

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1
SUMMARY OF INCOME FOR
ALL FUND GROUPS
(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2011

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2011
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	2,185,205	21,000	0	0	0	0	0	0	\$2,206,205
Government	Federal	2	0	0	0	0	0	0	0	\$0
Appropriations	State	3	3,156,908	0	0	0	0	0	0	\$3,156,908
	Local	4	0	0	0	0	0	0	0	\$0
Grants &	Federal	5	0	633,000	0	0	0	0	0	\$633,000
Contracts	State	6	0	263,814	0	0	0	0	0	\$263,814
	Local	7	0	0	0	0	0	0	0	\$0
Private Gifts, Grants and Contracts	8	0	0	246,600	0	0	0	0	0	\$246,600
Endowment Income	9	0	0	0	0	0	0	0	0	\$0
Sales &	Educational Activities	10	37,747	0	0	0	0	0	0	\$37,747
Services	Auxiliary Enterprises	11	0	824,517	0	0	0	0	0	\$824,517
	Hospitals	12	0	0	0	0	0	0	0	\$0
Other	Invest & Gains	13	3,513	0	0	0	94	0	5,364	\$8,971
Sources	Other **	14	24,062	0	0	0	0	0	0	\$24,062
	Other **	15	256,536	0	0	0	1,024,436	0	1,758,504	\$3,039,476
TOTAL INCOME & OTHER SOURCES	16	\$5,663,971	\$845,517	\$1,143,414	\$0	\$0	\$1,024,530	\$0	\$1,763,868	\$10,441,300

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

Arkansas Tech University Ozark Campus

Other

Other Sources Miscellaneous Income	27,575	0	0	0	0	0	0	0	0	27,575
Less Investment and Interest Income	(3,513)	0	0	0	0	0	0	0	0	(3,513)
Total	24,062	0	0	0	0	0	0	0	0	24,062

Other

Other Additions	256,536	0	0	0	0	21,018	0	28,504	306,058
Bond Proceeds	0	0	0	0	0	0	0	1,730,000	
Increase Construction in Progress	0	0	0	0	0	1,003,418	0	0	1,003,418
Total	256,536	0	0	0	0	1,024,436	0	1,758,504	1,309,476

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
 INSTITUTION Arkansas Tech Unversy Ozark Campus
 FISCAL YEAR 2011

COMPLETED BY: Linda Johnson
 DATE COMPLETED: Sept 15, 2011
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	2,394,591	0	0	0	0	0	0	0	\$2,394,591
Educ. Excellence Trust Fund	2	0	0	0	0	0	0	0	0	\$0
Workforce 2000	3	762,317	0	0	0	0	0	0	0	\$762,317
Merit Adjustment Fund	4	0	0	0	0	0	0	0	0	\$0
Merit Adjustment Fund Remittance (Negative #)	5	0	0	0	0	0	0	0	0	\$0
General Improvement Fund Operating	6	0	0	0	0	0	0	0	0	\$0
General Allotment Reserve Fund	7	0	0	0	0	0	0	0	0	\$0
	8	0	0	0	0	0	0	0	0	\$0
	9	0	0	0	0	0	0	0	0	\$0
	10	0	0	0	0	0	0	0	0	\$0
<Other>	11	0	0	0	0	0	0	0	0	\$0
TOTAL STATE FUNDING	12	\$3,156,908	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,156,908

