMENT OF PEDIATRICS EXPENSES FOR MEDICAL EXAMINATION, CONSULTATION, EDUCATION, & TRAINING	200,000	200,000	200,000	200,000	200,000
13	TOTAL APPROPRIATION	\$1,950,000	\$1,985,100	\$1,985,100	\$2,020,832	\$2,020,832
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,950,000	1,985,100		2,020,832	2,020,832
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,950,000	\$1,985,100		\$2,020,832	\$2,020,832
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA00000

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

59Q

COLORECTAL CANCER SCREENING AND RESEARCH

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	COLORECTAL CANCER SCREENING & RESEARCH	0	0	5,000,000	5,000,000	5,000,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$5,000,000	\$5,000,000	\$5,000,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				5,000,000	5,000,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0			
21	TOTAL INCOME	\$0	\$0		\$5,000,000	\$5,000,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0000

INSTITUTION FOR MEDICAL SCIENCES
NEWBORN UMBILICAL CORD BLOOD PROGRAM

APPROPRIATION _____ 86R

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NEWBORN UMBILICAL CORD BLOOD PROGRAM EXPENSES	150,000	150,000	250,000	250,000	250,000
11						
12						
13	TOTAL APPROPRIATION	\$150,000	\$150,000	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	150,000	150,000		250,000	250,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$150,000	\$150,000		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0000

INSTITUTION FOR MEDICAL SCIENCES
ADULT SICKLE CELL DISEASE PROGRAM

APPROPRIATION _____ 86S

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADULT SICKLE CELL DISEASE PROGRAM INITIATIVE PERSONAL SERVICES AND OPERATING EXPENSES			379,993	379,993	379,993
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$379,993	\$379,993	\$379,993
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS				379,993	379,993
21	TOTAL INCOME	\$0	\$0		\$379,993	\$379,993
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CCA0100

INSTITUTION FOR MEDICAL SCIENCES
CHILD ABUSE AND NEGLECT PROGRAMS

APPROPRIATION _____ 38E

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES				2020-2021	2020-2021
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	CAPITAL IMPROVEMENTS					
10	FUNDED DEPRECIATION					
11	FOR EXPENSES ASSOCIATED WITH CHILD ABUSE AND NEGLECT PROGRAMS, INCLUDING BUT NOT LIMITED TO, GRANTS TO STATE AGENCIES, CHILD ADVOCACY CENTERS, CHILD SAFETY CENTERS, AND OTHER ELIGIBLE ENTITIES	1,798,857	1,800,000	5,251,521	5,251,521	5,278,193
12						
13						
14	TOTAL APPROPRIATION	1,798,857	1,800,000	\$5,251,521	5,251,521	5,278,193
15	PRIOR YEAR FUND BALANCE**					
16	CHILD ABUSE DOMESTIC VIOLENCE (GEN REV)	735,000	748,230		761,698	761,698
17	CHILD SAFETY CENTERS (GEN REV)	720,588	733,559		746,763	746,763
18	EDUCATIONAL EXCELLENCE TRUST FUND					
19	SPECIAL REVENUES * [WF2000]					
20	FEDERAL FUNDS IN STATE TREASURY					
21	TOBACCO SETTLEMENT FUNDS					
22	OTHER STATE TREASURY FUNDS	343,269	318,211		3,743,060	3,743,060
23	TOTAL INCOME	\$1,798,857	\$1,800,000		\$5,251,521	\$5,251,521
24	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$26,672

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 22 Other State Treasury Funds - Cigarette Tax + Fines - Special Revenue Fees

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND HUA1501

INSTITUTION FOR MEDICAL SCIENCES
MEDICAL LOANS AND SCHOLARSHIPS

APPROPRIATION _____ 464

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES				2020-2021	2020-2021
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	RURAL MEDICAL PRACTICE STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	200,000	200,000	300,000	300,000	300,000
11	COMMUNITY MATCH STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	125,076	125,076	150,000	150,000	150,000
12						
13	TOTAL APPROPRIATION	\$325,076	\$325,076	\$450,000	\$450,000	\$450,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	325,076	325,076		450,000	450,000
21	TOTAL INCOME	\$325,076	\$325,076		\$450,000	\$450,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Miscellaneous Agencies Fund

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND HUA1502

INSTITUTION FOR MEDICAL SCIENCES
NURSING LOANS AND SCHOLARSHIPS

APPROPRIATION _____ 461

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADVANCED NURSING PRACTICE COMMUNITY MATCH STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	100,000	150,000	150,000	150,000	150,000
11	RURAL ADVANCED NURSING PRACTICE STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	100,000	150,000	150,000	150,000	150,000
12						
13	TOTAL APPROPRIATION	\$200,000	\$300,000	\$300,000	\$300,000	\$300,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	200,000	300,000		300,000	300,000
21	TOTAL INCOME	\$200,000	\$300,000		\$300,000	\$300,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 Other State Treasury Funds - Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND HUA1503

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

1UV

POISON AND DRUG INFORMATION CENTER AND DISEASE MANAGEMENT

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADDITIONAL SUPPORT FOR THE ARKANSAS POISON & DRUG INFORMATION CENTER	139,959	139,959	139,959	139,959	139,959
11	SUPPORT FOR DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000
12						
13	TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	299,959	299,959		299,959	299,959
21	TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND HUA1503

INSTITUTION FOR MEDICAL SCIENCES
POISON AND DRUG INFORMATION CENTER

APPROPRIATION _____ 1UV

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	POISON & DRUG INFORMATION CENTER PERSONAL SERVICES, MAINTENANCE AND OPERATING EXPENSES	0	0	400,000	400,000	400,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$400,000	\$400,000	\$400,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0		400,000	400,000
21	TOTAL INCOME	\$0	\$0		\$400,000	\$400,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND HUA1504

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

M78

ARKANSAS CENTER FOR HEALTH IMPROVEMENT

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ARKANSAS CENTER FOR HEALTH IMPROVEMENT EXPENSES	500,000	500,000	500,000	500,000	500,000
11						
12						
13	TOTAL APPROPRIATION	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS***	500,000	500,000		500,000	500,000
21	TOTAL INCOME	\$500,000	\$500,000		\$500,000	\$500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Miscellaneous Agencies Fund Account (for personal services, maintenance and operating expense of ACHI)

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND MBR0100

INSTITUTION FOR MEDICAL SCIENCES
BREAST CANCER RESEARCH

APPROPRIATION _____ 231

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	BREAST CANCER RESEARCH PROGRAM EXPENSES	845,296	850,000	1,194,216	1,194,216	1,194,216
11						
12						
13	TOTAL APPROPRIATION	\$845,296	\$850,000	\$1,194,216	\$1,194,216	\$1,194,216
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS***	845,296	850,000		1,194,216	1,194,216
21	TOTAL INCOME	\$845,296	\$850,000		\$1,194,216	\$1,194,216
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Breast Cancer Research Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND MBR0200

INSTITUTION FOR MEDICAL SCIENCES
BREAST CANCER RESEARCH - SUSAN G. KOMEN

APPROPRIATION _____ 231

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES				2020-2021	2020-2021
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ARKANSAS CANCER RESEARCH CENTER BREAST PROGRAM FOR BREAST CANCER EDUCATION, OUTREACH AND RESEARCH EXPENSES AND GRANTS TO THE ARK. AFFILIATE OF THE SUSAN G. KOMEN FOUNDATION	176,441	200,000	500,000	500,000	500,000
11						
12						
13	TOTAL APPROPRIATION	\$176,441	\$200,000	\$500,000	\$500,000	\$500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	176,441	200,000		500,000	500,000
21	TOTAL INCOME	\$176,441	\$200,000		\$500,000	\$500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Revenue from Breast Cancer License Plates sales split with Komen

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND MCA0000

INSTITUTION FOR MEDICAL SCIENCES
CHILDREN'S ADVOCACY CENTERS OF ARKANSAS

APPROPRIATION _____ V36

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	CHILDREN'S ADVOCACY CENTERS GRANTS	19,816	20,000	500,000	500,000	500,000
11						
12						
13	TOTAL APPROPRIATION	\$19,816	\$20,000	\$500,000	\$500,000	\$500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	19,816	20,000		500,000	500,000
21	TOTAL INCOME	\$19,816	\$20,000		\$500,000	\$500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Revenue from fines from violence against or in front of children, and other illegal occurrences

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND MNU0000

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

58U

ARKANSAS COMMISSION FOR THE NEWBORN UMBILICAL CORD BLOOD INITIATIVE

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NEWBORN UMBILICAL CORD BLOOD INITIATIVE PERSONAL SERVICES AND OPERATING EXPENSES	3,252	3,300	546,000	546,000	546,000
11						
12						
13	TOTAL APPROPRIATION	\$3,252	\$3,300	\$546,000	\$546,000	\$546,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	3,252	3,300		546,000	546,000
21	TOTAL INCOME	\$3,252	\$3,300		\$546,000	\$546,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 Other State Treasury Funds - Newborn Umbilical Cord Blood Initiative Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND SML0000

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

1PQ

DOMESTIC VIOLENCE SHELTER PROGRAMS AND GRANTS

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	DOMESTIC VIOLENCE SHELTER PROGRAMS	521,046	530,000	6,100,000	6,100,000	6,100,000
11	DOMESTIC VIOLENCE SHELTER GRANTS	0	0	1,050,000	1,050,000	1,050,000
12						
13	TOTAL APPROPRIATION	\$521,046	\$530,000	\$7,150,000	\$7,150,000	\$7,150,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	OTHER STATE TREASURY FUNDS 1	176,820	180,000			
20	OTHER STATE TREASURY FUNDS 2	344,226	350,000		7,150,000	7,150,000
22	TOTAL INCOME	\$521,046	\$530,000		\$7,150,000	\$7,150,000
23	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 19 Other State Treasury Funds 1 - Bail Bonds

NOTE: Line 20 Other State Treasury Funds 2 - Marriage License

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND TCU0000

INSTITUTION FOR MEDICAL SCIENCES
WINTHROP P. ROCKEFELLER CANCER INSTITUTE

APPROPRIATION _____ X59

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES		10,000,000	10,000,000	10,000,000	10,000,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING		2,800,000	2,800,000	2,800,000	2,800,000
5	OPERATING EXPENSES		3,000,000	3,000,000	3,000,000	3,000,000
6	CONFERENCE FEES & TRAVEL		200,000	200,000	200,000	200,000
7	PROFESSIONAL FEES AND SERVICES		0	0	0	0
8	CAPITAL OUTLAY		3,000,000	3,000,000	3,000,000	3,000,000
9	FUNDED DEPRECIATION					
10	CAPITAL IMPROVEMENTS		1,000,000	1,000,000	1,000,000	1,000,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS		20,000,000		20,000,000	20,000,000
21	TOTAL INCOME	\$0	\$20,000,000		\$20,000,000	\$20,000,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND TSE0201

INSTITUTION FOR MEDICAL SCIENCES
DONALD W. REYNOLDS CENTER ON AGING

APPROPRIATION _____ 322

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	1,282,959	1,292,959	1,292,959	1,292,959	1,292,959
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	282,000	316,765	316,765	316,765	316,765
5	OPERATING EXPENSES	397,529	603,713	603,713	603,713	603,713
6	CONFERENCE FEES & TRAVEL	18,000	52,128	52,128	52,128	52,128
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY		55,231	55,231	55,231	55,231
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,980,488	\$2,320,796	\$2,320,796	\$2,320,796	\$2,320,796
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,980,488	2,320,796		2,320,796	2,320,796
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,980,488	\$2,320,796		\$2,320,796	\$2,320,796
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND TSE0202

INSTITUTION FOR MEDICAL SCIENCES

APPROPRIATION _____

347

FAY W. BOOZEMAN COLLEGE OF PUBLIC HEALTH

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	2,244,177	2,561,276	2,561,276	2,561,276	2,561,276
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	442,080	564,670	564,670	564,670	564,670
5	OPERATING EXPENSES	50,000	50,000	50,000	50,000	50,000
6	CONFERENCE FEES & TRAVEL	12,000	20,000	20,000	20,000	20,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,748,257	\$3,195,946	\$3,195,946	\$3,195,946	\$3,195,946
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	2,748,257	3,195,946		3,195,946	3,195,946
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$2,748,257	\$3,195,946		\$3,195,946	\$3,195,946
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND TSE0203

INSTITUTION FOR MEDICAL SCIENCES
AREA HEALTH EDUCATION CENTER IN HELENA

APPROPRIATION _____ 368

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	1,081,861	1,237,959	1,237,959	1,237,959	1,237,959
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	217,756	316,765	316,765	316,765	316,765
5	OPERATING EXPENSES	603,713	603,713	603,713	603,713	603,713
6	CONFERENCE FEES & TRAVEL	8,000	52,128	52,128	52,128	52,128
7	PROFESSIONAL FEES AND SERVICES		25,000	25,000	25,000	25,000
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,911,330	\$2,235,565	\$2,235,565	\$2,235,565	\$2,235,565
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,911,330	2,235,565		2,235,565	2,235,565
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,911,330	\$2,235,565		\$2,235,565	\$2,235,565
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND TSF0300

INSTITUTION FOR MEDICAL SCIENCES
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION _____ 365

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	1,384,727	1,407,267	1,407,267	1,407,267	1,407,267
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	337,875	337,875	337,875	337,875	337,875
5	OPERATING EXPENSES	1,020,000	1,042,499	1,042,499	1,042,499	1,042,499
6	CONFERENCE FEES & TRAVEL	23,960	23,960	23,960	23,960	23,960
7	PROFESSIONAL FEES AND SERVICES	8,000	37,940	37,940	37,940	37,940
8	CAPITAL OUTLAY	929,499	1,434,680	1,434,680	1,434,680	1,434,680
9	FUNDED DEPRECIATION					
10	ARKANSAS CHILDREN'S HOSPITAL		1,896,736	1,896,736	1,896,736	1,896,736
11						
12						
13	TOTAL APPROPRIATION	\$3,704,061	\$6,180,957	\$6,180,957	\$6,180,957	\$6,180,957
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	3,704,061	6,180,957		6,180,957	6,180,957
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$3,704,061	\$6,180,957		\$6,180,957	\$6,180,957
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 FISCAL YEAR**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

A85

UAMS CASH APPROPRIATION

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	715,558,828	853,512,000	853,512,000	853,512,000	853,512,000
2	EXTRA HELP WAGES	448,920	25,000,000	25,000,000	25,000,000	25,000,000
3	OVERTIME	10,751,475	20,000,000	20,000,000	20,000,000	20,000,000
4	PERSONAL SERVICES MATCHING	179,247,271	213,338,000	213,338,000	213,338,000	213,338,000
5	OPERATING EXPENSES	444,618,303	523,643,000	523,643,000	523,643,000	523,643,000
6	CONFERENCE FEES & TRAVEL	2,249,121	20,000,000	20,000,000	20,000,000	20,000,000
7	PROFESSIONAL FEES AND SERVICES	17,779,207	45,000,000	45,000,000	45,000,000	45,000,000
8	CAPITAL OUTLAY	23,653,118	85,000,000	85,000,000	85,000,000	85,000,000
9	CAPITAL IMPROVEMENTS	0	110,000,000	110,000,000	110,000,000	110,000,000
10	DEBT SERVICE	10,440	50,000,000	50,000,000	50,000,000	50,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS		40,000,000	40,000,000	40,000,000	40,000,000
12	CHILDREN'S JUSTICE ACT	187,606	250,000	250,000	250,000	250,000
13	WAR MEMORIAL PARKING FEES	50,000	50,000	50,000	50,000	50,000
14	INDIGENT CARE & BREAST CANCER RESEARCH PROGRAM GRANTS/AID	0	10,000,000	10,000,000	10,000,000	10,000,000
15	PHARMACY STUDENT LOANS	0	50,000	550,000	550,000	550,000
16	TOTAL APPROPRIATION	1,394,554,289	1,995,843,000	1,996,343,000	1,996,343,000	1,996,343,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	48,591,781	49,690,568		48,000,000	48,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	36,042,694	35,778,247		34,000,000	34,000,000
21	INVESTMENT INCOME	6,522,484	2,046,678		20,000,000	20,000,000
22	FEDERAL CASH FUNDS	85,216,541	83,898,522		75,000,000	75,000,000
23	OTHER CASH FUNDS	1,218,180,789	1,824,428,985		1,819,343,000	1,819,343,000
24	TOTAL INCOME	\$1,394,554,289	\$1,995,843,000		\$1,996,343,000	\$1,996,343,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	9,257	10,302	11,445	11,445	11,445	11,445
TOBACCO POSITIONS	31	27	113	113	113	113
EXTRA HELP **	704	687	1,565	1,565	1,565	1,565

** Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *								0
2	HOUSING	1,168,445	347,477	732,660	88,308	1,216,975	484,315	732,660	0
3	FOOD SERVICES								
4	STUDENT HEALTH SERVICES	690,200	530,216	0	159,984	147,500	147,500	0	0
5	BOOKSTORE								
6	STUDENT ORGANIZATIONS AND PUBLICATIONS								
7	OTHER	3,129,728	2,250,897	1,631,101	(752,270)	3,866,554	2,035,421	1,319,349	511,784
8	SUBTOTAL	4,988,373	3,128,590	2,363,761	(503,978)	5,231,029	2,667,236	2,052,009	511,784
9	ATHLETIC TRANSFER **	0			0	0			0
10	OTHER TRANSFERS ***	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	4,988,373	3,128,590	2,363,761	(503,978)	5,231,029	2,667,236	2,052,009	511,784

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Valet Parking, Parking, and Fitness Center

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>10,763</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>629</u>	Black Male:	<u>89</u>	Other Male:	<u>97</u>	Total Male: <u>815</u>
White Female:	<u>1644</u>	Black Female:	<u>480</u>	Other Female:	<u>211</u>	Total Female: <u>2,335</u>
Nonclassified Health Care Employees:						
White Male:	<u>779</u>	Black Male:	<u>227</u>	Other Male:	<u>201</u>	Total Male: <u>1,207</u>
White Female:	<u>2511</u>	Black Female:	<u>1178</u>	Other Female:	<u>355</u>	Total Female: <u>4,044</u>
Classified Employees:						
White Male:	<u>159</u>	Black Male:	<u>151</u>	Other Male:	<u>17</u>	Total Male: <u>327</u>
White Female:	<u>267</u>	Black Female:	<u>337</u>	Other Female:	<u>39</u>	Total Female: <u>643</u>
Faculty:						
White Male:	<u>528</u>	Black Male:	<u>23</u>	Other Male:	<u>178</u>	Total Male: <u>729</u>
White Female:	<u>481</u>	Black Female:	<u>41</u>	Other Female:	<u>141</u>	Total Female: <u>663</u>
Total White Male:	<u>2,095</u>	Total Black Male:	<u>490</u>	Total Other Male:	<u>493</u>	Total Male: <u>3,078</u>
Total White Female:	<u>4,903</u>	Total Black Female:	<u>2036</u>	Total Other Female:	<u>746</u>	Total Female: <u>7,685</u>
Total White:	<u>6,998</u>	Total Black:	<u>2,526</u>	Total Other:	<u>1,239</u>	Total Employees: <u>10,763</u>
				Total Minority:	<u>3,765</u>	

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
LR Markham Hotel	\$97,305				X			
Better Community Development	\$269,848	X						
21st Century Janitorial	\$276,211	X						
Plantation Services	\$51,775							X
Office Equip Ctr of America dba LaHarpe's Office 6000719	\$858,158							X
HOSRO, INC dba Daddy's Deli	\$65,602							X
Thompson Electric	\$475,624							X
OJ's Service Two	\$123,887							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 8

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$19,024,006
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 11.66%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF THE UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
June 30, 2019**

<i>Finding:</i>	No findings noted
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AUDIT OF UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
Audited by KPMG LLP
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	27,672,764		27,994,072		29,206,191		29,687,042		29,687,042	
2	CASH	40,999,386		68,480,349		68,480,349		68,480,349		68,480,349	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$68,672,150	609	\$96,474,421	597	\$97,686,540	883	\$98,167,391	883	\$98,167,391	883
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	25,567,663	37%	25,847,585	27%			27,047,648	28%	27,047,648	28%
14	EDUCATIONAL EXCELLENCE TRUST FUND	2,105,101	3%	2,146,487	2%			2,146,487	2%	2,146,487	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	23,647,325	34%	40,480,349	42%			40,480,349	41%	40,480,349	41%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	17,352,061	25%	28,000,000	29%			28,000,000	29%	28,000,000	29%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$68,672,150	100%	\$96,474,421	100%			\$97,674,484	100%	\$97,674,484	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$492,907		\$492,907	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$21,608,588
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,112,705
INVENTORIES	\$57,961
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$200,000
MAJOR CRITICAL SYSTEMS FAILURES	\$3,750,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,020,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$3,000,000
OTHER (FOOTNOTE BELOW)	\$3,290,318
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,177,604

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	RESEARCH	1,981,167	1,936,741	2,574,855	2,574,855
2	PUBLIC SERVICE	1,666,424	1,776,507	1,973,947	1,973,947
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$3,647,591	\$3,713,248	\$4,548,802	\$4,548,802
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	3,647,591	3,713,248	4,548,802	4,548,802
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$3,647,591	\$3,713,248	\$4,548,802	\$4,548,802

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION _____ 616

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	18,190,249	18,216,190	19,026,442	19,266,867	19,026,442
2	EXTRA HELP WAGES	1,043,188	1,043,188	1,043,188	1,043,188	1,043,188
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	4,798,327	4,945,472	5,165,446	5,165,446	5,165,446
5	OPERATING EXPENSES	3,341,000	3,439,222	3,621,115	3,861,541	3,621,115
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	296,893	350,000	350,000	350,000	350,000
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	SURETY PREMIUM	3,107				
11						
12						
13	TOTAL APPROPRIATION	\$27,672,764	\$27,994,072	\$29,206,191	\$29,687,042	\$29,206,191
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	25,567,663	25,847,585		27,047,648	27,047,648
16	EDUCATIONAL EXCELLENCE TRUST FUND	2,105,101	2,146,487		2,146,487	2,146,487
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$27,672,764	\$27,994,072		\$29,194,135	\$29,194,135
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$492,907	\$12,056

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION

B13

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	11,873,359	8,122,263	8,122,263	13,122,263	8,122,263
2	EXTRA HELP WAGES	1,946,489	1,815,986	1,815,986	2,815,986	1,815,986
3	OVERTIME	267,085	500,000	500,000	500,000	500,000
4	PERSONAL SERVICES MATCHING	2,863,558	4,235,857	4,235,857	4,235,857	4,235,857
5	OPERATING EXPENSES	12,261,960	18,273,367	18,273,367	18,273,367	18,273,367
6	CONFERENCE FEES & TRAVEL	454,766	776,093	776,093	776,093	776,093
7	PROFESSIONAL FEES AND SERVICES	2,341,541	4,440,232	4,440,232	4,440,232	4,440,232
8	CAPITAL OUTLAY	8,985,231	4,000,000	4,000,000	4,000,000	4,000,000
9	CAPITAL IMPROVEMENTS	0	23,380,829	23,380,829	17,380,829	23,380,829
10	DEBT SERVICE	0	2,802,223	2,802,223	2,802,223	2,802,223
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,180	20,000	20,000	20,000	20,000
12	PROMOTIONAL ITEMS	3,217	113,499	113,499	113,499	113,499
13						
14						
15						
16	TOTAL APPROPRIATION	\$40,999,386	\$68,480,349	\$68,480,349	\$68,480,349	\$68,480,349
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	21,632,774	23,190,534		23,190,534	23,190,534
19	ALL OTHER FEES	1,677,364	1,281,000		1,281,000	1,281,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	227,764	393,750		393,750	393,750
21	INVESTMENT INCOME	109,423	100,000		100,000	100,000
22	FEDERAL CASH FUNDS	17,352,061	28,000,000		28,000,000	28,000,000
23	OTHER CASH FUNDS		15,515,065		15,515,065	15,515,065
24	TOTAL INCOME	\$40,999,386	\$68,480,349		\$68,480,349	\$68,480,349
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	609	597	883	883	883	883
TOBACCO POSITIONS						
EXTRA HELP ***	469	469	924	924	924	924

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	3,467,341	7,481,037		(4,013,696)	4,539,546	6,586,669		(2,047,123)
2	HOUSING	5,892,456	2,667,768	1,495,660	1,729,028	4,868,000	3,190,227	1,418,176	259,597
3	FOOD SERVICES	4,942,110	3,548,850		1,393,260	4,561,411	3,550,000		1,011,411
4	STUDENT UNION	9	263,491		(263,482)		297,871		(297,871)
5	BOOKSTORE	100,832	2,208		98,624	135,000			135,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	314,712	948,827		(634,115)	224,500	607,960		(383,460)
8	SUBTOTAL	\$14,717,460	\$14,912,181	\$1,495,660	(\$1,690,381)	\$14,328,457	\$14,232,727	\$1,418,176	(\$1,322,446)
9	ATHLETIC TRANSFER**	1,174,254			1,174,254	1,138,234			1,138,234
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	123,012			123,012	184,212			184,212
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$16,014,726	\$14,912,181	\$1,495,660	(\$393,115)	\$15,650,903	\$14,232,727	\$1,418,176	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Income: transit fees, traffic fines, printing services, lease revenue and duplicate ID charges; Operating Expenses: facilities management auxiliary services, Information Technology, parking/auto registration services, homecoming and transit fees.

