

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

**ADHE 17-1
SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089
 INSTITUTION Arkansas Tech University Ozark Campus
 FISCAL YEAR 2012-13

COMPLETED BY: Linda Johnson
 DATE COMPLETED: September 15, 2013
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
Tuition & Fees *	1	3,100,009	21,000	0	0	0	0	0	\$3,121,009	
Government	2	0	0	0	0	0	0	0	\$0	
Appropriations	3	3,898,265	0	0	0	0	0	0	\$3,898,265	
	4	0	0	0	0	0	0	0	\$0	
Grants &	5	0	0	617,712	0	0	0	0	\$617,712	
Contracts	6	0	0	531,402	0	0	0	0	\$531,402	
	7	0	0	0	0	0	0	0	\$0	
Private Gifts, Grants and Contracts	8	0	0	155,864	0	0	0	0	\$155,864	
Endowment Income	9	0	0	0	0	0	0	0	\$0	
Sales &	10	725,884	0	0	0	0	0	0	\$725,884	
Services	11	0	976,625	0	0	0	0	0	\$976,625	
	12	0	0	0	0	0	0	0	\$0	
Other	13	376	0	0	0	25	0	769	\$1,170	
Sources	14	68,206	0	0	0	0	0	0	\$68,206	
	15	286,523	0	0	0	676,435	0	70,205	\$1,033,163	
TOTAL INCOME & OTHER SOURCES	16	\$8,079,263	\$997,625	\$1,304,978	\$0	\$0	\$676,460	\$0	\$70,974	\$11,129,300

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

Arkansas Tech University Ozark Campus

Line 14 Other

Other Sources Miscellaneous Income	68,582	0	0	0	0	0	0	0	68,582
Less Investment and Gains	(376)	0	0	0	0	0	0	0	(376)
Total	68,206	0	0	0	0	0	0	0	68,206

Line 15 Other

Excess Revenue Over Expenditures	0	0	0	0	0	0	0	0	0
Increase in Construction In Progress	0	0	0	0	0	370,190	0	0	370,190
Fund Additions	286,523	0	0	0	0	306,245	0	70,205	662,973
Total	286,523	0	0	0	0	676,435	0	70,205	1,033,163

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1089
 INSTITUTION Arkansas Tech University Ozark Campus
 FISCAL YEAR 2012-13

COMPLETED BY: Linda Johnson
 DATE COMPLETED: September 15, 2013
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	2,394,591	0	0	0	0	0	0	0	\$2,394,591
Educ. Excellence Trust Fund	2		0	0	0	0	0	0	0	\$0
Workforce 2000	3	796,874	0	0	0	0	0	0	0	\$796,874
Merit Adjustment Fund	4	0	0	0	0	0	0	0	0	\$0
Merit Adjustment Fund Remittance (Negative #)	5	0	0	0	0	0	0	0	0	\$0
General Improvement Fund Operating	6	0	0	0	0	0	0	0	0	\$0
General Allotment Reserve Fund	7	0	0	0	0	0	0	0	0	\$0
Designated State Aid Career Center	8	706,800	0	0	0	0	0	0	0	\$706,800
<Other>	9	0	0	0	0	0	0	0	0	\$0
<Other>	10	0	0	0	0	0	0	0	0	\$0
<Other>	11	0	0	0	0	0	0	0	0	\$0
TOTAL STATE FUNDING	12	\$3,898,265	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,898,265

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2012-13

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2013
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	3,425,754	0	964,277	0	0	0	0	0	\$4,390,031
Research	2	0	0	0	0	0	0	0	0	\$0
Public Service	3	0	0	0	0	0	0	0	0	\$0
Academic Support	4	54,622	0	0	0	0	0	0	0	\$54,622
Student Services	5	316,890	0	0	0	0	0	0	0	\$316,890
Institutional Support	6	1,555,045	0	12,207	0	0	0	0	0	\$1,567,252
Operation & Main. Of Plant	7	571,167	0	0	0	0	0	0	0	\$571,167
Scholarships & Fellowships	8	70,801	0	150,950	0	0	0	0	0	\$221,751
Staff Benefits	9	1,252,150	0	177,544	0	0	0	0	0	\$1,429,694
Hospitals & Clinics	10	0	0	0	0	0	0	0	0	\$0
Auxiliary Enterprises	11		822,760	0	0	0	0	0	0	\$822,760
Debt Service	12	0	0	0	0	0	0	248,411	0	\$248,411
Loan Fund Administration	13	0	0	0	0	0	0	0	0	\$0
Loan Cancel. & Write-Offs	14	0	0	0	0	0	0	0	0	\$0
Exp. For Plant Facilities	15	0	0	0	0	0	579,476	0	0	\$579,476
Other Deductions	16	0	0	12,207	0	0	0	0	70,205	\$82,412
Total Expenses & Deductions	17	\$7,246,429	\$822,760	\$1,317,185	\$0	\$0	\$579,476	\$0	\$318,616	\$10,284,466
Transfers *	Auxiliary	18	0	0	0	0	0	0	0	\$0
	Mandatory	19	178,206	70,205	0	0	0	0	(248,411)	\$0
	Non Mandatory	20	264,856	0	0	0	0	(264,856)	0	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$7,689,491	\$892,965	\$1,317,185	\$0	\$0	\$314,620	\$0	\$70,205	\$10,284,466
TOTAL INCOME	22	\$8,079,263	\$997,625	\$1,304,978	\$0	\$0	\$676,460	\$0	\$70,974	\$11,129,300
NET INC./(DEC.) FOR YEAR	23	\$389,772	\$104,660	(\$12,207)	\$0	\$0	\$361,840	\$0	\$769	\$844,834

