

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-1

**SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 10890Z
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	4,071,724	21,000	0	0	0	0	0	0	\$4,092,724
Government Appropriations	Federal	2	0	0	0	0	0	0	0	\$0
	State	3	3,907,164	0	0	0	100,000	0	0	\$4,007,164
	Local	4	0	0	0	0	0	0	0	\$0
Grants & Contracts	Federal	5	0	2,985	487,865	0	0	0	0	\$490,850
	State	6	0	0	346,115	0	0	0	0	\$346,115
	Local	7	0	0	0	0	0	0	0	\$0
Private Gifts, Grants and Contracts	8	0	0	175,383	0	0	0	0	0	\$175,383
Endowment Income	9	0	0	0	0	0	0	0	0	\$0
Sales & Services	Educational Activities	10	756,459	0	0	0	0	0	0	\$756,459
	Auxiliary Enterprises	11	0	1,088,407	0	0	0	0	0	\$1,088,407
	Hospitals	12	0	0	0	0	0	0	0	\$0
Other Sources	Invest & Gains	13	1,466	0	0	0	15	0	769	\$2,250
	Other **	14	61,227	0	0	0	0	0	0	\$61,227
	Other **	15	141,457	0	0	0	265,129	0	101,844	\$508,430
TOTAL INCOME & OTHER SOURCES	16	\$8,939,497	\$1,112,392	\$1,009,363	\$0	\$0	\$365,144	\$0	\$102,613	\$11,529,009

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

Arkansas Tech University Ozark Campus

Line 14 Other

Other Sources Miscellaneous Income	62,693	0	0	0	0	0	0	0	0	62,693
Less Investment and Gains	(1,466)	0	0	0	0	0	0	0	0	(1,466)
Total	61,227	0	61,227							

Line 15 Other

Increase in Construction In Progress	0	0	0	0	0	265,129	0	0	0	265,129
Fund Additions	141,457	0	0	0	0	0	0	101,844	0	243,301
Total	141,457	0	0	0	0	265,129	0	101,844	0	508,430

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	3,654,392	0	799,532	0	0	0	0	0	\$4,453,924
Research	2	0	0	0	0	0	0	0	0	\$0
Public Service	3	0	0	0	0	0	0	0	0	\$0
Academic Support	4	57,105	0	0	0	0	0	0	0	\$57,105
Student Services	5	334,527	0	0	0	0	0	0	0	\$334,527
Institutional Support	6	1,408,304	0	1,165	0	0	0	0	0	\$1,409,469
Operation & Main. Of Plant	7	579,720	0	0	0	0	0	0	0	\$579,720
Scholarships & Fellowships	8	98,852	0	65,615	0	0	0	0	0	\$164,467
Staff Benefits	9	1,324,037	0	143,052	0	0	0	0	0	\$1,467,089
Hospitals & Clinics	10	0	0	0	0	0	0	0	0	\$0
Auxiliary Enterprises	11		950,493	0	0	0	0	0	0	\$950,493
Debt Service	12	0	0	0	0	0	0	0	0	\$0
Loan Fund Administration	13	0	0	0	0	0	0	246,331	0	\$246,331
Loan Cancel. & Write-Offs	14	0	0	0	0	0	0	0	0	\$0
Exp. For Plant Facilities	15	0	0	0	0	0	405,765	0	0	\$405,765
Other Deductions	16	(1,376)	0	14,394	0	0	364,181	0	101,844	\$479,043
Total Expenses & Deductions	17	\$7,455,561	\$950,493	\$1,023,758	\$0	\$0	\$769,946	\$0	\$348,175	\$10,547,933
Transfers *	Auxiliary	18	0	0	0	0	0	0	0	\$0
	Mandatory	19	176,501	69,830	0	0	0	0	(246,331)	\$0
	Non Mandatory	20	266,945	(1,220)	0	0	0	(267,583)	1,858	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$7,899,007	\$1,019,103	\$1,023,758	\$0	\$0	\$502,363	\$0	\$103,702	\$10,547,933
TOTAL INCOME	22	\$8,939,497	\$1,112,392	\$1,009,363	\$0	\$0	\$365,144	\$0	\$102,613	\$11,529,009
NET INC./(DEC.) FOR YEAR	23	\$1,040,490	\$93,289	(\$14,395)	\$0	\$0	(\$137,219)	\$0	(\$1,089)	\$981,076