NOTE: Line 10 Other Transfers - Transfer from E&G to support Student Union.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>561</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	<u>45</u>	Other Male:	<u>1</u>	Total Male: <u>48</u>
White Female:	<u>2</u>	Black Female:	<u>57</u>	Other Female:	<u>0</u>	Total Female: <u>59</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>71</u>	Other Male:	<u>0</u>	Total Male: <u>76</u>
White Female:	<u>6</u>	Black Female:	<u>132</u>	Other Female:	<u>0</u>	Total Female: <u>138</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u>61</u>	Other Male:	<u>32</u>	Total Male: <u>124</u>
White Female:	<u>13</u>	Black Female:	<u>91</u>	Other Female:	<u>12</u>	Total Female: <u>116</u>
Total White Male:						<u>38</u>
Total White Female:						<u>21</u>
Total Black Male:						<u>177</u>
Total Black Female:						<u>280</u>
Total Other Male:						<u>33</u>
Total Other Female:						<u>12</u>
Total White:						<u>59</u>
Total Black:						<u>457</u>
Total Other:						<u>45</u>
Total Minority:						<u>502</u>
Total Employees:						<u>561</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2019

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS AT PINE BLUFF

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
ARKANSAS HUMAN DEVELOPMENT	\$62,900	X						
CALHOUN HEIGHTS COMMUNITY	\$68,381	X						
COALITION FOR A TOBACCO FREE	\$79,085	X						
FAMILY & YOUTH ENRICHMENT NETWORK	\$62,973	X						
FIRST PRESBYTERIAN CHILD CARE	\$56,502		X					
FUTURE BUILDERS, INC.	\$62,965	X						
GODDESS PRODUCTS INC.	\$142,621	X						
LEGACY INITIATIVES	\$59,853	X						
MOTHER GOOSE	\$50,544	X						
ST. FRANCIS HOUSE NWA, INC.	\$62,998		X					
THE DESIGN GROUP, LLC	\$451,519	X						
V FORCE SECURITY	\$278,640	X						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 12

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,804,382

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 25%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>In accordance with Ark. Code Ann. § 6-60-209, we performed tests of the student enrollment data for the year ended June 30, 2019, as reported to the Arkansas Department of Higher Education, to provide reasonable assurance that the data was properly reported. During our review, we noted the following items:</p> <ul style="list-style-type: none"> • In our review of supporting documentation for fifty students tested, one student which was reported as enrolled in two courses as of the eleventh class day for the Fall 2018 semester, was determined to have never attended.
<p><i>Institution's Response:</i></p>	<p>Per recommendation to the internal audit finding, to prevent the substance of this finding from reoccurring in the future and to ensure that faculty report students that are in attendance prior to the 11th day of instruction, each faculty member will be required to have students enrolled in their courses to complete at least one assignment prior to the 11th day of instruction and report only those students that have successfully submitted the assignment as attending. A memo to the deans, department chairs, and faculty will be sent to remind them of the aforementioned requirement.</p>

**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	58,960,831		59,635,056		60,789,064		61,798,155		61,798,155	
2	CASH	127,844,310		130,605,000		130,605,000		142,304,000		142,304,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$186,805,141	1,828	\$190,240,056	2,288	\$191,394,064	2,288	\$204,102,155	2,288	\$204,102,155	2,288
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	53,710,747	29%	54,301,814	29%			55,253,184	27%	55,253,184	27%
14	EDUCATIONAL EXCELLENCE TRUST FUND	5,230,412	3%	5,333,242	3%			5,333,242	3%	5,333,242	3%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	127,844,310	68%	130,605,000	69%			142,304,000	70%	142,304,000	70%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	19,672	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$186,805,141	100%	\$190,240,056	100%			\$202,890,426	100%	\$202,890,426	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$1,211,729		\$1,211,729	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$13,208,479
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,834,781
INVENTORIES	\$370,961
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,058,064
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,055,327)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION _____

310

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	54,163,237	55,000,000	55,000,000	56,000,000	55,000,000
2	EXTRA HELP WAGES	4,700,000	4,615,056	5,700,000	5,700,000	5,700,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	68,720		69,064	78,155	69,064
5	OPERATING EXPENSES	20,000	20,000	20,000	20,000	20,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	SURETY PREMIUM	8,874				
11						
12						
13	TOTAL APPROPRIATION	\$58,960,831	\$59,635,056	\$60,789,064	\$61,798,155	\$60,789,064
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	53,710,747	54,301,814		55,253,184	55,253,184
16	EDUCATIONAL EXCELLENCE TRUST FUND	5,230,412	5,333,242		5,333,242	5,333,242
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	19,672				
21	TOTAL INCOME	\$58,960,831	\$59,635,056		\$60,586,426	\$60,586,426
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$1,211,729	\$202,638

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2070000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION _____

A75

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	28,762,583	29,200,000	29,200,000	32,000,000	32,000,000
2	EXTRA HELP WAGES	422,133	1,480,000	1,480,000	1,600,000	1,600,000
3	OVERTIME	144,270	135,000	135,000	140,000	140,000
4	PERSONAL SERVICES MATCHING	24,859,258	25,800,000	25,800,000	28,300,000	28,300,000
5	OPERATING EXPENSES	43,497,212	41,100,000	41,100,000	45,000,000	45,000,000
6	CONFERENCE FEES & TRAVEL	1,315,505	1,450,000	1,450,000	1,590,000	1,590,000
7	PROFESSIONAL FEES AND SERVICES	2,414,621	5,500,000	5,500,000	5,700,000	5,700,000
8	CAPITAL OUTLAY	2,161,037	3,000,000	3,000,000	3,300,000	3,300,000
9	CAPITAL IMPROVEMENTS	11,812,500	9,900,000	9,900,000	10,800,000	10,800,000
10	DEBT SERVICE	12,116,857	12,700,000	12,700,000	13,500,000	13,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0			0	0
12	PROMOTIONAL ITEMS	338,335	340,000	340,000	374,000	374,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$127,844,310	\$130,605,000	\$130,605,000	\$142,304,000	\$142,304,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	87,757,227	90,714,545		88,000,000	88,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,710,432	1,518,694		2,500,000	2,500,000
21	INVESTMENT INCOME	1,729,982	976,291		1,300,000	1,300,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	36,646,669	37,395,470		50,504,000	50,504,000
24	TOTAL INCOME	\$127,844,310	\$130,605,000		\$142,304,000	\$142,304,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	1,828	2,288	2,288	2,288	2,288	2,288
TOBACCO POSITIONS						
EXTRA HELP ***	1,109	1,600	1,600	1,600	1,600	1,600

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	8,463,652	12,929,184	675,661	(5,141,193)	8,848,718	12,858,383	672,264	(4,681,929)
2	HOUSING	19,649,592	9,985,755	6,130,651	3,533,186	20,036,904	10,685,180	8,422,879	928,845
3	FOOD SERVICES	10,434,139	7,666,802		2,767,337	10,645,320	7,836,881		2,808,439
4	STUDENT UNION	1,261,164	1,030,299	227,246	3,619	1,322,500	1,253,496	69,004	0
5	BOOKSTORE	421,917	82,438		339,479	390,000	105,887		284,113
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	5,548,275	3,698,476	1,414,383	435,416	5,309,278	3,703,194	1,304,764	301,320
8	SUBTOTAL	\$45,778,739	\$35,392,954	\$8,447,941	\$1,937,844	\$46,552,720	\$36,443,021	\$10,468,911	(\$359,212)
9	ATHLETIC TRANSFER**	1,333,453			1,333,453	1,362,789			1,362,789
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(3,277,477)			(3,277,477)	(1,003,577)			(1,003,577)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$43,834,715	\$35,392,954	\$8,447,941	(\$6,180)	\$46,911,932	\$36,443,021	\$10,468,911	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Income and expenses related to Student Health, Radio Station, HPER, Farris Fields, Post Office, Access & Security.

NOTE: Line 10 "Other Transfers" - Represents transfers in and out to Auxiliary funds during the year, including intra-Auxiliary and transfers from E&G

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>1,460</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>148</u>	Black Male:	<u>18</u>	Other Male:	<u>9</u>	Total Male: <u>175</u>
White Female:	<u>154</u>	Black Female:	<u>25</u>	Other Female:	<u>15</u>	Total Female: <u>194</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>170</u>	Black Male:	<u>31</u>	Other Male:	<u>16</u>	Total Male: <u>217</u>
White Female:	<u>252</u>	Black Female:	<u>40</u>	Other Female:	<u>24</u>	Total Female: <u>316</u>
Faculty:						
White Male:	<u>211</u>	Black Male:	<u>10</u>	Other Male:	<u>45</u>	Total Male: <u>266</u>
White Female:	<u>249</u>	Black Female:	<u>14</u>	Other Female:	<u>29</u>	Total Female: <u>292</u>
Total White Male:	<u>529</u>	Total Black Male:	<u>59</u>	Total Other Male:	<u>70</u>	Total Male: <u>658</u>
Total White Female:	<u>655</u>	Total Black Female:	<u>79</u>	Total Other Female:	<u>68</u>	Total Female: <u>802</u>
Total White:	<u>1184</u>	Total Black:	<u>138</u>	Total Other:	<u>138</u>	Total Employees: <u>1,460</u>
				Total Minority:	<u>276</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2019

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF CENTRAL ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
BSW Advertising	\$132,124							X
Choice Promotions	\$284,912	X						
Faulkner Plumbing & Mechanical	\$79,700							X
Government Supply Service (GSS)	\$169,355	X					X	
King's Painting	\$97,038	X						
Software House International	\$346,592				X			
Sojourn Travel Consultant, Inc.	\$221,688		X					
Goddess Products Inc.	\$129,581	X						
Archway Graphic	\$84,104							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 9

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$9,400,681

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 9%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS
June 30, 2018**

<i>Finding:</i>	No findings noted
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COLLEGES

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,111,488		10,058,064		10,233,416		10,470,515		10,470,515	
2	CASH	10,158,824		26,428,000		26,428,000		26,428,000		26,428,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$20,270,312	139	\$36,486,064	223	\$36,661,416	299	\$36,898,515	299	\$36,898,515	299
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	8,577,052	42%	8,491,281	23%			8,698,428	24%	8,698,428	24%
14	EDUCATIONAL EXCELLENCE TRUST FUND	819,713	4%	835,829	2%			835,829	2%	835,829	2%
15	WORKFORCE 2000	710,435	4%	730,954	2%			730,954	2%	730,954	2%
16	CASH FUNDS	5,349,759	26%	7,122,169	20%			7,230,000	20%	7,230,000	20%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	4,809,065	24%	19,305,831	53%			19,198,000	52%	19,198,000	52%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	5,053	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$20,271,077	100%	\$36,486,064	100%			\$36,693,211	100%	\$36,693,211	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$765)		\$0				\$205,304		\$205,304	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$4,383,694
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$384,251
INVENTORIES	\$14,520
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$36,500
MAJOR CRITICAL SYSTEMS FAILURES	\$2,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,850,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$98,423

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION _____ 537

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	7,798,818	7,800,000	7,800,000	7,800,000	7,800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,816,059	1,624,648	1,800,000	1,800,000	1,800,000
5	OPERATING EXPENSES	421,611	558,416	558,416	795,515	558,416
6	CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	25,000	25,000	25,000	25,000	25,000
8	CAPITAL OUTLAY	25,000	25,000	25,000	25,000	25,000
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$10,111,488	\$10,058,064	\$10,233,416	\$10,470,515	\$10,233,416
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	8,577,052	8,491,281		8,698,428	8,698,428
16	EDUCATIONAL EXCELLENCE TRUST FUND	819,713	835,829		835,829	835,829
17	SPECIAL REVENUES * [WF2000]	710,435	730,954		730,954	730,954
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	5,053				
21	TOTAL INCOME	\$10,112,253	\$10,058,064		\$10,265,211	\$10,265,211
22	EXCESS (FUNDING)/APPROPRIATION	(\$765)	\$0		\$205,304	(\$31,795)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Tuition Adjustment

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION

B04

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	117,700	1,500,000	1,500,000	1,500,000	1,500,000
2	EXTRA HELP WAGES	688,932	900,000	900,000	1,000,000	900,000
3	OVERTIME	4,611	28,000	28,000	28,000	28,000
4	PERSONAL SERVICES MATCHING	1,271,733	800,000	800,000	2,500,000	800,000
5	OPERATING EXPENSES	4,949,352	6,000,000	6,000,000	8,000,000	6,000,000
6	CONFERENCE FEES & TRAVEL	141,536	400,000	400,000	500,000	400,000
7	PROFESSIONAL FEES AND SERVICES	219,643	750,000	750,000	800,000	750,000
8	CAPITAL OUTLAY	2,388,663	10,000,000	10,000,000	6,500,000	10,000,000
9	CAPITAL IMPROVEMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000
10	DEBT SERVICE	266,536	1,500,000	1,500,000	1,000,000	1,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	400,000	400,000	400,000	400,000
12	PROMOTIONAL ITEMS	110,117	150,000	150,000	200,000	150,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$10,158,824	\$26,428,000	\$26,428,000	\$26,428,000	\$26,428,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,259,256	2,358,249		2,400,000	2,400,000
19	ALL OTHER FEES	405,238	454,000		460,000	460,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,035,806	1,164,920		1,200,000	1,200,000
21	INVESTMENT INCOME	48,200	45,000		70,000	70,000
22	FEDERAL CASH FUNDS	4,809,065	19,305,831		19,198,000	19,198,000
23	OTHER CASH FUNDS	1,601,259	3,100,000		3,100,000	3,100,000
24	TOTAL INCOME	\$10,158,824	\$26,428,000		\$26,428,000	\$26,428,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	139	223	299	299	299	299
TOBACCO POSITIONS						
EXTRA HELP ***	73	192	521	521	521	521

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	75,282	114,115		(38,833)	63,500	104,261		(40,761)
4	STUDENT UNION				0				0
5	BOOKSTORE	28,971			28,971	32,500			32,500
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	10,185	53,672		(43,487)		52,829		(52,829)
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$114,438	\$167,787	\$0	(\$53,349)	\$96,000	\$157,090	\$0	(\$61,090)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	140,000			140,000	140,000			140,000
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$254,438	\$167,787	\$0	\$86,651	\$236,000	\$157,090	\$0	\$78,910

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transferred from Unrestricted E&G to support student auxiliaries/organizations

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>160</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>19</u>
White Female:	<u>34</u>	Black Female:	<u>13</u>	Other Female:	<u>1</u>	Total Female: <u>48</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>19</u>	Black Female:	<u>9</u>	Other Female:	<u>4</u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>19</u>	Black Male:	<u>1</u>	Other Male:	<u>2</u>	Total Male: <u>22</u>
White Female:	<u>26</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>26</u>
Total White Male:						<u>45</u>
Total White Female:						<u>79</u>
Total Black Male:						<u>7</u>
Total Black Female:						<u>22</u>
Total Other Male:						<u>2</u>
Total Other Female:						<u>5</u>
Total White:						<u>124</u>
Total Black:						<u>29</u>
Total Other:						<u>7</u>
Total Minority:						<u>36</u>
Total Employees:						<u>160</u>

FORM FR-6

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
June 30, 2018

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent misstatements in the financial statements. Misstatements discovered during the course of the audit included:</p> <ul style="list-style-type: none"> • On the Statement of Cash Flows, payments to suppliers were understated and purchases of capital assets were overstated by \$217,674 due to misclassification of accounts payable. • In the Notes to Financial Statements – Disaggregation of Receivable and Payable Balances, other receivables for reimbursements of expenditures from federal and local grants and contracts were overstated and miscellaneous items were understated by \$92,170 due to a misclassification. <p>The financial statements and notes to financial statements were corrected by College personnel during the audit.</p>
<p><i>Institution's Response:</i></p>	<p>We will address each of the audit findings in our audit to ensure compliance and prevent reoccurrence by:</p> <ol style="list-style-type: none"> 1. Diligently reviewing classifications on the Statement of Cash Flows to ensure all accounts are properly classified to protect against misstatements. 2. Diligently reviewing the Notes to the Financial Statements to ensure all notes are an accurate description of the balances on the financial statements and are properly classified. The notes for accounts receivable will be properly disaggregated in the future.

