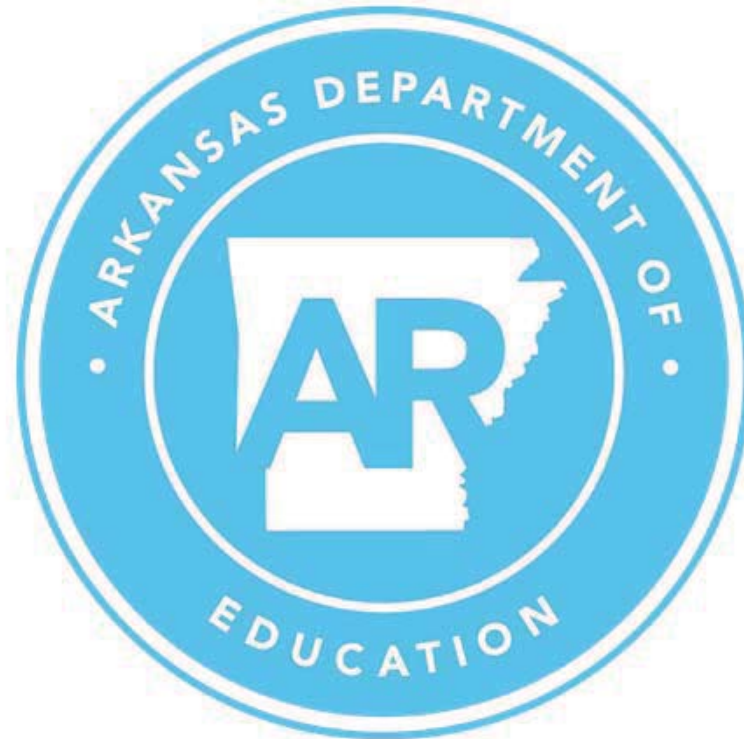


Arkansas Public Higher Education Operating & Capital Recommendations

2021-2023 Biennium



7-A

**Volume 2
Colleges**

Arkansas Division of Higher Education

423 Main Street, Suite 400, Little Rock, Arkansas 72201

October 2020

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**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2021-2023 BIENNIUM**

**VOLUME 2
TWO-YEAR COLLEGES**

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ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

The Role and Scope of the Arkansas Northeastern College broadly establishes the College's higher education responsibility for lifelong learning opportunities delivered to its district citizens for whom, and by whom, the College was established. On December 17, 1974, the voters of Mississippi County approved establishment of a community college district and the levying of a three mill property tax to finance construction of the new campus. The College is governed by nine representatives of its enacting district and is authorized to grant the Associate in Arts, Associate in Applied Science, and Associate in Science degrees, as well as certificates, diplomas, and awards. Programs of study, courses, and components of the above degrees, certificates, diplomas, and awards include a general education core and university-parallel education for completion of the first two (2) years of a baccalaureate program or for personal objectives; technical programs or training intended for direct employment preparation or advancement; and adult education and compensatory education for the development or enhancement of basic and advanced learning skills. Also included are avocational courses and programs in sponsorship of continuing education and a diversity of community/public services requested of the College by its district. ANC currently operates under Act 126 of the 92nd General Assembly for the personal services and operating expenses for the Arkansas Northeastern College, as well as Act 223 for the re-appropriation of the balances of the capital improvement appropriations for institutions of higher education.

THE MISSION

ANC is committed to providing accessible, quality educational programs, services, and lifelong learning opportunities.

INSTITUTION HIGHLIGHTS

Workforce Development: The Arkansas Northeastern College is the State's premier provider of customized workforce training. The Solutions Group, ANC's customized training division, advances local economic development by providing a wide variety of applied technical training to every major industrial employer in Mississippi County, as well as many clients throughout the region. The range, depth, and quality of training resources at ANC have been instrumental in recruiting several new employers to Mississippi County, including Arkansas' first Amendment 82 super-project, Big River Steel. The Solutions Group was nationally recognized in 2005 by receiving the Bellwether Award for Innovation by the Community

ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

College Futures Assembly. In 2014, the American Association of Community Colleges and Wal-Mart Foundation named ANC as one of only four national mentors for workforce development as part of the Job Ready, Willing, and Able Initiative and, in 2015, sponsored 16 professionals from colleges coast to coast to travel to Blytheville to learn of ANC's innovative best practices in workforce development, particularly serving underserved communities such as those living in generational poverty. ANC was one of only 15 American community colleges highlighted in the recent book, *Community College Leaders on Workforce Development: Opinions, Observations, and Future Directions* (Rothwell, Gerity, & Carraway, 2017). Based on applications approved by the Arkansas Economic Development Commission through its former Existing Workforce Training program, as well as Office of Skills Development application approvals, ANC has been the largest provider of customized training to the manufacturing industry among all Arkansas colleges and universities over the past 20 years.

High-Demand Allied Health: The Arkansas Northeastern College also seeks to be a leader in the delivery of allied health education. Since the merger of Mississippi County Community College and Cotton Boll Technical Institute in 2003, ANC has provided comprehensive offerings in the high demand field of healthcare professionals. ANC's registered nursing program consistently produces among the highest licensure success and placement rates in Arkansas. Graduates of ANC's practical nursing program also are in high demand among area employers. Accordingly, both nursing programs have student waiting lists with students completing general education requirements while awaiting program openings.

High School Partnerships: Since the inception of ArWorks in 2010 by Arkansas Department of Career Education, Arkansas Northeastern College has operated the ANC Career Coach Program. The total number of students served each year has been approximately 3,000. A significant outcome of this program has been an increase in early college access and college-going rates for high school students in the Arkansas Delta. The ANC Early College Program for concurrent college credit allows students to enroll in general education courses via live instruction or online. To maintain quality and rigor, these courses are taught primarily by ANC faculty members or, in some cases, master degree public school teachers. The rate of successful completion (A, B, or C in the course) in these courses consistently exceeds 90%. ANC has also provided early college access to students attending the ANC Technical Center allowing students to gain concurrent college and high school credit in the fields of welding, advanced manufacturing, general aviation, construction, criminal justice, and medical professions. The successful completion rate in these courses also consistently exceeds 90%. These high school

ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

partnerships are positioning students to enter the post-secondary arena and/or the workforce with skills and knowledge that will be critical to their future economic success.

Career Pathways: The Arkansas Career Pathways Initiative (CPI) program is a partnership between community colleges, workforce development agencies, employers and social service providers. The CPI program offers eligible ANC students free services which include paid tuition, books and fees, childcare assistance, transportation assistance, career counseling, resume assistance, and job placement. ANC's CPI program consistently delivers an employment placement rate for its clients of 90%. Over the 14 years of the Arkansas CPI program's existence, ANC has produced the highest outcomes (target clients served, completions, attainments, employment, etc.) among all colleges for 13 of the 14 years.

Financial Stewardship & Return on Investment: The outcomes-based, productivity funding formula for higher education in Arkansas includes two national measures of spending efficiency published by the National Center for Education Statistics: 1) the Core Expense Ratio, which measures student-related expenditures relative to administrative overhead and 2) the Faculty-to-Administration-Salaries Ratio, which directly compares payroll expenditures for teaching versus administration. ANC consistently leads all Arkansas community colleges in spending efficiency as measured by both national ratios. Act 852 of 2015 passed by the Arkansas General Assembly established the creation of the Economic Security Report, designed to provide prospective students, families, and the public at-large with vital statistics related to employment and earnings after college graduation. The Arkansas Research Center and the Arkansas Department of Workforce Services publish this report using actual Arkansas wage data of graduates of all Arkansas colleges and universities. ANC consistently leads all colleges and universities in Arkansas in terms of the average full-time wages for associate degree graduates with an average full-time wage of \$49,706 during students' first year of employment. This average full-time wage for ANC's associate degree graduates also exceeded the bachelor degree full-time wage averages of every Arkansas public university with the exception of only the University of Arkansas for Medical Sciences. Furthermore, the full-time, first-year wage average of ANC's collective Certificate of Proficiency (one semester) programs consistently rivals or exceeds the State's overall bachelor degree average. Most noteworthy in consideration of graduates' outstanding employment results is that ANC's tuition and mandatory fee rates continue to be the most affordable in Arkansas, providing an excellent rate of return for local residents and Arkansas taxpayers.

ARKANSAS NORTHEASTERN COLLEGE
Dr. James Shemwell, President

APPROPRIATION REQUEST

The College's Appropriation Request provides for general operations accommodative of a growth in students and district services, faculty/staff additions, campus improvements, and infrastructure additions. Combined with tuition revenue and support by private citizens, the appropriation requested will support Arkansas Northeastern College as one of Arkansas' premier two-year colleges and its delivery of efficient, accountable, higher education opportunities and services in alignment with its mission and the State goal of improving access to higher education opportunity.

The Arkansas Northeastern College requests no additional State funding appropriation beyond the existing funding apparatus by way of this request. Similarly, ANC is requesting no additional position totals beyond those already authorized. Any new position requests to better align appropriated positions with job functions are accompanied by position eliminations of greater line item maximum values.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,791,379		10,203,284		10,470,515		10,682,430		10,682,430		10,682,430		10,682,430	
2 CASH	5,775,157		26,428,000		26,428,000		26,428,000		26,428,000		26,428,000		26,428,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$15,566,536	137	\$36,631,284	142	\$36,898,515	299	\$37,110,430	299	\$37,110,430	299	\$37,110,430	299	\$37,110,430	299
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	356,276	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,491,281	53%	8,263,505	22%			8,879,507	24%	8,879,507	24%	8,879,507	24%	8,879,507	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND	835,829	5%	862,509	2%			862,509	2%	862,509	2%	862,509	2%	862,509	2%
15 WORKFORCE 2000	730,954	5%	730,954	2%			730,954	2%	730,954	2%	730,954	2%	730,954	2%
16 CASH FUNDS	4,331,212	27%	6,398,669	17%			7,800,000	21%	7,800,000	21%	7,800,000	21%	7,800,000	21%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,443,945	9%	20,029,331	55%			18,628,000	50%	18,628,000	50%	18,628,000	50%	18,628,000	50%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	89,591	1%	85,772	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,922,812	100%	\$36,727,016	100%			\$36,900,970	100%	\$36,900,970	100%	\$36,900,970	100%	\$36,900,970	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$356,276)		(\$95,732)				\$209,460		\$209,460		\$209,460		\$209,460	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$5,252,488
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$185,612
INVENTORIES	\$16,364
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$36,500
MAJOR CRITICAL SYSTEMS FAILURES	\$2,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,850,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,164,012

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	7,800,000	7,800,000	7,800,000	7,800,000	7,800,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,724,952	1,800,000	1,800,000	2,000,000	2,000,000		
5 OPERATING EXPENSES	191,359	528,284	795,515	807,430	807,430		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	25,000	25,000	25,000	25,000	25,000		
8 CAPITAL OUTLAY	25,000	25,000	25,000	25,000	25,000		
9 FUNDED DEPRECIATION							
10 WORKERS COMP	68						
11							
12							
13 TOTAL APPROPRIATION	\$9,791,379	\$10,203,284	\$10,470,515	\$10,682,430	\$10,682,430	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		356,276					
15 GENERAL REVENUE	8,491,281	8,263,505		8,879,507	8,879,507		
16 EDUCATIONAL EXCELLENCE TRUST FUND	835,829	862,509		862,509	862,509		
17 SPECIAL REVENUES * [WF2000]	730,954	730,954		730,954	730,954		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	89,591	85,772					
21 TOTAL INCOME	\$10,147,655	\$10,299,016		\$10,472,970	\$10,472,970	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$356,276)	(\$95,732)		\$209,460	\$209,460	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Tuition Adjustment Reimbursement, \$7,419; Restricted Reserve and Rainy Day Funds, \$82,172

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	33,069	1,500,000	1,500,000	1,500,000	1,500,000		
2 EXTRA HELP WAGES	653,642	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	0	28,000	28,000	28,000	28,000		
4 PERSONAL SERVICES MATCHING	775,413	2,500,000	2,500,000	2,500,000	2,500,000		
5 OPERATING EXPENSES	3,614,231	8,000,000	8,000,000	8,000,000	8,000,000		
6 CONFERENCE FEES & TRAVEL	64,167	500,000	500,000	500,000	500,000		
7 PROFESSIONAL FEES AND SERVICES	154,148	800,000	800,000	800,000	800,000		
8 CAPITAL OUTLAY	22,371	6,500,000	6,500,000	6,500,000	6,500,000		
9 CAPITAL IMPROVEMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000		
10 DEBT SERVICE	415,341	1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	400,000	400,000	400,000	400,000		
12 PROMOTIONAL ITEMS	42,775	200,000	200,000	200,000	200,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,775,157	\$26,428,000	\$26,428,000	\$26,428,000	\$26,428,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,351,433	2,156,549		3,100,000	3,100,000		
19 ALL OTHER FEES	443,517	490,470		800,000	800,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	381,236	611,650		750,000	750,000		
21 INVESTMENT INCOME	78,491	40,000		50,000	50,000		
22 FEDERAL CASH FUNDS	1,443,945	20,029,331		18,628,000	18,628,000		
23 OTHER CASH FUNDS	1,076,535	3,100,000		3,100,000	3,100,000		
24 TOTAL INCOME	\$5,775,157	\$26,428,000		\$26,428,000	\$26,428,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	137	142	299	299	299	
TOBACCO POSITIONS						
EXTRA HELP **	129	182	521	521	521	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	60,261	109,820		(49,559)	89,500	129,302		(39,802)
4 STUDENT UNION				0				0
5 BOOKSTORE	27,660			27,660	32,500			32,500
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	7,568	38,433		(30,865)		53,278		(53,278)
7 OTHER				0				0
8 SUBTOTAL	\$95,489	\$148,253	\$0	(\$52,764)	\$122,000	\$182,580	\$0	(\$60,580)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	140,000			140,000	90,000			90,000
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$235,489	\$148,253	\$0	\$87,236	\$212,000	\$182,580	\$0	\$29,420

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

***Other Transfers is the amount transferred from Unrestricted E&G to support student auxiliaries/organizations

NOTE: Line 10 - Other Transfers: Transfer from Unrestricted E&G Fund to Auxillary Fund for student organizations/activities

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>162</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>15</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>19</u>
White Female:	<u>34</u>	Black Female:	<u>14</u>	Other Female:	<u>1</u>	Total Female: <u>49</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>11</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>19</u>	Black Female:	<u>9</u>	Other Female:	<u>4</u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>20</u>	Black Male:	<u>2</u>	Other Male:	<u>2</u>	Total Male: <u>24</u>
White Female:	<u>26</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>26</u>
Total White Male:	<u>46</u>	Total Black Male:	<u>7</u>	Total Other Male:	<u>2</u>	Total Male: <u>55</u>
Total White Female:	<u>79</u>	Total Black Female:	<u>23</u>	Total Other Female:	<u>5</u>	Total Female: <u>107</u>
Total White:	<u>125</u>	Total Black:	<u>30</u>	Total Other:	<u>7</u>	Total Employees: <u>162</u>
				Total Minority:	<u>37</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS NORTHEASTERN COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$173,190
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
June 30, 2019**

<i>Findings:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY - BEEBE

Dr. Jennifer Methvin

INSTITUTION HISTORY AND ORGANIZATION

Enabling Laws

A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 60 of 2014.

Mission

ASU-Beebe's mission is "transforming lives through quality learning experiences." To achieve this mission, the University has developed the following strategic goals:

1. Strong from the Start
2. P32B1 (Policies, Practices & Procedures to be One College)
3. ASUBe Connected (Commitment to having a positive impact outside the classroom).

ASU-Beebe is part of the Arkansas State University System. As such, the University reports to the ASU System President and is governed by the Board of Trustees, which consists of five persons appointed by the Governor.

Introduction

Arkansas State University-Beebe began in 1927 as Junior Agricultural School of Central Arkansas, enacted by A.C.A. § 6-65-209. In 1955, the Arkansas General Assembly designated the school a campus of Arkansas State College; and, in 1967, it became Arkansas State University-Beebe. In addition to the original campus in Beebe, the institution has established campuses at the Little Rock Air Force Base in 1965, Heber Springs in 1999, and, through a merger with Foothills Technical Institute, at Searcy in 2003. In FY15, ASU-Beebe and its campuses are appropriated by Act 60 of 2014.

During FY 20, ASU-Beebe served over 4,400 students from 57 counties, and 20 states. and 11 foreign countries. This student population also includes approximately 238 students living on-campus in two residence halls. During the 2019-2020 academic year, ASU-Beebe awarded 1,231 credentials.

ARKANSAS STATE UNIVERSITY - BEEBE
Dr. Jennifer Methvin

ASU-Beebe has over a \$300 million dollar annual economic impact on its service area. To this end, it is one of the largest employers in the area. ASU-Beebe also has several grants and initiatives serving the community including, but are not limited to: Upward Bound, Students Support Services, Career Pathways, Area Career Centers, electrician apprenticeship program, plumber apprenticeship program, Workforce Training, Regional Career Center, White County Industrial Training Council, and the Little Red Industrial Training Council.

ASU-Beebe offers many programs in technical education including, but not limited to: pharmacy technology, veterinary technology, welding technology, LPN, medical laboratory technology, computerized machining technology, diesel technology, and power sports engine technology.

ASU-Beebe also offers several academic and technical programs unique to the state: Agricultural Technology (John Deere), Veterinary Technology, Pharmacy Technology, and Associate of Fine Arts. It also is the only two-year school in the state with a working farm. Additionally, the University partners with ASU-Jonesboro to offer bachelor's degrees and master's degrees on the ASU-Beebe Degree Center.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	13,921,606		14,166,467		14,504,510		14,328,491		14,328,491		14,328,491		14,328,491	
2 CASH	14,856,950		39,779,763		39,779,763		38,875,897		38,875,897		38,875,897		38,875,897	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$28,778,556	364	\$53,946,230	350	\$54,284,273	542	\$53,204,388	540	\$53,204,388	540	\$53,204,388	540	\$53,204,388	540
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	500,728	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	11,929,786	41%	11,163,297	21%			11,525,049	22%	11,525,049	22%	11,525,049	22%	11,525,049	22%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,667,324	6%	1,720,546	3%			1,720,546	3%	1,720,546	3%	1,720,546	3%	1,720,546	3%
15 WORKFORCE 2000	801,945	3%	801,945	1%			801,945	2%	801,945	2%	801,945	2%	801,945	2%
16 CASH FUNDS	11,879,171	41%	37,279,763	69%			36,375,897	69%	36,375,897	69%	36,375,897	69%	36,375,897	69%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,977,779	10%	2,500,000	5%			2,500,000	5%	2,500,000	5%	2,500,000	5%	2,500,000	5%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	23,279	0%	24,298	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$29,279,284	100%	\$53,990,577	100%			\$52,923,437	100%	\$52,923,437	100%	\$52,923,437	100%	\$52,923,437	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$500,728)		(\$44,347)				\$280,951		\$280,951		\$280,951		\$280,951	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$7,919,007
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,971,675
INVENTORIES	\$45,433
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,204,711
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$424,226
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,247,962

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	11,630,216	11,866,467	12,014,510	11,500,000	11,500,000		
2 EXTRA HELP WAGES	0	0	0	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,266,597	2,300,000	2,300,000	2,300,000	2,300,000		
5 OPERATING EXPENSES	0	0	0	208,491	208,491		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	23,279	0	190,000	170,000	170,000		
10 WORKERS COMP/SURETY PREMIUM	1,514						
11							
12							
13 TOTAL APPROPRIATION	\$13,921,606	\$14,166,467	\$14,504,510	\$14,328,491	\$14,328,491	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		500,728					
15 GENERAL REVENUE	11,929,786	11,163,297		11,525,049	11,525,049		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,667,324	1,720,546		1,720,546	1,720,546		
17 SPECIAL REVENUES * [WF2000]	801,945	801,945		801,945	801,945		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	23,279	24,298					
21 TOTAL INCOME	\$14,422,334	\$14,210,814		\$14,047,540	\$14,047,540	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$500,728)	(\$44,347)		\$280,951	\$280,951	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,901,122	5,000,000	5,000,000	4,500,000	4,500,000		
2 EXTRA HELP WAGES	620,834	783,145	783,145	783,145	783,145		
3 OVERTIME	0	25,000	25,000	25,000	25,000		
4 PERSONAL SERVICES MATCHING	2,513,898	2,784,020	2,784,020	2,784,020	2,784,020		
5 OPERATING EXPENSES	6,063,431	10,000,000	10,000,000	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL	157,852	233,732	233,732	233,732	233,732		
7 PROFESSIONAL FEES AND SERVICES	210,020	500,000	500,000	500,000	500,000		
8 CAPITAL OUTLAY	453,615	853,866	853,866	1,200,000	1,200,000		
9 CAPITAL IMPROVEMENTS	0	15,000,000	15,000,000	14,250,000	14,250,000		
10 DEBT SERVICE	2,589,193	3,000,000	3,000,000	3,000,000	3,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	315,103	1,500,000	1,500,000	1,500,000	1,500,000		
12 PROMOTIONAL ITEMS	31,882	100,000	100,000	100,000	100,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$14,856,950	\$39,779,763	\$39,779,763	\$38,875,897	\$38,875,897	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	9,326,444	8,985,881		8,985,881	8,985,881		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	66,376	47,500		47,500	47,500		
21 INVESTMENT INCOME	252,769	91,570		91,570	91,570		
22 FEDERAL CASH FUNDS	2,977,779	2,500,000		2,500,000	2,500,000		
23 OTHER CASH FUNDS	2,233,582	28,154,812		27,250,946	27,250,946		
24 TOTAL INCOME	\$14,856,950	\$39,779,763		\$38,875,897	\$38,875,897	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	364	350	542	540	540	
TOBACCO POSITIONS						
EXTRA HELP **	102	150	225	225	225	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	726,091	348,891	493,278	(116,078)	823,128	440,282	488,723	(105,877)
3 FOOD SERVICES	486,540	541,559	0	(55,019)	579,000	566,623	0	12,377
4 STUDENT UNION	214,398	147,655	97,249	(30,506)	167,591	133,199	101,277	(66,885)
5 BOOKSTORE	640,850	693,506	0	(52,656)	830,985	739,025	0	91,960
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0	0		0	0
7 OTHER				0	0	29,056	0	(29,056)
8 SUBTOTAL	\$2,067,879	\$1,731,611	\$590,527	(\$254,259)	\$2,400,704	\$1,908,185	\$590,000	(\$97,480)
9 ATHLETIC TRANSFER **				0	0			0
10 OTHER TRANSFERS ***	(20,626)			(20,626)	97,480			97,480
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$2,047,253	\$1,731,611	\$590,527	(\$274,885)	\$2,498,184	\$1,908,185	\$590,000	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Budgeted Transfer of \$29,056 to Aux Reserve (\$3,400) and Deferred Maintenance (\$25,656) FY21.