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2011

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2011
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	2,112,617	0	950,043	0	0	0	0	0	\$3,062,660
Research	2	0	0	0	0	0	0	0	0	\$0
Public Service	3	0	0	0	0	0	0	0	0	\$0
Academic Support	4	46,783	0	0	0	0	0	0	0	\$46,783
Student Services	5	207,901	0	0	0	0	0	0	0	\$207,901
Institutional Support	6	947,148	0	0	0	0	0	0	0	\$947,148
Operation & Main. Of Plant	7	408,672	0	0	0	0	0	0	0	\$408,672
Scholarships & Fellowships	8	45,641	0	62,439	0	0	0	0	0	\$108,080
Staff Benefits	9	866,175	0	130,932	0	0	0	0	0	\$997,107
Hospitals & Clinics	10	0	0	0	0	0	0	0	0	\$0
Auxiliary Enterprises	11		655,759	0	0	0	0	0	0	\$655,759
Debt Service	12	0	0	0	0	0	0	0	175,424	\$175,424
Loan Fund Administration	13	0	0	0	0	0	0	0	0	\$0
Loan Cancel. & Write-Offs	14	0	0	0	0	0	0	0	0	\$0
Exp. For Plant Facilities	15	0	0	0	0	0	425,094	0	0	\$425,094
Other Deductions	16	0	0	0	0	0	1,003,418	0	85,788	\$1,089,206
Total Expenses & Deductions	17	\$4,634,937	\$655,759	\$1,143,414	\$0	\$0	\$1,428,512	\$0	\$261,212	\$8,123,834
Transfers *	Auxiliary	18	0	0	0	0	0	0	0	\$0
	Mandatory	19	153,190	22,234	0	0	0	0	(175,424)	\$0
	Non Mandatory	20	160,639	(3,534)	0	0	0	(1,781,693)	1,624,588	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$4,948,766	\$674,459	\$1,143,414	\$0	\$0	(\$353,181)	\$0	\$1,710,376	\$8,123,834
TOTAL INCOME	22	\$5,663,971	\$845,517	\$1,143,414	\$0	\$0	\$1,024,530	\$0	\$1,763,868	\$10,441,300
NET INC./(DEC.) FOR YEAR	23	\$715,205	\$171,058	\$0	\$0	\$0	\$1,377,711	\$0	\$53,492	\$2,317,466

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

Arkansas Tech University Ozark Campus

Other										
Other Deductions	0	0	0	0	0	0	0	0	85,788	85,788
Construction in Progress	0	0	0	0	0	0	1,003,418	0	0	1,003,418
Total	0	0	0	0	0	0	1,003,418	0	85,788	1,089,206

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-3

AUXILIARY ENTERPRISE

CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2011

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2011
TELEPHONE NO.: 479-964-821

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
	Intercollegiate Athletics *	0	0	0	-
	Residence Hall	0	0	0	-
	Married Student Housing	0	0	0	-
	Faculty Housing	0	0	0	-
	Food Service	0	0	0	-
	College Union	0	0	0	-
	Bookstore	824,517	634,759	22,234	167,524
	Student Organizations And Publications	21,000	21,000	0	-
	Student Health Services	0	0	0	-
	Other (Specify On Attached Sheet)	0	0	0	-
	Sub-Total	\$845,517	\$655,759	\$22,234	167,524
Transfers In	Auxiliary (Athletic and Activity)				0
	Other	3,534			3,534
Transfers Out					0
	GRAND TOTALS	\$849,051	\$655,759	\$22,234	\$171,058

* Include athletic fees.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2011

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2011
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1	39,625	0	0	0	0	0	0	0	0	
		All Other Assets	2	2,802,956	685,531	171,407	0	11,815	1,489,127	53,492	4,413,747	11,749	
		Total Assets	3	\$2,842,581	\$685,531	\$171,407	\$0	\$11,815	1,489,127	\$0	\$53,492	\$4,413,747	\$11,749
	Liab.	Interfund Borrowing (Due To)	4	0	0	38,932	0	0	693	0	0	0	0
		All Other Liabilities	5	168,173	20,947	132,475	0	0	94,983	0	0	3,730,996	11,749
		Total Liabilities	6	\$168,173	\$20,947	\$171,407	\$0	\$0	95,676	\$0	\$0	\$3,730,996	\$11,749
	Fund Balances		7	\$2,674,408	\$664,584	\$0	\$0	\$11,815	1,393,451	\$0	\$53,492	\$682,751	
Changes in Fund Balance	Revenue And Other Additions		8	5,663,971	845,517	1,143,414	0	0	1,024,530	0	1,763,868	493,113	
	Expenditures And Other Deductions		9	4,634,937	655,759	1,143,414	0	0	1,428,512	0	261,212	2,115,124	
	Total Transfer Out Of (Into) *		10	313,829	18,700	0	0	0	(1,781,693)	0	1,449,164	0	
	Net Increase (Decrease) For Year		11	\$715,205	\$171,058	\$0	\$0	\$0	1,377,711	\$0	\$53,492	(\$1,622,011)	
	Fund Balance-Beginning Of Year		12	1,959,203	493,526	0	0	11,815	15,740	0	0	2,304,762	
	Fund Balance-End Of Year		13	\$2,674,408	\$664,584	\$0	\$0	\$11,815	1,393,451	\$0	\$53,492	\$682,751	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ

COMPLETED BY: Linda Johnson

INSTITUTION: Arkansas Tech University Ozark Campus

DATE COMPLETED: Sept 15, 2011

FISCAL YEAR: 2011

TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar

Categories of Indebtedness		Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	2,070,000	0	0	0	\$2,070,000
Total Loans Negotiated During						
The Fiscal Year	2	575,000	1,155,000	0	0	\$1,730,000
Total Debt Principal Retired						
During The Fiscal Year	3	35,000	0	0	0	\$35,000
Total Outstanding Principal						
At End Of Fiscal Year	4	\$2,610,000	\$1,155,000	\$0	\$0	\$3,765,000
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	140,424	0	0	0	\$140,424
Total Debt Service Payments						
During Fiscal Year	6	\$175,424	\$0	\$0	\$0	\$175,424

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

Arkansas Tech University Ozark Campus

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME**

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2011

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2011
TELEPHONE NO. 479-964-0821
ACTUAL OR BUDGETED: Actual

Report All Amounts To The Nearest Dollar.

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		1,585,671			1,585,671	439,475	\$2,025,146	
Dept. Oper. Expense	2	22,329	103,278	16,320	343,255	40,633	85,824	611,639	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	0	600	0	531	0	124	1,255	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	32,081	2,721	11,981	0	10,979	57,762	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	60,331	100,797	0	46,773	0	64,860	272,761	
Institutional Support	12	238,971	422,050	10,898	275,229	0	215,451	1,162,599	
Utilities	13				132,249	0	0	132,249	
Other Plant Maintenance	14	114,008	0	22,297	140,118	0	49,462	325,885	
Scholarships & Fellowships	15				45,641			45,641	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							153,190	
Transfers -Non Mandatory	20							160,639	
TOTAL	21	\$435,639	\$2,244,477	\$52,236	\$995,777	\$40,633	\$866,175	\$4,948,766	
Tuition and Fees	22							\$2,185,205	
Other Income	23							\$321,858	
Prior Year Balance	24							\$0	
General Revenue Appropriated	25							\$3,156,908	
Total Unrestricted E&G Revenue	26							\$5,663,971	

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1089OZ

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson

INSTITUTION Arkansas Tech University Ozark Campus

DATE COMPLETED: Sept 15, 2011

FISCAL YEAR 2011

TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		6,572			6,572	1,207	\$7,779	
Dept. Oper. Expense	2	40,202	134,601	65,378	423,094	12,446	66,802	742,523	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	4,715	179,079	26,694	57,262	0	62,923	330,673	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	0	0	0	0	0	0	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	0	0	0	0	0	0	0	
Institutional Support	12	0	0	0	0	0	0	0	
Utilities	13				0	0	0	0	
Other Plant Maintenance	14	0	0	0	0	0	0	0	
Scholarships & Fellowships	15				62,439	62,439		62,439	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							0	
Transfers -Non Mandatory	20							0	
TOTAL	21	\$44,917	\$320,252	\$92,072	\$542,795	\$12,446	1,012,482	\$130,932	\$1,143,414

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1089OZ</u>	COMPLETED BY:	<u>Linda Johnson</u>
INSTITUTION:	<u>Arkansas Tech Univerisy Ozark Campus</u>	DATE COMPLETED:	<u>Sept 15, 2011</u>
FISCAL YEAR:	<u>2011</u>	TELEPHONE NO.	<u>479-964-0821</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	909,059	24,419	12,446	0
Auxiliary Enterprises	2	11,006	0	0	0
Hospital & Clinics	3	0	0	0	0
Totals	4	\$920,065	\$24,419	\$12,446	\$0