

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-1

**SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
 INSTITUTION Arkansas Tech University Ozark Campus
 FISCAL YEAR 2011-12

COMPLETED BY: Linda Johnson
 DATE COMPLETED: Sept 15, 2012
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
Tuition & Fees *	1	2,526,293	21,000	0	0	0	0	0	\$2,547,293	
Government	2	0	0	0	0	0	0	0	\$0	
Appropriations	3	4,001,285	0	0	0	0	0	0	\$4,001,285	
	4	0	0	0	0	0	0	0	\$0	
Grants &	5	0	1,512	643,990	0	0	0	0	\$645,502	
Contracts	6	0	0	248,242	0	0	0	0	\$248,242	
	7	0	0	0	0	0	0	0	\$0	
Private Gifts, Grants and Contracts	8	0	0	184,236	0	0	0	0	\$184,236	
Endowment Income	9	58	0	0	0	0	0	0	\$58	
Sales &	10	711,399	0	0	0	0	0	0	\$711,399	
Services	11	0	892,306	0	0	0	0	0	\$892,306	
	12	0	0	0	0	0	0	0	\$0	
Other	13	2,446	0	0	0	30	0	386	\$2,862	
Sources	14	55,687	0	0	0	0	0	0	\$55,687	
	15	317,538	0	0	0	1,657	0	105,003	\$424,198	
TOTAL INCOME & OTHER SOURCES	16	\$7,614,706	\$914,818	\$1,076,468	\$0	\$0	\$1,687	\$0	\$105,389	\$9,713,068

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

Arkansas Tech University Ozark Campus

Other

Other Sources Miscellaneous Income	58,191	0	0	0	0	0	0	0	58,191
Less Endowment Income	(58)	0	0	0	0	0	0	0	(58)
Less Investment and Interest Income	(2,446)	0	0	0	0	0	0	0	(2,446)
Total	55,687	0	0	0	0	0	0	0	55,687

Other

Other Additions	276,737	0	0	0	0	1,657	0	105,003	383,397
Prior Year Correction	(11,975)	0	0	0	0	0	0	0	(11,975)
Career Center Beginning Balance	52,776	0	0	0	0	0	0	0	52,776
Total	317,538	0	0	0	0	1,657	0	105,003	424,198

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
 INSTITUTION Arkansas Tech University Ozark Campus
 FISCAL YEAR 2011-12

COMPLETED BY: Linda Johnson
 DATE COMPLETED: Sept 15, 2012
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	2,507,386	0	0	0	0	0	0	0	\$2,507,386
Educ. Excellence Trust Fund	2	0	0	0	0	0	0	0	0	\$0
Workforce 2000	3	743,216	0	0	0	0	0	0	0	\$743,216
Merit Adjustment Fund	4	0	0	0	0	0	0	0	0	\$0
Merit Adjustment Fund Remittance (Negative #)	5	0	0	0	0	0	0	0	0	\$0
General Improvement Fund Operating	6	0	0	0	0	0	0	0	0	\$0
General Allotment Reserve Fund	7	0	0	0	0	0	0	0	0	\$0
	8	0	0	0	0	0	0	0	0	\$0
	9	0	0	0	0	0	0	0	0	\$0
	10	0	0	0	0	0	0	0	0	\$0
Designated State Aid Career Center	11	750,683	0	0	0	0	0	0	0	\$750,683
TOTAL STATE FUNDING	12	\$4,001,285	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4,001,285