NOTE: Line 10 - Other Transfers: Actual Transfer of \$20,626 to Residence Halls Deferred Maintenance FY20.

NOTE: Line 10 - Other Transfers: Budgeted Transfer of \$97,480 From E&G to AUX in FY21.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						285
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	21	Black Male:	1	Other Male:	3	Total Male: 25
White Female:	41	Black Female:	2	Other Female:	0	Total Female: 43
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	32	Black Male:	3	Other Male:	2	Total Male: 37
White Female:	47	Black Female:	2	Other Female:	1	Total Female: 50
Faculty:						
White Male:	36	Black Male:	2	Other Male:	5	Total Male: 43
White Female:	45	Black Female:	1	Other Female:	2	Total Female: 48
Total White Male:	89	Total Black Male:	6	Total Other Male:	10	Total Male: 105
Total White Female:	133	Total Black Female:	5	Total Other Female:	3	Total Female: 141
Total White:	222	Total Black:	11	Total Other:	13	Total Employees: 246
				Total Minority:	24	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY-BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$835,034
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE
June 30, 2019

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

Dr. Robin Myers, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Introduction: Arkansas State University-Mountain Home (ASUMH) is a public, open access, two-year campus of Arkansas State University System, primarily serving students in North Central Arkansas. ASUMH provides affordable, lifelong learning and excellent teaching, enabling students of all ages to explore ethical values, develop technological and critical thinking skills, and communicate logically and effectively in order to enhance their quality of life. Furthermore, ASUMH is committed to creating a progressive community of enlightened and productive global citizens. To help students achieve educational, personal, and career goals, ASUMH offers certificates, associate degrees, community, and workforce education.

Arkansas State University - Mountain Home's vision is to provide expertise and resources which create opportunities and change lives.

The mission of ASUMH is to **LEAD** through educational opportunities:

Lifelong Learning,
Enhanced Quality of Life,
Academic Accessibility, and
Diverse Experiences

History: Act 1244 of 1991 designated the Mountain Home Education Center as a technical college. Arkansas State University - Mountain Home (ASUMH) was established on July 1, 1995 as a branch campus of the Arkansas State University System, by approval of the State Board of Higher Education. The Arkansas State University Board of Trustees has oversight responsibility for the university.

Enabling Laws: Act 200 of 1909, Act 1244 of 1991; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 193 of 2012.

Increase in Cash Appropriation: ASUMH plans to receive grant funds over the next two years from several sources,

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME
Dr. Robin Myers, Chancellor

including state, federal and private funds. Examples include \$1.5M in new funding through a 3-year Delta Regional Authority Workforce Opportunity for Rural Communities (WROC) grant and as well as a pending application for Title III funds. Due to increasing costs of healthcare as well as potential additional grant funded staff, we are expecting an increase in personal service matching. We also have two capital projects that we plan to complete during this time frame with a total construction cost of approximately \$1.2M. We have, therefore, requested an overall increase of approximately \$2.6 million in additional cash appropriations to cover these increased expenditures.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,353,921		4,323,503		4,597,845		4,522,696		4,522,696		4,522,696		4,522,696	
2 CASH	4,123,079		12,891,954		12,891,954		15,525,000		15,525,000		15,525,000		15,525,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$8,477,000	153	\$17,215,457	165	\$17,489,799	200	\$20,047,696	200	\$20,047,696	200	\$20,047,696	200	\$20,047,696	200
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	310,834	2%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,840,826	44%	3,499,574	20%			3,610,087	18%	3,610,087	18%	3,610,087	18%	3,610,087	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	823,929	9%	823,929	5%			823,929	4%	823,929	4%	823,929	4%	823,929	4%
16 CASH FUNDS	4,123,079	47%	12,891,954	74%			15,525,000	78%	15,525,000	78%	15,525,000	78%	15,525,000	78%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$8,787,834	100%	\$17,526,291	100%			\$19,959,016	100%	\$19,959,016	100%	\$19,959,016	100%	\$19,959,016	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$310,834)		(\$310,834)				\$88,680		\$88,680		\$88,680		\$88,680	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$4,340,549
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$800,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$800,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$590,549

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	4,327,847	4,173,503	4,447,845	3,972,696	3,972,696		
2 EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	0	100,000	100,000	500,000	500,000		
5 OPERATING EXPENSES	1,060	25,000	25,000	25,000	25,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP	14						
11							
12							
13 TOTAL APPROPRIATION	\$4,353,921	\$4,323,503	\$4,597,845	\$4,522,696	\$4,522,696	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		310,834					
15 GENERAL REVENUE	3,840,826	3,499,574		3,610,087	3,610,087		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	823,929	823,929		823,929	823,929		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,664,755	\$4,634,337		\$4,434,016	\$4,434,016	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$310,834)	(\$310,834)		\$88,680	\$88,680	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

APPROPRIATION B80

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	371,366	2,656,500	2,656,500	3,500,000	3,500,000		
2 EXTRA HELP WAGES	282,873	715,000	715,000	715,000	715,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	170,366	1,108,800	1,108,800	1,500,000	1,500,000		
5 OPERATING EXPENSES	2,747,955	4,147,000	4,147,000	6,000,000	6,000,000		
6 CONFERENCE FEES & TRAVEL	37,078	110,000	110,000	110,000	110,000		
7 PROFESSIONAL FEES AND SERVICES	75,874	308,000	308,000	325,000	325,000		
8 CAPITAL OUTLAY	18	770,000	770,000	1,000,000	1,000,000		
9 CAPITAL IMPROVEMENTS	0	1,782,000	1,782,000	1,750,000	1,750,000		
10 DEBT SERVICE	423,494	1,269,654	1,269,654	600,000	600,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	0	0	0	0		
12 PROMOTIONAL	14,055	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$4,123,079	\$12,891,954	\$12,891,954	\$15,525,000	\$15,525,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,344,565	3,207,444		3,207,444	3,207,444		
19 ALL OTHER FEES	703,584	602,390		602,390	602,390		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	23,249	120,000		120,000	120,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	51,681	8,962,120		11,595,166	11,595,166		
24 TOTAL INCOME	\$4,123,079	\$12,891,954		\$15,525,000	\$15,525,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	153	165	200	200	200	
TOBACCO POSITIONS						
EXTRA HELP **	39	20	70	70	70	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	425,529	349,664		75,865	75,000			75,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	59,539	18,828		40,711	160,000	160,000		0
8 SUBTOTAL	\$485,068	\$368,492	\$0	\$116,576	\$235,000	\$160,000	\$0	\$75,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				(50,000)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$485,068	\$368,492	\$0	\$116,576	\$235,000	\$160,000	\$0	\$25,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Includes Sheid Revenue and Investment Income

Sheid Venue Revenue	\$	37,254
Investment Income	\$	22,285

	\$	59,539

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>141</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>8</u>
White Female:	<u>18</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>15</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>16</u>
White Female:	<u>15</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>	Total Female: <u>16</u>
Faculty:						
White Male:	<u>30</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>30</u>
White Female:	<u>51</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>	Total Female: <u>53</u>
Total White Male:	<u>53</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>	Total Male: <u>54</u>
Total White Female:	<u>84</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>3</u>	Total Female: <u>87</u>
Total White:	<u>137</u>	Total Black:	<u>0</u>	Total Other:	<u>4</u>	Total Employees: <u>141</u>
				Total Minority:	<u>4</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY MOUNTAIN HOME

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
June 30, 2019**

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERISTY MID-SOUTH
Dr. Debra West, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

History

Mid-South Technical College was created by Arkansas Act 1244 of 1991, which converted 14 of Arkansas' vocational-technical schools into technical colleges. In 1992, the Board and College administration determined that the future viability of the institution would hinge upon conversion to a community college, as provided for in Section 22 of Act 1244, and made plans to seek local millage to provide the necessary funding. MSTC submitted the conversion application and received approval from the State Board of Higher Education on October 23, 1992.

A representative, county-wide Steering Committee began to investigate local funding options and reached the conclusion that a four-mill levy would be needed to establish the college. It submitted its findings to the Mid-South Technical College Board of Trustees which ratified the proposal, and the millage issue was placed on the ballot for February 16, 1993.

The millage campaign focused on a number of key issues: the historic absence of higher education opportunities in Crittenden County; the distance to other state-supported institutions; the alarmingly low college-going rate for the county; the sluggish local economy; difficulties encountered by civic leaders in recruiting new businesses to the county; and the pronounced need for bold initiatives addressing literacy, adult education, and job training.

Later that year, by a margin of 2,884 to 1,137 (three to one), voters agreed to pay one of the highest millages in the state to create a community college district in Crittenden County, and Mid-South Community College was established.

Twenty-two years later, after significant program and infrastructure expansion, the MSCC Board of Trustees voted unanimously for the College to join the Arkansas State University System. On July 1, 2015, the College officially became known as Arkansas State University Mid-South (ASU Mid-South).

Mission, Vision, and Values

ASU Mid-South is a fully accredited and comprehensive public two-year public institution, serving the residents of Crittenden County Arkansas and the greater Memphis metropolitan area. Its mission is simple: to enrich lives through high

ARKANSAS STATE UNIVERISTY MID-SOUTH

Dr. Debra West, Chancellor

quality educational programming that fosters student success, workforce development, and lifelong learning.

ASU Mid-South distinguishes itself by:

- **Leading:** being recognized as innovative and collaborative in developing and utilizing promising practices that ensure a quality learning environment, strategic organizational efficiency, and regional economic development;
- **Empowering:** being known for our commitment to celebrating the strength and diversity of our people and our determination to help our students obtain knowledge, self-understanding, and autonomy;
- **Nurturing:** being recognized for consistently displaying compassion and concern for individuals and providing the learning resources and support services necessary to meet their educational goals; and
- **Serving:** being viewed by our constituents as their educational provider of choice, meeting the diverse educational and cultural needs of our communities; supporting student interests through a range of organizations and activities; meeting the region's employment demands; and broadening access to higher education opportunities.

ASU Mid-South is committed to the following values:

- **Innovation**, which represents transformative and creative thinking that leads to continuous growth, improvement, and relevancy. We value ingenuity to solve problems and improve efficiencies.
- **Diversity**, which embraces acceptance, inclusion, and respect. We value understanding each other and ourselves, and moving beyond simple tolerance to embracing and celebrating the richness each individual contributes to our organizational culture.
- **Excellence**, which represents the standard to which we hold ourselves individually and collectively in everything we do. We value exceeding commonly held expectations of quality and professionalism and believe excellence is evident when open communication, ethical decision making, and humility are encouraged, expected, and demonstrated consistently.

ARKANSAS STATE UNIVERISTY MID-SOUTH

Dr. Debra West, Chancellor

- **Accountability**, which entails an organizational commitment to moral and ethical principles that demand integrity, respect, and compassion. We value transparency and pledge honesty, courtesy, and responsibility in interactions with customers and associates.
- **Sustainability**, which is being consistently mindful of the environmental, social, and economic consequences of our organizational practices. We value meeting present needs without compromising the needs of the future.

Programs

ASU Mid-South has established a continuum of educational opportunities through partnerships with its local public schools, higher education, and the regional business community to promote convenient and affordable access to short-term certificate and two-year associate degree programs, baccalaureate and graduate programs, as well as non-credit customized and professional training. Providing the education and training needed to help individuals and businesses prosper is central to our core mission.

To that end, ASU Mid-South operates a Secondary Technical Center on campus, which provides college-level technical programming to approximately 600 area high school students each year. This model supports college and career readiness through a career pathway concept, offering concurrent credit which enables students to attain a college certificate of proficiency while in high school and then progress along the pathway of stackable credentials to earn additional certificates/degrees as an adult student.

The College offers 26 certificate of proficiency programs, 7 technical certificate programs, 13 licensure/certification, and 15 associate degree programs, which provide opportunities for immediate employment and/or further education and training. Additionally, the college offers an adult education program, including Literacy and English as a Second Language (ESL), in both Crittenden and Cross Counties, as well as innovative, self-paced developmental programming for those seeking the foundational skills to be college-ready.

For students who wish to continue their education beyond an associate degree, ASU Mid-South maintains robust articulation agreements which provide opportunities for seamless transfer to numerous partner institutions, including

ARKANSAS STATE UNIVERISTY MID-SOUTH

Dr. Debra West, Chancellor

Arkansas State University, the University of Memphis, the University of Arkansas Fort Smith, Southern Illinois University Carbondale, and Embry-Riddle Aeronautical University. In addition, ASU Mid-South hosts upper-division and graduate-level programs offered through Arkansas State University, the University of Central Arkansas, and the University of Arkansas Fort Smith through the ADTEC University Center on the ASU Mid-South Campus.

In 2019-20, ASU Mid-South employed 43 full-time instructors, approximately 60 adjuncts and 85 full-time staff. Through the collective efforts of these committed educators, ASU Mid-South is one of the few public two-year colleges in the State to make productivity gains each of the four years since the inception of the State's productivity funding model.

Governance

As a member of the ASU System, the College is led by a Chancellor who reports directly to the ASU System President, and governed by the ASU Board of Trustees, which consists of five individuals appointed by the Governor. In addition, the College has a local 7-member Board of Visitors, which serves in an advisory capacity to the Chancellor.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

INSTITUTION HISTORY AND ORGANIZATION

Historically, the Arkansas Delta has lagged decades behind more prosperous areas of the state as it has struggled with a post-agrarian economy bereft of strong industry, saddled with an undereducated workforce, and possessing minimal resources with which to effect substantial change. As a result, much of eastern Arkansas has been home to a depressed economy and a substantial population of citizens who are tax *consumers* rather than tax *producers*.

To address these problems, four community colleges (Arkansas Northeastern College, East Arkansas Community College, Mid-South Community College, and Phillips Community College of the University of Arkansas) collaborated in 2005 to create the Arkansas Delta Training & Education Consortium (ADTEC). Arkansas State University-Newport became the fifth consortium college in July 2006. Espousing a unilateral commitment to supporting workforce and economic development in the Arkansas Delta, ADTEC first secured \$5,935,402 from the U.S. Department of Labor in March 2006 to underwrite its economic agenda.

From October 2005 through June 2014, ADTEC initiatives have secured federal grant awards totaling more than \$66 million. The list of accomplishments generated is substantial; the following is a list of some of the highlights:

Advanced Manufacturing

U.S. Department of Labor funding of \$5,935,402 in November 2005 created ADTEC college capacity, including curriculum development and the acquisition of faculty and equipment, to create a career pathway in advanced manufacturing and to provide training and education to existing and emerging manufacturing industries in the Arkansas Delta region. As a result, four regional skills centers exist, which support manufacturing training specialization.

- Steel Manufacturing Technology, Arkansas Northeastern College, Blytheville
- Plastics Manufacturing Technology, East Arkansas Community College, Forrest City
- CNC Machining, Mid-South Community College, West Memphis
- Welding Technology, Phillips Community College of the University of Arkansas, Helena

An additional \$250,000 in funding was provided by the Delta Regional Authority in February 2006 to fund training equipment for the automotive manufacturing industry in the Arkansas Delta and \$20,000 by the Arkansas Department of Workforce Education in October 2005 to fund curriculum development for the high school component of the career pathway.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

U.S. Department of Labor TAACCCT funding for \$2,500,000 in U.S. Department of Labor TAACCCT funding in October 2012, industry certifications were aligned with career pathway credential points in manufacturing and other designated technical programs.

Allied Health

This \$2,000,000 initiative funded by the U.S. Department of Labor created ADTEC college capacity, including curriculum development and the acquisition of faculty and equipment, to create various allied health career pathways and to provide training and education to support the health care industry in eastern Arkansas. Each ADTEC college developed a unique allied health program as part of a regional workforce development strategy to provide a variety of needed programs to meet industry employment needs.

- Patient Care Technician, Arkansas Northeastern College, Blytheville
- Surgical Technology, Arkansas State University-Newport
- Occupational Therapy Assistant, East Arkansas Community College, Forrest City
- Medical Assistant, Mid-South Community College, West Memphis
- Medical Lab Technology, Phillips Community College of the University of Arkansas, Helena

Aviation Maintenance Technology

Arkansas State University Mid-South and Arkansas Northeastern College are ADTEC partners in this initiative, supported by \$3,391,053 in funding by the U.S. Department of Labor, which promotes workforce development and economic development by supporting the workforce training needs of the aviation industry in Arkansas and western Tennessee. The project creates a career pathway in aviation maintenance technology, which includes an FAA-certified Airframe and Powerplant technician training program, and creates capacity to deliver training, including curriculum development and the acquisition of faculty and equipment.

An additional \$1,500,000 from the Economic Development Administration bureau of the U.S. Department of Commerce helped to fund construction of an airport training facility for the program. The Delta Regional Authority provided \$250,000 to establish the Mid-South

Institute for Non-Destructive Testing (NDT) Training which will support job training and/or employment-related training for the aviation, manufacturing, steel, renewable energy, and oil/gas industries in eastern Arkansas, western Tennessee, northwest Mississippi, southeast Missouri, and southern Illinois.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

Renewable Energy Technology

ADTEC received \$1,986,765 in U.S. Department of Labor funding to create a career pathway in Renewable Energy Technology Education and capacity to deliver training, including curriculum development and the acquisition of faculty and equipment. This, coupled with \$772,000 in funding for a renewable energy crops demonstration project through the Arkansas Delta WIRED initiative, established the Center of Excellence in Renewable Energy Technology Education (CERETE), based in Arkansas County. The Arkansas Department of Career Education provided \$25,000 for development of the curriculum for the high school component of the career pathway. CERETE continues to support experimentation of renewable energy crops and agricultural processes related to renewable energy.

ADTEC's focus on renewable energy technology has continued to evolve, as evidenced by the creation of the Marion Berry Renewable Energy Center (MBREC) in Crittenden County. This facility includes laboratories to support biofuel production technologies, labs to analyze fuel and lubricant properties, and a small engine test cell that supports entrepreneurs and researchers as they seek to develop new alternative fuels and lubricants. The facility also features a research-grade large engine test cell to determine the effects of biofuels and bio-lubricants on engine performance and durability, supporting equipment testing for transportation and agriculture industries. A second-generation micro-biodiesel refinery, developed by the University of Memphis for Mid-South Community College, is housed in the Center and serves as a production, research, and workforce development too. Technology needed to create a fully-integrated biomass-based biofuel refinery is in place, and capacity to produce alternative fuels for automobiles and jets is under development.

The MBREC houses career pathways in Renewable Energy Technology and Diesel/Biodiesel Technology. Funding includes \$2,000,000, construction, from the Economic Development Administration, U.S. Department of Commerce; \$100,000, biodiesel chemical analysis lab, Delta Regional Authority; \$350,000, micro-refinery, University of Memphis/U.S. Department of Energy.

Transportation Technology

The most recent ADTEC training initiative in support of Transportation Technology is the Arkansas Delta Transportation Education Project (ADTEP). Funding from the U.S. Department of Labor totaling \$4,326,254 provides regional capacity to deploy career pathways in diesel and automotive technology, with a focus on alternative fuel and hybrid vehicle technologies. This initiative also partners with Montana State University-Northern to bring the Bachelor of Science Degree in Diesel Technology to eastern Arkansas.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

Previous funding from the National Science Foundation and the U.S. Department of Labor, combined at \$568,383, supported the development of career pathways in distribution and logistics and in diesel technology. This development helped to create the foundation for a focus on diesel/biodiesel and the concept of a regional Transportation Technology Center, which has subsequently evolved into the Marion Berry Renewable Energy Center on the MSCC campus.

One of the first grant-funded ADTEC transportation projects included Mid-South Community College and Arkansas State University-Newport. The U.S. Department of Labor funded \$1,350,606 for the creation of a model rapid-response program to train heavy truck drivers, incorporating state-of-the-art simulation training, a truck driver apprenticeship program, and Standard Operating Procedures for disaster recovery scenarios.