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

Arkansas Tech University Ozark Campus

Other Deductions

Excess Expenditures Over Revenue	0	0	14,394	0	0	0	0	0	14,394
Construction in Progress	0	0	0	0	0	364,181	0	0	364,181
Other Deductions	0	0	0	0	0	0	0	101,844	101,844
Prior Period Adjustment	(1,376)	0	0	0	0	0	0	0	(1,376)
	(1,376)	0	14,394	0	0	364,181	0	101,844	479,043

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-2 SUPPLEMENTAL
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: 15-Sep-14
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility	1	Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
	1					\$0
	2					\$0
	3					\$0
	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
TOTAL MANDATORY TRANSFERS FOR MAINTENANCE	16					\$0

*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-3
AUXILIARY ENTERPRISE
CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1	0	0	0	-
Residence Hall	2	0	0	0	-
Married Student Housing	3	0	0	0	-
Faculty Housing	4	0	0	0	-
Food Service	5	9,700	14,804		(5,104)
College Union	6	0	0	0	-
Bookstore	7	1,081,692	914,689	69,830	97,173
Student Organizations And Publications	8	21,000	21,000	0	-
Student Health Services	9	0	0	0	-
Other (Specify On Attached Sheet)	10	0	0	0	-
Sub-Total	11	\$1,112,392	\$950,493	\$69,830	92,069
Transfers In	Auxiliary (Athletic and Activity)	12	-		0
	Other	13	1,220		1,220
Transfers Out		14	-	-	0
GRAND TOTALS	15	\$1,113,612	\$950,493	\$69,830	\$93,289

* Include athletic fees.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1	100	0	0	0	0	0	0	0	0	
		All Other Assets	2	4,434,312	966,960	151,116	0	11,815	532,493	0	53,558	6,059,884	46,581
		Total Assets	3	\$4,434,412	\$966,960	\$151,116	\$0	\$11,815	\$532,493	\$0	\$53,558	\$6,059,884	\$46,581
	Liab.	Interfund Borrowing (Due To)	4	0	0	0	0	100	0	0	0	0	0
		All Other Liabilities	5	158,269	15,500	177,718	0	0	68,233	0	0	3,569,679	46,581
		Total Liabilities	6	\$158,269	\$15,500	\$177,718	\$0	\$0	\$68,333	\$0	\$0	\$3,569,679	\$46,581
	Fund Balances		7	\$4,276,143	\$951,460	(\$26,602)	\$0	\$11,815	\$464,160	\$0	\$53,558	\$2,490,205	
Changes in Fund Balance	Revenue And Other Additions		8	8,939,497	1,112,392	1,009,363	0	0	365,144	0	102,613	672,081	
	Expenditures And Other Deductions		9	7,455,561	950,493	1,023,758	0	0	769,946	0	348,175	483,086	
	Total Transfer Out Of (Into) *		10	443,446	68,610	0	0	0	(267,583)	0	(244,473)	0	
	Net Increase (Decrease) For Year		11	\$1,040,490	\$93,289	(\$14,395)	\$0	\$0	(\$137,219)	\$0	(\$1,089)	\$188,995	
	Fund Balance-Beginning Of Year		12	3,235,653	858,171	(12,207)	0	11,815	601,379	0	54,647	2,301,210	
	Fund Balance-End Of Year		13	\$4,276,143	\$951,460	(\$26,602)	\$0	\$11,815	\$464,160	\$0	\$53,558	\$2,490,205	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