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

Arkansas Tech University Ozark Campus

Other Deductions

Excess Expenditures Over Revenue	0	0	12,207	0	0	0	0	0	12,207
Fund Deduction	0	0	0	0	0	0	0	70,205	70,205
	0	0	12,207	0	0	0	0	70,205	82,412

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-2 SUPPLEMENTAL
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE

(SEE INSTRUCTIONS)

FICE CODE: 1089
 INSTITUTION: Arkansas Tech University Ozark Campus
 FISCAL YEAR: 2012-13

COMPLETED BY: Linda Johnson
 DATE COMPLETED: September 15, 2013
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
	1					\$0
	2					\$0
	3					\$0
	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
TOTAL MANDATORY TRANSFERS FOR MAINTENANCE	16					\$0

*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-3
AUXILIARY ENTERPRISE
CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1089
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2012-13

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2013
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
	Intercollegiate Athletics *	1	0	0	-
	Residence Hall	2	0	0	-
	Married Student Housing	3	0	0	-
	Faculty Housing	4	0	0	-
	Food Service	5	19,238	16,350	2,888
	College Union	6	0	0	-
	Bookstore	7	957,387	785,410	70,205
	Student Organizations And Publications	8	21,000	21,000	0
	Student Health Services	9	0	0	-
	Other (Specify On Attached Sheet)	10			-
	Sub-Total	11	\$997,625	\$822,760	\$70,205
Transfers In	Auxiliary (Athletic and Activity)	12	-		0
	Other	13	-		0
Transfers Out		14		-	0
GRAND TOTALS		15	\$997,625	\$822,760	\$70,205

* Include athletic fees.

Notes on Series 17-3

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1089
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2012-13

COMPLETED BY: Linda Johnson
DATE COMPLETED: September 15, 2013
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1	100	0	0	0	0	0	0	0	0	
		All Other Assets	2	3,411,429	901,127	169,178	0	11,815	618,310	0	54,647	5,946,228	42,850
		Total Assets	3	\$3,411,529	\$901,127	\$169,178	\$0	\$11,815	\$618,310	\$0	\$54,647	\$5,946,228	\$42,850
	Liab.	Interfund Borrowing (Due To)	4	0	0	0	0	0	100	0	0	0	0
		All Other Liabilities	5	175,876	42,956	181,385	0	0	16,831	0	0	3,645,018	42,850
		Total Liabilities	6	\$175,876	\$42,956	\$181,385	\$0	\$0	\$16,931	\$0	\$0	\$3,645,018	\$42,850
	Fund Balances		7	\$3,235,653	\$858,171	(\$12,207)	\$0	\$11,815	\$601,379	\$0	\$54,647	\$2,301,210	
Changes in Fund Balance	Revenue And Other Additions		8	8,079,263	997,625	1,304,978	0	0	676,460	0	70,974	332,110	
	Expenditures And Other Deductions		9	7,246,429	822,760	1,317,185	0	0	579,476	0	318,616	355,551	
	Total Transfer Out Of (Into) *		10	443,062	70,205	0	0	0	(264,856)	0	(248,411)	0	
	Net Increase (Decrease) For Year		11	\$389,772	\$104,660	(\$12,207)	\$0	\$0	\$361,840	\$0	\$769	(\$23,441)	
	Fund Balance-Beginning Of Year		12	2,845,881	753,511	0	0	11,815	239,539	0	53,878	2,324,651	
	Fund Balance-End Of Year		13	\$3,235,653	\$858,171	(\$12,207)	\$0	\$11,815	\$601,379	\$0	\$54,647	\$2,301,210	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