Regional Workforce Development

The ADTEC colleges have been involved in two recent regional workforce initiatives. The first was a State of Arkansas Regional Workforce Grant provided by Act 1131 of 2015 and administered by the Arkansas Department of Higher Education. This \$2,066,000 Arkansas Delta Accelerated Pathways Together (ADAPT) initiative, which consisted of 9-month planning, 2-year implementation, and 2-year continuation phases brought together secondary technical centers housed on ADTEC college campuses, 18 K-12 CTE/school district partners, 22 manufacturing employers, 9 transportation employers, and 4 workforce development boards to create and/or enhance advanced manufacturing and transportation/distribution/ logistics (TDL) pathways and to create a regional workforce readiness model at all ADTEC colleges.

The second regional workforce initiative was a \$2.3 million award given by the U.S. Department of Labor and Delta Regional Authority Grant in 2019 for an initiative entitled ADWORC – Arkansas Delta Workforce Opportunity for Rural Communities. The grant, which is for three years, targets new entrants to the workforce, the economically disadvantaged, and the formerly incarcerated, with the primary goals being to provide workforce-readiness training, case management, and work-based learning in regionally high-demand fields. Participant incentives are also a component of this grant.

Awards and Recognition

ADTEC is a proven, award-winning workforce model that is receiving national recognition.

- Bellwether Legacy Top 10 Finalist, Community College Futures Assembly, 2014

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

- Mid-South Community College, lead ADTEC college, featured in *Southern Business & Development* as one of the 10 top workforce development community colleges in the South, 2013
- Recognized by the Education Commission of the States as one of 4 exemplary national workforce development models, 2nd National Summit on the Role of Education in Economic Development in Rural America, 2012
- Bellwether Award Winner, *Workforce Development Category*, Community College Futures Assembly, 2010
- Highlighted as a national workforce development model in *Employers, Low-Income Young Adults, and Postsecondary Credentials*, October 2009, a Workforce Strategy Center report underwritten by the Bill & Melinda Gates Foundation
- U. S. Department of Labor Recognition of Excellence Award in the category *Building a Regionally Focused Workforce Strategy*, 2008
- Southern Growth Policies Board Innovator of the Year Award, 2007

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,008,740		6,243,583		6,357,964		6,413,925		6,413,925		6,413,925		6,413,925	
2 CASH	5,644,493		25,000,000		25,000,000		25,000,000		25,000,000		25,000,000		25,000,000	
3 STATE TREASURY - ADTEC	1,437,063		1,540,587		1,554,486		1,565,175		1,565,175		1,565,175		1,565,175	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$13,090,296	180	\$32,784,170	156	\$32,912,450	306	\$32,979,100	305	\$32,979,100	305	\$32,979,100	305	\$32,979,100	305
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	46,276	0%	302,342	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,472,500	41%	5,290,914	16%			5,662,423	17%	5,662,423	17%	5,662,423	17%	5,662,423	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,190,914	16%	2,190,914	7%			2,190,914	7%	2,190,914	7%	2,190,914	7%	2,190,914	7%
16 CASH FUNDS	3,005,134	22%	15,000,000	46%			15,000,000	46%	15,000,000	46%	15,000,000	46%	15,000,000	46%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,639,359	20%	10,000,000	31%			10,000,000	30%	10,000,000	30%	10,000,000	30%	10,000,000	30%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	38,454	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$13,392,638	100%	\$32,784,170	100%			\$32,853,337	100%	\$32,853,337	100%	\$32,853,337	100%	\$32,853,337	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$302,342)		\$0				\$125,763		\$125,763		\$125,763		\$125,763	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$6,393,866
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$969,431
INVENTORIES	\$15,443
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,121,447
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,287,545

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2021-2023 BIENNIUM (Non-Formula Entities)**

ADTEC/UNIVERSITY CENTER PARTNERS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2019-2020 ACTUAL	2020-2021 BUDGETED *	2021-2023 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2021-2022		2022-2023	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	373,000	231,053	233,138	233,138	233,138	233,138
2 PERSONAL SERVICES MATCHING	108,209	85,462	86,233	86,233	86,233	86,233
3 EXTRA HELP WAGES						
4 OPERATING EXPENSES	15,952	87,915	88,708	88,708	88,708	88,708
5 CONFERENCE FEES & TRAVEL		7,500	7,567	7,567	7,567	7,567
6 SUBAWARDEE GRANTS AND AID	939,162	1,128,657	1,138,840	1,138,840	1,138,840	1,138,840
7 WORKERS COMP/SURETY PREM	740					
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,437,063	\$1,540,587	\$1,554,486	\$1,554,486	\$1,554,486	\$1,554,486
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***		89,937				
STATE FUNDS:						
19 GENERAL REVENUE	1,527,000	1,450,650	1,565,175	1,565,175	1,565,175	1,565,175
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$1,527,000	\$1,540,587	\$1,565,175	\$1,565,175	\$1,565,175	\$1,565,175

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTM0000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION _____ 109

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	4,738,052	4,692,885	4,787,964	4,823,925	4,823,925		
2 EXTRA HELP WAGES	170,771	303,283	320,000	330,000	330,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,099,917	1,247,415	1,250,000	1,260,000	1,260,000		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$6,008,740	\$6,243,583	\$6,357,964	\$6,413,925	\$6,413,925	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	46,276	212,405					
15 GENERAL REVENUE	3,945,500	3,840,264		4,097,248	4,097,248		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,190,914	2,190,914		2,190,914	2,190,914		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	38,454						
21 TOTAL INCOME	\$6,221,145	\$6,243,583		\$6,288,162	\$6,288,162	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$212,405)	\$0		\$125,763	\$125,763	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Southland Park Charity Days

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTM0000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH
ADTEC/UNIVERSITY CENTER PARTNERS

APPROPRIATION 83F

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	373,000	231,053					
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	108,209	85,462					
5 OPERATING EXPENSES	15,952	87,915					
6 CONFERENCE FEES & TRAVEL		7,500					
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 SUBAWARDEE GRANTS AND AID	939,162	1,128,657					
11 WORKERS COMP/SURETY PREMIUM	740						
12 ADTEC/UNIVERSITY CENTER PARTNERS			1,554,486	1,565,175	1,565,175		
13 TOTAL APPROPRIATION	\$1,437,063	\$1,540,587	\$1,554,486	\$1,565,175	\$1,565,175	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		89,937					
15 GENERAL REVENUE	1,527,000	1,450,650		1,565,175	1,565,175		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,527,000	\$1,540,587		\$1,565,175	\$1,565,175	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$89,937)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

ADTEC Allocations	2019-2020	2020-2021	2021-2022	2022-2023
(1) ARKANSAS NORTHEASTERN COLLEGE	159,909	161,331	163,906	163,906
(2) ARKANSAS STATE UNIVERSITY NEWPORT	159,909	161,331	163,906	163,906
(3) EAST ARKANSAS COMMUNITY COLLEGE	209,275	211,137	214,507	214,507
(4) ARKANSAS STATE UNIVERSITY MID-SOUTH	408,297	411,930	418,504	418,504
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	184,981	186,627	189,606	189,606
(6) ARKANSAS STATE UNIVERSITY JONESBORO	313,377	316,166	321,212	321,212
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	91,252	92,065	93,534	93,534
TOTAL AMOUNT ALLOCATED	1,527,000	1,540,587	1,565,175	1,565,175

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2810000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION D03

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	911,434	7,500,000	7,500,000	7,500,000	7,500,000		
2 EXTRA HELP WAGES	297,166	600,000	600,000	600,000	600,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	724,263	2,500,000	2,500,000	2,500,000	2,500,000		
5 OPERATING EXPENSES	2,545,196	4,500,000	4,500,000	4,500,000	4,500,000		
6 CONFERENCE FEES & TRAVEL	103,965	300,000	300,000	300,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	650,085	2,000,000	2,000,000	2,000,000	2,000,000		
8 CAPITAL OUTLAY	97,805	500,000	500,000	500,000	500,000		
9 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	9,676	100,000	100,000	100,000	100,000		
13 SUBAWARDEE GRANTS AND AID	304,903	2,000,000	2,000,000	2,000,000	2,000,000		
14							
15							
16 TOTAL APPROPRIATION	\$5,644,493	\$25,000,000	\$25,000,000	\$25,000,000	\$25,000,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,860,213	5,000,000		5,000,000	5,000,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	0	650,000		650,000	650,000		
22 FEDERAL CASH FUNDS	2,639,359	10,000,000		10,000,000	10,000,000		
23 OTHER CASH FUNDS	1,144,921	9,350,000		9,350,000	9,350,000		
24 TOTAL INCOME	\$5,644,493	\$25,000,000		\$25,000,000	\$25,000,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	180	156	306	305	305	
TOBACCO POSITIONS						
EXTRA HELP **	39	40	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY MID-SOUTH
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	29,197	192,475		(163,278)	3,000	208,000		(205,000)
2 HOUSING				0				0
3 FOOD SERVICES	51,722	93,673		(41,951)	78,500	148,500		(70,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	40,807			40,807	60,000			60,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	2,670			2,670	10,000			10,000
8 SUBTOTAL	\$124,396	\$286,148	\$0	(\$161,752)	\$151,500	\$356,500	\$0	(\$205,000)
9 ATHLETIC TRANSFER **	163,278			163,278	205,000			205,000
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$287,674	\$286,148	\$0	\$1,526	\$356,500	\$356,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other - Vending income

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MID-SOUTH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>190</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>17</u>	Black Male:	<u>5</u>	Other Male:	<u>1</u>	Total Male: <u>23</u>
White Female:	<u>24</u>	Black Female:	<u>17</u>	Other Female:	<u>0</u>	Total Female: <u>41</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>3</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>4</u>
White Female:	<u>15</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>20</u>
Faculty:						
White Male:	<u>38</u>	Black Male:	<u>10</u>	Other Male:	<u>5</u>	Total Male: <u>53</u>
White Female:	<u>23</u>	Black Female:	<u>26</u>	Other Female:	<u>0</u>	Total Female: <u>49</u>
Total White Male:	<u>58</u>	Total Black Male:	<u>16</u>	Total Other Male:	<u>6</u>	Total Male: <u>80</u>
Total White Female:	<u>62</u>	Total Black Female:	<u>47</u>	Total Other Female:	<u>1</u>	Total Female: <u>110</u>
Total White:	<u>120</u>	Total Black:	<u>63</u>	Total Other:	<u>7</u>	Total Employees: <u>190</u>
				Total Minority:	<u>70</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY MID-SOUTH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
ARKANSAS STATE UNIVERSITY MID-SOUTH
June 30, 2019**

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY-NEWPORT

Dr. Sandra Massey, Chancellor

Introduction: Arkansas State University-Newport (ASUN) provides life-long learning opportunities, business and industry training, and economic development support to the Northeast Arkansas region. With its three campuses located in Newport, Jonesboro, and Marked Tree, ASUN is proud to serve the counties of Jackson, White, Woodruff, Lonoke, Craighead, Poinsett and Faulkner. The college strives to advance industry and aid economic development in the region by responding to industry needs in programming and training. The faculty and staff of ASU-Newport are dedicated to providing the highest quality technical and transfer programs, and support service to students.

History: Arkansas State University-Newport was founded as White River Vocational Technical School in 1976. In 1992, the school merged with ASU-Beebe to become ASU-Beebe/Newport. After gaining approval from the Arkansas Department of Higher Education, the institution in 2002 gained accreditation from the Higher Learning Commission and became a stand-alone campus of the Arkansas State University System. In December 2007, ASUN assumed responsibility for the Arkansas State University technical centers located in Jonesboro and Marked Tree, creating the three campus organization that is collectively known as ASU-Newport.

Through its three campuses, ASU-Newport provides quality and diverse educational opportunities. The college has niche technical programs such as the High Voltage Lineman Technology program on the ASUN Newport campus. Another example of a program meeting industry-specific needs is the Advanced Manufacturing Technology program on the ASUN Jonesboro campus. These programs provide high-tech education and training to students in an expanding sector of industry in the region. Through its alignment with its industry partners, additional opportunities have been provided to students in high-demand career fields such as Commercial Driver Training, Surgical Technology, and Agricultural Technology. The college also provides quality online learning opportunities to provide the flexibility of students who require non-traditional methods to obtain a degree.

ASU-Newport offers general and technical certificates, certificates of proficiency, and associate degrees. ASUN is proud to have consistently ranked as one of the most successful two year colleges in graduation rates for first-time entering degree or certificate seeking students, out of the twenty-two two year colleges in the state. Further, emphasis in effectiveness, affordability, and efficiency has allowed ASUN to consistently achieve one of the highest percentage gains

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Sandra Massey, Chancellor

in productivity funding for 2017-2020, among all two-year colleges.

Governance: ASU-Newport is governed by the Arkansas State University System board of trustees, which consists of five persons appointed by the Governor of the state.

Campus Administration	Title
Dr. Sandra Massey	Chancellor
Dr. Holly Smith	Vice-Chancellor for Academic Affairs
Mr. Adam Adair	Vice-Chancellor for Finance & Administration
Dr. Ashley Buchman	Vice-Chancellor for Student Affairs
Mr. Jeff Bookout	Vice-Chancellor for Economic & Workforce Development
Mr. Ike Wheeler	Vice-Chancellor for Leadership & Community Engagement

Enabling Laws: Act 128 of 2020

Vision Statement: ASU-Newport will be the driving force that revitalizes the Delta and restores the American Dream in the communities we serve.

Mission Statement: ASU-Newport provides an accessible, affordable, quality education that transforms the lives of our students, enriches our communities and strengthens the regional economy.

Values: Integrity | Trust | Student Focus | Innovation | Community | Diversity

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Sandra Massey, Chancellor

Strategic Priority #1 – Student Success

Goal Statement: Arkansas State University-Newport will aggressively demonstrate a strong commitment to student success in all areas of the organization providing a premiere holistic student experience.

1. Goal: Expand ASUN's reach by increasing enrollment within the following student populations: adults without a post-secondary credential, underserved race, underserved academic and underserved income.
2. Goal: Aggressively recruit high-demand stem program students to maximize the number of students in the industry workforce pipeline.
3. Goal: Develop and implement activities designed to eliminate achievement gaps and improve student success by strategically eliminating barriers and providing the necessary resources to support all student populations.
4. Goal: Strategically support student transitions from ASUN to another college or university and/or employment.
5. Goal: Increase affordability for students by reducing unnecessary credits at the time of completion and reducing time to degree.

Strategic Priority #2 – Institutional Excellence

Goal Statement: We will ensure a sustainable organization with a highly skilled and diverse workforce which fosters an employee centric culture of inclusion, continuous improvement and financial stability.

1. Goal: Ensure the highest level of excellence of faculty and staff through an emphasis on recruitment, employee retention, diversity and professional development.
2. Goal: Align and empower employee strengths that benefit the college in accomplishing strategic goals.
3. Goal: Foster a culture of diversity, inclusion and identity safety.
4. Goal: Ensure continuous improvement in all institutional operations, guided by rigorous assessment and strengthened by accountability.
5. Goal: Actively identify and engage external parties to secure alternate revenue streams that will strengthen the

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Sandra Massey, Chancellor

college and provide additional resources to enhance the student learning experience.

6. Goal: Align resources to strategic goals and activities.

Strategic Priority #3 – Community Engagement

Goal Statement: Arkansas State University-Newport will assume a leading role in creating economic, social and cultural advancement for the communities we serve.

1. Goal: Serve as a catalyst for economic development by creating and advancing workforce development partnerships.
2. Goal: Support local business and industry workforce needs by graduating students who are highly employable and effective once hired.
3. Goal: Ensure engagement and visibility in our communities to influence positive change, cultural advancement and diversity.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,215,906		9,051,096		8,379,967		8,341,564		8,341,564		8,341,564		8,341,564	
2 CASH	9,994,106		26,730,000		26,730,000		26,455,000		26,455,000		26,455,000		26,455,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,210,012	238	\$35,781,096	238	\$35,109,967	341	\$34,796,564	341	\$34,796,564	341	\$34,796,564	341	\$34,796,564	341
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	1,175,344	3%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,973,622	39%	6,458,124	18%			6,760,376	20%	6,760,376	20%	6,760,376	20%	6,760,376	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,417,628	8%	1,417,628	4%			1,417,628	4%	1,417,628	4%	1,417,628	4%	1,417,628	4%
16 CASH FUNDS	8,378,355	47%	24,230,000	68%			23,655,000	68%	23,655,000	68%	23,455,000	68%	23,455,000	68%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,065,263	6%	2,500,000	7%			2,800,000	8%	2,800,000	8%	3,000,000	9%	3,000,000	9%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$17,834,868	100%	\$35,781,096	100%			\$34,633,004	100%	\$34,633,004	100%	\$34,633,004	100%	\$34,633,004	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$624,856)		\$0				\$163,560		\$163,560		\$163,560		\$163,560	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$5,406,083
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,469,000
INVENTORIES	\$523,860
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,150,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$186,777)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	5,204,618	5,543,729	5,543,729	5,700,000	5,700,000		
2 EXTRA HELP WAGES	100,000	100,000	150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	650,000	500,000	500,000	700,000	700,000		
5 OPERATING EXPENSES	1,236,288	2,882,367	2,161,238	1,766,564	1,766,564		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
10							
11							
12							
13 TOTAL APPROPRIATION	\$7,215,906	\$9,051,096	\$8,379,967	\$8,341,564	\$8,341,564	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		1,175,344					
15 GENERAL REVENUE	6,973,622	6,458,124		6,760,376	6,760,376		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,417,628	1,417,628		1,417,628	1,417,628		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$8,391,250	\$9,051,096		\$8,178,004	\$8,178,004	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$1,175,344)	\$0		\$163,560	\$163,560	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION B77

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,848,483	5,700,000	5,700,000	5,700,000	5,700,000		
2 EXTRA HELP WAGES	101,930	300,000	300,000	300,000	300,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,793,007	1,800,000	1,800,000	2,300,000	2,300,000		
5 OPERATING EXPENSES	2,579,253	4,000,000	4,000,000	4,000,000	4,000,000		
6 CONFERENCE FEES & TRAVEL	91,417	425,000	425,000	425,000	425,000		
7 PROFESSIONAL FEES AND SERVICES	62,390	950,000	950,000	400,000	400,000		
8 CAPITAL OUTLAY	466,329	3,500,000	3,500,000	4,000,000	4,000,000		
9 CAPITAL IMPROVEMENTS	237,280	8,740,000	8,740,000	8,000,000	8,000,000		
10 DEBT SERVICE	781,398	1,250,000	1,250,000	1,250,000	1,250,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	3,186	20,000	20,000	20,000	20,000		
12 PROMOTIONAL ITEMS	29,433	45,000	45,000	60,000	60,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,994,106	\$26,730,000	\$26,730,000	\$26,455,000	\$26,455,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	7,763,000	7,300,000		7,300,000	7,300,000		
19 ALL OTHER FEES		990,000					
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	51,917	30,000		60,000	60,000		
21 INVESTMENT INCOME	125,547	20,000		150,000	150,000		
22 FEDERAL CASH FUNDS	1,065,263	2,500,000		2,800,000	3,000,000		
23 OTHER CASH FUNDS	437,891	15,890,000		16,145,000	15,945,000		
24 TOTAL INCOME	\$9,443,618	\$26,730,000		\$26,455,000	\$26,455,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$550,488	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	238	238	341	341	341	
TOBACCO POSITIONS						
EXTRA HELP **	60	60	60	60	60	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-NEWPORT
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	163,754	234,185		(70,431)	195,000	280,018		(85,018)
4 STUDENT UNION				0				0
5 BOOKSTORE	567,274	397,449		169,825	675,000	487,319		187,681
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	9,688			9,688	12,000	114,663		(102,663)
8 SUBTOTAL	\$740,716	\$631,634	\$0	\$109,082	\$882,000	\$882,000	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$740,716	\$631,634	\$0	\$109,082	\$882,000	\$882,000	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other - Vending Revenues, Operating Expenses Contingency

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>228</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>18</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>20</u>
White Female:	<u>26</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>30</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>25</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>30</u>
Faculty:						
White Male:	<u>47</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>50</u>
White Female:	<u>82</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>87</u>
Total White Male:	<u>74</u>	Total Black Male:	<u>5</u>	Total Other Male:	<u>2</u>	Total Male: <u>81</u>
Total White Female:	<u>133</u>	Total Black Female:	<u>13</u>	Total Other Female:	<u>1</u>	Total Female: <u>147</u>
Total White:	<u>207</u>	Total Black:	<u>18</u>	Total Other:	<u>3</u>	Total Employees: <u>228</u>
				Total Minority:	<u>21</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY-NEWPORT

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$204,902
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT
June 30, 2019**

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY THREE RIVERS

Dr. Steve Rook, Chancellor

ENABLING LAWS

Act 617 of 1991, Act 208 of 2011, and Act 111 of 2018

INSTITUTION HISTORY AND ORGANIZATION

Arkansas State University Three Rivers' main campus is situated on 44 acres in Hot Spring County and serves a five-county area in south-central Arkansas. The counties include Clark, Dallas, Grant, Hot Spring, and Saline. The College's Adult Education program serves the five-county service area with physical locations in Hot Spring, Clark, and Grant counties.