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2011-12

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2012
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	3,268,460	0	902,184	0	0	0	0	0	\$4,170,644
Research	2	0	0	0	0	0	0	0	0	\$0
Public Service	3	0	0	0	0	0	0	0	0	\$0
Academic Support	4	53,884	0	0	0	0	0	0	0	\$53,884
Student Services	5	323,327	0	7,448	0	0	0	0	0	\$330,775
Institutional Support	6	1,358,047	0	2,643	0	0	0	0	0	\$1,360,690
Operation & Main. Of Plant	7	577,530	0	0	0	0	0	0	0	\$577,530
Scholarships & Fellowships	8	48,044	0	32,388	0	0	0	0	0	\$80,432
Staff Benefits	9	1,167,609	0	131,805	0	0	0	0	0	\$1,299,414
Hospitals & Clinics	10	0	0	0	0	0	0	0	0	\$0
Auxiliary Enterprises	11		755,311	0	0	0	0	0	0	\$755,311
Debt Service	12	0	0	0	0	0	0	0	251,013	\$251,013
Loan Fund Administration	13	0	0	0	0	0	0	0	0	\$0
Loan Cancel. & Write-Offs	14	0	0	0	0	0	0	0	0	\$0
Exp. For Plant Facilities	15	0	0	0	0	0	754,385	0	0	\$754,385
Other Deductions	16	0	0	0	0	0	1,066,259	0	105,003	\$1,171,262
Total Expenses & Deductions	17	\$6,796,901	\$755,311	\$1,076,468	\$0	\$0	\$1,820,644	\$0	\$356,016	\$10,805,340
Transfers *	Auxiliary	18	0	0	0	0	0	0	0	\$0
	Mandatory	19	180,433	70,580	0	0	0	0	(251,013)	\$0
	Non Mandatory	20	665,045	0	0	0	0	(665,045)	0	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$7,642,379	\$825,891	\$1,076,468	\$0	\$0	\$1,155,599	\$0	\$105,003	\$10,805,340
TOTAL INCOME	22	\$7,614,706	\$914,818	\$1,076,468	\$0	\$0	\$1,687	\$0	\$105,389	\$9,713,068
NET INC./(DEC.) FOR YEAR	23	(\$27,673)	\$88,927	\$0	\$0	\$0	(\$1,153,912)	\$0	\$386	(\$1,092,272)

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

Arkansas Tech University Ozark Campus

<u>Other</u>										
Other Deductions	0	0	0	0	0	0	0	0	105,003	105,003
Construction in Progress	0	0	0	0	0	0	1,066,259	0	0	1,066,259
Total	0	0	0	0	0	0	1,066,259	0	105,003	1,171,262

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-3

AUXILIARY ENTERPRISE

CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2011-12

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2012
TELEPHONE NO.: 479-964-821

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
	Intercollegiate Athletics *	0	0	0	-
	Residence Hall	0	0	0	-
	Married Student Housing	0	0	0	-
	Faculty Housing	0	0	0	-
	Food Service	19,165	12,788	0	6,377
	College Union	0	0	0	-
	Bookstore	874,653	721,523	70,580	82,550
	Student Organizations And Publications	21,000	21,000	0	-
	Student Health Services	0	0	0	-
	Other (Specify On Attached Sheet)	0	0	0	-
	Sub-Total	\$914,818	\$755,311	\$70,580	88,927
Transfers In	Auxiliary (Athletic and Activity)	-			0
	Other	-			0
Transfers Out			-	-	0
GRAND TOTALS		\$914,818	\$755,311	\$70,580	\$88,927

* Include athletic fees.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: Arkansas Tech University Ozark Campus
FISCAL YEAR: 2011-12

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2012
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1	38,231	0	0	0	0	0	0	0	0	
		All Other Assets	2	3,001,958	775,519	232,479	0	11,815	277,770	53,878	6,045,002	28,113	
		Total Assets	3	\$3,040,189	\$775,519	\$232,479	\$0	\$11,815	277,770	\$0	\$53,878	\$6,045,002	\$28,113
	Liab.	Interfund Borrowing (Due To)	4	0	0	0	0	0	38,231	0	0	0	0
		All Other Liabilities	5	393,454	22,008	232,479	0	0	0	0	3,720,351	28,113	
		Total Liabilities	6	\$393,454	\$22,008	\$232,479	\$0	\$0	38,231	\$0	\$0	\$3,720,351	\$28,113
	Fund Balances	7	\$2,646,735	\$753,511	\$0	\$0	\$11,815	239,539	\$0	\$53,878	\$2,324,651		
Changes in Fund Balance	Revenue And Other Additions	8	7,614,706	914,818	1,076,468	0	0	1,687	0	105,389	914,752		
	Expenditures And Other Deductions	9	6,796,901	755,311	1,076,468	0	0	1,820,644	0	356,016	338,111		
	Total Transfer Out Of (Into) *	10	845,478	70,580	0	0	0	(665,045)	0	(251,013)	(1,065,259)		
	Net Increase (Decrease) For Year	11	(\$27,673)	\$88,927	\$0	\$0	\$0	(1,153,912)	\$0	\$386	\$1,641,900		
	Fund Balance-Beginning Of Year	12	2,674,408	664,584	0	0	11,815	1,393,451	0	53,492	682,751		
	Fund Balance-End Of Year	13	\$2,646,735	\$753,511	\$0	\$0	\$11,815	239,539	\$0	\$53,878	\$2,324,651		