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	15,316,233		14,399,055		14,643,963		14,504,510		14,504,510	
2	CASH	15,505,334		39,779,763		39,779,763		39,779,763		39,779,763	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$30,821,567	541	\$54,178,818	254	\$54,423,726	541	\$54,284,273	541	\$54,284,273	541
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	12,901,624	42%	11,929,786	22%			11,750,839	22%	11,750,839	22%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,635,176	5%	1,667,324	3%			1,667,324	3%	1,667,324	3%
15	WORKFORCE 2000	779,433	3%	801,945	1%			801,945	1%	801,945	1%
16	CASH FUNDS	15,505,334	50%	39,779,763	73%			39,779,763	74%	39,779,763	74%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$30,821,567	100%	\$54,178,818	100%			\$53,999,871	100%	\$53,999,871	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$284,402		\$284,402	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$12,171,682
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,243,562
INVENTORIES	\$62,456
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,149,935
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$321,082
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$7,369,647

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE

APPROPRIATION

A71

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	1,346,325	5,000,000	5,000,000	5,000,000	5,000,000
2	EXTRA HELP WAGES	465,602	783,145	783,145	783,145	783,145
3	OVERTIME	0	25,000	25,000	25,000	25,000
4	PERSONAL SERVICES MATCHING	2,310,041	2,784,020	2,784,020	2,784,020	2,784,020
5	OPERATING EXPENSES	7,010,646	10,000,000	10,000,000	10,000,000	10,000,000
6	CONFERENCE FEES & TRAVEL	233,213	233,732	233,732	233,732	233,732
7	PROFESSIONAL FEES AND SERVICES	187,271	500,000	500,000	500,000	500,000
8	CAPITAL OUTLAY	672,025	853,866	853,866	853,866	853,866
9	CAPITAL IMPROVEMENTS	0	15,000,000	15,000,000	15,000,000	15,000,000
10	DEBT SERVICE	2,567,399	3,000,000	3,000,000	3,000,000	3,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	658,996	1,500,000	1,500,000	1,500,000	1,500,000
12	PROMOTIONAL ITEMS	53,814	100,000	100,000	100,000	100,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$15,505,334	\$39,779,763	\$39,779,763	\$39,779,763	\$39,779,763
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	9,632,051	9,945,394		9,945,394	9,945,394
19	ALL OTHER FEES	2,667	800		800	800
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	90,910	47,500		47,500	47,500
21	INVESTMENT INCOME	263,113	95,500		95,500	95,500
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	5,516,593	29,690,569		29,690,569	29,690,569
24	TOTAL INCOME	\$15,505,334	\$39,779,763		\$39,779,763	\$39,779,763
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	541	254	541	541	541	541
TOBACCO POSITIONS						
EXTRA HELP ***	225	225	225	225	225	225

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - BEEBE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING	775,186	397,726	488,480	(111,020)	835,008	415,792	493,622	(74,406)
3	FOOD SERVICES	398,072	526,794		(128,722)	560,950	560,100		850
4	STUDENT UNION	164,448	161,724	100,002	(97,278)	168,577	167,301	96,378	(95,102)
5	BOOKSTORE	777,769	786,571		(8,802)	872,334	785,669		86,665
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	26,486			26,486	26,700			26,700
8	SUBTOTAL	\$2,141,961	\$1,872,815	\$588,482	(\$319,336)	\$2,463,569	\$1,928,862	\$590,000	(\$55,293)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(21,034)			(21,034)	55,293			55,293
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$2,120,927	\$1,872,815	\$588,482	(\$340,370)	\$2,518,862	\$1,928,862	\$590,000	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Rental and Copier fees, Vending Income

NOTE: Line 10 Other Transfers: Transfers from E&G netted with Transfers to Deferred Maintenance

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>273</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>24</u>	Black Male:	<u>1</u>	Other Male:	<u>3</u>	Total Male: <u>28</u>
White Female:	<u>42</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>44</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>33</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total Male: <u>37</u>
White Female:	<u>51</u>	Black Female:	<u>2</u>	Other Female:	<u>1</u>	Total Female: <u>54</u>
Faculty:						
White Male:	<u>48</u>	Black Male:	<u>2</u>	Other Male:	<u>3</u>	Total Male: <u>53</u>
White Female:	<u>55</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>57</u>
Total White Male:	<u>105</u>	Total Black Male:	<u>6</u>	Total Other Male:	<u>7</u>	Total Male: <u>118</u>
Total White Female:	<u>148</u>	Total Black Female:	<u>5</u>	Total Other Female:	<u>2</u>	Total Female: <u>155</u>
Total White:	<u>253</u>	Total Black:	<u>11</u>	Total Other:	<u>9</u>	Total Employees: <u>273</u>
				Total Minority:	<u>20</u>	

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$788,603
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,542,755		4,664,755		4,747,486		4,597,845		4,597,845	
2	CASH	4,427,832		11,722,231		11,722,231		12,891,954		12,891,954	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$8,970,587	136	\$16,386,986	126	\$16,469,717	198	\$17,489,799	198	\$17,489,799	198
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,741,956	42%	3,840,826	23%			3,683,763	21%	3,683,763	21%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	800,799	9%	823,929	5%			823,929	5%	823,929	5%
16	CASH FUNDS	4,427,832	49%	11,722,231	72%			12,891,954	74%	12,891,954	74%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$8,970,586	100%	\$16,386,986	100%			\$17,399,646	100%	\$17,399,646	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$90,153		\$90,153	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,879,127
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$872,315
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$95,000
MAJOR CRITICAL SYSTEMS FAILURES	\$375,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,450,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$800,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$286,812

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

APPROPRIATION _____ 771

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	4,403,059	4,441,252	4,441,252	4,447,845	4,441,252
2	EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	100,000	173,503	256,234	100,000	256,234
5	OPERATING EXPENSES	12,227	25,000	25,000	25,000	25,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	1,969				
11	CLAIMS	500				
12						
13	TOTAL APPROPRIATION	\$4,542,755	\$4,664,755	\$4,747,486	\$4,597,845	\$4,747,486
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,741,956	3,840,826		3,683,763	3,683,763
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	800,799	823,929		823,929	823,929
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$4,542,755	\$4,664,755		\$4,507,692	\$4,507,692
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$90,153	\$239,794

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

APPROPRIATION _____

B80

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	1,076,465	1,008,000	1,008,000	2,656,500	1,108,800
2	EXTRA HELP WAGES	362,178	650,000	650,000	715,000	715,000
3	OVERTIME	0				
4	PERSONAL SERVICES MATCHING	57,754	2,415,000	2,415,000	1,108,800	2,656,500
5	OPERATING EXPENSES	2,301,652	3,770,000	3,770,000	4,147,000	4,147,000
6	CONFERENCE FEES & TRAVEL	49,900	100,000	100,000	110,000	110,000
7	PROFESSIONAL FEES AND SERVICES	50,935	280,000	280,000	308,000	308,000
8	CAPITAL OUTLAY	13,747	700,000	700,000	770,000	770,000
9	CAPITAL IMPROVEMENTS	0	1,620,000	1,620,000	1,782,000	1,782,000
10	DEBT SERVICE	504,305	1,154,231	1,154,231	1,269,654	1,269,654
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	250	0	0	0	0
12	PROMOTIONAL ITEMS	10,646	25,000	25,000	25,000	25,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,427,832	\$11,722,231	\$11,722,231	\$12,891,954	\$12,891,954
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,499,962	3,570,727		3,570,727	3,570,727
19	ALL OTHER FEES	675,195	606,462		606,462	606,462
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	28,127	80,000		80,000	80,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	224,548	7,465,042		8,634,765	8,634,765
24	TOTAL INCOME	\$4,427,832	\$11,722,231		\$12,891,954	\$12,891,954
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	136	126	198	198	198	198
TOBACCO POSITIONS						
EXTRA HELP ***	35	20	70	70	70	70

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE	562,531	457,529		105,002	105,000	0		105,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	48,562	25,160		23,402	160,000	160,000		0
8	SUBTOTAL	\$611,093	\$482,689	\$0	\$128,404	\$265,000	\$160,000	\$0	\$105,000
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	(50,000)			(50,000)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$611,093	\$482,689	\$0	\$128,404	\$215,000	\$160,000	\$0	\$55,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note: Line 7 Other - Sheid Venue Revenue and Student Auxiliary Expenses (VA/Disk Golf)

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>136</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>10</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>10</u>
White Female:	<u>16</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>13</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>16</u>	Black Female:	<u> </u>	Other Female:	<u>1</u>	Total Female: <u>17</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>31</u>
White Female:	<u>46</u>	Black Female:	<u> </u>	Other Female:	<u>2</u>	Total Female: <u>48</u>
Total White Male:						<u>54</u>
Total White Female:						<u>78</u>
Total Black Male:						<u>0</u>
Total Black Female:						<u>0</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>3</u>
Total White:						<u>132</u>
Total Black:						<u>0</u>
Total Other:						<u>4</u>
Total Minority:						<u>4</u>
Total Employees:						<u>136</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,086,171		6,182,690		6,231,052		6,357,964		6,357,964	
2	CASH	9,322,439		25,000,000		25,000,000		25,000,000		25,000,000	
3	STATE TREASURY - ADTEC	1,500,000		1,527,000		1,527,000		1,554,486		1,554,486	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$16,908,610	174	\$32,709,690	177	\$32,758,052	306	\$32,912,450	306	\$32,912,450	306
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	46,276	0%			0	0%	0	0%
13	GENERAL REVENUE	5,438,447	32%	5,472,500	17%			5,596,870	17%	5,596,870	17%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,129,409	13%	2,190,914	7%			2,190,914	7%	2,190,914	7%
16	CASH FUNDS	6,774,591	40%	15,000,000	46%			15,000,000	46%	15,000,000	46%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,547,848	15%	10,000,000	31%			10,000,000	30%	10,000,000	30%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	64,591	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$16,954,886	100%	\$32,709,690	100%			\$32,787,784	100%	\$32,787,784	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$46,276)		\$0				\$124,666		\$124,666	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$5,286,179
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$163,744
INVENTORIES	\$17,610
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,247,781
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,857,044

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ADTEC/UNIVERSITY CENTER

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	740,766	754,100	767,674	767,674
2	PUBLIC SERVICE	245,708	250,130	254,633	254,633
3	ACADEMIC SUPPORT	312,420	318,045	323,768	323,768
4	INSTITUTIONAL SUPPORT	201,106	204,725	208,411	208,411
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$1,500,000	\$1,527,000	\$1,554,486	\$1,554,486
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	1,500,000	1,527,000	1,554,486	1,554,486
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$1,500,000	\$1,527,000	\$1,554,486	\$1,554,486

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTM0000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION _____ 109

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	4,151,821	4,711,052	4,711,052	4,787,964	4,711,052
2	EXTRA HELP WAGES	305,904	315,362	320,000	320,000	320,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,197,545	1,156,276	1,200,000	1,250,000	1,200,000
5	OPERATING EXPENSES	400,000				
6	CONFERENCE FEES & TRAVEL	30,000				
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	901				
11						
12						
13	TOTAL APPROPRIATION	\$6,086,171	\$6,182,690	\$6,231,052	\$6,357,964	\$6,231,052
14	PRIOR YEAR FUND BALANCE**		46,276			
15	GENERAL REVENUE	3,938,447	3,945,500		4,042,384	4,042,384
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,129,409	2,190,914		2,190,914	2,190,914
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	64,591				
21	TOTAL INCOME	\$6,132,447	\$6,182,690		\$6,233,298	\$6,233,298
22	EXCESS (FUNDING)/APPROPRIATION	(\$46,276)	\$0		\$124,666	(\$2,246)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - Southland Greyhound Charity Days funds

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTM0000

INSTITUTION ADTEC/UNIVERSITY CENTER

APPROPRIATION _____

83F

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	267,423	237,000			
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	95,390	50,000			
5	OPERATING EXPENSES	30,765	30,000			
6	CONFERENCE FEES & TRAVEL	7,500	10,000			
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADTEC/UNIVERSITY CENTER PARTNERS	1,098,922	1,200,000	1,527,000	1,554,486	1,527,000
11						
12						
13	TOTAL APPROPRIATION	\$1,500,000	\$1,527,000	\$1,527,000	\$1,554,486	\$1,527,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,500,000	1,527,000		1,554,486	1,554,486
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$1,500,000	\$1,527,000		\$1,554,486	\$1,554,486
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$27,486)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

ADTEC Allocations	2018-2019	2019-2020	2020-2021	2020-2021
(1) ARKANSAS NORTHEASTERN COLLEGE	181,650	159,909	162,787	162,787
(2) ARKANSAS STATE UNIVERSITY NEWPORT	181,988	159,909	162,787	162,787
(3) EAST ARKANSAS COMMUNITY COLLEGE	237,973	209,275	213,042	213,042
(4) ARKANSAS STATE UNIVERSITY MID-SOUTH	401,078	408,297	415,646	415,646
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	210,114	184,981	188,311	188,311
(6) ARKANSAS STATE UNIVERSITY JONESBORO	224,593	313,377	319,018	319,018
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	62,604	91,252	92,895	92,895
TOTAL AMOUNT ALLOCATED	1,500,000	1,527,000	1,554,486	1,554,486

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2810000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION

D03

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	2,325,009	7,500,000	7,500,000	7,500,000	7,500,000
2	EXTRA HELP WAGES	263,846	600,000	600,000	600,000	600,000
3	OVERTIME	0				
4	PERSONAL SERVICES MATCHING	810,121	2,500,000	2,500,000	2,500,000	2,500,000
5	OPERATING EXPENSES	2,342,071	4,500,000	4,500,000	4,500,000	4,500,000
6	CONFERENCE FEES & TRAVEL	102,303	300,000	300,000	300,000	300,000
7	PROFESSIONAL FEES AND SERVICES	2,088,964	2,000,000	2,000,000	2,000,000	2,000,000
8	CAPITAL OUTLAY	197,442	500,000	500,000	500,000	500,000
9	CAPITAL IMPROVEMENTS	122,806	5,000,000	5,000,000	5,000,000	5,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	51,789	100,000	100,000	100,000	100,000
13	SUBAWARDEE GRANTS AND AID	1,018,088	2,000,000	2,000,000	2,000,000	2,000,000
14						
15						
16	TOTAL APPROPRIATION	\$9,322,439	\$25,000,000	\$25,000,000	\$25,000,000	\$25,000,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,291,033	5,000,000		5,000,000	5,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	419,359	650,000		650,000	650,000
22	FEDERAL CASH FUNDS	2,547,848	10,000,000		10,000,000	10,000,000
23	OTHER CASH FUNDS	3,064,199	9,350,000		9,350,000	9,350,000
24	TOTAL INCOME	\$9,322,439	\$25,000,000		\$25,000,000	\$25,000,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	174	177	306	306	306	306
TOBACCO POSITIONS						
EXTRA HELP ***	51	31	200	200	200	200

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY MID-SOUTH
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	27,923	185,668		(157,745)	28,000	208,000		(180,000)
2	HOUSING				0				0
3	FOOD SERVICES	79,835	111,042		(31,207)	78,500	148,500		(70,000)
4	STUDENT UNION				0				0
5	BOOKSTORE	42,135			42,135	60,000			60,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	4,541			4,541	10,000			10,000
8	SUBTOTAL	\$154,434	\$296,710	\$0	(\$142,276)	\$176,500	\$356,500	\$0	(\$180,000)
9	ATHLETIC TRANSFER**	157,745			157,745	180,000			180,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$312,179	\$296,710	\$0	\$15,469	\$356,500	\$356,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note: Line 7 Other - Vending Income

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MID-SOUTH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>207</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>15</u>	Black Male:	<u>6</u>	Other Male:	<u>1</u>	Total Male: <u>22</u>
White Female:	<u>26</u>	Black Female:	<u>15</u>	Other Female:	<u> </u>	Total Female: <u>41</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>3</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>	Total Male: <u>5</u>
White Female:	<u>15</u>	Black Female:	<u>6</u>	Other Female:	<u>1</u>	Total Female: <u>22</u>
Faculty:						
White Male:	<u>40</u>	Black Male:	<u>10</u>	Other Male:	<u>6</u>	Total Male: <u>56</u>
White Female:	<u>33</u>	Black Female:	<u>27</u>	Other Female:	<u>1</u>	Total Female: <u>61</u>
Total White Male:						<u>58</u>
Total White Female:						<u>74</u>
Total Black Male:						<u>18</u>
Total Black Female:						<u>48</u>
Total Other Male:						<u>7</u>
Total Other Female:						<u>2</u>
Total White:						<u>132</u>
Total Black:						<u>66</u>
Total Other:						<u>9</u>
Total Minority:						<u>75</u>
Total Employees:						<u>207</u>

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY MID-SOUTH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$56,999
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
ARKANSAS STATE UNIVERSITY MID-SOUTH
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	8,098,995		8,391,250		8,540,898		8,379,967		8,379,967	
2	CASH	10,373,592		25,585,000		25,585,000		26,730,000		26,730,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$18,472,587	246	\$33,976,250	341	\$34,125,898	341	\$35,109,967	341	\$35,109,967	341
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	6,721,164	36%	6,973,622	21%			6,798,025	19%	6,798,025	19%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,377,831	7%	1,417,628	4%			1,417,628	4%	1,417,628	4%
16	CASH FUNDS	10,174,688	55%	23,185,000	68%			24,330,000	70%	24,330,000	70%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	198,904	1%	2,400,000	7%			2,400,000	7%	2,400,000	7%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$18,472,587	100%	\$33,976,250	100%			\$34,945,653	100%	\$34,945,653	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$164,314		\$164,314	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$2,363,060
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$500,000
INVENTORIES	\$454,274
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,041,214)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	5,796,164	5,543,729	5,543,729	5,543,729	5,543,729
2	EXTRA HELP WAGES	150,000	150,000	150,000	150,000	150,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	500,000	500,000	500,000	500,000
5	OPERATING EXPENSES	2,126,652	2,172,521	2,322,169	2,161,238	2,322,169
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000
10	SURETY PREMIUM	1,179				
11						
12						
13	TOTAL APPROPRIATION	\$8,098,995	\$8,391,250	\$8,540,898	\$8,379,967	\$8,540,898
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	6,721,164	6,973,622		6,798,025	6,798,025
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,377,831	1,417,628		1,417,628	1,417,628
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$8,098,995	\$8,391,250		\$8,215,653	\$8,215,653
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$164,314	\$325,245

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION _____

B77

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	3,462,920	5,500,000	5,500,000	5,700,000	5,700,000
2	EXTRA HELP WAGES	280,992	275,000	275,000	300,000	300,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,501,409	1,800,000	1,800,000	1,800,000	1,800,000
5	OPERATING EXPENSES	1,974,938	3,500,000	3,500,000	4,000,000	4,000,000
6	CONFERENCE FEES & TRAVEL	253,346	150,000	150,000	425,000	165,000
7	PROFESSIONAL FEES AND SERVICES	65,773	950,000	950,000	950,000	950,000
8	CAPITAL OUTLAY	265,131	3,500,000	3,500,000	3,500,000	3,500,000
9	CAPITAL IMPROVEMENTS	855,605	8,750,000	8,750,000	8,740,000	9,000,000
10	DEBT SERVICE	696,763	1,100,000	1,100,000	1,250,000	1,250,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,434	20,000	20,000	20,000	20,000
12	PROMOTIONAL ITEMS	15,281	40,000	40,000	45,000	45,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$10,373,592	\$25,585,000	\$25,585,000	\$26,730,000	\$26,730,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	8,137,117	8,300,000		7,425,000	7,425,000
19	ALL OTHER FEES	1,195,683	1,197,000		1,180,000	1,180,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	43,025	36,140		25,000	25,000
21	INVESTMENT INCOME	95,879	25,000		45,000	45,000
22	FEDERAL CASH FUNDS	198,904	2,400,000		2,400,000	2,400,000
23	OTHER CASH FUNDS	702,984	13,626,860		15,655,000	15,655,000
24	TOTAL INCOME	\$10,373,592	\$25,585,000		\$26,730,000	\$26,730,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	246	341	341	341	341	341
TOBACCO POSITIONS						
EXTRA HELP ***	60	60	60	60	60	60

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	203,511	256,796		(53,285)	195,000	236,106		(41,106)
4	STUDENT UNION				0				0
5	BOOKSTORE	629,078	607,986		21,092	675,000	490,508		184,492
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	14,325			14,325	12,000			12,000
8	SUBTOTAL	\$846,914	\$864,782	\$0	(\$17,868)	\$882,000	\$726,614	\$0	\$155,386
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$846,914	\$864,782	\$0	(\$17,868)	\$882,000	\$726,614	\$0	\$155,386

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Vending Revenues

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>225</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>17</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>18</u>
White Female:	<u>23</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>24</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>10</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>13</u>
White Female:	<u>23</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>27</u>
Faculty:						
White Male:	<u>52</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>55</u>
White Female:	<u>81</u>	Black Female:	<u>6</u>	Other Female:	<u>1</u>	Total Female: <u>88</u>
Total White Male:						<u>79</u>
Total White Female:						<u>127</u>
Total Black Male:						<u>5</u>
Total Black Female:						<u>11</u>
Total Other Male:						<u>2</u>
Total Other Female:						<u>1</u>
Total White:						<u>206</u>
Total Black:						<u>16</u>
Total Other:						<u>3</u>
Total Minority:						<u>19</u>
Total Employees:						<u>225</u>

FORM FR-6

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT
June 30, 2019**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	8,304,099		8,297,590		8,434,755		8,370,940		8,370,940	
2	CASH	6,340,225		42,924,800		42,924,800		42,924,800		42,924,800	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$14,644,324	201	\$51,222,390	201	\$51,359,555	252	\$51,295,740	252	\$51,295,740	252
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	6,113,516	42%	6,052,381	12%			5,961,595	12%	5,961,595	12%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,182,180	15%	2,245,209	4%			2,245,209	4%	2,245,209	4%
16	CASH FUNDS	5,687,509	39%	41,424,800	81%			41,424,800	81%	41,424,800	81%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	652,716	4%	1,500,000	3%			1,500,000	3%	1,500,000	3%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	8,403	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$14,644,324	100%	\$51,222,390	100%			\$51,131,604	100%	\$51,131,604	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$164,136		\$164,136	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$5,607,801
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$122,986
INVENTORIES	\$43,957
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$101,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,739,369
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,100,489

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	6,113,516	6,115,410	6,252,575	6,125,731	6,252,575
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,172,180	2,172,180	2,172,180	2,235,209	2,172,180
5	OPERATING EXPENSES	17,506	10,000	10,000	10,000	10,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	SURETY PREMIUM	897				
11						
12						
13	TOTAL APPROPRIATION	\$8,304,099	\$8,297,590	\$8,434,755	\$8,370,940	\$8,434,755
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	6,113,516	6,052,381		5,961,595	5,961,595
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,182,180	2,245,209		2,245,209	2,245,209
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	8,403				
21	TOTAL INCOME	\$8,304,099	\$8,297,590		\$8,206,804	\$8,206,804
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$164,136	\$227,951

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Tuition Reimbursement Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION

B51

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	1,226,142	12,000,000	12,000,000	12,000,000	12,000,000
2	EXTRA HELP WAGES	218,076	1,000,000	1,000,000	1,000,000	1,000,000
3	OVERTIME	0	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	268,150	4,000,000	4,000,000	4,000,000	4,000,000
5	OPERATING EXPENSES	2,875,218	12,000,000	12,000,000	12,000,000	12,000,000
6	CONFERENCE FEES & TRAVEL	96,812	250,000	250,000	250,000	250,000
7	PROFESSIONAL FEES AND SERVICES	635,314	2,701,000	2,701,000	2,701,000	2,701,000
8	CAPITAL OUTLAY	335,907	5,000,000	5,000,000	5,000,000	5,000,000
9	CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000
10	DEBT SERVICE	658,494	713,880	713,880	713,880	713,880
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	169,920	169,920	169,920	169,920
12	PROMOTIONAL ITEMS	26,112	80,000	80,000	80,000	80,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$6,340,225	\$42,924,800	\$42,924,800	\$42,924,800	\$42,924,800
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	4,953,473	5,200,000		5,200,000	5,200,000
19	ALL OTHER FEES	239,340	75,000		75,000	75,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	73,389	52,000		52,000	52,000
22	FEDERAL CASH FUNDS	652,716	1,500,000		1,500,000	1,500,000
23	OTHER CASH FUNDS	421,307	36,097,800		36,097,800	36,097,800
24	TOTAL INCOME	\$6,340,225	\$42,924,800		\$42,924,800	\$42,924,800
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	201	201	252	252	252	252
TOBACCO POSITIONS						
EXTRA HELP ***	35	50	75	75	75	75

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	72,747	175,869		(103,122)	75,000	160,000		(85,000)
4	STUDENT UNION				0				0
5	BOOKSTORE	130,959	202,238		(71,279)	130,000	200,000		(70,000)
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	1,917	2,332		(415)	2,000	2,000		0
8	SUBTOTAL	\$205,623	\$380,439	\$0	(\$174,816)	\$207,000	\$362,000	\$0	(\$155,000)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$205,623	\$380,439	\$0	(\$174,816)	\$207,000	\$362,000	\$0	(\$155,000)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>157</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>10</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>10</u>
White Female:	<u>39</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>39</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>15</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>15</u>
White Female:	<u>30</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>30</u>
Faculty:						
White Male:	<u>22</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>22</u>
White Female:	<u>40</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>41</u>
Total White Male:						<u>47</u>
Total White Female:						<u>109</u>
Total Black Male:						<u>0</u>
Total Black Female:						<u>1</u>
Total Other Male:						<u>0</u>
Total Other Female:						<u>0</u>
Total White:						<u>156</u>
Total Black:						<u>1</u>
Total Other:						<u>0</u>
Total Minority:						<u>1</u>
Total Employees:						<u>157</u>