Founded in 1969, Ouachita Vocational Technical School (OVTS) opened under the authority of the State Board of Education in January 1972 with 292 students enrolled in 11 programs. In September of 1988, the Arkansas Business Council Foundation issued a report entitled *In Pursuit of Excellence* that called for "reform of and increased support for our state's system of elementary, secondary, vocational, and higher education."

The 1991 Arkansas Legislature responded to *In Pursuit of Excellence* with a series of Acts centered on Act 1244, the "Two-Year Postsecondary Education Reorganization Act of 1991." OVTS was not included in the original legislation that became Act 1244; but, following a meeting of Malvern and Hot Spring County business leaders, legislators, and OVTS faculty and administrators, Senator George Hopkins introduced separate legislation to designate OVTS as Ouachita Technical College under the coordination of SBHE. This separate legislation (Act 617 of 1991) actually was signed into law before the enabling legislation (Act 1244) was passed; thus, Ouachita Technical College became the first Arkansas technical college.

Transfer from the State Board of Vocational Education to the State Board of Higher Education took place on July 1, 1991. The governing board of Ouachita Technical College was appointed by Governor Bill Clinton in October, 1991, and a

ARKANSAS STATE UNIVERSITY THREE RIVERS

Dr. Steve Rook, Chancellor

President was hired by the Board of Trustees in August, 1992. In February, 1996, the College received initial accreditation and in February, 2001, and December 2010, continuing accreditation from The Higher Learning Commission. Under Act 208 of 2011, OTC officially changed its name to College of the Ouachitas, effective July 1, 2011, to better communicate the comprehensive nature of the College's diverse courses, academic programs, and degrees conferred.

In 2018, the Board of Trustees began investigating alignment with a system to enhance institutional resources and reduce the other post-employment benefits burden placed on the College by virtue of participating in the state health insurance program. In 2019, the Board of Trustees voted to join the Arkansas State University System. At the same time, the Arkansas State University System Board of Trustees voted to accept College of the Ouachitas into the ASU System effective January 1, 2020. The Higher Learning Commission approved the change of control and College of the Ouachitas became Arkansas State University Three Rivers on January 1, 2020.

Beginning August 2021, Arkansas State University Three Rivers (ASUTR) will provide vo-tech educational services to over 600 high school students at the Saline County Career Center. This program will require the addition of twenty new career center faculty and five staff. The expenses associated with this program are reflected in Cash Funds for the years 2021-2023 and 2022-2023.

Recommended Cash Funds for FY2022 & 2023 also reflect revised costs of possible Capital Improvement projects for the campus.

INSTITUTIONAL VISION, MISSION, PURPOSE, and VALUES STATEMENTS

Vision

Arkansas State University Three Rivers strives to be a college for the community—a community of successful, lifelong learners—working collectively to be responsive to the educational, cultural, social, economic, and environmental needs of the region.

ARKANSAS STATE UNIVERSITY THREE RIVERS

Dr. Steve Rook, Chancellor

Mission

The college is a public, two-year institution of higher education that continually identifies and addresses the changing learning needs of the community it serves.

Purpose

Arkansas State University Three Rivers provides:

- Associate degree transfer programs that prepare learners to succeed at universities;
- Degree and certificate programs that prepare learners to succeed in the workforce;
- Academic and support services that respond to personal, social, and career planning needs of students;
- Customized training and services that assist businesses and individuals and aid economic development;
- Partnerships with K-12 schools, other colleges and universities, businesses, industries, public agencies, and civic groups that support learning and promote the economic development of Arkansas;
- Developmental courses and support services that promote collegiate-level success; and
- Continuous improvement through a system of inquiry, evidence, and accountability.

Values

While strengthening our practice of being student-centered, we will guide our internal conduct as well as our relationships with those we serve by applying the values of learning, excellence, inclusion, integrity, respect and responsibility.

- Learning—curiosity, knowledge, understanding
- Excellence—innovation, quality, performance
- Inclusion—diversity, collaboration, engagement
- Integrity—honesty, ethics, sincerity
- Respect—trust, dignity, fairness
- Responsibility—accountability, commitment, stewardship

ARKANSAS STATE UNIVERSITY THREE RIVERS
Dr. Steve Rook, Chancellor

INSTITUTIONAL ACCREDITATIONS

Arkansas State University Three Rivers is accredited by The Higher Learning Commission, 230 South LaSalle Street, Suite 7-5000, Chicago, IL 60604-1413, 800.621.7440, www.hlcommission.org. Program approvals include Practical and Registered Nursing Programs, Arkansas State Board of Nursing; Cosmetology Program, Arkansas Department of Health; Automotive Service Technology Program (ASE), and the National Automotive Technician Education Foundation. The National Alliance of Concurrent Enrollment Partnerships (NACEP) accredits the Concurrent Enrollment Program (CEP). The College also has institutional approval for Veterans' educational benefits through the Arkansas State Approving Agency of Veterans.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY THREE RIVERS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,565,764		4,637,768		4,719,808		4,655,182		4,655,182		4,655,182		4,655,182	
2 CASH	5,872,458		7,923,485		7,923,485		10,067,962		10,067,962		10,067,962		10,067,962	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,438,222	164	\$12,561,253	162	\$12,643,293	225	\$14,723,144	245	\$14,723,144	235	\$14,723,144	245	\$14,723,144	235
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	148,455	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,523,733	33%	3,297,333	26%			3,407,518	23%	3,407,518	23%	3,407,518	23%	3,407,518	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,156,386	11%	1,156,386	9%			1,156,386	8%	1,156,386	8%	1,156,386	8%	1,156,386	8%
16 CASH FUNDS	4,095,157	39%	5,423,485	43%			7,067,962	48%	7,067,962	48%	7,067,962	48%	7,067,962	48%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,777,301	17%	2,500,000	20%			3,000,000	21%	3,000,000	21%	3,000,000	21%	3,000,000	21%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	34,100	0%	35,594	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,586,677	100%	\$12,561,253	100%			\$14,631,866	100%	\$14,631,866	100%	\$14,631,866	100%	\$14,631,866	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$148,455)		\$0				\$91,278		\$91,278		\$91,278		\$91,278	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$5,301,157
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$817,152
INVENTORIES	\$26,571
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,260,420
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,187,014

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTW0000

INSTITUTION ARKANSAS STATE UNIVERSITY THREE RIVERS

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,683,194	2,947,848	2,800,000	2,800,000	2,800,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	775,000	825,000	825,000	825,000	825,000		
5 OPERATING EXPENSES	1,107,570	864,920	1,094,808	1,030,182	1,030,182		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$4,565,764	\$4,637,768	\$4,719,808	\$4,655,182	\$4,655,182	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		148,455					
15 GENERAL REVENUE	3,523,733	3,297,333		3,407,518	3,407,518		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,156,386	1,156,386		1,156,386	1,156,386		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	34,100	35,594					
21 TOTAL INCOME	\$4,714,219	\$4,637,768		\$4,563,904	\$4,563,904	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$148,455)	\$0		\$91,278	\$91,278	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2850000

INSTITUTION ARKANSAS STATE UNIVERSITY THREE RIVERS

APPROPRIATION B62

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,387,567	2,657,988	2,657,988	3,557,988	3,557,988		
2 EXTRA HELP WAGES	491,598	686,000	686,000	686,000	686,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	845,480	1,090,890	1,090,890	1,315,890	1,315,890		
5 OPERATING EXPENSES	1,546,718	1,792,439	1,792,439	2,292,439	2,292,439		
6 CONFERENCE FEES & TRAVEL	114,631	120,523	120,523	130,000	130,000		
7 PROFESSIONAL FEES AND SERVICES	157,506	266,677	266,677	266,677	266,677		
8 CAPITAL OUTLAY	138,312	598,968	598,968	598,968	598,968		
9 CAPITAL IMPROVEMENTS		500,000	500,000	1,000,000	1,000,000		
10 DEBT SERVICE	186,389	200,000	200,000	200,000	200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	4,257	10,000	10,000	20,000	20,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,872,458	\$7,923,485	\$7,923,485	\$10,067,962	\$10,067,962	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,991,075	2,037,750		2,300,000	2,300,000		
19 ALL OTHER FEES	899,987	730,850		900,000	900,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	5,935	0		10,000	10,000		
21 INVESTMENT INCOME	30,087	16,000		30,000	30,000		
22 FEDERAL CASH FUNDS	1,777,301	2,500,000		3,000,000	3,000,000		
23 OTHER CASH FUNDS	1,168,073	2,638,885		3,827,962	3,827,962		
24 TOTAL INCOME	\$5,872,458	\$7,923,485		\$10,067,962	\$10,067,962	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	164	162	225	245	235	
TOBACCO POSITIONS						
EXTRA HELP **	18	60	60	60	60	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY THREE RIVERS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	142,182	190,534		(48,352)	190,000	190,000		0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$142,182	\$190,534	\$0	(\$48,352)	\$190,000	\$190,000	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$142,182	\$190,534	\$0	(\$48,352)	\$190,000	\$190,000	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY THREE RIVERS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>103</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>7</u>
White Female:	<u>15</u>	Black Female:	<u>3</u>	Other Female:	<u>0</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>24</u>	Black Female:	<u>8</u>	Other Female:	<u>0</u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>12</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>23</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>23</u>
Total White Male:	<u>28</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>0</u>	Total Male: <u>30</u>
Total White Female:	<u>62</u>	Total Black Female:	<u>11</u>	Total Other Female:	<u>0</u>	Total Female: <u>73</u>
Total White:	<u>90</u>	Total Black:	<u>13</u>	Total Other:	<u>0</u>	Total Employees: <u>103</u>
				Total Minority:	<u>13</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY THREE RIVERS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$250,000
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY THREE RIVERS (FORMERLY COLLEGE OF THE OUACHITAS)
June 30, 2019

<i>Finding:</i>	No Findings noted
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BLACK RIVER TECHNICAL COLLEGE

Martin Eggenesperger, PhD, President

ENABLING LAWS

Act 328 of 1957; A.C.A. 6-53-301; Act 27 of 2020

Black River Vocational Technical School (BRVTS) began as a component unit of the State of Arkansas on February 26, 1973, under the provisions of Act 328 of 1957, which created the State Board of Vocational Education for the purpose of establishing in various sections of Arkansas secondary level high schools specializing in vocational technical training.

Effective July 1, 1991, under the provisions of Ark. Code Ann 6-53-301, the school's name was changed to Black River Technical College and in 1993, BRVTS became an independent institution of higher education under the jurisdiction of the Arkansas Higher Education Coordinating Board.

Act 27 of the State of Arkansas 92nd General Assembly, Fiscal Session, 2020, provided appropriations for Black River Technical College for the fiscal year 2020-21 to include a maximum of 252 faculty and staff positions, 75 temporary or part time employees, State appropriations of \$8,370,940, and appropriated Cash Funds of \$42,924,800.

INSTITUTION HISTORY AND ORGANIZATION

The idea to provide vocational and technical training to enhance and enrich the lives of students of Randolph County, Arkansas, began in 1972 with land donated by the City of Pocahontas on which it was planned to build a school to serve this educational purpose. On February 26, 1973, the Black River Vocational Technical School became a reality under the provisions of Act 328 of 1957 with an initial enrollment of 38 students in six programs. In 1991, the school's name was changed to Black River Technical College (BRTC) and now serves more than 3,000 students annually in 29 certificate programs and 21 associate degree programs, as well as numerous corporate and community education programs. With the completion of the new Health Science Complex in the fall of 2015, the original 46,775 square foot campus, situated on 44 acres, has grown to 362,750 square feet with a total of 35 buildings on 100 acres.

BRTC not only serves a growing population with a second location in Paragould, Arkansas, but also provides training for Arkansas' law enforcement community at the Law Enforcement Training Academy and emergency medical responders with the Emergency

BLACK RIVER TECHNICAL COLLEGE

Martin Eggensperger, PhD, President

Medical Technician/Paramedic program and the Fire Science Training Academy.

The College is governed by a seven-member Board of Trustees appointed by the Governor of Arkansas. The College is accredited by the Higher Learning Commission.

MISSION STATEMENT

Black River Technical College transforms lives through quality academic and career education to enhance the community we serve.

VISION STATEMENT

BRTC will lead our community in learning and economic development.

INSTITUTIONAL HIGHLIGHTS

A Black River Technical College (BRTC) education not only imparts general education appropriate for transfer and further education, but also offers instruction focused upon workforce readiness and employability. The following examples typify this distinction.

- Established in 1998 by Black River Technical College and area industries, the Greene County Industrial Training Consortium (GCITC) serves to enhance area economic development by meeting Northeast Arkansas' industrial and business education needs. Members are provided high quality, skill-building education that is customized to the needs of the business or industry.
- On November 18, 2019, Black River Technical College announced the strategic partnership that allowed Arkansas Methodist Medical Center (AMMC) to become an instructional site for the a new BRTC registered nursing (RN) traditional pathway which began in Fall 2020. The program is composed of traditional in-classroom delivery and live audio-visual feed provided by video conferencing.

BLACK RIVER TECHNICAL COLLEGE
Martin Eggensperger, PhD, President

- On Friday, January 31, 2020, the Arkansas Division of Higher Education (ADHE) approved Black River Technical College to offer a 2-year Associate of Applied Science in Gunsmithing Technology, a 1-year Technical Certificate in Gunsmithing Technology, and three 1-semester certificates of proficiency in Gunsmithing Technology.
- In June of 2020, in response to business and industry interest, BRTC taught the college's first 4-week Commercial Driver's License (CDL) training coursework through the Pocahontas Corporate and Community Education department. The program had been developed in response to business and industry interest. Class A, B, and C certifications are now available and endorsements include hazardous materials, passenger vehicles, and school buses.

These instances reflect the continuing and renewed commitment of Black River Technical College to serve as a premier comprehensive provider of general education for transfer, as well as customized workforce training.

PERSONAL SERVICES REQUESTS

BRTC has requested no new Full-time or Part-time positions in the If the College has any unmet personnel needs in the immediate future, the College will request those through the Central Growth Pool as needed.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,179,859		8,314,591		8,370,940		8,249,324		8,249,324		8,249,324		8,249,324	
2 CASH	5,191,579		42,924,800		42,924,800		42,924,800		42,924,800		42,924,800		42,924,800	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$13,371,438	195	\$51,239,391	196	\$51,295,740	254	\$51,174,124	254	\$51,174,124	254	\$51,174,124	254	\$51,174,124	254
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	253,953	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,052,381	44%	5,663,516	11%			5,842,364	11%	5,842,364	11%	5,842,364	11%	5,842,364	11%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,245,209	16%	2,245,209	4%			2,245,209	4%	2,245,209	4%	2,245,209	4%	2,245,209	4%
16 CASH FUNDS	4,407,354	32%	40,424,800	79%			39,924,800	78%	39,924,800	78%	39,924,800	78%	39,924,800	78%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	784,225	6%	2,500,000	5%			3,000,000	6%	3,000,000	6%	3,000,000	6%	3,000,000	6%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	136,222	1%	405,866	1%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$13,625,391	100%	\$51,493,344	100%			\$51,012,373	100%	\$51,012,373	100%	\$51,012,373	100%	\$51,012,373	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$253,953)		(\$253,953)				\$161,751		\$161,751		\$161,751		\$161,751	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$5,560,056
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$191,640
INVENTORIES	\$38,017
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$101,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,713,817
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,515,582

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	5,847,006	6,069,382	6,125,731	6,004,115	6,004,115		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,322,853	2,235,209	2,235,209	2,235,209	2,235,209		
5 OPERATING EXPENSES	10,000	10,000	10,000	10,000	10,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$8,179,859	\$8,314,591	\$8,370,940	\$8,249,324	\$8,249,324	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		253,953					
15 GENERAL REVENUE	6,052,381	5,663,516		5,842,364	5,842,364		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,245,209	2,245,209		2,245,209	2,245,209		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	136,222	405,866					
21 TOTAL INCOME	\$8,433,812	\$8,568,544		\$8,087,573	\$8,087,573	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$253,953)	(\$253,953)		\$161,751	\$161,751	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,659,343	12,000,000	12,000,000	12,000,000	12,000,000		
2 EXTRA HELP WAGES	161,438	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	0	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	166,930	4,000,000	4,000,000	4,000,000	4,000,000		
5 OPERATING EXPENSES	2,003,816	12,000,000	12,000,000	12,000,000	12,000,000		
6 CONFERENCE FEES & TRAVEL	41,588	250,000	250,000	250,000	250,000		
7 PROFESSIONAL FEES AND SERVICES	598,268	2,701,000	2,701,000	2,701,000	2,701,000		
8 CAPITAL OUTLAY	168,434	5,000,000	5,000,000	5,000,000	5,000,000		
9 CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE	376,091	713,880	713,880	713,880	713,880		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	169,920	169,920	169,920	169,920		
12 PROMOTIONAL ITEMS	15,671	80,000	80,000	80,000	80,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,191,579	\$42,924,800	\$42,924,800	\$42,924,800	\$42,924,800	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,016,682	5,000,639		5,100,000	5,200,000		
19 ALL OTHER FEES	290,919	325,469		340,000	360,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	99,753	75,000		90,000	90,000		
22 FEDERAL CASH FUNDS	784,225	2,500,000		3,000,000	3,000,000		
23 OTHER CASH FUNDS		35,023,692		34,394,800	34,274,800		
24 TOTAL INCOME	\$5,191,579	\$42,924,800		\$42,924,800	\$42,924,800	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	195	196	254	254	254	
TOBACCO POSITIONS						
EXTRA HELP **	27	75	75	75	75	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	66,397	164,128		(97,731)	70,000	164,000		(94,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	111,628	184,816		(73,188)	485,000	320,000		165,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	6,040	3,289		2,751	6,000	3,500		2,500
8 SUBTOTAL	\$184,065	\$352,233	\$0	(\$168,168)	\$561,000	\$487,500	\$0	\$73,500
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				168,168				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$184,065	\$352,233	\$0	\$0	\$561,000	\$487,500	\$0	\$73,500

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>153</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>37</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>37</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>25</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>25</u>
Faculty:						
White Male:	<u>23</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>23</u>
White Female:	<u>40</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>41</u>
Total White Male:	<u>50</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>50</u>
Total White Female:	<u>102</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>103</u>
Total White:	<u>152</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>153</u>
				Total Minority:	<u>1</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

BLACK RIVER TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$317,501
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF BLACK RIVER TECHNICAL COLLEGE
June 30, 2019**

<i>Findings:</i>	No Findings noted
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COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steve Cole, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Cossatot Community College of the University of Arkansas (UA Cossatot) is a Higher Learning Commission accredited two-year institution affiliated with the University of Arkansas System. It has campuses in De Queen, Nashville, Ashdown, and Lockesburg, Arkansas. UA Cossatot offers degrees and certificates in more than two dozen fields. UA Cossatot also offers Associate degrees completely online.

CURRENT APPROPRIATION ACTS

Act 28 of 2020 makes an appropriation for personal services and operating expenses for Cossatot Community College of the University of Arkansas for the Fiscal Year ending June 30, 2021; and for other purposes. Acts 186 and 187 of 2020 amends the Arkansas Revenue Stabilization Law that sets the funding for state agencies, including Cossatot Community College of the University of Arkansas. And Act 5 of 2020 makes a re-appropriation for the balances of capital improvement appropriations for the institutions of higher education; and for other purposes.

FOUNDING AND SERVICE AREA

The school was founded in 1975 at De Queen as Cossatot Vocational-Technical School. In 1991, the Arkansas Legislature passed Act 1244 that allowed Vo-tech schools to become community or technical colleges so they could offer Associate's degrees. This Act designated the change in name and mission to become effective July 1, 1991 as Cossatot Technical College.

In 2001, voters in Sevier County passed the first of three county quarter-cent sales taxes, which was the last remaining hurdle to join the University of Arkansas System as a community college. As of July 1, 2001, the college joined the UA System and become Cossatot Community College of the University of Arkansas. UA Cossatot has a designated service area that consists of Sevier and Little River Counties. It shares Howard and Pike Counties with UA Rich Mountain Community College and the UA Community College at Hope. UA Cossatot is unique

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steve Cole, Chancellor

among Arkansas community colleges in that it benefits from a quarter-cent sales tax in three of the four counties it serves, as well as one city sales tax from the town of Lockesburg in Sevier County.

MISSION AND PURPOSES

UA Cossatot embraces diversity and is committed to improving the lives of those in our region by providing quality education, outstanding service, and relevant industry training.

STRATEGIC PLAN 2025

Academic Excellence – Enhance and expand innovative teaching, use of technology, and learning practices that support quality education for all through the assessment of student learning.

Diversity, Inclusion, and Student Success – Create an environment that provides equity and increases access to education by embracing students and advancing them toward goal clarity and completion.

Workforce Development, Secondary Education, and Student Transfer – Enhance and cultivate partnerships in business/industry, education, and the community.

Sustainability & Organizational Culture – Create a sustainable model for long-term growth with a focus on continuous improvement, a culture of communication, and enrollment management.

STRATEGIC STATEMENT

The strategic plan above will set the agenda for the next five years as we build upon and celebrate 50 years as providers of high quality education and workforce training for a diverse student body.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steve Cole, Chancellor

Mindful of our past and what we do best, the education of our students grounds our mission. The comprehensive and ever-changing landscape of higher education makes it essential that, in addition to identifying strategic priorities, we maintain a flexible approach that allows us to take advantage of opportunities that arise during the execution of the plan.