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ

COMPLETED BY: Linda Johnson

INSTITUTION: Arkansas Tech University Ozark Campus

DATE COMPLETED: Sept 15, 2012

FISCAL YEAR: 2011-12

TELEPHONE NO.: 479-964-0821

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	1	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	2,610,000	1,155,000	0	0	\$3,765,000
Total Loans Negotiated During						
The Fiscal Year	2	0	0	0	0	\$0
Total Debt Principal Retired						
During The Fiscal Year	3	50,000	25,000	0	0	\$75,000
Total Outstanding Principal						
At End Of Fiscal Year	4	\$2,560,000	\$1,130,000	\$0	\$0	\$3,690,000
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	130,433	45,580	0	0	\$176,013
Total Debt Service Payments						
During Fiscal Year	6	\$180,433	\$70,580	\$0	\$0	\$251,013

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1089OZ
INSTITUTION Arkansas Tech University Ozark Campus
FISCAL YEAR 2011-12

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson
DATE COMPLETED: Sept 15, 2012
TELEPHONE NO. 479-964-0821
ACTUAL OR BUDGETED: Actual

Report All Amounts To The Nearest Dollar.

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,228,113			2,228,113	622,373	\$2,850,486	
Dept. Oper. Expense	2	22,999	53,308	10,296	724,646	95,952	907,201	952,858	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	0	120,184	4,204	8,758	0	133,146	167,059	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	38,000	2,314	13,570	0	53,884	66,596	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	86,155	164,601	3,847	68,724	0	323,327	410,720	
Institutional Support	12	274,906	691,771	3,060	378,860	9,450	1,358,047	1,652,653	
Utilities	13				166,783	0	166,783	166,783	
Other Plant Maintenance	14	158,086	9,509	32,961	206,426	3,765	410,747	481,702	
Scholarships & Fellowships	15				48,044		48,044	48,044	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							180,433	
Transfers -Non Mandatory	20							665,045	
TOTAL	21	\$542,146	\$3,305,486	\$56,682	\$1,615,811	\$109,167	\$5,629,292	\$1,167,609	\$7,642,379
Tuition and Fees	22							\$2,526,293	
Other Income	23							\$1,087,128	
Prior Year Balance	24							\$0	
General Revenue Appropriated	25							\$4,001,285	
Total Unrestricted E&G Revenue	26							\$7,614,706	

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1089OZ

(SEE INSTRUCTIONS)

COMPLETED BY: Linda Johnson

INSTITUTION Arkansas Tech University Ozark Campus

DATE COMPLETED: Sept 15, 2012

FISCAL YEAR 2011-12

TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,625			2,625	482	\$3,107	
Dept. Oper. Expense	2	24,505	97,859	14,968	322,412	0	54,966	514,710	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	10,842	215,016	28,697	185,260	0	76,357	516,172	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	0	0	0	0	0	0	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	0	0	7,448	0	7,448	0	7,448	
Institutional Support	12	0	0	2,643	0	2,643	0	2,643	
Utilities	13				0	0	0	0	
Other Plant Maintenance	14	0	0	0	0	0	0	0	
Scholarships & Fellowships	15				32,388	32,388		32,388	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							0	
Transfers -Non Mandatory	20							0	
TOTAL	21	\$35,347	\$315,500	\$53,756	\$540,060	\$0	\$131,805	\$1,076,468	

Form Revised 8/1/97

Notes on Series 17-7B

Arkansas Tech University Ozark Campus

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1089OZ</u>	COMPLETED BY:	<u>Linda Johnson</u>
INSTITUTION:	<u>Arkansas Tech University Ozark Campus</u>	DATE COMPLETED:	<u>Sept 15, 2012</u>
FISCAL YEAR:	<u>2011-12</u>	TELEPHONE NO.:	<u>479-964-0821</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	984,673	117,813	0	0
Auxiliary Enterprises	2	116,963	0	0	0
Hospital & Clinics	3	0	0	0	0
Totals	4	\$1,101,636	\$117,813	\$0	\$0

Notes on Series 17-8

Arkansas Tech University Ozark Campus