FORM FR-6

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF BLACK RIVER TECHNICAL COLLEGE
 June 30, 2018**

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Revenues, Expenses, and Changes in Net Position, the Statement of Cash Flows, and the Required Supplementary Information included:</p> <p>Statement of Revenues, Expenses, and Changes in Net Position and Statement of Cash Flows</p> <p>On the Statement of Revenues, Expenses, and Changes in Net Position and the Statement of Cash Flows, student tuition and fees (net of scholarship discounts and allowances) and scholarships and fellowships expense were overstated by \$590,693 due to the omission of Pell financial aid applied to fees from the scholarship allowance. Also, bookstore revenues (net of scholarship discounts and allowances) and scholarships and fellowships expense were understated by \$9,586 due to a clerical error.</p> <p>On the Statement of Revenues, Expenses, and Changes in Net Position, non-operating federal grants and contracts were understated and capital gifts were overstated by \$10,500 due to the misclassification of equipment obtained from the Federal government.</p> <p>On the Statement of Cash Flows, cash inflows from the bookstore were understated and payments to suppliers were overstated in the amount of \$7,475 due to the misclassification of bookstore commission receivable. Also, payments to suppliers were overstated and purchases of capital assets were understated in the amount of \$24,753 due to the misclassification of noncash gifts.</p> <p>Required Supplementary Information</p> <p>Covered payroll for the Arkansas Teacher Retirement System was understated by \$50,743 due to the omission of employees participating in the Teacher Deferred Retirement Option Plan (T-DROP).</p> <p>The financial statements and required supplementary information were corrected by College personnel during the audit.</p>
<p><i>Institution's Response:</i></p>	<p>Management recognizes the responsibility to present accurate financial statements and will continue to improve internal control processes to detect and prevent errors.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	5,028,601		4,962,939		5,044,885		4,922,904		4,922,904	
2	CASH	7,763,930		12,200,000		12,200,000		13,500,000		13,500,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$12,792,531	172	\$17,162,939	174	\$17,244,885	200	\$18,422,904	200	\$18,422,904	200
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,716,038	29%	3,612,602	21%			3,476,039	19%	3,476,039	19%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,312,430	10%	1,350,337	8%			1,350,337	7%	1,350,337	7%
16	CASH FUNDS	4,923,672	38%	7,240,000	42%			8,450,000	46%	8,450,000	46%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,938,355	23%	4,960,000	29%			5,050,000	28%	5,050,000	28%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	133	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$12,890,628	100%	\$17,162,939	100%			\$18,326,376	100%	\$18,326,376	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$98,097)		\$0				\$96,528		\$96,528	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,647,803
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,256,649
INVENTORIES	\$140,273
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$425,000
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$675,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,503,200
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$220,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$622,319)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

COSSATOT COMMUNITY COLLEGE OF

FUND CTC0000

INSTITUTION THE UNIVERSITY OF ARKANSAS

APPROPRIATION _____

705

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	3,381,274	3,322,602	3,232,000	3,102,000	3,232,000
2	EXTRA HELP WAGES	34,000	30,000	37,000	35,000	37,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	652,430	635,000	838,500	970,904	838,500
5	OPERATING EXPENSES	945,000	960,337	921,000	800,000	921,000
6	CONFERENCE FEES & TRAVEL	15,000	15,000	16,385	15,000	16,385
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	SURETY PREMIUM	897				
10						
11						
12						
13	TOTAL APPROPRIATION	\$5,028,601	\$4,962,939	\$5,044,885	\$4,922,904	\$5,044,885
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,716,038	3,612,602		3,476,039	3,476,039
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,312,430	1,350,337		1,350,337	1,350,337
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	133				
21	TOTAL INCOME	\$5,028,601	\$4,962,939		\$4,826,376	\$4,826,376
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$96,528	\$218,509

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION

B52

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	3,082,095	4,250,000	4,250,000	4,550,000	4,250,000
2	EXTRA HELP WAGES	194,264	250,000	250,000	250,000	250,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,248,276	500,000	500,000	1,500,000	500,000
5	OPERATING EXPENSES	2,436,937	4,500,000	4,500,000	4,500,000	4,500,000
6	CONFERENCE FEES & TRAVEL	88,371	200,000	200,000	200,000	200,000
7	PROFESSIONAL FEES AND SERVICES	77,363	200,000	200,000	200,000	200,000
8	CAPITAL OUTLAY	197,037	1,000,000	1,000,000	1,000,000	1,000,000
9	CAPITAL IMPROVEMENTS	0	500,000	500,000	500,000	500,000
10	DEBT SERVICE	431,074	750,000	750,000	750,000	750,000
11	PROMOTIONAL ITEMS	8,513	50,000	50,000	50,000	50,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$7,763,930	\$12,200,000	\$12,200,000	\$13,500,000	\$12,200,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,268,188	3,300,000		3,450,000	3,450,000
19	ALL OTHER FEES	1,512,755	1,295,000		1,750,000	1,750,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	156,140	135,000		150,000	150,000
21	INVESTMENT INCOME	37,513	20,000		50,000	50,000
22	FEDERAL CASH FUNDS	2,938,355	4,960,000		5,050,000	5,050,000
23	OTHER CASH FUNDS	949,076	2,490,000		3,050,000	3,050,000
24	TOTAL INCOME	\$7,862,027	\$12,200,000		\$13,500,000	\$13,500,000
25	EXCESS (FUNDING)/APPROPRIATION	(\$98,097)	\$0		\$0	(\$1,300,000)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	172	174	200	200	200	200
TOBACCO POSITIONS						
EXTRA HELP ***	50	55	100	100	100	100

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	4,670	33,811		(29,141)	71,200	67,142		4,058
2	HOUSING				0				0
3	FOOD SERVICES	77,371	105,379		(28,008)	80,388	107,691		(27,303)
4	STUDENT UNION				0				0
5	BOOKSTORE	142,878	152,805		(9,927)	155,300	132,055		23,245
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$224,919	\$291,995	\$0	(\$67,076)	\$306,888	\$306,888	\$0	\$0
9	ATHLETIC TRANSFER**	29,141			29,141				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	37,935			37,935				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$291,995	\$291,995	\$0	\$0	\$306,888	\$306,888	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Food Services for wages for longer operating hours, and Book Program for replacement costs for rental texts

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>158</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>14</u>	Black Female:	<u> </u>	Other Female:	<u>4</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>18</u>
White Female:	<u>16</u>	Black Female:	<u>3</u>	Other Female:	<u>3</u>	Total Female: <u>22</u>
Faculty:						
White Male:	<u>25</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>27</u>
White Female:	<u>57</u>	Black Female:	<u>2</u>	Other Female:	<u> </u>	Total Female: <u>59</u>
Total White Male:						<u>54</u>
Total White Female:						<u>87</u>
Total Black Male:						<u>2</u>
Total Black Female:						<u>5</u>
Total Other Male:						<u>3</u>
Total Other Female:						<u>7</u>
Total White:						<u>141</u>
Total Black:						<u>7</u>
Total Other:						<u>10</u>
Total Minority:						<u>17</u>
Total Employees:						<u>158</u>

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2019
 Required by A.C.A. 25-36-104

Institution

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$79,620
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding a loss of \$1,322 as the result of a payroll identity theft for the period January 23, 2019 through March 31, 2019. Working with their Information Technology (IT) Department, management reported that an employee's email account was compromised, which the IT Director later confirmed. Through an email with attached documentation sent to the Payroll Department, the employee's direct deposit information was changed to an apparent fraudulent account. Management filed a report with the University Police Department on February 28, 2019, and indicated they believe this was an isolated incident and no other systems or emails were affected. Subsequently, on April 8, 2019, the University recovered \$1,322 from the bank.</p>
<p><i>Institution's Response:</i></p>	<p>UA Cossatot made updates to CCCUA Procedure 404-2 for payroll direct deposits and the Vice Chancellor for Business Services trained the Payroll Department on the updated procedures to ensure that new and/or changes to Direct Deposits are always pre-noted during the payroll process so that there is a catch point for any potential fraud that may occur. This was completed during the course of the internal audit and was put into effect in April of 2019.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION COLLEGE OF THE OUACHITAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,683,249		4,680,119		4,758,895		4,719,808		4,719,808	
2	CASH	5,696,514		7,923,485		7,923,485		7,923,485		7,923,485	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$10,379,763	158	\$12,603,604	190	\$12,682,380	225	\$12,643,293	225	\$12,643,293	225
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,559,326	34%	3,523,733	28%			3,470,877	28%	3,470,877	28%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,123,923	11%	1,156,386	9%			1,156,386	9%	1,156,386	9%
16	CASH FUNDS	4,015,591	39%	5,423,485	43%			5,423,485	43%	5,423,485	43%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,680,923	16%	2,500,000	20%			2,500,000	20%	2,500,000	20%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$10,379,763	100%	\$12,603,604	100%			\$12,550,748	100%	\$12,550,748	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$92,545		\$92,545	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	(\$610,163)
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$270,750
INVENTORIES	\$18,159
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,909,072)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION 1WZ

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	2,796,830	2,797,724	2,800,000	2,800,000	2,800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	807,500	775,000	825,000	825,000	825,000
5	OPERATING EXPENSES	1,078,062	1,107,395	1,133,895	1,094,808	1,133,895
6	CONFERENCE FEES & TRAVEL	0	0	0	0	
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	
8	CAPITAL OUTLAY	0	0	0	0	
9	FUNDED DEPRECIATION					
10	SURETY PREMIUM	857				
11						
12						
13	TOTAL APPROPRIATION	\$4,683,249	\$4,680,119	\$4,758,895	\$4,719,808	\$4,758,895
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,559,326	3,523,733		3,470,877	3,470,877
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,123,923	1,156,386		1,156,386	1,156,386
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$4,683,249	\$4,680,119		\$4,627,263	\$4,627,263
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$92,545	\$131,632

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION _____

B62

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	2,507,190	2,657,988	2,657,988	2,657,988	2,657,988
2	EXTRA HELP WAGES	878,832	686,000	686,000	686,000	686,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	397,760	1,090,890	1,090,890	1,090,890	1,090,890
5	OPERATING EXPENSES	968,007	1,792,439	1,792,439	1,792,439	1,792,439
6	CONFERENCE FEES & TRAVEL	247,157	120,523	120,523	120,523	120,523
7	PROFESSIONAL FEES AND SERVICES	208,350	266,677	266,677	266,677	266,677
8	CAPITAL OUTLAY	363,298	598,968	598,968	598,968	598,968
9	CAPITAL IMPROVEMENTS	0	500,000	500,000	500,000	500,000
10	DEBT SERVICE	118,434	200,000	200,000	200,000	200,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0				
12	PROMOTIONAL ITEMS	7,486	10,000	10,000	10,000	10,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,696,514	\$7,923,485	\$7,923,485	\$7,923,485	\$7,923,485
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,314,308	2,350,000		2,350,000	2,350,000
19	ALL OTHER FEES	855,009	750,000		750,000	750,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	10,072	15,000		15,000	15,000
21	INVESTMENT INCOME	25,094	15,000		15,000	15,000
22	FEDERAL CASH FUNDS	1,680,923	2,500,000		2,500,000	2,500,000
23	OTHER CASH FUNDS	811,108	2,293,485		2,293,485	2,293,485
24	TOTAL INCOME	\$5,696,514	\$7,923,485		\$7,923,485	\$7,923,485
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	158	190	225	225	225	225
TOBACCO POSITIONS						
EXTRA HELP ***	24	45	60	60	60	60

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE	263,287	395,629		(132,342)	200,000	199,950		50
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		61,872		(61,872)		49,500		(49,500)
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$263,287	\$457,501	\$0	(\$194,214)	\$200,000	\$249,450	\$0	(\$49,450)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	61,872			61,872	49,500			49,500
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$325,159	\$457,501	\$0	(\$132,342)	\$249,500	\$249,450	\$0	\$50

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Other Transfers - Student Government Association Fees

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>164</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>8</u>
White Female:	<u>10</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>11</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>27</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>31</u>
Faculty:						
White Male:	<u>33</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>33</u>
White Female:	<u>68</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>69</u>
Total White Male:						<u>51</u>
Total White Female:						<u>105</u>
Total Black Male:						<u>2</u>
Total Black Female:						<u>6</u>
Total Other Male:						<u>0</u>
Total Other Female:						<u>0</u>
Total White:						<u>156</u>
Total Black:						<u>8</u>
Total Other:						<u>0</u>
Total Minority:						<u>8</u>
Total Employees:						<u>164</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

COLLEGE OF THE OUACHITAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$217,712
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF COLLEGE OF THE OUACHITAS
 June 30, 2018**

<p><i>Finding No. 1:</i></p>	<p>In performing procedures in our equipment observation sample, we noted the following internal control weaknesses:</p> <ul style="list-style-type: none"> • 16 items did not have College property tags attached and/or serial numbers were not properly included in the equipment subsidiary listing. • One item was recorded in a batch of seven identical items instead of being listed individually on the equipment subsidiary listing.
<p><i>Institution's Response:</i></p>	<p>During a 100% capital inventory inspection in FY 2017, the College identified a number of items purchased during the previous administration that did not have serial numbers or actual location of items recorded. The Business Department has been working to get these records updated and have directed the capital asset procedures to be reevaluated.</p> <p>Effective July 1, 2019, a new department will be established that will have responsibility and authority to improve procedures and implement additional control measures that will ensure compliance. This department will be titled "Purchasing, Inventory and Travel" and will be staffed with a director, who will become the College procurement officer and 1.5 additional FTE.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,615,628		10,003,907		10,186,825		10,098,081		10,098,081	
2	CASH	2,781,366		27,400,000		28,176,692		28,176,692		28,176,692	
3	WORKFORCE 2000	834,769		0							
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$10,231,763	176	\$37,403,907	209	\$38,363,517	346	\$38,274,773	346	\$38,274,773	346
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	8,432,459	82%	8,348,134	22%			8,244,306	22%	8,244,306	22%
14	EDUCATIONAL EXCELLENCE TRUST FUND	855,728	8%	872,552	2%			872,552	2%	872,552	2%
15	WORKFORCE 2000	834,769	8%	783,221	2%			783,221	2%	783,221	2%
16	CASH FUNDS	2,000,359	20%	23,836,092	64%			24,426,692	64%	24,426,692	64%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	781,007	8%	3,563,908	10%			3,750,000	10%	3,750,000	10%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	(2,672,560)	-26%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$10,231,763	100%	\$37,403,907	100%			\$38,076,771	100%	\$38,076,771	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$198,002		\$198,002	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$7,607,165
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$163,000
INVENTORIES	\$22,450
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$77,150
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$951,001
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,986,340
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,250,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,057,224

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ 538

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	4,689,450	6,305,650	6,671,789	6,571,789	6,671,789
2	EXTRA HELP WAGES	21,000	130,000	130,000	71,534	130,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,150,000	2,110,592	1,927,371	1,900,101	1,927,371
5	OPERATING EXPENSES	755,178	1,439,665	1,439,665	1,537,667	1,439,665
6	CONFERENCE FEES & TRAVEL		18,000	18,000	16,990	18,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$6,615,628	\$10,003,907	\$10,186,825	\$10,098,081	\$10,186,825
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	8,432,459	8,348,134		8,244,306	8,244,306
16	EDUCATIONAL EXCELLENCE TRUST FUND	855,728	872,552		872,552	872,552
17	SPECIAL REVENUES * [WF2000]		783,221		783,221	783,221
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	(2,672,560)				
21	TOTAL INCOME	\$6,615,628	\$10,003,907		\$9,900,079	\$9,900,079
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$198,002	\$286,746

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - Transferred to CWE2000 \$39,390.21, CWE2700 \$15,511.15, CWE1000 \$2,457,011.58. Also includes unblocked appropriation of \$160,646.06

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWE1500

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ X01

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	500,000				
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	261,234				
5	OPERATING EXPENSES	73,535				
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$834,769	\$0	\$0	\$0	\$0
14	PRIOR YEAR FUND BALANCE**	73,535				
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	761,234				
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$834,769	\$0		\$0	\$0
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWE1000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ X01

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	1,450,956				
2	EXTRA HELP WAGES	205,184				
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	396,119				
5	OPERATING EXPENSES	610,599				
6	CONFERENCE FEES & TRAVEL	5,000				
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,667,858	\$0	\$0	\$0	\$0
14	PRIOR YEAR FUND BALANCE**	210,846				
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	2,457,012			0	
21	TOTAL INCOME	\$2,667,858	\$0		\$0	\$0
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Transferred in from CWE0000

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWE2000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ X01

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	26,677				
2	EXTRA HELP WAGES	2,362				
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	9,734				
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$38,773	\$0	\$0	\$0	\$0
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	38,773			0	
21	TOTAL INCOME	\$38,773	\$0		\$0	\$0
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Adult Ed Funds GAE \$39,390.21 awarded, returned \$617.20

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWE2700

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ X01

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	4,707				
2	EXTRA HELP WAGES	8,265				
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,357				
5	OPERATING EXPENSES	164				
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$15,493	\$0	\$0	\$0	\$0
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	15,493			0	
21	TOTAL INCOME	\$15,493	\$0		\$0	\$0
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - ABE Funds \$15,511.15 originally appropriated, returned \$18.50

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____

B05

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	77,766	2,500,000	2,589,098	2,589,098	2,589,098
2	EXTRA HELP WAGES	98,119	300,000	462,631	439,631	462,631
3	OVERTIME	0	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	471,617	1,694,734	1,736,697	1,736,697	1,736,697
5	OPERATING EXPENSES	1,289,398	2,604,000	2,954,000	2,954,000	2,954,000
6	CONFERENCE FEES & TRAVEL	106,661	321,000	338,000	338,000	338,000
7	PROFESSIONAL FEES AND SERVICES	299,527	300,000	315,000	315,000	315,000
8	CAPITAL OUTLAY	417,900	1,250,000	1,350,000	1,350,000	1,350,000
9	CAPITAL IMPROVEMENTS	0	15,593,266	15,593,266	15,593,266	15,593,266
10	DEBT SERVICE	0	300,000	301,000	301,000	301,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	2,500,000	2,500,000	2,500,000	2,500,000
12	PROMOTIONAL ITEMS	20,379	27,000	27,000	50,000	27,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$2,781,366	\$27,400,000	\$28,176,692	\$28,176,692	\$28,176,692
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	1,378,256	2,722,530		2,850,000	2,850,000
19	ALL OTHER FEES	207,560	364,210		375,225	375,225
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	143,837	683,700		700,000	700,000
21	INVESTMENT INCOME	46,480	24,000		46,000	46,000
22	FEDERAL CASH FUNDS	781,007	3,563,908		3,750,000	3,750,000
23	OTHER CASH FUNDS	224,226	20,041,652		20,455,467	20,455,467
24	TOTAL INCOME	\$2,781,366	27,400,000		\$28,176,692	\$28,176,692
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	176	209	346	346	346	346
TOBACCO POSITIONS						
EXTRA HELP ***	108	130	325	325	325	325

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	1,465			1,465	1,500			1,500
4	STUDENT UNION				0				0
5	BOOKSTORE	489,512	477,437		12,075	633,426	554,926		78,500
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		30,802		(30,802)		72,077		(72,077)
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$490,977	\$508,239	\$0	(\$17,262)	\$634,926	\$627,003	\$0	\$7,923
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	32,444			32,444	(7,923)			(7,923)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$523,421	\$508,239	\$0	\$15,182	\$627,003	\$627,003	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Unrestricted E&G funds transferred for Auxiliary Fund for Student Activities support

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>176</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:	<u>6</u>	Other Male:	<u> </u>	Total Male: <u>14</u>
White Female:	<u>16</u>	Black Female:	<u>4</u>	Other Female:	<u> </u>	Total Female: <u>20</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>10</u>
White Female:	<u>23</u>	Black Female:	<u>17</u>	Other Female:	<u> </u>	Total Female: <u>40</u>
Faculty:						
White Male:	<u>26</u>	Black Male:	<u>7</u>	Other Male:	<u>1</u>	Total Male: <u>34</u>
White Female:	<u>44</u>	Black Female:	<u>12</u>	Other Female:	<u>2</u>	Total Female: <u>58</u>
Total White Male:						<u>39</u>
Total White Female:						<u>83</u>
Total Black Male:						<u>18</u>
Total Black Female:						<u>33</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>2</u>
Total White:						<u>122</u>
Total Black:						<u>51</u>
Total Other:						<u>3</u>
Total Minority:						<u>54</u>
Total Employees:						<u>176</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

EAST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
 June 30, 2018**

<p><i>Finding No. 1:</i></p>	<p>The College’s internal controls failed to detect a fraudulent email, and payroll staff subsequently failed to follow proper procedures, resulting in a total loss of \$17,766. On October 23, 2018, the College’s payroll office received an email that appeared to be from the College’s President, requesting that her payroll direct deposit be changed to a different bank and that standard procedures be bypassed to ensure the change was effective for the next deposit on October 30. Subsequent changes made by payroll staff without proper authorization resulted in \$8,581 being deposited into an account that did not belong to the President. The President’s November 30 paycheck of \$9,185 was also deposited in this account, after payroll staff failed to correct the President’s bank information timely. The College filed a report with the FBI and contacted its insurance company, which indicated the loss was not insurable.</p>
<p><i>Institution’s Response:</i></p>	<p>EACC management has added an automated “warning message” to all incoming emails originating from outside the EACC internal email system to aid staff in recognizing potentially harmful or fraudulent emails. In addition, EACC has conducted specific training of staff to emphasize the importance of recognizing bogus communication. Payroll and financial staff have received updated training on the necessity of always following established finance and accounting procedures regardless of extenuating circumstances to ensure that adequate controls are always in place and effective in preventing similar losses in the future. The employee that failed to follow established control procedures in this case is no longer employed by the College.</p>
<p><i>Finding No. 2:</i></p>	<p>College personnel identified, and we verified, discrepancies in revenue received for the College’s Commercial Driver’s License (CDL) testing program. Prior to the merger of the Crowley’s Ridge Technical Institute (CRTI) with the College on August 1, 2018, the CDL program was part of CRTI’s curriculum, and the program was continued subsequent to the merger. Students’ testing fees were included in their tuition, while non-students were charged a \$200 testing fee. Receipt documentation was not available for 58 of 108 tests administered in calendar year 2017 and 16 of 77 tests administered in calendar year 2018, resulting in unaccounted for revenue of \$11,600 and \$3,200, respectively.</p>
<p><i>Institution’s Response:</i></p>	<p>EACC management has put in place specific procedures for the collection of CDL testing fees, and for regular periodic reconciliation of receipts and testing documentation by an accounting staff member unaffiliated with the CDL testing staff in order to ensure that a similar situation cannot occur going forward. The College has been in communication with the Arkansas State Police department that oversees CDL testing in order to ensure full</p>