During the 2019-2020 academic year, a Strategic Plan Committee consisting of students, faculty, staff, and administrators convened to review data and recommend the strategic direction for the Five-Year Strategic Plan and review the mission statement of the college. All departments and the Board of Visitors were provided a SWOT analysis template for completion. In addition, all employees, community members, students, alumni, board members, and parents were surveyed. The Strategic Planning Committee met, reviewed SWOTs and stakeholder survey results, and developed four strategic priorities with implementation strategies.

DEGREE AND CERTIFICATE OFFERINGS

Associate level degrees offered at UA Cossatot involve two years of study (60-65 credit hours). They are intended to give graduates the ability to go straight to work in their chosen field or, in the case of an Associate of Arts degree, prepare students for junior- and senior-level courses at a 4-year institution. Technical Certificates and Certificates of Proficiency also prepare students for careers where Technical certificates generally take less than two years to complete and certificates of Proficiency take one or two semesters. UA Cossatot also works closely with local K12 institutions to offer dual credit and concurrent credit offerings which ensures high school students may graduate from high school and spend less time in post-secondary education or be work-ready upon graduating from high school.

DISTANCE EDUCATION

UA Cossatot has long been a leader in Distance Education. Over the years UA Cossatot has won numerous awards for excellence in distance education and was the first institution of higher education to offer an accredited degree totally online. Online courses at UA Cossatot have both the rigor of in-room classes and the flexibility of anytime/anywhere learning that is so appealing to many students today. To complement UA Cossatot's robust offering of online courses, the

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steve Cole, Chancellor

college created in 2016, a program for OER (Online Educational Resources) textbooks where students do not have to purchase textbooks, the course materials are embedded in the courses themselves. This program saves students hundreds of thousands of dollars on textbooks each year.

ACCREDITATION

Cossatot Technical College first became accredited through the Higher Learning Commission in 1998. UA Cossatot has maintained this accreditation throughout the years, following the AQIP Pathway until it's recent phase-out from the agency. AQIP allowed the college to infuse the principles and benefits of continuous improvement into the culture of the college in order to assure and advance the quality of higher education. The institution is currently in the process of reaffirmation of accreditation, recently experiencing a site visit from the Higher Learning Commission. Upon reaffirmation, the institution receive accreditation for another ten years and moving into a Standard or Open Pathway.

MILESTONES/CHANGES

UA Cossatot continues to see success in graduating students and increasing the number of awards students earn each year. UA Cossatot's most current graduation rate is 42%, which is the fifth highest rate among Arkansas's 22 two-year colleges. The college also has earned over \$500,000 in incentive funding under the new productivity funding formula, which translates into the college's continuous improvement. The college has a fully-accredited Physical Therapy Assistant program, the Lockesburg Industrial Maintenance Institute, which offers an industrial maintenance curriculum designed by UA Cossatot, local K12 institutions, and local industries, and the college now offers collegiate athletics by offering men's and women's basketball, playing at the Division II level of the NJCAA. Currently, the UA Cossatot Colts boast 30 student athletes that represent seven states. UA Cossatot also has improved diversity on campus as noted by a 27% Hispanic enrollment and a record 13% African/American enrollment during the Fall 2020 semester.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Dr. Steve Cole, Chancellor

GENERAL REVENUE REQUEST

UA Cossatot is a formula driven entity and does not make a request for general revenue. The general revenue recommended is from the Arkansas Department of Higher Education based on formula calculation for productivity and funding availability. For FY22, the recommendation for UA Cossatot is \$5,040,211, which includes productivity funds. The total recommendation funds less than 50% of the UA Cossatot overall budget.

CASH APPROPRIATION REQUEST

UA Cossatot is requesting a minor increase in cash appropriations for Fiscal Years 2022 and 2023 for \$125,000 and \$250,000 over FY2021 respectively. UA Cossatot needs to keep good cash appropriations available to continue to seek grants and contracts that will allow growth in certain programs and other services at the college. UA Cossatot will continue to seek out every opportunity that could benefit our students and the surrounding communities.

PERSONAL SERVICES REQUEST

UA Cossatot has requested no new Full-time or Part-time positions in the Classified and Non-Classified personnel services request. Our total request will remain at 200 Regular positions and 100 Extra Help positions. If UA Cossatot has any unmet personnel needs in the immediate future, the college will request those through the Central Growth Pool as needed.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,635,928		4,922,904		4,922,904		5,040,211		5,040,211		5,040,211		5,040,211	
2 CASH	8,261,286		9,405,000		13,500,000		13,625,000		13,625,000		13,750,000		13,750,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,897,214	158	\$14,327,904	158	\$18,422,904	200	\$18,665,211	200	\$18,665,211	200	\$18,790,211	200	\$18,790,211	200
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	327,176	2%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,612,602	25%	3,302,237	23%			3,591,046	19%	3,591,046	19%	3,591,046	19%	3,591,046	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,350,337	9%	1,350,337	9%			1,350,337	7%	1,350,337	7%	1,350,337	7%	1,350,337	7%
16 CASH FUNDS	6,186,746	43%	6,155,000	43%			8,675,000	47%	8,675,000	47%	8,800,000	47%	8,800,000	47%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	3,343,631	23%	3,250,000	23%			4,950,000	27%	4,950,000	27%	4,950,000	26%	4,950,000	26%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	165	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$14,493,481	100%	\$14,384,750	100%			\$18,566,383	100%	\$18,566,383	100%	\$18,691,383	100%	\$18,691,383	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$1,596,267)		(\$56,846)				\$98,828		\$98,828		\$98,828		\$98,828	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$4,603,843
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,244,495
INVENTORIES	\$130,124
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$625,000
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$725,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,525,500
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$538,612
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$234,888)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION 705

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,322,338	3,102,000	3,102,000	3,385,211	3,385,211		
2 EXTRA HELP WAGES	30,000	35,000	35,000	30,000	30,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	913,000	970,904	970,904	905,000	905,000		
5 OPERATING EXPENSES	355,590	800,000	800,000	705,000	705,000		
6 CONFERENCE FEES & TRAVEL	15,000	15,000	15,000	15,000	15,000		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	0	0	0	0	0		
10							
11							
12							
13 TOTAL APPROPRIATION	\$4,635,928	\$4,922,904	\$4,922,904	\$5,040,211	\$5,040,211	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		327,176					
15 GENERAL REVENUE	3,612,602	3,302,237		3,591,046	3,591,046		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,350,337	1,350,337		1,350,337	1,350,337		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	165						
21 TOTAL INCOME	\$4,963,104	\$4,979,750		\$4,941,383	\$4,941,383	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$327,176)	(\$56,846)		\$98,828	\$98,828	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 277000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION B52

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,072,972	455,000	4,550,000	4,550,000	4,600,000		
2 EXTRA HELP WAGES	237,294	250,000	250,000	250,000	300,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	834,390	1,500,000	1,500,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	3,193,107	4,500,000	4,500,000	4,500,000	4,500,000		
6 CONFERENCE FEES & TRAVEL	102,318	200,000	200,000	275,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	152,000	200,000	200,000	300,000	300,000		
8 CAPITAL OUTLAY	248,455	1,000,000	1,000,000	1,000,000	1,000,000		
9 CAPITAL IMPROVEMENTS	0	500,000	500,000	500,000	500,000		
10 DEBT SERVICE	415,399	750,000	750,000	700,000	700,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	5,351	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$8,261,286	\$9,405,000	\$13,500,000	\$13,625,000	\$13,750,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,167,921	1,250,000		1,500,000	1,575,000		
19 ALL OTHER FEES	853,776	900,000		950,000	995,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	111,873	120,000		125,000	130,000		
21 INVESTMENT INCOME	74,024	75,000		75,000	75,000		
22 FEDERAL CASH FUNDS	3,343,631	3,250,000		4,950,000	4,950,000		
23 OTHER CASH FUNDS	3,979,152	3,810,000		6,025,000	6,025,000		
24 TOTAL INCOME	\$9,530,377	\$9,405,000		\$13,625,000	\$13,750,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	(\$1,269,091)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	158	158	200	200	200	
TOBACCO POSITIONS						
EXTRA HELP **	30	50	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	25,216	82,106		(56,890)	50,000	50,156		(156)
2 HOUSING				0				0
3 FOOD SERVICES	51,713	79,730		(28,017)	65,281	70,115		(4,834)
4 STUDENT UNION				0				0
5 BOOKSTORE	131,518	135,463		(3,945)	136,400	131,410		4,990
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$208,447	\$297,299	\$0	(\$88,852)	\$251,681	\$251,681	\$0	\$0
9 ATHLETIC TRANSFER **	56,890			56,890	156			156
10 OTHER TRANSFERS ***	31,962			31,962	(156)			(156)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$297,299	\$297,299	\$0	\$0	\$251,681	\$251,681	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Other Transfers: Other Transfers of \$31,962 were for Food services and book program that sustained a loss at year end due to closing of the college for Covid 19.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>164</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>18</u>	Black Female:	<u>1</u>	Other Female:	<u>6</u>	Total Female: <u>25</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>10</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>12</u>
White Female:	<u>22</u>	Black Female:	<u>3</u>	Other Female:	<u>5</u>	Total Female: <u>30</u>
Faculty:						
White Male:	<u>22</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>25</u>
White Female:	<u>56</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>58</u>
Total White Male:	<u>45</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>3</u>	Total Male: <u>51</u>
Total White Female:	<u>96</u>	Total Black Female:	<u>5</u>	Total Other Female:	<u>12</u>	Total Female: <u>113</u>
Total White:	<u>141</u>	Total Black:	<u>8</u>	Total Other:	<u>15</u>	Total Employees: <u>164</u>
				Total Minority:	<u>23</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding a loss of \$1,322 as the result of a payroll identity theft for the period January 23, 2019 through March 31, 2019. Working with their Information Technology (IT) Department, management reported that an employee's email account was compromised, which the IT Director later confirmed. Through an email with attached documentation sent to the Payroll Department, the employee's direct deposit information was changed to an apparent fraudulent account. Management filed a report with the University Police Department on February 28, 2019, and indicated they believe this was an isolated incident and no other systems or emails were affected. Subsequently, on April 8, 2019, the University recovered \$1,322 from the bank.</p>
<p><i>Institution's Response:</i></p>	<p>UA Cossatot made updates to CCCUA Procedure 404-2 for payroll direct deposits and the Vice Chancellor for Business Services trained the Payroll Department on the updated procedures to ensure that new and/or changes to Direct Deposits are always pre-noted during the payroll process so that there is a catch point for any potential fraud that may occur. This was completed during the course of the internal audit and was put into effect in April of 2019.</p>

EAST ARKANSAS COMMUNITY COLLEGE
Dr. Cathie Cline, President

ENABLING LAWS

Act 103 of 1973, and Act 29 of 2020

INSTITUTION HISTORY AND ORGANIZATION

The statutory justification for EACC's legal authorization was established in Legislative Act 103 as passed by the State General Assembly in 1973. EACC operates under the granted accreditation at the associate degree level by the Higher Learning Commission.

By an authorized constitutional amendment, a State legislative act, and a vote of the people of St. Francis County, EACC was authorized to grant degrees through regulations of the State Board of Higher Education.

The Board of Trustees, comprised of nine members appointed by the Governor of the State of Arkansas, is the legal governing agency that establishes official policies for the institution. The Board, subject to the rules and regulations of the Arkansas Department of Higher Education, and to State and Federal laws and regulations, operates within the context of its policies and procedures as enumerated in the Board of Trustees Policy Manual.

The nine members of the Governor-appointed Board of Trustees are completely autonomous from the administration. Their terms are staggered to ensure continuity on the Board.

The President is the Chief Executive Officer of the College. On October 26, 2017, the EACC Board of Trustees appointed Dr. Cathie Cline to serve as President of the College beginning January 1, 2018, following the retirement of Dr. Coy Grace.

On July 26, 2017, the EACC Board voted to accept the decision of the Board of Directors of the Crowley's Ridge Technical Institute to merge with EACC under the provisions of Act 636 of 2017. With final approval of the Arkansas Higher Education Coordinating Board, the EACC Board assumed control of CRTI on August 1, 2017.

EAST ARKANSAS COMMUNITY COLLEGE
Dr. Cathie Cline, President

Act 29 of the 92nd Fiscal Legislative Session makes an appropriation for personal services and operating expenses for East Arkansas Community College.

MISSION STATEMENT

The mission of East Arkansas Community College is to provide affordable, accessible learning opportunities to promote student success and strengthen our community.

VISION STATEMENT

Through our service as an academic and economic leader, we will empower students and our community to succeed through business and industry partnerships, cultural enrichment, and educational programming.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,949,120		9,588,141		10,098,081		10,379,342		10,379,342		10,379,342		10,379,342	
2 CASH	2,704,367		28,176,692		28,176,692		28,176,692		28,176,692		28,176,692		28,176,692	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,653,487	267	\$37,764,833	255	\$38,274,773	346	\$38,556,034	346	\$38,556,034	346	\$38,556,034	346	\$38,556,034	346
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	135,574	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,348,134	65%	7,832,089	21%			8,695,716	23%	8,695,716	23%	8,695,716	23%	8,695,716	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	872,552	7%	900,405	2%			900,405	2%	900,405	2%	900,405	2%	900,405	2%
15 WORKFORCE 2000	783,221	6%	783,221	2%			783,221	2%	783,221	2%	783,221	2%	783,221	2%
16 CASH FUNDS	2,704,367	21%	25,197,272	66%			25,726,442	67%	25,726,442	67%	25,726,442	67%	25,726,442	67%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	2,979,420	8%			2,450,250	6%	2,450,250	6%	2,450,250	6%	2,450,250	6%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	80,787	1%	84,326	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,789,061	100%	\$37,912,307	100%			\$38,556,034	100%	\$38,556,034	100%	\$38,556,034	100%	\$38,556,034	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$135,574)		(\$147,474)				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,608,716
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$95,000
INVENTORIES	\$75,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$9,230
INSURANCE DEDUCTIBLES	\$200,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,335,412
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$350,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$294,074

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNium**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	6,489,342	6,061,849	6,571,789	6,268,000	6,268,000		
2 EXTRA HELP WAGES	130,000	71,534	71,534	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,069,536	1,900,101	1,900,101	1,967,000	1,967,000		
5 OPERATING EXPENSES	1,242,242	1,537,667	1,537,667	1,976,342	1,976,342		
6 CONFERENCE FEES & TRAVEL	18,000	16,990	16,990	18,000	18,000		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$9,949,120	\$9,588,141	\$10,098,081	\$10,379,342	\$10,379,342	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		135,574					
15 GENERAL REVENUE	8,348,134	7,832,089		8,695,716	8,695,716		
16 EDUCATIONAL EXCELLENCE TRUST FUND	872,552	900,405		900,405	900,405		
17 SPECIAL REVENUES * [WF2000]	783,221	783,221		783,221	783,221		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	80,787	84,326					
21 TOTAL INCOME	\$10,084,694	\$9,735,615		\$10,379,342	\$10,379,342	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$135,574)	(\$147,474)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	230,720	2,589,098	2,589,098	2,589,098	2,589,098		
2 EXTRA HELP WAGES	125,811	439,631	439,631	439,631	439,631		
3 OVERTIME		10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	196,183	1,736,697	1,736,697	1,736,697	1,736,697		
5 OPERATING EXPENSES	1,289,509	2,954,000	2,954,000	2,954,000	2,954,000		
6 CONFERENCE FEES & TRAVEL	49,206	338,000	338,000	338,000	338,000		
7 PROFESSIONAL FEES AND SERVICES	297,222	315,000	315,000	315,000	315,000		
8 CAPITAL OUTLAY	492,768	1,350,000	1,350,000	1,350,000	1,350,000		
9 CAPITAL IMPROVEMENTS	0	15,593,266	15,593,266	15,593,266	15,593,266		
10 DEBT SERVICE	0	301,000	301,000	301,000	301,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	2,500,000	2,500,000	2,500,000	2,500,000		
12 PROMOTIONAL ITEMS	22,949	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$2,704,367	\$28,176,692	\$28,176,692	\$28,176,692	\$28,176,692	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,727,922	1,324,552		2,876,410	2,876,410		
19 ALL OTHER FEES	629,741	750,000		368,312	368,312		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	286,713	200,000		200,000	200,000		
21 INVESTMENT INCOME	59,991	60,000		19,000	19,000		
22 FEDERAL CASH FUNDS		2,979,420		2,450,250	2,450,250		
23 OTHER CASH FUNDS		22,862,720		22,262,720	22,262,720		
24 TOTAL INCOME	\$2,704,367	\$28,176,692		\$28,176,692	\$28,176,692	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	267	255	346	346	346	
TOBACCO POSITIONS						
EXTRA HELP **	90	325	325	325	325	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	986			986	1,300			1,300
4 STUDENT UNION				0				0
5 BOOKSTORE	546,367	469,109		77,258	523,560	524,860		(1,300)
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		50,481		(50,481)		69,365		(69,365)
7 OTHER				0				0
8 SUBTOTAL	\$547,353	\$519,590	\$0	\$27,763	\$524,860	\$594,225	\$0	(\$69,365)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(7,923)			(7,923)	69,365			69,365
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$539,430	\$519,590	\$0	\$19,840	\$594,225	\$594,225	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Other Transfers: Non-mandatory transfers into auxiliary for student activity

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>178</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>11</u>	Black Male:	<u>7</u>	Other Male:	<u>0</u>	Total Male: <u>18</u>
White Female:	<u>14</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>6</u>	Black Male:	<u>8</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>22</u>	Black Female:	<u>13</u>	Other Female:	<u>0</u>	Total Female: <u>35</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u>6</u>	Other Male:	<u>0</u>	Total Male: <u>37</u>
White Female:	<u>47</u>	Black Female:	<u>8</u>	Other Female:	<u>0</u>	Total Female: <u>55</u>
Total White Male:	<u>48</u>	Total Black Male:	<u>21</u>	Total Other Male:	<u>0</u>	Total Male: <u>69</u>
Total White Female:	<u>83</u>	Total Black Female:	<u>25</u>	Total Other Female:	<u>1</u>	Total Female: <u>109</u>
Total White:	<u>131</u>	Total Black:	<u>46</u>	Total Other:	<u>1</u>	Total Employees: <u>178</u>
				Total Minority:	<u>47</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

EAST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2019**

<i>Finding:</i>	No Findings noted
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NORTH ARKANSAS COLLEGE

Dr. Randy Esters, President

ENABLING LAWS

A.C.A. § 6-61-501 and Act 72 of Fiscal Session, 2020

INSTITUTION HISTORY AND ORGANIZATION

North Arkansas College, located in Harrison, Arkansas, is a comprehensive, public two-year college that offers transfer and technical degree programs, one-year technical certificates, certificates of proficiency, customized business and industry training, adult basic education (GED) classes and non-credit community education courses. The college's main service area includes the counties of Boone, Carroll, Marion, Searcy, Newton, and Madison. Dr. Randy Esters became the fourth serving president of the college in July 2016.

Authorized by Arkansas code § 6-61-501, the college started in 1974 as North Arkansas College Community with Boone County as the community college tax district. In 1993, the college merged with Twin Lakes Technical College and became the state's first community college-technical college union and adopted the name North Arkansas Community/Technical College. In 1997, the college was renamed North Arkansas College.

The mission of North Arkansas College is to provide high quality, affordable, convenient opportunities for learning and community enrichment. The college's vision is to be a premier institution, achieving excellence through innovation, technology, continuous improvement and quality instruction. The values of Northark include Commitment, Learning, Integrity, Accountability and Respect.

North Arkansas College is accredited by The Higher Learner Commission and is a member of the North Central Association of Colleges and Schools. The college was the first institution in the state to be accredited as an AQIP institution, the Academic Quality Improvement Program which is an alternate means of accreditation now offered by the Higher Learning Commission. AQIP allows participating institutions to meet accreditation standards by developing and using processes which lead to continuation improvement.

NORTH ARKANSAS COLLEGE
Dr. Randy Esters, President

In 2012 Northark became the first college in Arkansas to be accepted into the Continuous Quality Improvement Network (CQIN). The membership organization affords CEO's of colleges and universities as well as corporations associated with higher education significant networking and learning opportunities to foster continuous improvement and achievement of performance excellence. Also, as a measure of performance excellence utilizing the Malcolm Baldrige evaluation process, Northark received the prestigious Governor's Quality Award – Commitment Level in 2013, the Governor's Quality Award – Achievement Level in 2014 and in 2015, was the first educational institution in history to earn the highest honor and coveted Arkansas Governor's Quality Award for Performance Excellence.