Notes on Series 17-4

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1089

COMPLETED BY: Linda Johnson

INSTITUTION: Arkansas Tech University Ozark Campus

DATE COMPLETED: September 15, 2013

FISCAL YEAR: 2012-13

TELEPHONE NO.: 479-964-0821

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	1	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	2,560,000	1,130,000	0	0	\$3,690,000
Total Loans Negotiated During						
The Fiscal Year	2	0	0	0	0	\$0
Total Debt Principal Retired						
During The Fiscal Year	3	50,000	25,000	0	0	\$75,000
Total Outstanding Principal						
At End Of Fiscal Year	4	\$2,510,000	\$1,105,000	\$0	\$0	\$3,615,000
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	128,206	45,205	0	0	\$173,411
Total Debt Service Payments						
During Fiscal Year	6	\$178,206	\$70,205	\$0	\$0	\$248,411

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1089
 INSTITUTION Arkansas Tech University Ozark Campus
 FISCAL YEAR 2012-13

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson
 DATE COMPLETED: September 15, 2013
 TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,282,445			\$2,282,445		\$2,282,445	
Dept. Oper. Expense	2	22,861	108,451	9,815	773,728	39,234	748,405	1,702,494	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	0	75,618	1,653	111,949	0	20,892	210,112	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	38,110	1,189	15,323	0	13,186	67,808	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	87,580	167,260	0	62,050	0	99,203	416,093	
Institutional Support	12	293,777	611,864	18,411	623,530	7,463	292,611	1,847,656	
Utilities	13				169,287	0		169,287	
Other Plant Maintenance	14	172,534	0	39,566	189,780	0	77,853	479,733	
Scholarships & Fellowships	15				70,801			70,801	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							178,206	
Transfers -Non Mandatory	20							264,856	
TOTAL	21	\$576,752	\$3,283,748	\$70,634	\$2,016,448	\$46,697	\$5,994,279	\$1,252,150	\$7,689,491
Tuition and Fees	22							\$3,100,009	
Other Income	23							\$1,080,989	
Prior Year Balance	24							\$0	
General Revenue Appropriated	25							3,898,265	
Total Unrestricted E&G Revenue	26							\$8,079,263	

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1089 (SEE INSTRUCTIONS) COMPLETED BY: Linda Johnson
 INSTITUTION Arkansas Tech University Ozark Campus DATE COMPLETED: September 15, 2013
 FISCAL YEAR 2012-13 TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		38,184			\$38,184		\$38,184	
Dept. Oper. Expense	2	18,477	164,909	21,611	287,574	0	83,862	576,433	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	26,694	263,874	25,973	116,981	0	93,682	527,204	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	0	0	0	0	0	0	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	0	0	0	0	0	0	0	
Institutional Support	12	0	0	12,207	0	12,207	0	12,207	
Utilities	13				0	0		0	
Other Plant Maintenance	14	0	0	0	0	0	0	0	
Scholarships & Fellowships	15				150,950	150,950		150,950	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	12,207	12,207	0	12,207	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							0	
Transfers -Non Mandatory	20							0	
TOTAL	21	\$45,171	\$466,967	\$59,791	\$567,712	\$0	\$177,544	\$1,317,185	

Form Revised 8/1/97

Notes on Series 17-7B

Arkansas Tech University Ozark Campus

Other

Excess Expenditures Over Revenue 0 0 0 12,207 0 12,207 0 12,207

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1089</u>	COMPLETED BY:	<u>Linda Johnson</u>
INSTITUTION:	<u>Arkansas Tech University Ozark Campus</u>	DATE COMPLETED:	<u>September 15, 2013</u>
FISCAL YEAR:	<u>2012-13</u>	TELEPHONE NO.	<u>479-964-0821</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	1,160,307	79,838	0	0
Auxiliary Enterprises	2	42,407	0	0	0
Hospital & Clinics	3	0	0	0	0
Totals	4	\$1,202,714	\$79,838	\$0	\$0

Notes on Series 17-8

Arkansas Tech University Ozark Campus

Ozark Equipment was reported incorrectly by classification and total on the 2011-12 report.
Ozark E&G was understated by \$119,556
Ozark Auxiliary was overstated by \$74,556

<u>2011-12</u>	Correct	Reported	Difference
E&G	1,104,229	984,673	119,556
Auxiliary	42,407	116,963	(74,556)
Totals	1,146,636	1,101,636	45,000