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2018

	<p>compliance with ASP’s requirements and expectations. The staff members that failed to follow established policies and procedures in this case were employees of the former Crowley’s Ridge Technical Institute and are no longer employed by the College.</p>
<p><i>Finding No. 3:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College’s internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Revenues, Expenses, and Changes in Net Position included:</p> <ol style="list-style-type: none"> 1. A deferred outflow related to Other Postemployment Benefits (OPEB) totaling \$5,422 was recorded in the Statement of Revenues, Expenses, and Changes in Net Position in error. 2. Gift revenue was understated and tuition and fee revenue was overstated by \$172,698. 3. Gain (loss) on the disposition of capital assets was overstated by \$10,182 which resulted in a gain being reported instead of a loss. 4. Various errors noted in the classification of state and federal operating revenues resulted in an understatement of state grants of \$37,370 and an overstatement of federal grants of \$65,372 with a net effect of \$28,002 overstatement of revenues. 5. Capital assets accumulated depreciation recorded by the College was understated by \$51,570. <p>Financial statements, for the above misstatements, were corrected by the College personnel during the audit.</p> <p>In addition, although the financial statements provided by the College appeared in agreement and reconciled, a reported unidentified variance of (\$352,966) was necessary on the Statement of Revenues, Expenses, and Changes in Net Position and the Statement of Cash Flows for the net position – end of year and cash and cash equivalents – ending; respectively, to agree with the Statement of Net Position.</p>
<p><i>Institution’s Response:</i></p>	<p>EACC management recognizes the importance of presenting financial statements that conform to GAAP principles, and acknowledges its responsibility for establishing adequate internal controls to prevent misstatements. Management will take steps to develop improved use of our Accounting System reporting capabilities to aid in the review of underlying financial transactions by multiple reviewers prior to the final</p>

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2018

	<p>issuance of our annual financial statements. This will help to ensure proper categorization of revenues, expenditures, and asset classification that significantly affect the presentation of the College's financial position. In addition, the College has made plans to expand its accounting staff in order to both build additional capacity in day-to-day management of financial and accounting activities and to enhance our efforts in the area of adequate separation of duties, review for accuracy, and overall operational effectiveness.</p> <p>EACC management has reviewed this finding with the auditors and we are in agreement that there did exist several mitigating factors that resulted in the inability to more accurately explain the unidentified variance. Chief among these factors was the merger on August 1, 2017 of EACC and the former Crowley's Ridge Technical Institute (CRTI) that took place after the start of EACC's 2017-18 Fiscal Year. Because CRTI operated on a different basis of accounting, utilized a very different accounting system, and did not publish independently audited annual financial statements, the process of identifying and reconciling CRTI financial and accounting data and related information with a verifiable degree of accuracy, and then subsequently integrating that information into the EACC's financial operation was challenging to the point of not being completely possible. Throughout the 2017-18 Fiscal Year, EACC management engaged in ongoing discussions with staff at other state agencies including the Department of Finance & Administration's offices of Accounting, Personnel, Procurement, and Employee Benefits, the Division of Legislative Audit, the Department of Career Education, and the Department of Higher Education in an effort to collaborate towards the best information and the most informed decision making possible in regards to all aspects of the merger between the two institutions. EACC Management is confident that its 2017-18 Financial Statements reflect as high a degree of accuracy, consistency, and reliability as could be obtained given the limited information that was available at the beginning of, and throughout, the merger process. We believe this finding results primarily from a one-time set of unique circumstances, and that we have worked through this process to establish a new baseline for normal operations going forward.</p>
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION NORTH ARKANSAS COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	9,069,684		9,016,464		9,179,284		9,075,526		9,075,526	
2	CASH	12,368,269		49,385,000		49,385,000		49,385,000		49,385,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$21,437,953	219	\$58,401,464	225	\$58,564,284	400	\$58,460,526	400	\$58,460,526	400
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	8,006,028	37%	7,925,968	14%			7,807,078	13%	7,807,078	13%
14	EDUCATIONAL EXCELLENCE TRUST FUND	505,383	2%	515,319	1%			515,319	1%	515,319	1%
15	WORKFORCE 2000	559,030	3%	575,177	1%			575,177	1%	575,177	1%
16	CASH FUNDS	5,130,297	24%	39,385,000	67%			39,385,000	68%	39,385,000	68%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	7,237,972	34%	10,000,000	17%			10,000,000	17%	10,000,000	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$21,438,710	100%	\$58,401,464	100%			\$58,282,574	100%	\$58,282,574	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$757)		\$0				\$177,952		\$177,952	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,801,356
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$239,905
INVENTORIES	\$11,717
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$28,450
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,266,103
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$300,000
OTHER (FOOTNOTE BELOW)	\$500,000
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$59,819)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION _____

291

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	6,750,000	6,637,180	6,800,000	6,800,000	6,800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,148,986	1,200,000	1,200,000	1,175,526	1,200,000
5	OPERATING EXPENSES	1,170,698	1,179,284	1,179,284	1,100,000	1,179,284
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$9,069,684	\$9,016,464	\$9,179,284	\$9,075,526	\$9,179,284
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	8,006,028	7,925,968		7,807,078	7,807,078
16	EDUCATIONAL EXCELLENCE TRUST FUND	505,383	515,319		515,319	515,319
17	SPECIAL REVENUES * [WF2000]	559,030	575,177		575,177	575,177
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$9,070,441	\$9,016,464		\$8,897,574	\$8,897,574
22	EXCESS (FUNDING)/APPROPRIATION	(\$757)	\$0		\$177,952	\$281,710

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION _____ A62

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	2,684,989	5,450,000	5,450,000	5,425,000	5,450,000
2	EXTRA HELP WAGES	743,048	800,000	800,000	825,000	800,000
3	OVERTIME	5,853	40,000	40,000	40,000	40,000
4	PERSONAL SERVICES MATCHING	2,023,623	2,700,000	2,700,000	2,700,000	2,700,000
5	OPERATING EXPENSES	3,275,206	7,000,000	7,000,000	7,000,000	7,000,000
6	CONFERENCE FEES & TRAVEL	208,064	380,000	380,000	390,000	380,000
7	PROFESSIONAL FEES AND SERVICES	274,767	400,000	400,000	390,000	400,000
8	CAPITAL OUTLAY	531,054	2,000,000	2,000,000	2,000,000	2,000,000
9	CAPITAL IMPROVEMENTS	694,524	11,000,000	11,000,000	11,000,000	11,000,000
10	DEBT SERVICE	0	3,000,000	3,000,000	3,000,000	3,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,927,140	16,565,000	16,565,000	16,565,000	16,565,000
12	PROMOTIONAL ITEMS	0	50,000	50,000	50,000	50,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$12,368,269	\$49,385,000	\$49,385,000	\$49,385,000	\$49,385,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	4,300,811	4,408,800		4,408,800	4,408,800
19	ALL OTHER FEES	604,560	625,007		625,007	625,007
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	60,656	40,000		40,000	40,000
22	FEDERAL CASH FUNDS	7,237,972	10,000,000		10,000,000	10,000,000
23	OTHER CASH FUNDS	164,270	34,311,193		34,311,193	34,311,193
24	TOTAL INCOME	\$12,368,269	\$49,385,000		\$49,385,000	\$49,385,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	219	225	400	400	400	400
TOBACCO POSITIONS						
EXTRA HELP ***	74	74	500	500	500	500

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	3,550	314,078		(310,528)	4,000	315,155		(311,155)
2	HOUSING				0				0
3	FOOD SERVICES	3,247			3,247	5,000			5,000
4	STUDENT UNION				0				0
5	BOOKSTORE	1,157,988	1,049,655		108,333	1,084,150	959,677		124,473
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		12,693		(12,693)		4,025		(4,025)
7	OTHER (FOOTNOTE BELOW)	32,768	80,742		(47,974)	36,000	42,492		(6,492)
8	SUBTOTAL	\$1,197,553	\$1,457,168	\$0	(\$259,615)	\$1,129,150	\$1,321,349	\$0	(\$192,199)
9	ATHLETIC TRANSFER**				188,789	154,000			154,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0	38,500			38,500
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,197,553	\$1,457,168	\$0	(\$70,826)	\$1,321,650	\$1,321,349	\$0	\$301

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Vending Income, Summer Camps, HS Tournaments-Net, Facility Day Use, Corporate Sponsorship, Copy Center, Student Activities, Intramurals

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>174</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>12</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>13</u>
White Female:	<u>39</u>	Black Female:	<u> </u>	Other Female:	<u>3</u>	Total Female: <u>42</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>22</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>22</u>
White Female:	<u>34</u>	Black Female:	<u> </u>	Other Female:	<u>5</u>	Total Female: <u>39</u>
Faculty:						
White Male:	<u>24</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>24</u>
White Female:	<u>34</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>34</u>
Total White Male:	<u>58</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>	Total Male: <u>59</u>
Total White Female:	<u>107</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>8</u>	Total Female: <u>115</u>
Total White:	<u>165</u>	Total Black:	<u>0</u>	Total Other:	<u>9</u>	Total Employees: <u>174</u>
				Total Minority:	<u>9</u>	

FORM FR-6

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NORTH ARKANSAS COLLEGE
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION NATIONAL PARK COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,974,435		10,929,069		11,113,420		11,010,623		11,010,623	
2	CASH	10,407,609		16,672,857		16,672,857		16,615,000		16,615,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$21,382,044	267	\$27,601,926	268	\$27,786,277	376	\$27,625,623	376	\$27,625,623	376
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	9,046,489	42%	8,956,024	32%			8,821,684	32%	8,821,684	32%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,279,862	6%	1,305,024	5%			1,305,024	5%	1,305,024	5%
15	WORKFORCE 2000	649,268	3%	668,021	2%			668,021	2%	668,021	2%
16	CASH FUNDS	10,407,609	49%	9,649,000	35%			11,985,000	44%	11,985,000	44%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	7,023,857	25%			4,630,000	17%	4,630,000	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$21,383,228	100%	\$27,601,926	100%			\$27,409,729	100%	\$27,409,729	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$1,184)		\$0				\$215,894		\$215,894	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$6,936,850
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,805,188
INVENTORIES	\$410,663
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$30,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,190,999
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$2,500,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2120000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION _____

A72

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	3,058,980	3,042,411	3,042,411	3,100,000	3,042,411
2	EXTRA HELP WAGES	1,202,589	1,309,341	1,309,341	1,300,000	1,309,341
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	985,210	245,037	245,037	1,000,000	245,037
5	OPERATING EXPENSES	3,090,399	3,924,014	3,924,014	3,000,000	3,924,014
6	CONFERENCE FEES & TRAVEL	94,561	82,069	82,069	95,000	82,069
7	PROFESSIONAL FEES AND SERVICES	330,176	369,985	369,985	400,000	369,985
8	CAPITAL OUTLAY	488,285	1,500,000	1,500,000	500,000	1,500,000
9	CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	6,000,000	5,000,000
10	DEBT SERVICE	1,150,367	1,200,000	1,200,000	1,200,000	1,200,000
11	PROMOTIONAL ITEMS	7,042	0		20,000	
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$10,407,609	\$16,672,857	\$16,672,857	\$16,615,000	\$16,672,857
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	9,892,609	7,987,000		9,892,000	9,892,000
19	ALL OTHER FEES		15,000		15,000	15,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	515,000	1,572,000		703,000	703,000
21	INVESTMENT INCOME		75,000		75,000	75,000
22	FEDERAL CASH FUNDS		7,023,857		4,630,000	4,630,000
23	OTHER CASH FUNDS		0		1,300,000	1,300,000
24	TOTAL INCOME	\$10,407,609	\$16,672,857		\$16,615,000	\$16,615,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$57,857

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	267	268	376	376	376	376
TOBACCO POSITIONS						
EXTRA HELP ***	309	309	402	402	402	402

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0		150,000		(150,000)
2	HOUSING				0				0
3	FOOD SERVICES	9,920	1,127		8,793	9,000	2,000		7,000
4	STUDENT UNION				0				0
5	BOOKSTORE	1,172,329	1,081,271		91,058	1,572,000	1,572,000		0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		63,897		(63,897)		65,000		(65,000)
7	OTHER (FOOTNOTE BELOW)		70,011		(70,011)		14,500		(14,500)
8	SUBTOTAL	\$1,182,249	\$1,216,306	\$0	(\$34,057)	\$1,581,000	\$1,803,500	\$0	(\$222,500)
9	ATHLETIC TRANSFER**	86,600			86,600	150,000			150,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	65,700			65,700	72,500			72,500
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,334,549	\$1,216,306	\$0	\$118,243	\$1,803,500	\$1,803,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Student Activities, Orientation, Community Projects, Special Events, Intramurals

FORM FR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>271</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>20</u>	Black Male:	<u>3</u>	Other Male:	<u>2</u>	Total Male: <u>25</u>
White Female:	<u>36</u>	Black Female:	<u>3</u>	Other Female:	<u>0</u>	Total Female: <u>39</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>17</u>	Black Male:	<u>0</u>	Other Male:	<u>2</u>	Total Male: <u>19</u>
White Female:	<u>35</u>	Black Female:	<u>4</u>	Other Female:	<u>3</u>	Total Female: <u>42</u>
Faculty:						
White Male:	<u>55</u>	Black Male:	<u>0</u>	Other Male:	<u>3</u>	Total Male: <u>58</u>
White Female:	<u>79</u>	Black Female:	<u>5</u>	Other Female:	<u>4</u>	Total Female: <u>88</u>
Total White Male:						<u>92</u>
Total White Female:						<u>150</u>
Total Black Male:						<u>3</u>
Total Black Female:						<u>12</u>
Total Other Male:						<u>7</u>
Total Other Female:						<u>7</u>
Total White:						<u>242</u>
Total Black:						<u>15</u>
Total Other:						<u>14</u>
Total Minority:						<u>29</u>
Total Employees:						<u>271</u>

FORM FR-6

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NATIONAL PARK COLLEGE
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	11,750,270		12,559,563		12,788,072		13,592,604		13,592,604	
2	CASH	30,257,355		46,133,957		46,133,957		50,745,168		50,745,168	
3	CHILD PROTECTION TRAINING CENTER	0		0		228,232		228,232		271,260	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$42,007,625	751	\$58,693,520	719	\$59,150,261	1,104	\$64,566,004	1,104	\$64,609,032	1,104
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	10,619,202	25%	11,406,258	19%			12,401,010	19%	12,401,010	19%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,131,068	3%	1,153,305	2%			1,153,305	2%	1,153,305	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	27,511,175	64%	36,265,933	62%			39,890,342	62%	39,890,342	62%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	3,537,291	8%	9,868,024	17%			10,854,826	17%	10,854,826	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$42,798,736	100%	\$58,693,520	100%			\$64,299,483	100%	\$64,299,483	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$791,111)		\$0				\$266,521		\$309,549	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$11,292,859
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$5,822,978
INVENTORIES	\$4,254
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,171,885
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$706,258)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	0	0	13,500	13,500
2	PERSONAL SERVICES MATCHING	0	0	4,725	4,725
3	OPERATING EXPENSES	240,644	245,000	191,782	191,782
4	PROFESSIONAL FEES AND SERVICES	0	0	18,225	18,225
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$240,644	\$245,000	\$228,232	\$228,232
17	NET LOCAL INCOME	240,644	245,000	103,232	103,232
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:			125,000	125,000
19	GENERAL REVENUE*				
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$240,644	\$245,000	\$228,232	\$228,232

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

NORTHWEST ARKANSAS

FUND CWA0000

INSTITUTION COMMUNITY COLLEGE

APPROPRIATION _____ 313

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	11,747,805	12,559,563	12,788,072	13,592,604	12,788,072
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	2,466				
11						
12						
13	TOTAL APPROPRIATION	\$11,750,270	\$12,559,563	\$12,788,072	\$13,592,604	\$12,788,072
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	10,619,202	11,406,258		12,172,778	12,172,778
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,131,068	1,153,305		1,153,305	1,153,305
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$11,750,270	\$12,559,563		\$13,326,083	\$13,326,083
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$266,521	(\$538,011)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

NORTHWEST ARKANSAS

FUND CWA0100

INSTITUTION COMMUNITY COLLEGE

APPROPRIATION _____ N33

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES		0	228,232	228,232	271,260
6	CONFERENCE FEES & TRAVEL		0	0	0	0
7	PROFESSIONAL FEES AND SERVICES		0	0	0	0
8	CAPITAL OUTLAY		0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$228,232	\$228,232	\$271,260
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				228,232	228,232
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$0	\$0		\$228,232	\$228,232
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$43,028

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____

B17

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	9,979,011	17,120,861	17,120,861	18,832,947	18,832,947
2	EXTRA HELP WAGES	818,372	1,130,458	1,130,458	1,243,504	1,243,503
3	OVERTIME	10,776	34,000	34,000	37,400	37,400
4	PERSONAL SERVICES MATCHING	7,344,079	12,292,059	12,292,059	13,521,265	13,521,265
5	OPERATING EXPENSES	7,263,028	11,560,771	11,560,771	12,716,848	12,716,848
6	CONFERENCE FEES & TRAVEL	247,688	387,693	387,693	426,462	426,463
7	PROFESSIONAL FEES AND SERVICES	532,327	1,001,109	1,001,109	1,101,220	1,101,220
8	CAPITAL OUTLAY	4,033,087	1,033,210	1,033,210	2,511,531	1,136,531
9	CAPITAL IMPROVEMENTS	0	1,500,000	1,500,000	275,000	1,500,000
10	DEBT SERVICE	0	15,050	15,050	17,308	16,555
11	PROMOTIONAL ITEMS	28,986	58,746	58,746	61,683	64,620
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$30,257,355	\$46,133,957	\$46,133,957	\$50,745,168	\$50,597,352
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	17,508,312	22,445,625		23,567,906	23,567,906
19	ALL OTHER FEES	718,796	3,688,968		3,873,416	3,873,416
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	0	0			
21	INVESTMENT INCOME	205,641	51,573		233,299	233,299
22	FEDERAL CASH FUNDS	3,537,291	9,868,024		10,854,826	10,854,826
23	OTHER CASH FUNDS	9,078,426	10,079,767		12,215,720	12,215,720
24	TOTAL INCOME	31,048,466	46,133,957		50,745,168	\$50,745,168
25	EXCESS (FUNDING)/APPROPRIATION	(\$791,111)	\$0		(\$0)	(\$147,816)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	751	719	1,104	1,104	1,104	1,104
TOBACCO POSITIONS						
EXTRA HELP ***	75	360	360	360	360	360

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	11,400	7,203	0	4,197	7,500	7,500	0	0
4	STUDENT UNION								
5	BOOKSTORE	172,476	5,320	0	167,156	135,000	0	0	135,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS								
7	OTHER (FOOTNOTE BELOW)	329,515	367,200	0	(37,685)	362,580	497,580	0	(135,000)
8	SUBTOTAL	\$513,391	\$379,723	\$0	\$133,668	\$505,080	\$505,080	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$513,391	\$379,723	\$0	\$133,668	\$505,080	\$505,080	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>728</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>32</u>	Black Male:	<u>2</u>	Other Male:	<u>5</u>	Total Male: <u>39</u>
White Female:	<u>65</u>	Black Female:	<u>1</u>	Other Female:	<u>14</u>	Total Female: <u>80</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>31</u>	Black Male:	<u>0</u>	Other Male:	<u>11</u>	Total Male: <u>42</u>
White Female:	<u>73</u>	Black Female:	<u>1</u>	Other Female:	<u>11</u>	Total Female: <u>85</u>
Faculty:						
White Male:	<u>185</u>	Black Male:	<u>5</u>	Other Male:	<u>35</u>	Total Male: <u>225</u>
White Female:	<u>215</u>	Black Female:	<u>10</u>	Other Female:	<u>32</u>	Total Female: <u>257</u>
Total White Male:						<u>248</u>
Total White Female:						<u>353</u>
Total Black Male:						<u>7</u>
Total Black Female:						<u>12</u>
Total Other Male:						<u>51</u>
Total Other Female:						<u>57</u>
Total White:						<u>601</u>
Total Black:						<u>19</u>
Total Other:						<u>108</u>
Total Minority:						<u>127</u>
Total Employees:						<u>728</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,073,601
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION OZARKA COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,359,620		4,367,051		4,438,086		4,407,036		4,407,036	
2	CASH	4,145,280		9,126,000		9,126,000		11,126,000		11,126,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$8,504,900	150	\$13,493,051	193	\$13,564,086	219	\$15,533,036	219	\$15,533,036	219
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,126,475	37%	3,095,210	23%			3,048,782	20%	3,048,782	20%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,236,137	15%	1,271,841	9%			1,271,841	8%	1,271,841	8%
16	CASH FUNDS	3,363,935	40%	5,626,000	42%			9,126,000	59%	9,126,000	59%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	781,345	9%	3,500,000	26%			2,000,000	13%	2,000,000	13%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	707	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$8,508,599	100%	\$13,493,051	100%			\$15,446,623	100%	\$15,446,623	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$3,699)		\$0				\$86,413		\$86,413	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,950,365
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$455,240
INVENTORIES	\$595
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,055,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$588,965
OTHER (FOOTNOTE BELOW)	\$508,700
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$316,865