North Arkansas College is committed to providing its students with the greatest opportunity for student success and is widely known as a premier institution that provides quality education opportunities at an affordable price.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,762,169		8,850,086		9,075,526		8,933,039		8,933,039		8,933,039		8,933,039	
2 CASH	13,306,557		49,385,000		49,385,000		49,385,000		49,385,000		49,385,000		49,385,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$22,068,726	378	\$58,235,086	379	\$58,460,526	400	\$58,318,039	399	\$58,318,039	399	\$58,318,039	399	\$58,318,039	399
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	330,996	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	7,925,968	35%	7,416,724	13%			7,650,936	13%	7,650,936	13%	7,650,936	13%	7,650,936	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND	515,319	2%	531,768	1%			531,768	1%	531,768	1%	531,768	1%	531,768	1%
15 WORKFORCE 2000	575,177	3%	575,177	1%			575,177	1%	575,177	1%	575,177	1%	575,177	1%
16 CASH FUNDS	5,544,561	25%	41,559,750	71%			34,385,000	59%	34,385,000	59%	34,385,000	59%	34,385,000	59%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	7,761,996	35%	7,825,250	13%			15,000,000	26%	15,000,000	26%	15,000,000	26%	15,000,000	26%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	76,701	0%	80,060	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$22,399,722	100%	\$58,319,725	100%			\$58,142,881	100%	\$58,142,881	100%	\$58,142,881	100%	\$58,142,881	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$330,996)		(\$84,639)				\$175,158		\$175,158		\$175,158		\$175,158	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$4,924,118
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$321,339
INVENTORIES	\$325,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$250,000
INSURANCE DEDUCTIBLES	\$30,000
MAJOR CRITICAL SYSTEMS FAILURES	\$750,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,108,438
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$325,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$814,341

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	6,826,701	6,794,418	6,800,000	6,800,000	6,800,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,151,561	1,150,000	1,175,526	1,200,000	1,200,000		
5 OPERATING EXPENSES	783,907	905,668	1,100,000	933,039	933,039		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$8,762,169	\$8,850,086	\$9,075,526	\$8,933,039	\$8,933,039	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		330,996					
15 GENERAL REVENUE	7,925,968	7,416,724		7,650,936	7,650,936		
16 EDUCATIONAL EXCELLENCE TRUST FUND	515,319	531,768		531,768	531,768		
17 SPECIAL REVENUES * [WF2000]	575,177	575,177		575,177	575,177		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	76,701	80,060					
21 TOTAL INCOME	\$9,093,165	\$8,934,725		\$8,757,881	\$8,757,881	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$330,996)	(\$84,639)		\$175,158	\$175,158	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,861,837	5,425,000	5,425,000	5,425,000	5,425,000		
2 EXTRA HELP WAGES	616,543	825,000	825,000	825,000	825,000		
3 OVERTIME	0	40,000	40,000	40,000	40,000		
4 PERSONAL SERVICES MATCHING	2,103,062	2,700,000	2,700,000	2,700,000	2,700,000		
5 OPERATING EXPENSES	3,544,611	7,000,000	7,000,000	7,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	179,936	390,000	390,000	390,000	390,000		
7 PROFESSIONAL FEES AND SERVICES	229,751	390,000	390,000	390,000	390,000		
8 CAPITAL OUTLAY	744,317	2,000,000	2,000,000	2,000,000	2,000,000		
9 CAPITAL IMPROVEMENTS	961,936	11,000,000	11,000,000	11,000,000	11,000,000		
10 DEBT SERVICE	0	3,000,000	3,000,000	3,000,000	3,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,064,564	16,565,000	16,565,000	16,565,000	16,565,000		
12 PROMOTIONAL ITEMS	0	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$13,306,557	\$49,385,000	\$49,385,000	\$49,385,000	\$49,385,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,244,380	3,992,541		5,000,000	5,000,000		
19 ALL OTHER FEES	658,311	624,852		700,000	700,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	62,434	60,000		90,000	90,000		
22 FEDERAL CASH FUNDS	7,761,996	7,825,250		15,000,000	15,000,000		
23 OTHER CASH FUNDS	579,436	36,882,357		28,595,000	28,595,000		
24 TOTAL INCOME	\$13,306,557	\$49,385,000		\$49,385,000	\$49,385,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	378	379	400	399	399	
TOBACCO POSITIONS						
EXTRA HELP **	77	68	500	500	500	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	3,910	293,179		(289,269)	2,500	325,544		(323,044)
2 HOUSING				0				0
3 FOOD SERVICES	1,174			1,174	2,000			2,000
4 STUDENT UNION				0				0
5 BOOKSTORE	1,263,036	1,161,332		101,704	1,016,775	912,250		104,525
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		8,453		(8,453)		4,069		(4,069)
7 OTHER	20,070	21,987		(1,917)	31,000	34,125		(3,125)
8 SUBTOTAL	\$1,288,190	\$1,484,951	\$0	(\$196,761)	\$1,052,275	\$1,275,988	\$0	(\$223,713)
9 ATHLETIC TRANSFER **	153,098			153,098	234,059			234,059
10 OTHER TRANSFERS ***	35,330			35,330	33,437			33,437
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,476,618	\$1,484,951	\$0	(\$8,333)	\$1,319,771	\$1,275,988	\$0	\$43,783

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Income incl. Vending, Tournament-Net, Corporate Donation, Camps, Gym Use Fees; Other Expenses incl. Intramurals, Copy Center, Student Activities

NOTE: Line 10 - Other Transfers: Student Government Association Fees

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>187</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>16</u>
White Female:	<u>41</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>	Total Female: <u>42</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>22</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>22</u>
White Female:	<u>39</u>	Black Female:	<u>0</u>	Other Female:	<u>3</u>	Total Female: <u>42</u>
Faculty:						
White Male:	<u>26</u>	Black Male:	<u>0</u>	Other Male:	<u>6</u>	Total Male: <u>32</u>
White Female:	<u>32</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>	Total Female: <u>33</u>
Total White Male:	<u>62</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>7</u>	Total Male: <u>70</u>
Total White Female:	<u>112</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>5</u>	Total Female: <u>117</u>
Total White:	<u>174</u>	Total Black:	<u>1</u>	Total Other:	<u>12</u>	Total Employees: <u>187</u>
				Total Minority:	<u>13</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

NORTH ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$905,257
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NORTH ARKANSAS COLLEGE
June 30, 2019**

<i>Finding:</i>	No Findings noted
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NATIONAL PARK COLLEGE

Dr. John Hogan, President

ENABLING LAWS

Act 678 of 2003 and Act 31 of 2020

INSTITUTION HISTORY AND ORGANIZATION

National Park College is a comprehensive public two-year college and represents the merger of Garland County Community College and Quapaw Technical Institute. Both institutions began offering post-secondary coursework in 1973. National Park Community College was created by Act 678 of the 2003 Regular Session of the 84th General Assembly; official operations began on July 1, 2003. Enabling legislation includes appropriation Act 31 of the 2020 Fiscal Session of the 92nd General Assembly. In 2014, the college rebranded to become National Park College (NPC). NPC is under the oversight of an elected board of trustees. As a formula-driven institution, NPC concurs with recommendations of the Arkansas Higher Education Coordinating Board.

The NPC campus is located on 128 acres in Garland County outside the city limits of Hot Springs. NPC serves the students in Garland County, Montgomery, and Pike Counties. NPC also draws students from Clark, Hot Spring, and Saline Counties as well as many other Arkansas Counties. Many of these students come from disadvantaged rural areas and would not be pursuing higher education if National Park College was not available to them. The College exists to serve the needs of its students and of the greater community.

MISSION STATEMENT

Learning is our focus; student success is our goal.

NATIONAL PARK COLLEGE

Dr. John Hogan, President

VALUES

Access – We assist students in achieving their individual educational goals by creating a learning community that is accessible, convenient, caring, affordable, and secure.

Excellence – We strive for exemplary performance in all we do. Assessment of student learning is a means of measuring our success.

Accountability - We are all accountable to ourselves and to one another in a learning community. We expect all members to act responsibly, behave ethically, and grow professionally.

Collaboration – We facilitate partnerships that enhance learning, solve problems, promote economic development, and improve quality of life.

Mutual Respect and Support – We recognize the dignity and inherent worth of all individuals. We create opportunities to explore diversity of ideas, individuals, and cultures through open communication.

INSTITUTIONAL VISION

We aspire to be the premier comprehensive community college in the state by providing learning for life opportunities while offering exemplary service to our community.

As our mission states, learning is our focus; student success is our goal. NPC seeks to respond to the needs of students of varying levels of abilities. NPC offers the following degrees in various areas of study: Associate of Arts, Associate of Liberal Studies, Associate of Science in Registered Nursing, Associate of Science in Business Information Systems, Associate of Science in Liberal Arts and Sciences, Associate of Science in STEM, and Associate of Applied Science. In addition, the College also offers Technical Certificate and Certificate of Proficiency programs, secondary and post-

NATIONAL PARK COLLEGE
Dr. John Hogan, President

secondary occupational education, Adult Education, and Community Service/Continuing Education/Workforce Development.

INSTITUTIONAL ACCREDITATIONS

NPC is accredited by The Higher Learning Commission. Program accreditations are as follows:

- The Associate of Science in Registered Nursing (RN) and the Practical Nurse Certificate (LPN) are approved by the Arkansas State Board of Nursing. The Associate-level degree program is accredited by the Accreditation Commission for Education in Nursing, Inc. (ACEN).
- The Health Information Technology Associate of Applied Science program is accredited by the Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM).
- The Medical Laboratory Technology Program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences (NAACLS).
- The Radiography Program is accredited by the Joint Review Committee on Education in Radiologic Technology (JRCERT).
- National Park College's business programs are accredited by the Accreditation Council for Business Schools and Programs (ACBSP).
- The Associate of Applied Science in Emergency Medical Services is accredited by the Commission on Accreditation of Allied Health Education Programs (CAAHEP) upon the recommendation of the Committee on Accreditation of Education Programs for the EMS Professions (CoAEMSP).
- The Automotive program is accredited by the National Institute for Automotive Service Excellence (ASE).
- The Welding Program is accredited by the National Center for Construction Education and Research (NCCER).
- The Hospitality Program is accredited by the Accreditation Commission for Programs in Hospitality Administration (ACPHA).
- The Respiratory Therapy program is accredited by the Commission on Accreditation for Respiratory Care (CoARC).

NATIONAL PARK COLLEGE
Dr. John Hogan, President

NEW AND DELETED PROGRAMS; REALLOCATION OF FUNDS

New Approved Programs

- ASLAS – English for Transfer to ASU
- ASLAS – Communication Studies for Transfer to ASU
- ASLAS – Strategic Communication Studies for Transfer to ASU
- ASLAS – Bible & Ministry for Transfer to Harding
- ASLAS – Advertising for Transfer to Harding
- ASLAS – Communication Studies for Transfer to Harding
- ASLAS – Film for Transfer to Harding
- ASLAS – Integrated Marketing Communication for Transfer to Harding
- ASLAS – Media Production for Transfer to Harding
- ASLAS – Multimedia Journalism for Transfer to Harding
- ASLAS – Public Relations for Transfer to Harding
- ASLAS – Theatre for Transfer to Harding
- ASLAS – Dietetics for Transfer to HSU
- A-STEM – Engineering (BSE) for Transfer to SAU
- A-STEM – Engineering-Physics Chemical for Transfer to SAU
- A-STEM – Engineering-Physics Mechanical for Transfer to SAU
- ASLAS – Dietetics for Transfer to UCA

Deleted Programs

None

NATIONAL PARK COLLEGE
Dr. John Hogan, President

Reallocated Funds

Due to continued cut-backs in state funding, any possibilities of reallocation of funds were taken as cost savings. Thus reallocations could not occur.

ALLOCATION OF NEW FUNDS

National Park College is a formula driven entity and did not make a request for general revenue. Any increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Any new funds received would be allocated for hiring new faculty, possible cost of living raises, and anticipated utility increases.

CONCLUSION

National Park College continues to provide postsecondary educational opportunities through quality learning experiences that contribute to the intellectual and cultural development of its students. The College continues to strengthen institutional quality through excellence in instruction. It provides quality lifelong learning through diverse and innovative comprehensive educational programs. All are encouraged to commit to the challenges that lie ahead as NPC continues to maximize its resources, striving for excellence, with a commitment to learning.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,639,962		10,576,951		11,010,623		11,230,553		11,230,553		11,230,553		11,230,553	
2 CASH	14,300,442		16,615,000		16,615,000		16,615,000		16,615,000		16,615,000		16,615,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$24,940,404	253	\$27,191,951	239	\$27,625,623	380	\$27,845,553	380	\$27,845,553	380	\$27,845,553	380	\$27,845,553	380
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	375,776	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,956,024	35%	8,380,599	31%			8,995,643	33%	8,995,643	33%	8,995,643	33%	8,995,643	33%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,305,024	5%	1,346,682	5%			1,346,682	5%	1,346,682	5%	1,346,682	5%	1,346,682	5%
15 WORKFORCE 2000	668,021	3%	668,021	2%			668,021	2%	668,021	2%	668,021	2%	668,021	2%
16 CASH FUNDS	8,025,442	32%	11,985,000	44%			9,615,000	35%	9,615,000	35%	9,615,000	35%	9,615,000	35%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	6,275,000	25%	4,630,000	17%			7,000,000	25%	7,000,000	25%	7,000,000	25%	7,000,000	25%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	86,669	0%	90,466	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$25,316,180	100%	\$27,476,544	100%			\$27,625,346	100%	\$27,625,346	100%	\$27,625,346	100%	\$27,625,346	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$375,776)		(\$284,593)				\$220,207		\$220,207		\$220,207		\$220,207	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$6,940,663
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,800,000
INVENTORIES	\$410,663
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$30,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$2,500,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION 302

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	8,768,787	8,675,776	8,825,000	9,000,000	9,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	920,000	950,000	1,234,448	1,250,000	1,250,000		
5 OPERATING EXPENSES	925,000	925,000	925,000	980,553	980,553		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	26,175	26,175	26,175				
10							
11							
12							
13 TOTAL APPROPRIATION	\$10,639,962	\$10,576,951	\$11,010,623	\$11,230,553	\$11,230,553	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		375,776					
15 GENERAL REVENUE	8,956,024	8,380,599		8,995,643	8,995,643		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,305,024	1,346,682		1,346,682	1,346,682		
17 SPECIAL REVENUES * [WF2000]	668,021	668,021		668,021	668,021		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	86,669	90,466					
21 TOTAL INCOME	\$11,015,738	\$10,861,544		\$11,010,346	\$11,010,346	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$375,776)	(\$284,593)		\$220,207	\$220,207	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2120000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION A72

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,708,526	3,100,000	3,100,000	3,100,000	3,100,000		
2 EXTRA HELP WAGES	1,105,453	1,300,000	1,300,000	1,300,000	1,300,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	238,329	1,000,000	1,000,000	1,000,000	1,000,000		
5 OPERATING EXPENSES	3,924,013	3,000,000	3,000,000	3,500,000	3,500,000		
6 CONFERENCE FEES & TRAVEL	49,914	95,000	95,000	95,000	95,000		
7 PROFESSIONAL FEES AND SERVICES	275,715	400,000	400,000	400,000	400,000		
8 CAPITAL OUTLAY	1,383,553	500,000	500,000	600,000	600,000		
9 CAPITAL IMPROVEMENTS	3,797,945	6,000,000	6,000,000	4,800,000	4,800,000		
10 DEBT SERVICE	816,994	1,200,000	1,200,000	1,800,000	1,800,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	0	20,000	20,000	20,000	20,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$14,300,442	\$16,615,000	\$16,615,000	\$16,615,000	\$16,615,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	5,280,442	9,892,000		6,225,000	6,225,000		
19 ALL OTHER FEES		15,000		15,000	15,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,525,000	703,000		2,000,000	2,000,000		
21 INVESTMENT INCOME		75,000		75,000	75,000		
22 FEDERAL CASH FUNDS	6,275,000	4,630,000		7,000,000	7,000,000		
23 OTHER CASH FUNDS	1,220,000	1,300,000		1,300,000	1,300,000		
24 TOTAL INCOME	\$14,300,442	\$16,615,000		\$16,615,000	\$16,615,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	253	239	380	380	380	
TOBACCO POSITIONS						
EXTRA HELP **	267	267	402	402	402	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COLLEGE

 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *		206,296		(206,296)		148,165		(148,165)
2 HOUSING	8,830	341		8,489				0
3 FOOD SERVICES	612,044	568,947		43,097	700,009	600,000		100,009
4 STUDENT UNION				0				0
5 BOOKSTORE	1,235,077	1,300,739		(65,662)	1,572,000	1,572,000		0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		40,261		(40,261)		57,500		(57,500)
7 OTHER		12,419		(12,419)		8,250		(8,250)
8 SUBTOTAL	\$1,855,951	\$2,129,003	\$0	(\$273,052)	\$2,272,009	\$2,385,915	\$0	(\$113,906)
9 ATHLETIC TRANSFER **	150,000			150,000	56,406			56,406
10 OTHER TRANSFERS ***	72,500			72,500	57,500			57,500
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$2,078,451	\$2,129,003	\$0	(\$50,552)	\$2,385,915	\$2,385,915	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Intramurals \$ 12,419 \$ 8,250

NOTE: Line 10 - Other Transfers:

Transfer Summary	Actual	Budgeted
Student Activities	\$ 44,200	\$ 42,450
Orientation	5,000	5,000
Community Projects	7,300	300
Special Events	1,500	1,500
Intramurals	14,500	8,250
Total	<u>\$ 72,500</u>	<u>\$ 57,500</u>

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>272</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>22</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>25</u>
White Female:	<u>40</u>	Black Female:	<u>3</u>	Other Female:	<u>2</u>	Total Female: <u>45</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>18</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>19</u>
White Female:	<u>35</u>	Black Female:	<u>4</u>	Other Female:	<u>4</u>	Total Female: <u>43</u>
Faculty:						
White Male:	<u>52</u>	Black Male:	<u>1</u>	Other Male:	<u>3</u>	Total Male: <u>56</u>
White Female:	<u>79</u>	Black Female:	<u>3</u>	Other Female:	<u>2</u>	Total Female: <u>84</u>
Total White Male:	<u>92</u>	Total Black Male:	<u>4</u>	Total Other Male:	<u>4</u>	Total Male: <u>100</u>
Total White Female:	<u>154</u>	Total Black Female:	<u>10</u>	Total Other Female:	<u>8</u>	Total Female: <u>172</u>
Total White:	<u>246</u>	Total Black:	<u>14</u>	Total Other:	<u>12</u>	Total Employees: <u>272</u>
				Total Minority:	<u>26</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

NATIONAL PARK COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$216,357
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NATIONAL PARK COLLEGE
June 30, 2019**

<p><i>Finding No. 1:</i></p>	<p>Financial Statements are the responsibility of the College’s management and should be presented in conformity with accounting principles generally accepted in the United States of America. The College has policies and procedures to properly record and classify transactions in the financial statements; however, a material misstatement in the financial statements was detected during the audit. The misstatement had no effect on the College’s reported net position at June 30, 2019; and the financial statements were subsequently corrected by College personnel during audit fieldwork. The misstatement in the Comparative Statement of Revenues, Expenses, and Changes in Net Position consisted of an overstatement of student tuition and fees and scholarships and fellowships by \$1,768,788, due to the recording of an incorrect amount for scholarship allowance.</p>
<p><i>Institution’s Response:</i></p>	<p>The College incorrectly overstated the Student tuition and fees and scholarships by \$1,768,788 due to miscalculating the scholarship allowance. During the College’s final review the scholarship allowance calculation was determined to be consistent with previous year’s presentations. Close examination during the Audit of the programming coding revealed that the College had mistakenly left off one of the financial aid codes for the calculation which caused the overstatement in the financial statement presentation. The allowance miscalculation affected the presentation of the student and fees revenue and scholarship expense, and did not have an effect on the overall increase in Net Position. The College has updated the controls for the calculation query, and has implemented a dual preparation process to strengthen the completeness of the scholarship allowance for the future reporting periods.</p>
<p><i>Finding No. 2:</i></p>	<p>The College discovered, and we confirmed, eight unauthorized withdrawals totaling \$11,493 from February to March 2020 made from the College’s bank account. Entity personnel discovered the unauthorized withdrawals upon review of the affected bank account and the charges were reversed by the bank.</p>
<p><i>Institution’s Response:</i></p>	<p>The College discovered fraudulent banking transactions totaling \$11,493 occurring February, 2020 and March, 2020 related to unknown debit card use. The activity was reported to Regions Bank, and the charges were investigated and removed by the bank. The College has implemented an ACH alert system through Regions Bank, and reviews all ACH banking on a daily basis for substance. The College can reject any ACH charge of unknown origin before the transactions are posted to the bank account.</p>

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

INSTITUTION HISTORY AND ORGANIZATION

Our Vision

Positively changing the lives of those we serve.

Our Mission

Empower lives, inspire learning, and strengthen community through accessible, affordable, quality education.

The NorthWest Arkansas Community College (NWACC) is a comprehensive, learning-centered two-year college dedicated primarily to meeting the educational needs of the area. The comprehensive education includes technical occupational programs, freshman and sophomore programs for students to transfer to four-year colleges, specialty courses, professional continuing education courses, community enrichment classes, and business and industry training to meet the needs of the emerging and existing workforce.

NWACC's ADHE assigned service area is Benton and Washington counties, which has a combined estimated population of over 500,000 residents. NWACC taxing district was authorized pursuant to Act 252 of the First Special Session of 1989 on August 15, 1989. Voters in the Rogers and Bentonville public school districts passed a 3-mil property tax. Its inaugural classes were attended by more than 1,200 students in the fall of 1990 at a variety of locations, including high schools, chambers of commerce, municipal and leased facilities throughout the district. In serving a dynamic region of Arkansas, NWACC recognizes the importance of providing varied and abundant opportunities for learning. Day, evening, and weekend classes are offered at NWACC's main campus in Bentonville, NWACC – Washington County in Springdale, Fayetteville, and online.