Notes on Series 17-4

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2013-14

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO.: 479-964-0821

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	1	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	2,510,000	1,105,000	0	0	\$3,615,000
Total Loans Negotiated During						
The Fiscal Year	2	0	0	0	0	\$0
Total Debt Principal Retired						
During The Fiscal Year	3	50,000	25,000	0	0	\$75,000
Total Outstanding Principal						
At End Of Fiscal Year	4	\$2,460,000	\$1,080,000	\$0	\$0	\$3,540,000
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	126,501	44,830	0	0	\$171,331
Total Debt Service Payments						
During Fiscal Year	6	\$176,501	\$69,830	\$0	\$0	\$246,331

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2013-14

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,414,565			\$2,414,565		\$2,414,565	
Dept. Oper. Expense	2	23,318	96,525	16,110	804,326	127,726	1,068,005	789,866	1,857,871
Off-Campus Credit	3	0	0	0	0	0	0	0	0
Non-Credit Instruction	4	0	78,900	0	92,922	0	171,822	20,943	192,765
Research	5	0	0	0	0	0	0	0	0
Public Service	6	0	0	0	0	0	0	0	0
Libraries	7	0	38,872	1,737	10,496	6,000	57,105	13,775	70,880
Museums & Galleries	8	0	0	0	0	0	0	0	0
Organized Activities	9	0	0	0	0	0	0	0	0
Other Academic Support	10	0	0	0	0	0	0	0	0
Student Services	11	83,650	179,767	0	71,110	0	334,527	110,861	445,388
Institutional Support	12	276,335	619,964	13,365	498,640	0	1,408,304	304,137	1,712,441
Utilities	13				186,585	0	186,585		186,585
Other Plant Maintenance	14	190,871	0	18,437	183,827	0	393,135	84,455	477,590
Scholarships & Fellowships	15				98,852		98,852		98,852
Hospital & Clinics	16	0	0	0	0	0	0	0	0
Other	17	0	0	0	(1,376)	0	(1,376)	0	(1,376)
Transfers - Auxiliary	18								0
Transfers - Mandatory	19								176,501
Transfers -Non Mandatory	20								266,945
TOTAL	21	\$574,174	\$3,428,593	\$49,649	\$1,945,382	\$133,726	\$6,131,524	\$1,324,037	\$7,899,007
Tuition and Fees	22								\$4,071,724
Other Income	23								\$960,609
Prior Year Balance	24								\$0
General Revenue Appropriated	25								3,907,164
Total Unrestricted E&G Revenue	26								\$8,939,497

Form Revised 8/1/97

Notes on Series 17-7A

Arkansas Tech University Ozark Campus

Other									
Prior Period Adjustment		0	0	0	(1,376)	0	(1,376)	0	(1,376)

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2013-14

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2014
TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,800			\$2,800		\$2,800	
Dept. Oper. Expense	2	4,644	146,880	31,399	165,451	0	58,129	406,503	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	27,228	248,472	28,657	144,001	0	84,923	533,281	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	0	0	0	0	0	0	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	0	0	0	0	0	0	0	
Institutional Support	12	0	0	1,165	0	1,165	0	1,165	
Utilities	13				0	0		0	
Other Plant Maintenance	14	0	0	0	0	0	0	0	
Scholarships & Fellowships	15				65,615	65,615		65,615	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	14,394	14,394	0	14,394	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							0	
Transfers -Non Mandatory	20							0	
TOTAL	21	\$31,872	\$398,152	\$61,221	\$389,461	\$0	\$880,706	\$143,052	\$1,023,758

Form Revised 8/1/97

Notes on Series 17-7B

Arkansas Tech University Ozark Campus

<u>Other</u>									
Excess Expenditures Over Revenue		0	0	0	14,394	0	14,394	0	14,394

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1089OZ</u>	COMPLETED BY:	<u>Linda Johnson</u>
INSTITUTION:	<u>Arkansas Tech University Ozark Campus</u>	DATE COMPLETED:	<u>September 15, 2014</u>
FISCAL YEAR:	<u>2013-14</u>	TELEPHONE NO.:	<u>479-964-0821</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	1,155,549	135,081	0	0
Auxiliary Enterprises	2	101,622	0	0	0
Hospital & Clinics	3	0	0	0	0
Totals	4	\$1,257,171	\$135,081	\$0	\$0