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION _____ 1XC

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	3,200,000	3,200,000	3,200,000	3,200,000	3,200,000
2	EXTRA HELP WAGES	127,284	125,000	150,000	125,000	150,000
3	OVERTIME	0	100	100	100	100
4	PERSONAL SERVICES MATCHING	1,031,029	1,040,751	1,086,786	1,080,736	1,086,786
5	OPERATING EXPENSES	907	200	200	200	200
6	CONFERENCE FEES & TRAVEL	200	200	200	200	200
7	PROFESSIONAL FEES AND SERVICES	0	200	200	200	200
8	CAPITAL OUTLAY	200	200	200	200	200
9	FUNDED DEPRECIATION	0	200	200	200	200
10	CAPITAL IMPROVEMENTS	0	200	200	200	200
11						
12						
13	TOTAL APPROPRIATION	\$4,359,620	\$4,367,051	\$4,438,086	\$4,407,036	\$4,438,086
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,126,475	3,095,210		3,048,782	3,048,782
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,236,137	1,271,841		1,271,841	1,271,841
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	707				
21	TOTAL INCOME	\$4,363,319	\$4,367,051		\$4,320,623	\$4,320,623
22	EXCESS (FUNDING)/APPROPRIATION	(\$3,699)	\$0		\$86,413	\$117,463

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION _____

B63

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	1,034,097	2,000,000	2,000,000	2,500,000	2,000,000
2	EXTRA HELP WAGES	0	125,000	125,000	225,000	125,000
3	OVERTIME	0	1,000	1,000	1,000	1,000
4	PERSONAL SERVICES MATCHING	997,715	1,500,000	1,500,000	2,000,000	1,500,000
5	OPERATING EXPENSES	1,630,588	2,500,000	2,500,000	3,500,000	2,500,000
6	CONFERENCE FEES & TRAVEL	18,859	50,000	50,000	50,000	50,000
7	PROFESSIONAL FEES AND SERVICES	0	250,000	250,000	250,000	250,000
8	CAPITAL OUTLAY	87,171	225,000	225,000	500,000	225,000
9	CAPITAL IMPROVEMENTS	0	2,000,000	2,000,000	1,600,000	2,000,000
10	DEBT SERVICE	376,850	450,000	450,000	475,000	450,000
11	PROMOTIONAL ITEMS	0	25,000	25,000	25,000	25,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,145,280	\$9,126,000	\$9,126,000	\$11,126,000	\$9,126,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,173,812	3,500,000		4,500,000	4,500,000
19	ALL OTHER FEES	163,672	1,000,000		1,250,000	1,250,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	26,451	30,000		25,000	25,000
22	FEDERAL CASH FUNDS	781,345	3,500,000		2,000,000	2,000,000
23	OTHER CASH FUNDS		1,096,000		3,351,000	3,351,000
24	TOTAL INCOME	\$4,145,280	\$9,126,000		\$11,126,000	\$11,126,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$2,000,000)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	150	193	219	219	219	219
TOBACCO POSITIONS						
EXTRA HELP ***	100	100	100	100	100	100

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING	29,962	38,139		(8,177)	47,200	29,400		17,800
3	FOOD SERVICES	5,168	1,526		3,642	3,760	3,300		460
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$35,130	\$39,665	\$0	(\$4,535)	\$50,960	\$32,700	\$0	\$18,260
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$35,130	\$39,665	\$0	(\$4,535)	\$50,960	\$32,700	\$0	\$18,260

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>99</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>13</u>
White Female:	<u>21</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>21</u>
Nonclassified Health Care Employees:						
White Male:	<u>1</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>1</u>
White Female:	<u>8</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>8</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>9</u>
White Female:	<u>23</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>23</u>
Faculty:						
White Male:	<u>15</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>15</u>
White Female:	<u>9</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>9</u>
Total White Male:	<u>38</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>38</u>
Total White Female:	<u>61</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>61</u>
Total White:	<u>99</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>99</u>
				Total Minority:	<u>0</u>	

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104

Institution

OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF OZARKA COLLEGE
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021 Fiscal Year**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,374,829		10,352,061		10,535,597		10,575,663		10,575,663	
2	CASH	8,778,813		32,480,000		32,480,000		32,480,000		32,480,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$19,153,642	185	\$42,832,061	325	\$43,015,597	325	\$43,055,663	325	\$43,055,663	325
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	9,063,088	47%	8,972,457	21%			8,988,693	21%	8,988,693	21%
14	EDUCATIONAL EXCELLENCE TRUST FUND	833,364	4%	849,748	2%			849,748	2%	849,748	2%
15	WORKFORCE 2000	514,981	3%	529,856	1%			529,856	1%	529,856	1%
16	CASH FUNDS	6,305,912	33%	29,280,000	68%			29,280,000	68%	29,280,000	68%
17	SPECIAL REVENUES	0	0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,472,901	13%	3,200,000	7%			3,200,000	7%	3,200,000	7%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	(36,604)	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$19,153,642	100%	\$42,832,061	100%			\$42,848,297	100%	\$42,848,297	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$207,366		\$207,366	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$11,051,094
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,629,637
INVENTORIES	\$61,502
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$765,662
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,039,138
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$300,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,605,155

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 FISCAL YEAR**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION _____

A73

HELENA CAMPUS

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	303,558	3,000,000	3,000,000	3,000,000	3,000,000
2	EXTRA HELP WAGES	396,591	750,000	750,000	750,000	750,000
3	OVERTIME	72,503	140,000	140,000	140,000	140,000
4	PERSONAL SERVICES MATCHING	1,050,575	1,500,000	1,500,000	1,500,000	1,500,000
5	OPERATING EXPENSES	2,828,756	4,675,000	4,675,000	4,675,000	4,675,000
6	CONFERENCE FEES & TRAVEL	317,244	400,000	400,000	400,000	400,000
7	PROFESSIONAL FEES AND SERVICES	415,991	1,000,000	1,000,000	1,000,000	1,000,000
8	CAPITAL OUTLAY	1,261,191	2,800,000	2,800,000	2,800,000	2,800,000
9	CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000
10	DEBT SERVICE	684,331	1,500,000	1,500,000	1,500,000	1,500,000
11	PROMOTIONAL ITEMS	14,370	25,000	25,000	25,000	25,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$7,345,110	\$20,790,000	\$20,790,000	\$20,790,000	\$20,790,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	1,923,969	1,959,900		1,959,900	1,959,900
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	39,699	101,490		101,490	101,490
21	INVESTMENT INCOME	95,020	60,000		80,000	80,000
22	FEDERAL CASH FUNDS	2,472,901	3,200,000		3,200,000	3,200,000
23	OTHER CASH FUNDS	2,813,521	15,468,610		15,448,610	15,448,610
24	TOTAL INCOME	\$7,345,110	\$20,790,000		\$20,790,000	\$20,790,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	120	188	188	186	186	188
TOBACCO POSITIONS						
EXTRA HELP ***	73	600	600	600	600	600

**Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 FISCAL YEAR**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION

B08

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	0	600,000	600,000	600,000	600,000
2	EXTRA HELP WAGES	20,799	200,000	200,000	200,000	200,000
3	OVERTIME	76	70,000	70,000	70,000	70,000
4	PERSONAL SERVICES MATCHING	0	250,000	250,000	250,000	250,000
5	OPERATING EXPENSES	0	1,000,000	1,000,000	1,000,000	1,000,000
6	CONFERENCE FEES & TRAVEL	4,371	50,000	50,000	50,000	50,000
7	PROFESSIONAL FEES AND SERVICES	0	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	18,419	250,000	250,000	250,000	250,000
9	CAPITAL IMPROVEMENTS		2,500,000	2,500,000	2,500,000	2,500,000
10	DEBT SERVICE		500,000	500,000	500,000	500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$43,665	\$5,470,000	\$5,470,000	\$5,470,000	\$5,470,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	43,665	450,000		450,000	450,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	0	5,020,000		5,020,000	5,020,000
24	TOTAL INCOME	\$43,665	\$5,470,000		\$5,470,000	\$5,470,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	22	66	66	66	66	66
TOBACCO POSITIONS						
EXTRA HELP ***	14	150	150	150	150	150

**Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 FISCAL YEAR**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION

A81

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	565,988	1,100,000	1,100,000	1,100,000	1,100,000
2	EXTRA HELP WAGES	105,289	275,000	275,000	275,000	275,000
3	OVERTIME	1,949	70,000	70,000	70,000	70,000
4	PERSONAL SERVICES MATCHING	331,073	425,000	425,000	425,000	425,000
5	OPERATING EXPENSES	352,735	1,000,000	1,000,000	1,000,000	1,000,000
6	CONFERENCE FEES & TRAVEL	17,680	50,000	50,000	50,000	50,000
7	PROFESSIONAL FEES AND SERVICES	25	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	15,299	250,000	250,000	250,000	250,000
9	CAPITAL IMPROVEMENTS		2,500,000	2,500,000	2,500,000	2,500,000
10	DEBT SERVICE		500,000	500,000	500,000	500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$1,390,038	\$6,220,000	\$6,220,000	\$6,220,000	\$6,220,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	1,035,983	600,000		600,000	600,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	354,055	5,620,000		5,620,000	5,620,000
24	TOTAL INCOME	\$1,390,038	\$6,220,000		\$6,220,000	\$6,220,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	43	71	71	73	73	71
TOBACCO POSITIONS						
EXTRA HELP ***	30	150	150	150	150	150

**Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
 (NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0	0	0		0
4	STUDENT UNION				0				0
5	BOOKSTORE	30,008			30,008	50,000	0		50,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		27,821		(27,821)		0		0
7	OTHER (FOOTNOTE BELOW)	83,744	17,748		65,996	76,000	31,460		44,540
8	SUBTOTAL	113,752	45,569	0	68,183	126,000	31,460	0	94,540
9	ATHLETIC TRANSFER **				0				0
10	OTHER TRANSFERS *** (FOOTNOTE BELOW)	(68,183)			(68,183)	(94,540)			(94,540)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	45,569	45,569	0	0	31,460	31,460	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 14-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Facility Rental

NOTE: Line 10 Other Transfers - Transfers to E&G from auxiliary revenues to cover general operating expenses

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>185</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>25</u>	Black Female:	<u>17</u>	Other Female:	<u>0</u>	Total Female: <u>42</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>15</u>	Other Male:	<u>0</u>	Total Male: <u>27</u>
White Female:	<u>26</u>	Black Female:	<u>19</u>	Other Female:	<u>0</u>	Total Female: <u>45</u>
Faculty:						
White Male:	<u>19</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>22</u>
White Female:	<u>32</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>37</u>
Total White Male:	<u>39</u>	Total Black Male:	<u>21</u>	Total Other Male:	<u>1</u>	Total Male: <u>61</u>
Total White Female:	<u>83</u>	Total Black Female:	<u>41</u>	Total Other Female:	<u>0</u>	Total Female: <u>124</u>
Total White:	<u>122</u>	Total Black:	<u>62</u>	Total Other:	<u>1</u>	Total Employees: <u>185</u>
				Total Minority:	<u>63</u>	

FORM FR-6

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding an allegation of identity theft for the period June 1, 2018 through July 31, 2018. University management reported that an employee did not receive her payroll deposit of \$1,732 scheduled for June 28, 2018. The Director of Information Technology discovered that the employee’s email account was compromised and accessed through an unauthorized VPN. Management notified the bank of the theft; however, the transaction had already been processed and could not be recalled. Management stated they believe this is an isolated incident and no other systems or emails were affected.</p>
<p><i>Institution’s Response:</i></p>	<p>Immediately upon discovery, procedures were implemented that require all changes to payroll direct deposit be made in person and verified by a payroll or business office employee. In addition, email security settings have been tightened to help prevent delivery of similar email requests. These new policies and procedures have already proven effective in stopping additional email attempts similar in nature to the one noted above.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,068,245		7,310,943		7,439,510		7,232,923		7,232,923	
2	CASH	8,447,705		16,659,758		16,659,758		16,659,758		16,659,758	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$15,515,950	196	\$23,970,701	225	\$24,099,268	327	\$23,892,681	327	\$23,892,681	327
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	6,034,307	39%	6,252,791	26%			6,032,950	26%	6,032,950	26%
14	EDUCATIONAL EXCELLENCE TRUST FUND	585,257	4%	596,763	2%			596,763	3%	596,763	3%
15	WORKFORCE 2000	448,437	3%	461,389	2%			461,389	2%	461,389	2%
16	CASH FUNDS	6,738,399	43%	12,159,758	51%			10,636,472	46%	10,636,472	46%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,709,306	11%	4,500,000	19%			5,500,000	24%	5,500,000	24%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	244	0%	0	0%			75,000	0%	75,000	0%
21	TOTAL INCOME	\$15,515,950	100%	\$23,970,701	100%			\$23,302,574	100%	\$23,302,574	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$590,107		\$590,107	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,366,251
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$300,000
INVENTORIES	\$50,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,005,251

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

SOUTH ARKANSAS COMMUNITY COLLEGE
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	23,716	25,916	36,175	
2	PERSONAL SERVICES MATCHING	10,715	11,709	11,938	
3	EXTRA HELP WAGES			5,705	
4	OPERATING EXPENSES	1,488	2,175	21,182	
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$35,919	\$39,800	\$75,000	\$0
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*				
20	EDUCATIONAL EXCELLENCE*				
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$0	\$0	\$0	\$0

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ 793

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	4,687,221	4,526,090	4,600,000	4,687,221	4,600,000
2	EXTRA HELP WAGES	128,651	130,000	130,000	128,651	130,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,344,600	1,526,484	1,572,357	1,301,460	1,572,357
5	OPERATING EXPENSES	907,039	1,108,369	1,117,153	1,095,591	1,117,153
6	CONFERENCE FEES & TRAVEL		10,000	10,000	10,000	10,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY		10,000	10,000	10,000	10,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	734				
11						
12						
13	TOTAL APPROPRIATION	\$7,068,245	\$7,310,943	\$7,439,510	\$7,232,923	\$7,439,510
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	6,034,307	6,252,791		6,032,950	6,032,950
16	EDUCATIONAL EXCELLENCE TRUST FUND	585,257	596,763		596,763	596,763
17	SPECIAL REVENUES * [WF2000]	448,437	461,389		461,389	461,389
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***	244				
21	TOTAL INCOME	\$7,068,245	\$7,310,943		\$7,091,102	\$7,091,102
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$141,821	\$348,408

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

NOTE: Line 20 - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION

B78

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	2,789,379	3,632,364	3,632,364	3,632,364	3,632,364
2	EXTRA HELP WAGES	252,942	253,236	253,236	278,236	253,236
3	OVERTIME	42,488	34,225	34,225	34,225	34,225
4	PERSONAL SERVICES MATCHING	1,074,659	1,237,734	1,237,734	1,237,734	1,237,734
5	OPERATING EXPENSES	3,206,843	3,393,918	3,393,918	3,377,338	3,393,918
6	CONFERENCE FEES & TRAVEL	189,088	171,267	171,267	171,267	171,267
7	PROFESSIONAL FEES AND SERVICES	23,205	25,346	25,346	25,346	25,346
8	CAPITAL OUTLAY	14,023	253,248	253,248	253,248	253,248
9	CAPITAL IMPROVEMENTS	323,269	7,000,000	7,000,000	7,000,000	7,000,000
10	DEBT SERVICE	410,676	533,420	533,420	500,000	533,420
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	98,020	100,000	100,000	100,000	100,000
12	PROMOTIONAL ITEMS	23,113	25,000	25,000	50,000	25,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,447,705	\$16,659,758	\$16,659,758	\$16,659,758	\$16,659,758
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,992,829	4,526,969		4,526,969	4,526,969
19	ALL OTHER FEES	700,528	736,845		736,845	736,845
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	163,767	781,383		781,383	781,383
21	INVESTMENT INCOME	93,247	113,400		113,400	113,400
22	FEDERAL CASH FUNDS	1,709,306	4,500,000		4,500,000	4,500,000
23	OTHER CASH FUNDS	1,788,028	6,001,161		6,407,322	6,407,322
24	TOTAL INCOME	\$8,447,705	\$16,659,758		\$17,065,919	\$17,065,919
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		(\$406,161)	(\$406,161)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	196	225	327	327	327	327
TOBACCO POSITIONS						
EXTRA HELP ***	75	75	175	175	175	175

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*		9,383		(9,383)	32,000	63,880		(31,880)
2	HOUSING				0				0
3	FOOD SERVICES	137,250	164,839		(27,589)	78,672	80,100		(1,428)
4	STUDENT UNION				0				0
5	BOOKSTORE	876,516	864,423		12,093	829,295	779,295		50,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	430,910	777,271		(346,361)	494,032	765,899		(271,867)
8	SUBTOTAL	\$1,444,676	\$1,815,916	\$0	(\$371,240)	\$1,433,999	\$1,689,174	\$0	(\$255,175)
9	ATHLETIC TRANSFER**	9,775			9,775	31,880			31,880
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	235,305			235,305	223,295			223,295
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,689,756	\$1,815,916	\$0	(\$126,160)	\$1,689,174	\$1,689,174	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Conference Center, Rental, Student Services

NOTE: Line 10 Other Transfers - Conference Center, Student Activities, Bookstore, Rental Income, Debt Service

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>154</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>20</u>	Black Male:	<u>6</u>	Other Male:	<u> </u>	Total Male: <u>26</u>
White Female:	<u>22</u>	Black Female:	<u>8</u>	Other Female:	<u>2</u>	Total Female: <u>32</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>14</u>
White Female:	<u>16</u>	Black Female:	<u>12</u>	Other Female:	<u>3</u>	Total Female: <u>31</u>
Faculty:						
White Male:	<u>18</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>20</u>
White Female:	<u>26</u>	Black Female:	<u>5</u>	Other Female:	<u> </u>	Total Female: <u>31</u>
Total White Male:						<u>47</u>
Total White Female:						<u>64</u>
Total Black Male:						<u>12</u>
Total Black Female:						<u>25</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>5</u>
Total White:						<u>111</u>
Total Black:						<u>37</u>
Total Other:						<u>6</u>
Total Minority:						<u>43</u>
Total Employees:						<u>154</u>

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2019
 Required by A.C.A. 25-36-104

Institution

SOUTH ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
 June 30, 2018**

<p><i>Finding No. 1 REPEAT:</i></p>	<p>Financial Statements are the responsibility of the College's management and should be presented in conformity with accounting principles generally accepted in the United States of America. The College has policies and procedures to properly record and classify transactions in the financial statements; however, material misstatements in the financial statements were detected during the audit. These misstatements had no effect on the College's reported net position at June 30, 2018; and the financial statements were subsequently corrected by College personnel during audit fieldwork. Key errors in the Statement of Revenues, Expenses, and Changes in Net Position and the Notes to the Financial Statements included:</p> <p>Statement of Revenues, Expenses, and Changes in Net Position</p> <p>Student tuition and fees, bookstore revenues, and scholarships and fellowships expenses were overstated \$44,464, \$495,329, and \$539,793; respectively, due to the recording of an incorrect amount for scholarship allowances.</p> <p>Notes to the Financial Statements</p> <ul style="list-style-type: none"> a) The pension expense amount was understated \$545,793. b) Deferred outflows of resources related to pensions resulting from the College contributions subsequent to the measurement date was overstated \$1,828,111. c) Amounts reported for operating expenses by functional classifications included errors totaling \$970,022 and was not in agreement with the amount reported on the Statement of Revenues, Expenses, and Changes in Net Position. d) The other postemployment benefits obligation was understated \$345,026 and was not in agreement with the amount reported on the Statement of Net Position. e) Amounts reported as transferred to the College from the South Arkansas Community College Foundation, Inc. were understated in total by \$287,167. <p>A similar finding was reported in the previous three audits.</p>
<p><i>Institution's Response:</i></p>	<p>Statement of Revenue, Expenses and Changes in Net Position:</p> <p>Allowance reports created for the audit ending June 30, 2018 were based on reports created during the last three months of a prior year due to a change in the College's ERP system. Those reports did not contain all the types of transactions that should be included in the allowance calculation. During the audit, reports were created to accumulate all the data necessary to properly report the amount of the scholarship allowances.</p>

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
 June 30, 2018**

	<p>Notes to Financial Statements:</p> <p>a) and b): The pension expense amount was reduced by the amount of the current year contributions to the retirement plans and the deferred outflow amount was listed as the balance of deferred outflows and should have only included the current year contributions made by the College.</p> <p>c) and d): The functional expense report and postemployment benefits obligation report were not updated with current financial statement changes, causing the variance to the amounts in the Statement of Net Position and Statement of Revenues, Expenses and Changes in Net Position.</p> <p>e): A transfer from the Foundation of \$287,167 for the AMTC building project was not included with the amount transferred for expenses. This was the first such transfer in the last four years.</p> <p>Although a quality check was added to our process for financial statement reviews, it was not adequate to identify errors found by the auditors. The College will increase the quality review by including supporting documentation to the review process and conducting multiple reviews prior to finalizing the statements.</p>
<p><i>Finding No. 2 REPEAT:</i></p>	<p>The College discovered, and we confirmed, seven fraudulent charges made to College P-card accounts from August to December 2017:</p> <ul style="list-style-type: none"> • Four single payments totaling \$295 to various vendors after account information was fraudulently obtained. • Three payments totaling \$1,118 to a retail vendor after an employee's P-Card was reported stolen from a locked vehicle on December 15, 2017. A police report was filed; however, no arrests have been made. <p>All of the above charges were reversed, and the College was reimbursed by the applicable next billing cycle from the date of occurrence. A similar finding was reported in the previous audit.</p>
<p><i>Institution's Response:</i></p>	<p>The College follows the process prescribed by the State Office of Procurement for P-Card reviews. P-card transactions are reviewed on timely basis, but no less than monthly, and any questionable charges are researched by the card holder and the procurement office. US Bank is notified immediately of any charges that are not made by College personnel. The bank will cancel the card and reissue a new one to the holder. After research by US Bank, charges are reimbursed or credited to the College. This follows the process called for by the state, first to identify the fraudulent or unrecognized activity and then immediately contact US Bank, which will discontinue the card and re-issue a card once they deem the event fraudulent. The bank is responsible for all activity at that point.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	5,936,228		5,883,709		5,996,756		5,922,288		5,922,288	
2	CASH	7,490,659		10,473,800		10,473,800		16,973,800		16,973,800	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$13,426,887	184	\$16,357,509	196	\$16,470,556	239	\$22,896,088	239	\$22,896,088	239
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,705,511	42%	5,648,456	35%			5,570,912	24%	5,570,912	24%
14	EDUCATIONAL EXCELLENCE TRUST FUND	230,717	2%	235,253	1%			235,253	1%	235,253	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	7,044,611	52%	6,973,800	43%			13,563,800	60%	13,563,800	60%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	446,048	3%	3,500,000	21%			3,410,000	15%	3,410,000	15%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$13,426,887	100%	\$16,357,509	100%			\$22,779,965	100%	\$22,779,965	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$116,123		\$116,123	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,628,876
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$462,722
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$600,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,000,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,356,154