The Center for Health Professions was completed in January 2013. The Melba Shewmaker National Child Protection Training Center renovation was completed on December 16, 2013. The Melba Shewmaker NCPTC, through the Workforce Development Division and through partnerships with local community partners, provides professional education, specialized training, technical assistance, and curriculum support for those involved in child protection and advocacy related fields or state designated mandated reporters (law enforcement, social work, counseling, education, healthcare, foster care, faith communities, state employees, etc.)

The new facility was completed on the Bentonville campus known as the Integrated Design Lab (IDL.) This building is approximately 18,000 square feet. The IDL is uniquely designed to provide learning environments for classes in fine arts and practical arts including construction. The second project was constructed and owned by NWACC Foundation on land owned (20 acres purchased in 2014)

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

by the College in Washington County, Arkansas. This facility provides a permanent center for NWACC students from the Washington county area. The cost of the Washington County Center is approximately \$12,000,000 and provides approximately 38,000 square feet of classrooms, office space and student areas. The funding of this building was a combination of internally generated funds by the Foundation and private donations. The building was completed in fall 2019 and opened in January 2020.

NWACC served 13,429 individual learners in Academic Year 2020. The 11,390 unduplicated college credit students are the majority of this total. Additionally, 629 of workforce development students, and 1,410 learners in Adult Education classes are served.

In accomplishing its mission and goals, NWACC employees value academic, professional and personal integrity; they facilitate continuous learning for students and stakeholders; they value human resources over physical resources; they develop the potential of the individual to achieve excellence, anticipate and respond to needs by encouraging innovative ideas and technologies, respect differences and view them as strengths, and advance knowledge through individual and team challenges.

NWACC is accredited by The Higher Learning Commission of the North Central Association of Schools and Colleges (312-263-0456). NWACC has participated in The Higher Learning Commission's Academic Quality Improvement Program (AQIP) and is transitioning to the HLC Standard Pathway.

NWACC has been one of the fastest growing higher education institutions in Arkansas and is now the largest community college in the state. Student semester credit hours grew from 87,466 in academic year 2004 to 151,488 in AY 2020. The college has flat state support, but is fortunate to have a diversified funding base. The base consists of property taxes, state and federal aid, student tuition and fees, and other institutionally generated revenues. NWACC allocates 49% of revenues to instruction, 10% to instructional administration, 13% to student support, 15% to administration, and 12% to maintenance of physical plant. Additionally, NWACC budgets 7.6% of the E&G funds as the Board of Trustees reserve and contingency fund.

NorthWest Arkansas Community College is governed by a local Board of Trustees composed of nine (9) members who are residents and qualified electors of the NWACC taxing district, the Rogers and Bentonville public school districts. According to §6-61-529, trustees are elected by zones for terms up to six (6) years by the qualified electors of each particular NWACC zone at the general election. All nine trustee positions were up for election in November 2012.

NorthWest Arkansas Community College will become a premier educational provider in northwest Arkansas by developing a

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

responsive educational delivery system customized to the needs of learners and receiving organizations.

1. For the learner community we will:

Provide expanded access to educational opportunities for the population in general, but especially for under-served learners in all age groups who are disconnected from education by choice or circumstance and will actively support student retention and completion.

2. For the business community we will:

Enhance partnerships and collaborations with business and industry through innovative approaches to design and deliver training and education.

3. For the owner community (taxpayers) we will:

Enhance NWACC's capacity to achieve its initiatives by diversifying its resource base.

Provide educational and comprehensive programs, services and facilities to the owner community (taxpayers).

4. For the pre-kindergarten through grade 16 community we will:

Forge a systemic linkage with P-16 and University partners to achieve "seamlessness" in curriculum, technology and learner support and service delivery.

5. For the college community we will:

Align the organizational infrastructure of NWACC with College growth.

NWACC's FY21 personal services and operating expenses are covered in Act 32 of the 2020 Fiscal Session.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	11,326,948		12,754,260		13,592,604		13,410,303		13,410,303		13,410,303		13,410,303	
2 CASH	33,286,529		50,745,168		50,745,168		58,356,943		58,356,943		61,274,790		61,274,790	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$44,613,477	776	\$63,499,428	774	\$64,337,772	1,104	\$71,767,246	1,116	\$71,767,246	1,116	\$74,685,093	1,116	\$74,685,093	1,116
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	11,406,258	25%	11,564,140	18%			12,220,183	17%	12,220,183	17%	12,220,183	16%	12,220,183	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,153,305	3%	1,190,120	2%			1,190,120	2%	1,190,120	2%	1,190,120	2%	1,190,120	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	31,014,439	68%	39,745,168	63%			45,706,943	64%	45,706,943	64%	47,992,290	64%	47,992,290	64%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,272,090	5%	11,000,000	17%			12,650,000	18%	12,650,000	18%	13,282,500	18%	13,282,500	18%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$45,846,092	100%	\$63,499,428	100%			\$71,767,246	100%	\$71,767,246	100%	\$74,685,093	100%	\$74,685,093	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$1,232,615)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$9,940,396
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$5,770,620
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$5,514,789
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,345,013)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2021-2023 BIENNIUM (Non-Formula Entities)**

NWACC - M. SHEWMAKER NATIONAL CHILD PROTECTION TRAINING CENTER

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2019-2020 ACTUAL	2020-2021 BUDGETED *	2021-2023 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2021-2022		2022-2023	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	0	0	63,892	63,892	87,078	87,078
2 PERSONAL SERVICES MATCHING	0	0	19,100	19,100	35,678	35,678
3 EXTRA HELP WAGES	0	0	0	0	0	0
4 OPERATING EXPENSES	0	0	78,870	78,870	11,238	11,238
5 TRAVEL PROFESSIONAL DEVELOPMENT	0	0	7,050	7,050	7,226	7,226
6 PROFESSIONAL CONTRACT FEES	0	0	90,505	90,505	94,968	94,968
7 SCHOLARSHIPS / AWARDS	0	0	29,029	29,029	29,755	29,755
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$0	\$0	\$288,446	\$288,446	\$265,943	\$265,943
17 NET LOCAL INCOME	0	0				
18 PRIOR YEAR BALANCE***			25,050	25,050	2,547	2,547
STATE FUNDS:						
19 GENERAL REVENUE			163,396	163,396	163,396	163,396
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	0	0	100,000	100,000	100,000	100,000
24 TOTAL SOURCES OF INCOME	\$0	\$0	\$288,446	\$288,446	\$265,943	\$265,943

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 - Other State Funds: Child First Arkansas Grant

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	11,326,948	12,754,260	13,592,604	13,410,303	13,410,303		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$11,326,948	\$12,754,260	\$13,592,604	\$13,410,303	\$13,410,303	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	11,406,258	11,564,140		12,220,183	12,220,183		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,153,305	1,190,120		1,190,120	1,190,120		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$12,559,563	\$12,754,260		\$13,410,303	\$13,410,303	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$1,232,615)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWA0100

INSTITUTION NWACC - CHILD PROTECTION TRAINING CENTER

APPROPRIATION N33

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES				63,892	87,078		
2 PERSONAL SERVICES MATCHING				19,100	35,678		
3 EXTRA HELP WAGES				0	0		
4 OPERATING EXPENSES	0	0	228,232	78,870	11,238		
5 CONFERENCE FEES & TRAVEL	0	0	0	7,050	7,226		
6 PROFESSIONAL FEES AND SERVICES	0	0	0	90,505	94,968		
7 CAPITAL OUTLAY	0	0	0	0	0		
8 SCHOLARSHIPS / AWARDS				29,029	29,755		
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$228,232	\$288,446	\$265,943	\$0	\$0
14 PRIOR YEAR FUND BALANCE**				25,050	2,547		
15 GENERAL REVENUE				163,396	163,396		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	0	0		100,000	100,000		
21 TOTAL INCOME	\$0	\$0		\$288,446	\$265,943	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Child First Arkansas Grant

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B17

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	12,868,778	18,832,947	18,832,947	21,657,889	22,740,784		
2 EXTRA HELP WAGES	850,423	1,243,504	1,243,504	1,430,030	1,501,531		
3 OVERTIME	23,174	37,400	37,400	43,010	45,161		
4 PERSONAL SERVICES MATCHING	8,076,348	13,521,265	13,521,265	15,549,455	16,326,927		
5 OPERATING EXPENSES	9,099,476	12,716,848	12,716,848	14,624,375	15,355,594		
6 CONFERENCE FEES & TRAVEL	310,898	426,462	426,462	490,431	514,953		
7 PROFESSIONAL FEES AND SERVICES	995,402	1,101,220	1,101,220	1,266,403	1,329,723		
8 CAPITAL OUTLAY	1,032,913	2,511,531	2,511,531	2,888,261	3,032,674		
9 CAPITAL IMPROVEMENTS	0	275,000	275,000	316,250	332,063		
10 DEBT SERVICE	1,703	17,308	17,308	19,904	20,899		
11 PROMOTIONAL ITEMS	27,413	61,683	61,683	70,935	74,482		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$33,286,529	\$50,745,168	\$50,745,168	\$58,356,943	\$61,274,790	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	19,710,248	25,245,168		29,031,943	30,483,540		
19 ALL OTHER FEES	1,050,000	1,500,000		1,725,000	1,811,250		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	0	0		0	0		
21 INVESTMENT INCOME	175,657	190,000		218,500	229,425		
22 FEDERAL CASH FUNDS	2,272,090	11,000,000		12,650,000	13,282,500		
23 OTHER CASH FUNDS	10,078,535	12,810,000		14,731,500	15,468,075		
24 TOTAL INCOME	\$33,286,529	\$50,745,168		\$58,356,943	61,274,790	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	776	774	1,104	1,116	1,116	1,116
TOBACCO POSITIONS						
EXTRA HELP **	58	360	360	360	360	360

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	12,000	5,091	0	6,909	5,000	5,000	0	0
4 STUDENT UNION	0	0	0	0			0	0
5 BOOKSTORE	158,106	0	0	158,106	140,000	0	0	140,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0		0
7 OTHER	178,398	284,047	0	(105,650)	134,500	274,500	0	(140,000)
8 SUBTOTAL	\$348,503.97	\$289,139	\$0	\$59,365	\$279,500	\$279,500	\$0	\$0
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$348,504	\$289,139	\$0	\$59,365	\$279,500	\$279,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Includes campus parking, vending machines, Brightwater Vending and miscellaneous auxiliary activities

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						667
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	29	Black Male:	2	Other Male:	6	Total Male: 37
White Female:	59	Black Female:	0	Other Female:	15	Total Female: 74
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	30	Black Male:	0	Other Male:	13	Total Male: 43
White Female:	68	Black Female:	0	Other Female:	13	Total Female: 81
Faculty:						
White Male:	158	Black Male:	6	Other Male:	47	Total Male: 211
White Female:	176	Black Female:	9	Other Female:	36	Total Female: 221
Total White Male:	217	Total Black Male:	8	Total Other Male:	66	Total Male: 291
Total White Female:	303	Total Black Female:	9	Total Other Female:	64	Total Female: 376
Total White:	520	Total Black:	17	Total Other:	130	Total Employees: 667
				Total Minority:	147	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$234,391
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE
 June 30, 2019**

<p><i>Finding No. 1:</i></p>	<p>On August 17, 2018, the College discovered that an employee’s payroll direct deposit had been fraudulently diverted to another bank account, after the employee contacted the College about not receiving his August 17 payroll direct deposit. It was determined that on August 8, 2018, the College made the direct deposit change request after receiving an email from what appeared to be the employee’s campus email account. This transaction resulted in a \$2,533 loss to the College.</p>
<p><i>Institution’s Response:</i></p>	<p>This employee responded to a phishing scam and as a result his NWACC official email account was compromised. In response to this event, NWACC Human Resources staff no longer accept e-mail direct deposit changes, even those that originate from the employee’s NWACC provided e-mail account, without additional verification of identity. Generally, this means that the employee is contacted via telephone number of record to verify identity and confirm that the changes are intended. Additionally, employees may provide a copy of their photo ID if scanning the documents. In new ERP System, Workday, where these changes will be accomplished via self-service, a secondary ID step will be required to update direct deposit information, and no changes will be made without payroll approval, which will include the additional identity verification steps outlined above.</p>

OZARKA COLLEGE
Dr. Richard L. Dawe, President

INSTITUTION HISTORY AND ORGANIZATION

Ozarka College opened its doors as Ozarka Vocational-Technical School in 1975 to provide vocational training to residents of Fulton, Izard, Sharp and Stone Counties. The first class of 43 students graduated in July 1976 with degrees in Automotive Service, Food Service, Business Education, Building Trades, Industrial Equipment Technology, Licensed Practical Nursing and Major Appliance Service. Classes leading to GED certificates were also offered.

Ozarka now enrolls approximately 1,100 students per semester. In 1991, the Arkansas State Legislature passed ACT 1244, transforming Ozarka and a number of other vocational technical schools into technical colleges. In addition to the courses already offered, Ozarka began to provide courses for college transfer credit through articulation agreements with other state colleges and universities.

In August 1993, Ozarka Technical College became a candidate for accreditation. In 1996, the Higher Learning Commission of the North Central Association of Colleges and Schools determined that Ozarka had fulfilled all requirements for accreditation.

In keeping with its mission and continued evolution, the College made another name change to Ozarka College in 1999, dropping the "Technical" to emphasize the full range of available degree programs.

Ozarka College now has transfer agreements in place with four-year colleges and universities around the state, allowing students in the Associate of Arts and Associate of Science programs to transfer easily.

As the campus has widened the scope of educational programs, services have been expanded to other locations in the region. With the main campus located in Melbourne, Ozarka also operates off-campus locations in Ash Flat, Mammoth Spring, and Mountain View as well as most high schools in the four county service region and southern Missouri.

Distance education classes, including full degree programs, are also available via internet which offers Ozarka students more flexibility in scheduling classes.

OZARKA COLLEGE
Dr. Richard L. Dawe, President

The Ozarka College Mission is: Ozarka College provides life-changing experiences through education. The Mission is the basis for all of the College's primary activities that include approval to deliver thirty-four certificates of proficiency, sixteen technical certificates, eleven associate of applied science degrees, and seven associate of science degrees and one associate of art degree as approved by the Arkansas Department of Higher Education. Ozarka College also provides adult basic education for the area, and concurrent and continuing education.

Governance of Ozarka College is provided by the Board of Trustees, a seven-person, Governor appointed Board representing the students and interests of our service region. Trustees serve a seven-year appointment and may be eligible for reappointment by the Governor.

Ozarka College is fully accredited by the North Central Association of the Higher Learning Commission through the 2020-2021 academic year. The Registered Nursing and Licensed Practical Nursing programs are approved by the Arkansas State Board of Nursing, the Automotive Service Technology program is accredited by the National Automotive Technicians Education Foundation, and the Culinary Arts program is accredited by the American Culinary Federation. The AAS in Aviation – Professional Pilot program is Part 141 certified by the FAA. The College is approved by the Veterans Administration for individuals eligible for educational benefits under the GI Bill.

As Ozarka College looks to the future to advance educational opportunities to its students and the region, it is developing new and relevant programs to ensure graduates are prepared to quickly enter the workforce or advance in their careers. The new and exciting aviation program includes an associate degree in Aviation – Professional Pilot and in Aviation – Commercial Pilot for students seeking careers in aviation. Other new programs are being implemented in Agricultural Science, Information Science, Welding and Surgical Technology.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION OZARKA COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,259,460		4,298,052		4,407,036		4,620,047		4,620,047		4,620,047		4,620,047	
2 CASH	5,794,667		11,126,000		11,126,000		14,001,000		14,001,000		14,001,000		14,001,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,054,127	159	\$15,424,052	176	\$15,533,036	221	\$18,621,047	220	\$18,621,047	220	\$18,621,047	220	\$18,621,047	220
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	137,544	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,095,210	30%	2,896,343	19%			3,257,617	18%	3,257,617	18%	3,257,617	18%	3,257,617	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,271,841	12%	1,271,841	8%			1,271,841	7%	1,271,841	7%	1,271,841	7%	1,271,841	7%
16 CASH FUNDS	4,829,150	47%	8,626,000	56%			10,501,000	57%	10,501,000	57%	10,501,000	57%	10,501,000	57%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	965,517	9%	2,500,000	16%			3,500,000	19%	3,500,000	19%	3,500,000	19%	3,500,000	19%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	29,953	0%	31,266	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,191,671	100%	\$15,462,994	100%			\$18,530,458	100%	\$18,530,458	100%	\$18,530,458	100%	\$18,530,458	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$137,544)		(\$38,942)				\$90,589		\$90,589		\$90,589		\$90,589	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$4,287,196
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$267,000
INVENTORIES	\$1,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$75,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,250,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$700,000
OTHER (FOOTNOTE BELOW)	\$502,000
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$992,196

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Other - Board Designated Operating Reserve

502,000

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,294,859	3,136,016	3,200,000	3,400,000	3,400,000		
2 EXTRA HELP WAGES	88,735	80,000	125,000	125,000	125,000		
3 OVERTIME	0	100	100	100	100		
4 PERSONAL SERVICES MATCHING	875,066	1,080,736	1,080,736	1,093,747	1,093,747		
5 OPERATING EXPENSES	200	200	200	200	200		
6 CONFERENCE FEES & TRAVEL	200	200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES	200	200	200	200	200		
8 CAPITAL OUTLAY	200	200	200	200	200		
9 FUNDED DEPRECIATION	0	200	200	200	200		
10 CAPITAL IMPROVEMENTS	0	200	200	200	200		
11							
12							
13 TOTAL APPROPRIATION	\$4,259,460	\$4,298,052	\$4,407,036	\$4,620,047	\$4,620,047	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		137,544					
15 GENERAL REVENUE	3,095,210	2,896,343		3,257,617	3,257,617		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,271,841	1,271,841		1,271,841	1,271,841		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	29,953	31,266					
21 TOTAL INCOME	\$4,397,004	\$4,336,994		\$4,529,458	\$4,529,458	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$137,544)	(\$38,942)		\$90,589	\$90,589	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION B63

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,372,948	2,500,000	2,500,000	3,500,000	3,500,000		
2 EXTRA HELP WAGES	56,971	225,000	225,000	300,000	300,000		
3 OVERTIME	0	1,000	1,000	1,000	1,000		
4 PERSONAL SERVICES MATCHING	1,338,131	2,000,000	2,000,000	2,500,000	2,500,000		
5 OPERATING EXPENSES	2,080,346	3,500,000	3,500,000	4,000,000	4,000,000		
6 CONFERENCE FEES & TRAVEL	17,141	50,000	50,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES	17,730	250,000	250,000	300,000	300,000		
8 CAPITAL OUTLAY	534,703	500,000	500,000	500,000	500,000		
9 CAPITAL IMPROVEMENTS	0	1,600,000	1,600,000	2,000,000	2,000,000		
10 DEBT SERVICE	376,697	475,000	475,000	750,000	750,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	0	25,000	25,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,794,667	\$11,126,000	\$11,126,000	\$14,001,000	\$14,001,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,252,817	4,750,000		5,000,000	5,000,000		
19 ALL OTHER FEES	851,566	1,325,000		1,950,000	1,950,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	22,029	51,000		51,000	51,000		
22 FEDERAL CASH FUNDS	965,517	2,500,000		3,500,000	3,500,000		
23 OTHER CASH FUNDS	702,738	2,500,000		3,500,000	3,500,000		
24 TOTAL INCOME	\$5,794,667	\$11,126,000		\$14,001,000	\$14,001,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	159	176	221	220	220	
TOBACCO POSITIONS						
EXTRA HELP **	100	100	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING	41,259	20,581		20,678	52,800	29,400		23,400
3 FOOD SERVICES	4,479	3,168		1,311	5,255	3,935		1,320
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$45,738	\$23,749	\$0	\$21,989	\$58,055	\$33,335	\$0	\$24,720
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$45,738	\$23,749	\$0	\$21,989	\$58,055	\$33,335	\$0	\$24,720

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>95</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>19</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>19</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>10</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>10</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>9</u>
White Female:	<u>22</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>22</u>
Faculty:						
White Male:	<u>12</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>9</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>9</u>
Total White Male:	<u>35</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>35</u>
Total White Female:	<u>60</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>60</u>
Total White:	<u>95</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>95</u>
				Total Minority:	<u>0</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$286,238
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF OZARKA COLLEGE
June 30, 2019**

<i>Finding:</i>	No Findings noted
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**PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DR. G. KEITH PINCHBACK - CHANCELLOR**

ENABLING LAWS

Act 560 of 1965 and Act 34 of the Fiscal Session, 2020

MISSION AND CORE VALUES

PCCUA is a multi-campus, two year college serving the communities in Eastern Arkansas. The College is committed to helping every student succeed. We provide high-quality, accessible educational opportunities and skills development to promote life-long learning, and we engage in the lives of our students and our communities.

Phillips Community College respects the diversity of its student body and community. The College also recognizes the worth and potential of each student. Therefore, the college affirms the following beliefs and values:

Student Success	We are committed to the success of every student. We believe all students, given the right conditions, can learn. We believe those conditions include high expectations reflected in a rigorous curriculum and personal engagement evidenced by a faculty and staff who invest themselves in the lives of our students and our communities.
The Power of Education	We believe learning begins at birth and should last a lifetime. We believe in the power of education to transform lives and build strong, productive communities.
Diversity	We respect the inherent worth and dignity of every person.