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION _____ 294

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	3,777,639	3,821,044	3,821,044	3,840,000	3,821,044
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,000,000	1,011,490	1,011,490	1,020,383	1,011,490
5	OPERATING EXPENSES	1,008,589	899,451	1,012,498	908,632	1,012,498
6	CONFERENCE FEES & TRAVEL	50,000	50,575	50,575	51,091	50,575
7	PROFESSIONAL FEES AND SERVICES	0	0	0		0
8	CAPITAL OUTLAY	100,000	101,149	101,149	102,182	101,149
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$5,936,228	\$5,883,709	\$5,996,756	\$5,922,288	\$5,996,756
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,705,511	5,648,456		5,570,912	5,570,912
16	EDUCATIONAL EXCELLENCE TRUST FUND	230,717	235,253		235,253	235,253
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$5,936,228	\$5,883,709		\$5,806,165	\$5,806,165
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$116,123	\$190,591

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION _____

A65

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	2019-2020	AHECB RECOMMENDATION	2020-2021
1	REGULAR SALARIES	2,042,937	2,750,000	2,750,000	2,723,800	2,750,000
2	EXTRA HELP WAGES	103,075	98,800	98,800	125,000	98,800
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	948,103	1,025,000	1,025,000	1,025,000	1,025,000
5	OPERATING EXPENSES	2,727,883	3,000,000	3,000,000	3,000,000	3,000,000
6	CONFERENCE FEES & TRAVEL	108,819	75,000	75,000	150,000	75,000
7	PROFESSIONAL FEES AND SERVICES	85,365	200,000	200,000	200,000	200,000
8	CAPITAL OUTLAY	499,878	500,000	500,000	500,000	500,000
9	CAPITAL IMPROVEMENTS	258,011	2,000,000	2,000,000	8,500,000	2,000,000
10	DEBT SERVICE	315,616	275,000	275,000	275,000	275,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	400,972	550,000	550,000	475,000	550,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$7,490,659	\$10,473,800	\$10,473,800	\$16,973,800	\$10,473,800
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,543,872	3,821,974		3,900,000	3,900,000
19	ALL OTHER FEES	654,655	637,226		650,000	650,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	728,902	84,600		83,800	83,800
21	INVESTMENT INCOME	86,568	30,000		30,000	30,000
22	FEDERAL CASH FUNDS	446,048	3,500,000		3,410,000	3,410,000
23	OTHER CASH FUNDS	2,030,614	2,400,000		8,900,000	2,400,000
24	TOTAL INCOME	\$7,490,659	\$10,473,800		\$16,973,800	\$10,473,800
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	184	196	239	239	239	239
TOBACCO POSITIONS						
EXTRA HELP ***	175	175	175	175	175	175

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	134,683	232,335		(97,652)	165,400	362,750		(197,350)
2	HOUSING	435,632	346,260		89,372	401,980	401,980		0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$570,315	\$578,595	\$0	(\$8,280)	\$567,380	\$764,730	\$0	(\$197,350)
9	ATHLETIC TRANSFER**	97,652			97,652	197,350			197,350
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$667,967	\$578,595	\$0	\$89,372	\$764,730	\$764,730	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>136</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>5</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>10</u>
White Female:	<u>12</u>	Black Female:	<u>5</u>	Other Female:	<u> </u>	Total Female: <u>17</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>19</u>
White Female:	<u>13</u>	Black Female:	<u>11</u>	Other Female:	<u>1</u>	Total Female: <u>25</u>
Faculty:						
White Male:	<u>28</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total Male: <u>32</u>
White Female:	<u>31</u>	Black Female:	<u>2</u>	Other Female:	<u> </u>	Total Female: <u>33</u>
Total White Male:						<u>47</u>
Total White Female:						<u>56</u>
Total Black Male:						<u>13</u>
Total Black Female:						<u>18</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>1</u>
Total White:						<u>103</u>
Total Black:						<u>31</u>
Total Other:						<u>2</u>
Total Minority:						<u>33</u>
Total Employees:						<u>136</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	
Welsco	\$80,406							X

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$793,002
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 10%

**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	406,959		414,348		513,590		521,098		521,098	
2	CASH	336,504		497,213		497,213		497,213		497,213	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$743,463	21	\$911,561	21	\$1,010,803	26	\$1,018,311	26	\$1,018,311	26
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	368,404	50%	375,035	41%			481,785	47%	481,785	47%
14	EDUCATIONAL EXCELLENCE TRUST FUND	38,555	5%	39,313	4%			39,313	4%	39,313	4%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	336,504	45%	397,213	44%			397,213	39%	397,213	39%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	100,000	11%			100,000	10%	100,000	10%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$743,463	100%	\$911,561	100%			\$1,018,311	100%	\$1,018,311	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$725,029
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$10,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$445,029

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	400,817	384,594	460,436	460,436
2	INSTITUTIONAL SUPPORT	292,665	292,404	350,067	350,067
3	PHYSICAL PLANT	9,162	8,850	10,595	10,595
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$702,644	\$685,848	\$821,098	\$821,098
17	NET LOCAL INCOME	295,685	271,500	300,000	300,000
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	368,404	375,035	481,785	481,785
20	EDUCATIONAL EXCELLENCE*	38,555	39,313	39,313	39,313
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$702,644	\$685,848	\$821,098	\$821,098

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING AC

APPROPRIATION _____

296

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	248,336	275,693	305,693	310,162	310,180
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	44,970	54,873	64,873	65,821	65,825
5	OPERATING EXPENSES	101,269	73,209	132,451	134,387	134,395
6	CONFERENCE FEES & TRAVEL	10,720	10,573	10,573	10,728	10,728
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	1,664				
11						
12						
13	TOTAL APPROPRIATION	\$406,959	\$414,348	\$513,590	\$521,098	\$521,128
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	368,404	375,035		481,785	481,785
16	EDUCATIONAL EXCELLENCE TRUST FUND	38,555	39,313		39,313	39,313
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$406,959	\$414,348		\$521,098	\$521,098
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$30

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2170000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION

A67

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	189,145	190,000	190,000	215,000	190,000
2	EXTRA HELP WAGES	0				
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	97,658	84,000	84,000	109,000	84,000
5	OPERATING EXPENSES	34,740	41,213	41,213	41,213	41,213
6	CONFERENCE FEES & TRAVEL	12,581	12,000	12,000	22,000	12,000
7	PROFESSIONAL FEES AND SERVICES	2,380	20,000	20,000	10,000	20,000
8	CAPITAL OUTLAY	0	50,000	50,000	50,000	50,000
9	CAPITAL IMPROVEMENTS	0	100,000	100,000	50,000	100,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$336,504	\$497,213	\$497,213	\$497,213	\$497,213
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	327,900	392,213		392,213	392,213
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS		4,000		4,000	4,000
21	INVESTMENT INCOME	8,604	1,000		1,000	1,000
22	FEDERAL CASH FUNDS		100,000		100,000	100,000
23	OTHER CASH FUNDS					
24	TOTAL INCOME	\$336,504	\$497,213		\$497,213	\$497,213
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	21	21	26	26	26	26
TOBACCO POSITIONS						
EXTRA HELP ***	50	50	50	50	50	50

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>13</u>
						(As of November 1, 2018)
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>2</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>2</u>
Faculty:						
White Male:	<u>7</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>7</u>
White Female:	<u>2</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>2</u>
Total White Male:	<u>9</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>9</u>
Total White Female:	<u>3</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>4</u>
Total White:	<u>12</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>13</u>
				Total Minority:	<u>1</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	1,748,802		1,780,442		2,417,316		2,449,491		2,449,491	
2	CASH	253,523		732,955		732,955		732,955		732,955	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$2,002,325	45	\$2,513,397	47	\$3,150,271	67	\$3,182,446	67	\$3,182,446	67
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	1,651,221	82%	1,680,943	67%			2,349,992	74%	2,349,992	74%
14	EDUCATIONAL EXCELLENCE TRUST FUND	97,581	5%	99,499	4%			99,499	3%	99,499	3%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	230,655	12%	532,955	21%			532,955	17%	532,955	17%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	22,868	1%	200,000	8%			200,000	6%	200,000	6%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$2,002,325	100%	\$2,513,397	100%			\$3,182,446	100%	\$3,182,446	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$599,881
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$30,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$205,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$144,881

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2020-2021 Fiscal Year (Non-Formula Entities)**

ARKANSAS FIRE TRAINING ACADEMY
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2018-2019 ACTUAL	2019-2020 BUDGETED*	2020-2021 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2020-2021	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	1,070,212	1,083,153	1,419,949	1,419,949
2	STUDENT SERVICES	109,433	112,407	190,082	190,082
3	INSTITUTIONAL SUPPORT	525,983	528,948	723,295	723,295
4	PHYSICAL PLANT	207,929	240,134	316,165	316,165
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$1,913,557	\$1,964,642	\$2,649,491	\$2,649,491
17	NET LOCAL INCOME	164,755	184,200	200,000	200,000
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE*	1,651,221	1,680,943	2,349,992	2,349,992
20	EDUCATIONAL EXCELLENCE*	97,581	99,499	99,499	99,499
21	WORKFORCE 2000*				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***				
24	TOTAL SOURCES OF INCOME	\$1,913,557	\$1,964,642	\$2,649,491	\$2,649,491

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

** Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

FUND CSS0000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION _____ 295

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	849,584	800,000	1,118,551	1,133,439	1,286,008
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	250,000	250,000	314,414	318,599	361,484
5	OPERATING EXPENSES	612,057	575,809	829,718	840,762	953,934
6	CONFERENCE FEES & TRAVEL	12,563	104,633	104,633	106,026	120,297
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	24,598	50,000	50,000	50,665	57,486
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,748,802	\$1,780,442	\$2,417,316	\$2,449,491	\$2,779,209
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,651,221	1,680,943		2,349,992	2,349,992
16	EDUCATIONAL EXCELLENCE TRUST FUND	97,581	99,499		99,499	99,499
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$1,748,802	\$1,780,442		\$2,449,491	\$2,449,491
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$329,718

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2170000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION _____

A66

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	70,861	50,000	50,000	150,000	50,000
2	EXTRA HELP WAGES	0				
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	38,010	30,000	30,000	50,000	30,000
5	OPERATING EXPENSES	22,414	70,000	70,000	100,000	70,000
6	CONFERENCE FEES & TRAVEL	3,030	5,000	5,000	55,000	5,000
7	PROFESSIONAL FEES AND SERVICES	81	277,955	277,955	77,955	277,955
8	CAPITAL OUTLAY	69,128	100,000	100,000	100,000	100,000
9	CAPITAL IMPROVEMENTS	49,999	200,000	200,000	200,000	200,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$253,523	\$732,955	\$732,955	\$732,955	\$732,955
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	208,843	350,000		400,000	400,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	21,812	125,000		75,000	75,000
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS	22,868	200,000		200,000	200,000
23	OTHER CASH FUNDS		57,955		57,955	57,955
24	TOTAL INCOME	\$253,523	\$732,955		\$732,955	\$732,955
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	45	47	67	67	67	67
TOBACCO POSITIONS						
EXTRA HELP ***	55	55	55	55	55	55

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:			<u>39</u>		
(As of November 1, 2018)					
Nonclassified Administrative Employees:				Total	Male: <u>1</u>
White Male:	<u>1</u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u>1</u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Nonclassified Health Care Employees:				Total	Male: <u>0</u>
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Classified Employees:				Total	Male: <u>0</u>
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u>7</u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Faculty:				Total	Male: <u>28</u>
White Male:	<u>27</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>
White Female:	<u>2</u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Total White Male:	<u>28</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>10</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>
Total White:	<u>38</u>	Total Black:	<u>0</u>	Total Other:	<u>1</u>
				Total Minority:	<u>1</u>
				Total	Employees: <u>39</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

ARKANSAS FIRE TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,556,547		7,555,629		7,681,417		7,621,361		7,621,361	
2	CASH	5,582,706		10,400,000		10,400,000		34,700,000		34,700,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$13,139,253	146	\$17,955,629	313	\$18,081,417	360	\$42,321,361	360	\$42,321,361	360
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	1,165,012	9%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,636,798	43%	5,580,430	31%			5,496,724	13%	5,496,724	13%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,919,749	15%	1,975,199	11%			1,975,199	5%	1,975,199	5%
16	CASH FUNDS	3,634,646	28%	7,900,000	44%			31,700,000	75%	31,700,000	75%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	783,048	6%	2,500,000	14%			3,000,000	7%	3,000,000	7%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$13,139,253	100%	\$17,955,629	100%			\$42,171,923	100%	\$42,171,923	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$149,438		\$149,438	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,242,132
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,085,666
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,146,466

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

APPROPRIATION ACT FORM - STATE TREASURY

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION _____ 1XD

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	5,175,000	5,175,199	5,800,000	5,600,000	5,800,000
2	EXTRA HELP WAGES	600,000	600,000	600,000	750,000	600,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,643,839	1,600,000	1,100,000	1,100,000	1,100,000
5	OPERATING EXPENSES	136,798	180,430	181,417	171,361	181,417
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	SURETY PREMIUM	481				
11	CLAIMS	429				
12						
13	TOTAL APPROPRIATION	\$7,556,547	\$7,555,629	\$7,681,417	\$7,621,361	\$7,681,417
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,636,798	5,580,430		5,496,724	5,496,724
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,919,749	1,975,199		1,975,199	1,975,199
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$7,556,547	\$7,555,629		\$7,471,923	\$7,471,923
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$149,438	\$209,494

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION _____

B65

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	1,093,641	1,500,000	1,500,000	2,000,000	1,500,000
2	EXTRA HELP WAGES	447,455	150,000	150,000	1,000,000	150,000
3	OVERTIME	0	200,000	200,000	200,000	200,000
4	PERSONAL SERVICES MATCHING	499,908	1,200,000	1,200,000	1,800,000	1,200,000
5	OPERATING EXPENSES	2,820,562	3,000,000	3,000,000	5,000,000	3,000,000
6	CONFERENCE FEES & TRAVEL	189,620	250,000	250,000	500,000	250,000
7	PROFESSIONAL FEES AND SERVICES	191,952	400,000	400,000	1,500,000	400,000
8	CAPITAL OUTLAY	339,568	2,200,000	2,200,000	15,000,000	2,200,000
9	CAPITAL IMPROVEMENTS	0	1,500,000	1,500,000	6,000,000	1,500,000
10	DEBT SERVICE				500,000	
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0			1,200,000	
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,582,706	\$10,400,000	\$10,400,000	\$34,700,000	\$10,400,000
17	PRIOR YEAR FUND BALANCE**	1,165,012				
18	TUITION AND MANDATORY FEES	2,843,907	3,000,000		3,000,000	3,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	127,536	125,000		125,000	125,000
22	FEDERAL CASH FUNDS	783,048	2,500,000		3,000,000	3,000,000
23	OTHER CASH FUNDS	663,203	4,775,000		28,575,000	28,575,000
24	TOTAL INCOME	\$5,582,706	\$10,400,000		\$34,700,000	\$34,700,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$24,300,000)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	146	313	360	360	360	360
TOBACCO POSITIONS						
EXTRA HELP ***	200	200	200	200	200	200

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	74,400	164,758		(90,358)	79,500	148,115		(68,615)
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	1,759	242,915		(241,156)	0	217,503		(217,503)
8	SUBTOTAL	\$76,159	\$407,673	\$0	(\$331,514)	\$79,500	\$365,618	\$0	(\$286,118)
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$76,159	\$407,673	\$0	(\$331,514)	\$79,500	\$365,618	\$0	(\$286,118)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note: Line 7 Other - Seabrook Recreational Facility for Students and Faculty/Staff use

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>146</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>6</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total Male: <u>10</u>
White Female:	<u>8</u>	Black Female:	<u>7</u>	Other Female:	<u>1</u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>7</u>	Black Male:	<u>9</u>	Other Male:	<u>1</u>	Total Male: <u>17</u>
White Female:	<u>16</u>	Black Female:	<u>12</u>	Other Female:	<u>1</u>	Total Female: <u>29</u>
Faculty:						
White Male:	<u>24</u>	Black Male:	<u>6</u>	Other Male:	<u>1</u>	Total Male: <u>31</u>
White Female:	<u>17</u>	Black Female:	<u>25</u>	Other Female:	<u>1</u>	Total Female: <u>43</u>
Total White Male:						<u>37</u>
Total White Female:						<u>41</u>
Total Black Male:						<u>18</u>
Total Black Female:						<u>44</u>
Total Other Male:						<u>3</u>
Total Other Female:						<u>3</u>
Total White:						<u>78</u>
Total Black:						<u>62</u>
Total Other:						<u>6</u>
Total Minority:						<u>68</u>
Total Employees:						<u>146</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

SOUTHEAST ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$60,000
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2018**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,973,488		5,061,933		5,152,058		5,096,829		5,096,829	
2	CASH	6,748,523		12,921,000		12,921,000		12,921,000		12,921,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$11,722,011	190	\$17,982,933	250	\$18,073,058	272	\$18,017,829	272	\$18,017,829	272
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	4,131,061	35%	4,195,173	23%			4,130,132	23%	4,130,132	23%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	842,427	7%	866,760	5%			866,760	5%	866,760	5%
16	CASH FUNDS	6,748,523	58%	12,921,000	72%			12,921,000	72%	12,921,000	72%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$11,722,011	100%	\$17,982,933	100%			\$17,917,892	100%	\$17,917,892	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$99,937		\$99,937	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$4,854,154
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$700,782
INVENTORIES	\$311,481
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$225,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,450,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$150,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,916,891

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CTG0000

INSTITUTION COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION _____

1RT

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	4,122,445	4,211,933	4,302,058	4,246,829	4,302,058
2	EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	750,000	750,000	750,000	750,000	750,000
5	WORKERS COMP/SURETY PREMIUM	1,043				
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$4,973,488	\$5,061,933	\$5,152,058	\$5,096,829	\$5,152,058
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	4,131,061	4,195,173		4,130,132	4,130,132
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	842,427	866,760		866,760	866,760
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$4,973,488	\$5,061,933		\$4,996,892	\$4,996,892
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$99,937	\$155,166

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION

B39

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION	AHECB RECOMMENDATION	2020-2021
				2019-2020	2020-2021	2020-2021
1	REGULAR SALARIES	935,002	1,250,000	1,250,000	1,250,000	1,250,000
2	EXTRA HELP WAGES	174,519	195,000	195,000	195,000	195,000
3	OVERTIME	0	1,000	1,000	1,000	1,000
4	PERSONAL SERVICES MATCHING	920,703	1,250,000	1,250,000	1,250,000	1,250,000
5	OPERATING EXPENSES	3,291,387	3,500,000	3,500,000	3,500,000	3,500,000
6	CONFERENCE FEES & TRAVEL	129,939	150,000	150,000	150,000	150,000
7	PROFESSIONAL FEES AND SERVICES	184,468	250,000	250,000	250,000	250,000
8	CAPITAL OUTLAY	971,843	3,500,000	3,500,000	3,500,000	3,500,000
9	CAPITAL IMPROVEMENTS	0	1,500,000	1,500,000	1,500,000	1,500,000
10	DEBT SERVICE	140,662	550,000	550,000	550,000	550,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	750,000	750,000	750,000	750,000
12	PROMOTIONAL	0	25,000	25,000	25,000	25,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$6,748,523	\$12,921,000	\$12,921,000	\$12,921,000	\$12,921,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	4,935,402	3,017,000		3,410,000	3,410,000
19	ALL OTHER FEES	297,791	490,620		315,000	315,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	50,359	50,000		40,000	40,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,464,971	9,363,380		9,156,000	9,156,000
24	TOTAL INCOME	\$6,748,523	\$12,921,000		\$12,921,000	\$12,921,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	190	250	272	272	272	272
TOBACCO POSITIONS						
EXTRA HELP ***	93	100	100	100	100	100

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES	84,785	128,606		(43,821)	90,339	147,474		(57,135)
4	STUDENT UNION				0				0
5	BOOKSTORE	488,564	412,619		75,945	660,000	594,465		65,535
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		32,662		(32,662)		50,000		(50,000)
7	OTHER (FOOTNOTE BELOW)	35,770	2,344		33,426	47,500	5,900		41,600
8	SUBTOTAL	\$609,119	\$576,231	\$0	\$32,888	\$797,839	\$797,839	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$609,119	\$576,231	\$0	\$32,888	\$797,839	\$797,839	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Cosmetology Sales and Services, Independence Hall Rent, Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:			<u>174</u>		
(As of November 1, 2018)					
Nonclassified Administrative Employees:				Total	Total
White Male:	<u>9</u>	Black Male:	<u> </u>	Male:	<u>10</u>
White Female:	<u>23</u>	Black Female:	<u> </u>	Female:	<u>24</u>
		Other Male:	<u>1</u>		
		Other Female:	<u>1</u>		
Nonclassified Health Care Employees:				Total	Total
White Male:	<u> </u>	Black Male:	<u> </u>	Male:	<u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Female:	<u>0</u>
		Other Male:	<u> </u>		
		Other Female:	<u> </u>		
Classified Employees:				Total	Total
White Male:	<u>6</u>	Black Male:	<u> </u>	Male:	<u>6</u>
White Female:	<u>23</u>	Black Female:	<u> </u>	Female:	<u>24</u>
		Other Male:	<u> </u>		
		Other Female:	<u>1</u>		
Faculty:				Total	Total
White Male:	<u>34</u>	Black Male:	<u>2</u>	Male:	<u>36</u>
White Female:	<u>71</u>	Black Female:	<u>1</u>	Female:	<u>74</u>
		Other Male:	<u> </u>		
		Other Female:	<u>2</u>		
Total White Male:	<u>49</u>	Total Black Male:	<u>2</u>	Total	<u>52</u>
Total White Female:	<u>117</u>	Total Black Female:	<u>1</u>	Total	<u>122</u>
		Total Other Male:	<u>1</u>		
		Total Other Female:	<u>4</u>		
Total White:	<u>166</u>	Total Black:	<u>3</u>	Total	<u>174</u>
		Total Other:	<u>5</u>	Employees:	
		Total Minority:	<u>8</u>		

FORM FR-6

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>In accordance with Ark. Code Ann. § 6-60-209, we performed tests of the student enrollment data for the year ended June 30, 2019, as reported to the Arkansas Department of Higher Education, to provide reasonable assurance that the data was properly reported. During our review, we noted the following items:</p> <ul style="list-style-type: none"> • Two students out of seventy-nine students tested withdrew prior to the eleventh class day (or equivalent) but were included in enrollment numbers submitted to the Arkansas Department of Higher Education.
<p><i>Institution's Response:</i></p>	<p>The two students in question initiated a complete withdrawal prior to the 11th day census date. The withdrawals were processed. At the same time, faculty are asked to report non-attending students; these two students were not reported. Faculty reports are utilized to build the file submitted to ADHE. UACCB Registrar will inform the UACCB faculty and staff of the ADHE reporting requirements and will provide training to ensure ADHE reporting procedures are followed. The Registrar will emphasize the importance of verifying class attendance records against the updated rosters available in the Student Information System. Notifications and training will be provided to UACCB faculty and staff on the student withdrawal process and of ADHE reporting guidelines to ensure the student's status as of the institution's census date is reflected in the ADHE report. Information Services will review the validation report to address report timing.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE HOPE-TEXARKANA

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,459,868		6,971,807		7,086,127		7,089,542		7,089,542	
2	CASH	6,966,179		11,357,876		11,357,876		13,376,197		13,376,197	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$13,426,047	163	\$18,329,683	207	\$18,444,003	207	\$20,465,739	208	\$20,465,739	208
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	4,555,914	34%	5,012,860	27%			4,991,584	25%	4,991,584	25%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,903,954	14%	1,958,947	11%			1,958,947	10%	1,958,947	10%
16	CASH FUNDS	5,333,670	40%	7,373,047	40%			9,570,558	47%	9,570,558	47%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,632,509	12%	3,984,829	22%			3,805,639	19%	3,805,639	19%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$13,426,047	100%	\$18,329,683	100%			\$20,326,728	100%	\$20,326,728	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$139,011		\$139,011	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$1,043,105
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$328,269
INVENTORIES	\$934,934
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$4,394
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,340,012
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,714,504)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CTR0000

INSTITUTION COMMUNITY COLLEGE HOPE-TEXARKANA

APPROPRIATION _____ 1BU

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	5,233,083	5,371,807	5,486,127	5,489,542	5,486,127
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,225,187	1,600,000	1,600,000	1,600,000	1,600,000
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	1,598				
11						
12						
13	TOTAL APPROPRIATION	\$6,459,868	\$6,971,807	\$7,086,127	\$7,089,542	\$7,086,127
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	4,555,914	5,012,860		4,991,584	4,991,584
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,903,954	1,958,947		1,958,947	1,958,947
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$6,459,868	\$6,971,807		\$6,950,531	\$6,950,531
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$139,011	\$135,596

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE HOPE-TEXARKANA

APPROPRIATION _____

A98

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	1,201,017	1,744,197	1,744,197	1,744,197	1,744,197
2	EXTRA HELP WAGES	145,872	175,000	175,000	175,000	175,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	698,763	697,679	697,679	700,000	697,679
5	OPERATING EXPENSES	3,804,872	4,250,000	4,250,000	4,250,000	4,250,000
6	CONFERENCE FEES & TRAVEL	75,858	100,000	100,000	100,000	100,000
7	PROFESSIONAL FEES AND SERVICES	121,692	185,000	185,000	250,000	185,000
8	CAPITAL OUTLAY	0	500,000	500,000	500,000	500,000
9	CAPITAL IMPROVEMENTS	111,101	2,800,000	2,800,000	5,000,000	2,800,000
10	DEBT SERVICE	807,004	806,000	806,000	557,000	806,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	BLADESMITHING SCHOOL GRANTS, PERSONAL SERVICES AND OPERATING EXPENSES		100,000	100,000	100,000	100,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$6,966,179	\$11,357,876	\$11,357,876	\$13,376,197	\$11,357,876
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,310,145	3,193,047		3,415,558	3,415,558
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	228,012	80,000		100,000	100,000
21	INVESTMENT INCOME	54,329	0		55,000	55,000
22	FEDERAL CASH FUNDS	1,632,509	3,984,829		3,805,639	3,805,639
23	OTHER CASH FUNDS	1,741,184	4,100,000		6,000,000	6,000,000
24	TOTAL INCOME	\$6,966,179	\$11,357,876		\$13,376,197	\$13,376,197
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$2,018,321)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED 2019-2020	REQUEST 2020-2021	AHECB RECOMMEND 2020-2021	LEGISLATIVE RECOMMENDATION 2020-2021
REGULAR POSITIONS	163	207	207	208	208	207
TOBACCO POSITIONS						
EXTRA HELP ***	55	200	200	200	200	200

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE HOPE-TEXARKANA
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE	398,812	2,083		396,729	382,000			382,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	25,279			25,279	20,000			20,000
8	SUBTOTAL	\$424,091	\$2,083	\$0	\$422,008	\$402,000	\$0	\$0	\$402,000
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$424,091	\$2,083	\$0	\$422,008	\$402,000	\$0	\$0	\$402,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Hempstead Hall room rentals and ticket income

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE HOPE-TEXARKANA
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>163</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>9</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>18</u>	Black Female:	<u>10</u>	Other Female:	<u>0</u>	Total Female: <u>28</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>3</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>4</u>
White Female:	<u>18</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>23</u>
Faculty:						
White Male:	<u>36</u>	Black Male:	<u>6</u>	Other Male:	<u>0</u>	Total Male: <u>42</u>
White Female:	<u>46</u>	Black Female:	<u>8</u>	Other Female:	<u>0</u>	Total Female: <u>54</u>
Total White Male:						<u>48</u>
Total White Female:						<u>82</u>
Total Black Male:						<u>9</u>
Total Black Female:						<u>23</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>0</u>
Total White:						<u>130</u>
Total Black:						<u>32</u>
Total Other:						<u>1</u>
Total Minority:						<u>33</u>
Total Employees:						<u>163</u>

FORM FR-6

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding a loss of \$1,889 as the result of a payroll identity theft for the period February 26, 2019 through March 27, 2019. Working with their Information Technology (IT) Department, management reported that an employee's email account was compromised, which the IT Director later confirmed. Through an email with documentation sent to the Payroll Department, the employee's direct deposit information was changed to an apparent fraudulent account. Management filed a report with the Hope Police Department on March 18, 2019, and indicated they believe this was an isolated incident and no other systems or emails were affected.</p>
<p><i>Institution's Response:</i></p>	<p>The College has implemented a Direct Deposit Process Change policy to discontinue accepting direct deposit changes by email and require employees to request changes in person with the Payroll Department. If an employee is unable to request the change in person, the Payroll Department is required to perform phone call verification to a phone number previously on file for the employee and obtain an employee notarized Authorization Agreement for Direct Deposit form.</p>

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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,277,094		6,276,895		6,385,878		6,794,239		6,794,239	
2	CASH	8,791,984		29,000,000		29,000,000		29,000,000		29,000,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$15,069,078	193	\$35,276,895	292	\$35,385,878	292	\$35,794,239	292	\$35,794,239	292
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,022,155	33%	4,985,709	14%			5,369,833	15%	5,369,833	15%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,254,939	8%	1,291,186	4%			1,291,186	4%	1,291,186	4%
16	CASH FUNDS	8,211,426	54%	26,000,000	74%			26,000,000	73%	26,000,000	73%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	580,558	4%	3,000,000	9%			3,000,000	8%	3,000,000	8%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$15,069,078	100%	\$35,276,895	100%			\$35,661,019	100%	\$35,661,019	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$133,220		\$133,220	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$7,062,429
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,100,000
INVENTORIES	\$100,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,150,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,062,429

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CTJ0000

INSTITUTION COMMUNITY COLLEGE MORRILTON

APPROPRIATION _____

729

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	5,022,155	4,985,709	5,110,922	5,503,053	5,110,922
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,254,049	1,291,186	1,274,956	1,291,186	1,274,956
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	890				
11						
12						
13	TOTAL APPROPRIATION	\$6,277,094	\$6,276,895	\$6,385,878	\$6,794,239	\$6,385,878
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,022,155	4,985,709		5,369,833	5,369,833
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,254,939	1,291,186		1,291,186	1,291,186
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$6,277,094	\$6,276,895		\$6,661,019	\$6,661,019
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$133,220	(\$275,141)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION

B64

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	2,091,966	4,500,000	4,500,000	4,500,000	4,500,000
2	EXTRA HELP WAGES	519,666	1,000,000	1,000,000	1,000,000	1,000,000
3	OVERTIME	1,821	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	1,320,685	3,000,000	3,000,000	3,000,000	3,000,000
5	OPERATING EXPENSES	3,416,031	7,000,000	7,000,000	7,000,000	7,000,000
6	CONFERENCE FEES & TRAVEL	60,550	200,000	200,000	200,000	200,000
7	PROFESSIONAL FEES AND SERVICES	66,884	550,000	550,000	550,000	550,000
8	CAPITAL OUTLAY	381,571	1,500,000	1,500,000	1,500,000	1,500,000
9	CAPITAL IMPROVEMENTS	11,607	10,000,000	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	902,038	1,200,000	1,200,000	1,200,000	1,200,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	19,165	40,000	40,000	40,000	40,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,791,984	\$29,000,000	\$29,000,000	\$29,000,000	\$29,000,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	6,510,844	8,400,000		8,400,000	8,400,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	124,690	300,000		300,000	300,000
21	INVESTMENT INCOME	165,600	300,000		300,000	300,000
22	FEDERAL CASH FUNDS	580,558	3,000,000		3,000,000	3,000,000
23	OTHER CASH FUNDS	1,410,292	17,000,000		17,000,000	17,000,000
24	TOTAL INCOME	\$8,791,984	\$29,000,000		\$29,000,000	\$29,000,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	193	292	292	292	292	292
TOBACCO POSITIONS						
EXTRA HELP ***	45	110	110	110	110	110

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES				0				0
4	STUDENT UNION				0				0
5	BOOKSTORE				0				0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>193</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>11</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>12</u>
White Female:	<u>29</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>31</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>15</u>
White Female:	<u>31</u>	Black Female:	<u>1</u>	Other Female:	<u>2</u>	Total Female: <u>34</u>
Faculty:						
White Male:	<u>35</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>35</u>
White Female:	<u>64</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>66</u>
Total White Male:	<u>60</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>1</u>	Total Male: <u>62</u>
Total White Female:	<u>124</u>	Total Black Female:	<u>4</u>	Total Other Female:	<u>3</u>	Total Female: <u>131</u>
Total White:	<u>184</u>	Total Black:	<u>5</u>	Total Other:	<u>4</u>	Total Employees: <u>193</u>
				Total Minority:	<u>9</u>	

FORM FR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$220,409
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
June 30, 2019

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	3,432,750		3,405,122		3,468,812		3,863,206		3,863,206	
2	CASH	4,096,003		18,060,000		9,260,000		18,060,000		18,060,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$7,528,753	86	\$21,465,122	124	\$12,728,812	144	\$21,923,206	144	\$21,923,206	144
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,206,869	43%	3,174,800	15%			3,557,135	16%	3,557,135	16%
14	EDUCATIONAL EXCELLENCE TRUST FUND	225,996	3%	230,322	1%			230,322	1%	230,322	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	2,734,500	36%	13,160,000	61%			13,160,000	60%	13,160,000	60%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,361,503	18%	4,900,000	23%			4,900,000	22%	4,900,000	22%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$7,528,868	100%	\$21,465,122	100%			\$21,847,457	100%	\$21,847,457	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$115)		\$0				\$75,749		\$75,749	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$3,371,401
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$513,827
INVENTORIES	\$172,372
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$925,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$35,202

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS

FUND CWR0000

INSTITUTION COMMUNITY COLLEGE RICH MOUNTAIN

APPROPRIATION _____ 112

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	2,400,000	2,364,281	2,425,000	2,450,000	2,425,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	520,000	527,029	530,000	530,000	530,000
5	OPERATING EXPENSES	512,488	513,812	513,812	883,206	513,812
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	262				
11						
12						
13	TOTAL APPROPRIATION	\$3,432,750	\$3,405,122	\$3,468,812	\$3,863,206	\$3,468,812
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,206,869	3,174,800		3,557,135	3,557,135
16	EDUCATIONAL EXCELLENCE TRUST FUND	225,996	230,322		230,322	230,322
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$3,432,865	\$3,405,122		\$3,787,457	\$3,787,457
22	EXCESS (FUNDING)/APPROPRIATION	(\$115)	\$0		\$75,749	(\$318,645)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2190000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

APPROPRIATION _____

A26

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	1,085,119	1,250,000	1,250,000	1,250,000	1,250,000
2	EXTRA HELP WAGES	352,952	375,000	375,000	375,000	375,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	726,316	1,000,000	1,000,000	1,000,000	1,000,000
5	OPERATING EXPENSES	967,422	1,325,000	1,350,000	1,350,000	1,350,000
6	CONFERENCE FEES & TRAVEL	90,935	100,000	100,000	100,000	100,000
7	PROFESSIONAL FEES AND SERVICES	0	5,000	5,000	5,000	5,000
8	CAPITAL OUTLAY	761,121	4,500,000	4,500,000	4,500,000	4,000,000
9	CAPITAL IMPROVEMENTS	0	9,000,000	200,000	9,000,000	200,000
10	DEBT SERVICE	112,138	480,000	480,000	480,000	880,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	0	25,000			
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,096,003	\$18,060,000	\$9,260,000	\$18,060,000	\$9,160,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,092,239	2,640,000		2,640,000	2,640,000
19	ALL OTHER FEES	320,808	300,000		300,000	300,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	60,802	20,000		20,000	20,000
21	INVESTMENT INCOME	12,484	25,000		25,000	25,000
22	FEDERAL CASH FUNDS	1,361,503	4,900,000		4,900,000	4,900,000
23	OTHER CASH FUNDS	248,167	10,175,000		10,175,000	10,175,000
24	TOTAL INCOME	\$4,096,003	\$18,060,000		\$18,060,000	\$18,060,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$8,900,000)

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	86	124	144	144	144	144
TOBACCO POSITIONS						
EXTRA HELP ***	40	50	80	80	80	80

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*		48,705		(48,705)		208,575		(208,575)
2	HOUSING				0	125,000	125,000		0
3	FOOD SERVICES	48,609	89,529		(40,920)	170,000	193,515		(23,515)
4	STUDENT UNION				0				0
5	BOOKSTORE	322,861	273,531		49,330	405,000	278,674		126,326
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)				0				0
8	SUBTOTAL	\$371,470	\$411,765	\$0	(\$40,295)	\$700,000	\$805,764	\$0	(\$105,764)
9	ATHLETIC TRANSFER**	48,705			48,705	105,764			105,764
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	64,236			64,236				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$484,411	\$411,765	\$0	\$72,646	\$805,764	\$805,764	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Food services and bookstore

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:			<u>75</u>
(As of November 1, 2018)			
Nonclassified Administrative Employees:			
White Male:	<u>7</u>	Black Male:	<u> </u>
White Female:	<u>12</u>	Black Female:	<u> </u>
		Other Male:	<u> </u>
		Other Female:	<u> </u>
Total		Total	<u>7</u>
Total		Total	<u>12</u>
Nonclassified Health Care Employees:			
White Male:	<u> </u>	Black Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>
		Other Male:	<u> </u>
		Other Female:	<u> </u>
Total		Total	<u>0</u>
Total		Total	<u>0</u>
Classified Employees:			
White Male:	<u>5</u>	Black Male:	<u> </u>
White Female:	<u>12</u>	Black Female:	<u> </u>
		Other Male:	<u> </u>
		Other Female:	<u> </u>
Total		Total	<u>5</u>
Total		Total	<u>12</u>
Faculty:			
White Male:	<u>11</u>	Black Male:	<u> </u>
White Female:	<u>27</u>	Black Female:	<u> </u>
		Other Male:	<u>1</u>
		Other Female:	<u> </u>
Total		Total	<u>12</u>
Total		Total	<u>27</u>
Total White Male:	<u>23</u>	Total Black Male:	<u>0</u>
Total White Female:	<u>51</u>	Total Black Female:	<u>0</u>
		Total Other Male:	<u>1</u>
		Total Other Female:	<u>0</u>
Total		Total	<u>24</u>
Total		Total	<u>51</u>
Total White:	<u>74</u>	Total Black:	<u>0</u>
		Total Other:	<u>1</u>
		Total Minority:	<u>1</u>
Total		Total	<u>75</u>

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2019
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						Women
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$6,076,077
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT RICH MOUNTAIN
June 30, 2019**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
2020-2021**

INSTITUTION UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2018-2019		2019-2020		2019-2020		2020-2021			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	17,347,378		17,259,835		17,575,879		17,375,745		17,375,745	
2	CASH	22,765,990		56,135,000		56,135,000		56,135,000		56,135,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$40,113,368	603	\$73,394,835	520	\$73,710,879	898	\$73,510,745	898	\$73,510,745	898
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	15,137,437	38%	14,986,063	20%			14,761,272	20%	14,761,272	20%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,209,941	6%	2,273,772	3%			2,273,772	3%	2,273,772	3%
16	CASH FUNDS	19,839,003	49%	17,484,737	24%			20,100,000	27%	20,100,000	27%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,926,987	7%	38,650,263	53%			36,035,000	49%	36,035,000	49%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$40,113,368	100%	\$73,394,835	100%			\$73,170,044	100%	\$73,170,044	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$340,701		\$340,701	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2019:	\$26,820,842
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,601,121
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,838,763
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$3,500,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$16,330,958

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2020-2021 FISCAL YEAR**

UNIVERSITY OF ARKANSAS -

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION _____

734

	DESCRIPTION	ACTUAL 2018-2019	BUDGETED 2019-2020	AUTHORIZED APPROPRIATION 2019-2020	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2020-2021	2020-2021
1	REGULAR SALARIES	17,343,975	17,259,835	17,575,879	17,375,745	17,575,879
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	3,402				
11						
12						
13	TOTAL APPROPRIATION	\$17,347,378	\$17,259,835	\$17,575,879	\$17,375,745	\$17,575,879
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	15,137,437	14,986,063		14,761,272	14,761,272
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,209,941	2,273,772		2,273,772	2,273,772
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***					
21	TOTAL INCOME	\$17,347,378	\$17,259,835		\$17,035,044	\$17,035,044
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$340,701	\$540,835

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 20 "Other State Treasury Funds" and identified in a footnote.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2020-2021 Fiscal Year**

FUND 2930000

INSTITUTION UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

APPROPRIATION _____

B66

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2018-2019	2019-2020	APPROPRIATION 2019-2020	AHECB RECOMMENDATION 2020-2021	2020-2021
1	REGULAR SALARIES	684,463	4,000,000	4,000,000	4,000,000	4,000,000
2	EXTRA HELP WAGES	634,455	2,000,000	2,000,000	2,000,000	2,000,000
3	OVERTIME	1,485	75,000	75,000	35,000	75,000
4	PERSONAL SERVICES MATCHING	5,259,123	10,000,000	10,000,000	10,000,000	10,000,000
5	OPERATING EXPENSES	9,776,743	15,000,000	15,000,000	15,000,000	15,000,000
6	CONFERENCE FEES & TRAVEL	216,101	500,000	500,000	500,000	500,000
7	PROFESSIONAL FEES AND SERVICES	43,992	4,000,000	4,000,000	4,000,000	4,000,000
8	CAPITAL OUTLAY	204,955	3,000,000	3,000,000	3,000,000	3,000,000
9	CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	5,864,294	7,500,000	7,500,000	7,500,000	7,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	80,379	60,000	60,000	100,000	60,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$22,765,990	\$56,135,000	\$56,135,000	\$56,135,000	\$56,135,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	10,326,591	15,000,000		15,000,000	15,000,000
19	ALL OTHER FEES	2,021,165			2,000,000	2,000,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	446,509	500,000		500,000	500,000
21	INVESTMENT INCOME	576,037	500,000		600,000	600,000
22	FEDERAL CASH FUNDS	2,926,987	38,650,263		36,035,000	36,035,000
23	OTHER CASH FUNDS	6,468,701	1,484,737		2,000,000	2,000,000
24	TOTAL INCOME	\$22,765,990	\$56,135,000		\$56,135,000	\$56,135,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2018-2019	2019-2020	2019-2020	2020-2021	2020-2021	2020-2021
REGULAR POSITIONS	603	520	898	898	898	898
TOBACCO POSITIONS						
EXTRA HELP ***	62	400	400	400	400	400

** Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2018-2019				BUDGETED 2019-2020			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*				0				0
2	HOUSING				0				0
3	FOOD SERVICES		307,422		(307,422)		455,000		(455,000)
4	STUDENT UNION				0				0
5	BOOKSTORE	185,910			185,910	288,000			288,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7	OTHER (FOOTNOTE BELOW)	134,585	26,785		107,800	167,000			167,000
8	SUBTOTAL	\$320,495	\$334,207	\$0	(\$13,712)	\$455,000	\$455,000	\$0	\$0
9	ATHLETIC TRANSFER**				0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$320,495	\$334,207	\$0	(\$13,712)	\$455,000	\$455,000	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Auditorium Rental/Fees; Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2018-2019:						<u>473</u>
(As of November 1, 2018)						
Nonclassified Administrative Employees:						
White Male:	<u>24</u>	Black Male:	<u>11</u>	Other Male:	<u>2</u>	Total Male: <u>37</u>
White Female:	<u>49</u>	Black Female:	<u>28</u>	Other Female:	<u>4</u>	Total Female: <u>81</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>30</u>	Black Male:	<u>9</u>	Other Male:	<u>3</u>	Total Male: <u>42</u>
White Female:	<u>26</u>	Black Female:	<u>15</u>	Other Female:	<u>4</u>	Total Female: <u>45</u>
Faculty:						
White Male:	<u>107</u>	Black Male:	<u>13</u>	Other Male:	<u>8</u>	Total Male: <u>128</u>
White Female:	<u>114</u>	Black Female:	<u>24</u>	Other Female:	<u>2</u>	Total Female: <u>140</u>
Total White Male:						<u>161</u>
Total White Female:						<u>189</u>
Total Black Male:						<u>33</u>
Total Black Female:						<u>67</u>
Total Other Male:						<u>13</u>
Total Other Female:						<u>10</u>
Total White:						<u>350</u>
Total Black:						<u>100</u>
Total Other:						<u>23</u>
Total Minority:						<u>123</u>
Total Employees:						<u>473</u>

FORM FR-6

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE
June 30, 2019**

<i>Finding:</i>	No findings noted
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