HISTORY AND ORGANIZATION

In 1964, leaders from all parts of Arkansas became enthusiastic about the public community college concept and undertook the difficult task of amending the state’s constitution to permit the development of a system of community colleges in Arkansas. The official history of Phillips County Community College dates from two events in 1965: (1) Act

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS DR. G. KEITH PINCHBACK - CHANCELLOR

560 of the Arkansas State Legislature, which paved the way for establishing community colleges; and (2) an affirmative vote by the people of Phillips County on October 23, 1965, which provided the local financial support for the College.

November 1, 1965	The Board of Trustees was appointed by the Governor of the State and met for the first time officially creating Phillips County Community College. An early order of business for the Board was the election of Dr. John Easley as the first President of the College. The Board of Trustees decided that since construction of a new plant would take approximately two years, classes would begin in the Naval Reserve Building in Helena. The building served as home for Phillips County Community College for two years.
May, 1968	The first graduating class was announced. Construction of the permanent College facilities was also completed in 1968.
<p>In 1972, the Fine Arts Center and Lily Peter Auditorium were completed with generous support from the community. In 1976, the Nursing Education Complex was completed, and in 1982, the Technical & Industrial Education Complex was opened. The Easley Administration building was completed in 1986, and a year later, the College's library was renovated and named the Lewis Library, thanks to the generosity of the A. B. Lewis family. Since that time, the College has experienced growth with an expansion of the curriculum and facilities. Enrollment increased steadily in the early 1990's, and the Asa W. Bonner Student Center was dedicated in 1991. The Mitchell Science Annex was added to the Nursing Education Complex in 1992. In 1993, the historic Pillow-Thompson House in Helena was donated to the College by Josephine Thompson and her son, George de Man. The house was renovated with the generous support of community and historic preservation grants and reopened in May of 1997.</p>	
March, 1996	The people of Arkansas County passed a referendum to annex that county into the PCCC taxing district. The Board of Trustees changed the name of the college to Phillips Community College to reflect the multi-county support and began plans to expand the off-campus programs in Stuttgart. In June 1996, the State transferred the former Rice Belt Technical Institute in DeWitt to Phillips Community College.
July 1, 1996	Phillips Community College became a member of the University of Arkansas System.
<p>The campuses in Arkansas County have grown to include day and evening classes in Stuttgart and general education programs in DeWitt. The Stuttgart facility is equipped with traditional classrooms, science labs, computer labs, a large technical training lab, compressed video labs for distance learning, a library, large lecture room, bookstore, and offices. Construction of the Grand Prairie Center on the Stuttgart Campus was completed in 2011. The DeWitt facility expansion and reconstruction included an addition of 14,000 square feet and renovations to 15,000 square feet. The addition includes traditional and distance learning classrooms, library, and student center. In 2003, the Grand Prairie War Memorial Auditorium Board voted to offer a long-term lease of the auditorium to the College as a technology training center. In 2007, the National Guard Armory in DeWitt was leased to the College as a headquarters for the agriculture program.</p>	

**PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DR. G. KEITH PINCHBACK - CHANCELLOR**

Phillips Community College of the University of Arkansas has always been a leader and innovator in higher education in Arkansas. The College has grown from an original enrollment of fewer than 250 students in 14 program areas to over 1,600 students in a wide range of academic, occupational/technical, workforce, and continuing education programs both on-campus and on-line. New programs are continually being developed so that PCCUA remains responsive to the educational and workforce needs of the people of the Arkansas Delta and the Grand Prairie.

APPROPRIATION TRANSFER REQUEST

Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations. Reporting requirements for DFA and ADHE that now have to be segregated out into three separate reports can be combined into one more efficient report. Some reports must be prepared as if the College is three separate colleges rather than “one” College. The process of reporting and drawing of funds through AASIS is also complicated due to having three separate appropriations. The College currently maintains six cost centers – three treasury and three cash cost centers, therefore, combining reported expenses into one appropriation and cost center will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations. As noted above, the integrity of each campuses operations will not be affected by this change. This change will also benefit the College as it begins the implementation of the Workday ERP platform on July 1, 2021.

ACCREDITATION

Phillips Community College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,099,027		10,376,481		10,575,663		10,901,039		10,901,039		10,901,039		10,901,039	
2 CASH	7,879,112		32,480,000		32,480,000		25,275,000		25,275,000		25,275,000		25,275,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,978,139	218	\$42,856,481	237	\$43,055,663	325	\$36,176,039	325	\$36,176,039	325	\$36,176,039	325	\$36,176,039	325
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%	339,862	1%				0%		0%		0%		0%
13 GENERAL REVENUE	8,972,457	49%	8,539,259	20%			9,280,565	26%	9,280,565	26%	9,280,565	26%	9,280,565	26%
14 EDUCATIONAL EXCELLENCE TRUST FUND	849,748	5%	876,872	2%			876,872	2%	876,872	2%	876,872	2%	876,872	2%
15 WORKFORCE 2000	529,856	3%	529,856	\$0			529,856	1%	529,856	1%	529,856	1%	529,856	1%
16 CASH FUNDS	6,108,753	33%	28,980,000	\$0			21,775,000	61%	21,775,000	61%	21,775,000	61%	21,775,000	61%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	1,770,359	10%	3,500,000	8%			3,500,000	10%	3,500,000	10%	3,500,000	10%	3,500,000	10%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	86,828	0%	90,632	0%				0%		0%		0%		0%
21 TOTAL INCOME	\$18,318,001	100%	\$42,856,481	31%			\$35,962,293	100%	\$35,962,293	100%	\$35,962,293	100%	\$35,962,293	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$339,862		\$0				\$213,746		\$213,746		\$213,746		\$213,746	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$11,363,330
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	2,460,487
INVENTORIES	60,930
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	150,000
MAJOR CRITICAL SYSTEMS FAILURES	300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	1,973,425
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	450,000
OTHER (FOOTNOTE BELOW)***	339,862
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$5,628,626

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION _____

308

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2019-2020	2020-2021	2020-2021	2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	5,454,310	5,545,664	5,654,882	7,962,625	7,962,625		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,222,245	1,246,168	1,252,667	1,809,076	1,809,076		
5 OPERATING EXPENSES	747,023	487,431	487,431	1,129,338	1,129,338		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$7,423,578	\$7,279,263	\$7,394,980	\$10,901,039	\$10,901,039	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		226,897					
15 GENERAL REVENUE	6,713,899	6,084,862		9,280,565	9,280,565		
16 EDUCATIONAL EXCELLENCE TRUST FUND	849,748	876,872		876,872	876,872		
17 SPECIAL REVENUES * [WF2000]				529,856	529,856		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	86,828	90,632					
21 TOTAL INCOME	\$7,650,475	\$7,279,263		\$10,687,293	\$10,687,293	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$226,897)	\$0		\$213,746	\$213,746	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-2023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide treasury appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations. The College currently maintains six cost centers – three treasury and three cash cost centers, therefore, combining reported expenses into one appropriation and cost center will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations. As noted above, the integrity of each campuses operations will not be affected by this change.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-2023:	Program/Campus Allocations -	Helena-West Helena	\$	7,616,344
		Stuttgart		2,025,475
		DeWitt		1,259,220
		Total	\$	<u>10,901,039</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	420,492	673,969	719,982	0	0		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	124,517	215,627	245,544	0	0		
5 OPERATING EXPENSES	262,863	280,077	287,612	0	0		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$807,872	\$1,169,673	\$1,253,138	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	278,016	639,817		0	0		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	529,856	529,856		0	0		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$807,872	\$1,169,673		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-20023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide treasury appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations. The College currently maintains six cost centers – three treasury and three cash cost centers, therefore, combining reported expenses into one appropriation and cost center will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations. As noted above, the integrity of each campuses operations will not be affected by this change.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION 413

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,305,187	1,329,120	1,329,120	0	0		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	264,117	269,484	269,484	0	0		
5 OPERATING EXPENSES	298,273	328,941	328,941	0	0		
6 CONFERENCE FEES & TRAVEL			0	0	0		
7 PROFESSIONAL FEES AND SERVICES			0	0	0		
8 CAPITAL OUTLAY			0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,867,577	\$1,927,545	\$1,927,545	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		112,965					
15 GENERAL REVENUE	1,980,542	1,814,580		0	0		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,980,542	\$1,927,545		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$112,965	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-20023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide treasury appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations. The College currently maintains six cost centers – three treasury and three cash cost centers, therefore, combining reported expenses into one appropriation and cost center will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations. As noted above, the integrity of each campuses operations will not be affected by this change.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION A73

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	214,876	3,000,000	3,000,000	4,200,000	4,200,000		
2 EXTRA HELP WAGES	272,650	750,000	750,000	1,000,000	1,000,000		
3 OVERTIME	39,745	140,000	140,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	676,324	1,500,000	1,500,000	2,000,000	2,000,000		
5 OPERATING EXPENSES	1,909,623	4,675,000	4,675,000	5,500,000	5,500,000		
6 CONFERENCE FEES & TRAVEL	140,491	400,000	400,000	450,000	450,000		
7 PROFESSIONAL FEES AND SERVICES	236,709	1,000,000	1,000,000	1,100,000	1,100,000		
8 CAPITAL OUTLAY	1,948,361	2,800,000	2,800,000	3,300,000	3,300,000		
9 CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	6,000,000	6,000,000		
10 DEBT SERVICE	683,831	1,500,000	1,500,000	1,500,000	1,500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	18,756	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$6,141,366	\$20,790,000	\$20,790,000	\$25,275,000	\$25,275,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,909,087	1,794,323		2,863,482	2,863,482		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	40,220	40,000		40,000	40,000		
21 INVESTMENT INCOME	101,735	90,000		90,000	90,000		
22 FEDERAL CASH FUNDS	1,770,359	3,500,000		3,500,000	3,500,000		
23 OTHER CASH FUNDS	2,319,965	15,365,677		18,781,518	18,781,518		
24 TOTAL INCOME	\$6,141,366	\$20,790,000		\$25,275,000	\$25,275,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	128	140	186	325	325	
TOBACCO POSITIONS						
EXTRA HELP **	63	600	600	900	900	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-2023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide cash appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations and will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION B08

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	299,739	600,000	600,000	0	0		
2 EXTRA HELP WAGES	21,563	200,000	200,000	0	0		
3 OVERTIME	951	70,000	70,000	0	0		
4 PERSONAL SERVICES MATCHING	73,458	250,000	250,000	0	0		
5 OPERATING EXPENSES	18,684	1,000,000	1,000,000	0	0		
6 CONFERENCE FEES & TRAVEL	3,041	50,000	50,000	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	50,000	50,000	0	0		
8 CAPITAL OUTLAY	23,376	250,000	250,000	0	0		
9 CAPITAL IMPROVEMENTS	0	2,500,000	2,500,000	0	0		
10 DEBT SERVICE	0	500,000	500,000	0	0		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$440,812	\$5,470,000	\$5,470,000	\$0	\$0	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	440,812	350,000		0	0		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS		5,120,000		0	0		
24 TOTAL INCOME	\$440,812	\$5,470,000		\$0	\$0	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	38	41	66	0	0	
TOBACCO POSITIONS						
EXTRA HELP **	15	150	150	0	0	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-2023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide cash appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations and will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTTGART CAMPUS

APPROPRIATION A81

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	601,930	1,100,000	1,100,000	0	0		
2 EXTRA HELP WAGES	90,244	275,000	275,000	0	0		
3 OVERTIME	11,799	70,000	70,000	0	0		
4 PERSONAL SERVICES MATCHING	252,173	425,000	425,000	0	0		
5 OPERATING EXPENSES	293,234	1,000,000	1,000,000	0	0		
6 CONFERENCE FEES & TRAVEL	8,084	50,000	50,000	0	0		
7 PROFESSIONAL FEES AND SERVICES	22,130	50,000	50,000	0	0		
8 CAPITAL OUTLAY	17,340	250,000	250,000	0	0		
9 CAPITAL IMPROVEMENTS	0	2,500,000	2,500,000	0	0		
10 DEBT SERVICE	0	500,000	500,000	0	0		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$1,296,934	\$6,220,000	\$6,220,000	\$0	\$0	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	662,775	635,757		0	0		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	634,159	5,584,243		0	0		
24 TOTAL INCOME	\$1,296,934	\$6,220,000		\$0	\$0	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	52	56	73	0	0	
TOBACCO POSITIONS						
EXTRA HELP **	29	150	150	0	0	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Institutional Request/AHECB Recommendation - 2021-2022 and 2022-2023: Beginning with the 2021-2023 Biennium Request, PCCUA is requesting to transition to a single campus-wide cash appropriation rather than three separate appropriations for each of its campuses. The College conducts its operations as one campus while maintaining the integrity of each campus throughout its financial records so converting to a single appropriation will in no way affect the budgeting or operational plans for the College or the individuality of any of the three campuses. Converting to a single appropriation will be very beneficial in that it will ease an extreme amount of complication and burden that comes with three separate appropriations and will streamline state budget and expense reporting and reduce the time and effort necessary to maintain records to support three separate appropriations.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *								
2 HOUSING								
3 FOOD SERVICES								
4 STUDENT UNION								
5 BOOKSTORE	35,500			35,500	40,000			40,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS								
7 OTHER	140,916	26,042		114,874	117,000	60,750		56,250
8 SUBTOTAL	176,416	26,042	0	150,374	157,000	60,750	0	96,250
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(80,000)			(80,000)	(96,250)			(96,250)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	96,416	26,042	0	70,374	60,750	60,750	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Facility Rental and Grand Prairie Center

NOTE: Line 10 - Other Transfers: Transfers to other funds (plant funds).

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:					<u>184</u>			
(As of November 1, 2019)								
Nonclassified Administrative Employees:					Total	Male:	<u>11</u>	
White Male:	<u>7</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total	Female:	<u>42</u>
White Female:	<u>25</u>	Black Female:	<u>17</u>	Other Female:	<u>0</u>			
Nonclassified Health Care Employees:					Total	Male:	<u>0</u>	
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Classified Employees:					Total	Male:	<u>26</u>	
White Male:	<u>10</u>	Black Male:	<u>16</u>	Other Male:	<u>0</u>	Total	Female:	<u>46</u>
White Female:	<u>24</u>	Black Female:	<u>22</u>	Other Female:	<u>0</u>			
Faculty:					Total	Male:	<u>22</u>	
White Male:	<u>19</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total	Female:	<u>37</u>
White Female:	<u>31</u>	Black Female:	<u>6</u>	Other Female:	<u>0</u>			
Total White Male:	<u>36</u>	Total Black Male:	<u>22</u>	Total Other Male:	<u>1</u>	Total	Male:	<u>59</u>
Total White Female:	<u>80</u>	Total Black Female:	<u>45</u>	Total Other Female:	<u>0</u>	Total	Female:	<u>125</u>
Total White:	<u>116</u>	Total Black:	<u>67</u>	Total Other:	<u>1</u>	Total	Employees:	<u>184</u>
				Total Minority:	<u>68</u>			

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,047,279
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding an allegation of identity theft for the period June 1, 2018 through July 31, 2018. University management reported that an employee did not receive her payroll deposit of \$1,732 scheduled for June 28, 2018. The Director of Information Technology discovered that the employee’s email account was compromised and accessed through an unauthorized VPN. Management notified the bank of the theft; however, the transaction had already been processed and could not be recalled. Management stated they believe this is an isolated incident and no other systems or emails were affected.</p>
<p><i>Institution’s Response:</i></p>	<p>Immediately upon discovery, procedures were implemented that require all changes to payroll direct deposit be made in person and verified by a payroll or business office employee. In addition, email security settings have been tightened to help prevent delivery of similar email requests. These new policies and procedures have already proven effective in stopping additional email attempts similar in nature to the one noted above.</p>

SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Bentley Wallace, President

INSTITUTION HISTORY AND ORGANIZATION

Amendment Number 52 to the Arkansas Constitution provide the constitutional authority for the establishment of state supported community colleges in Arkansas. Arkansas Code title 6 Education, Chapter 61 Post Secondary Institutions Generally, Subchapters 5 and 6 provide the statutory authority for the establishment and operation of the college. The original Oil Belt Vocation – Technical School located on East Main opened its doors in 1967. Act 1244 of 1991 authorized the name change to Oil Belt Technical College in 1991 and authorized an expanded mission.

Act 171 of the regular session of 1975 authorized Southern State College to establish a branch campus in El Dorado beginning July 1, 1975, to be known as the Southern State College, El Dorado Branch. In 1976 the name was changed to Southern Arkansas University, El Dorado Branch. The Branch was governed by the Board of Trustees of the Southern Arkansas University.

Under the Arkansas Code provisions noted above, a Steering Committee was formed to gather signatures calling for a special election to merge Oil Belt Technical College, a state-supported technical college governed by the State Board of Higher Education and Southern Arkansas University – El Dorado Branch, a two-year state-supported branch governed by the Southern Arkansas University Board of Trustees. Both boards endorsed holding the election and on March 31, 1992, the majority of electors voting in the special election in Union County established the Union County Community College District authorizing a one-half mill levy on the real and personal property of Union County to support the College. The Governor appointed the first Board of Trustees and named the institution the South Arkansas Community College. The Board was officially sworn in on April 14, 1992. The College had a transition period until July 1, 1992, when the predecessor colleges were officially abolished. The new College began operation under its own budgetary authority and Board of Trustees. The College operates its East Campus (formerly Oil Belt Technical College) and its West Campus (formerly Southern Arkansas University—El Dorado Branch) in El Dorado. The College has offered classes in Warren since 2003. Located at 300 South West Avenue, the campus still includes the Junior College Building built in 1905 with the site continually used for public education since 1858. Currently Act 131 of the Fiscal Session of 2020 authorizes an appropriation for Personal Services and Operating Expenses.

SOUTH ARKANSAS COMMUNITY COLLEGE Dr. Bentley Wallace, President

Board of Trustees

South Arkansas Community College is governed by a nine-member Board of Trustees. Under the option selected by the Board of Trustees as provided by Act 1349 of 1995, the positions on the Board shall become vacant as current terms expire and persons who are residents and qualified electors of the community college district shall be appointed by the Governor for a term of six (6) years.

Mission Statement

South Arkansas Community College promotes excellence in learning, teaching, and service; provides lifelong educational opportunities; and serves as a cultural, intellectual and economic resource for the community.

Purposes/Challenges

South Arkansas Community College welcomed a new president, Dr. Bentley Wallace, in February of 2020. Dr. Wallace worked with the Board of Trustees and developed four goals for the next academic year based on the college mission, vision, and strategic plan. The goals will emphasize student success; diversity, equity, and inclusion; sustainability; and community working within the confines of our strategic initiatives. Those strategic initiatives include fostering student success through pathways to completion; strengthening connections through communication and partnership; broadening a campus culture of respect and awareness of individual needs, abilities, and potential; and, enhancing the student experience. The College administrative offices reopened in September of 2019 after reconstruction of the historic 1905 Thomas Administration Building. The building was damaged by a fire started by a lightning strike on Friday, April 13, 2018. Although the fire was contained to the attic, the subsequent water damage from the local fire department and the building's fire suppression system made the building uninhabitable. Administrators had been dispersed across five other buildings on campus for the 16 months of reconstruction.

Non-Formula Request: SouthArk is requesting funding for the South Arkansas Arboretum, which is approximately twelve acres of property owned by the El Dorado School District and leased to the State of Arkansas Department of Parks and Tourism. Act 1039 of 1990 added the classification of Arboretum to the Arkansas State Park System as the 50th state park. Located in El Dorado (Union County), it is Arkansas' only state park located within a city. An operating

SOUTH ARKANSAS COMMUNITY COLLEGE Dr. Bentley Wallace, President

agreement between the State of Arkansas Department of Parks and Tourism and South Arkansas Community College (SouthArk) dated May 20, 1994, states that the College will manage and operate the park.

Features: The Arboretum offers education and recreational activities for visitors and features plants indigenous to Arkansas' West Gulf Coastal Plain region, plus flowering azaleas and camellias. Signs identify many of the trees, including shortleaf and loblolly pines, southern and sweet bay magnolias, black gum white ash, American sycamore, Carolina beech, American holly, black cherry, sugar maple, and oak species such as water, post, southern red, white and over cup oaks. The site's facilities includes multiple walking trails. Limited numbers of wildflowers bloom spring through fall. Birds seen year-round include northern cardinals, blue jays, Carolina wrens and chickadees, tufted titmice, northern mockingbirds, and American robins. Building improvements include restrooms, a pavilion, bridges and a gazebo. Projects have included perimeter fencing, a service road into the property, trails, the construction of wooden bridges and two small dams to create ponds. Though the rolling terrain of the property was intended for preserving native vegetation, flowering azaleas and camellias were imported to a portion of the acreage to make the Arboretum attractive to visitors. By the early 1990s the Arboretum had fallen on hard times. Community leaders including Jodie Mahony, former State legislator, sought to preserve the park and obtained some general improvement funds and other monies for the facility. It was at this point that the lease/operating agreement were enacted to help preserve the park.

Current Funding: Currently, SouthArk provides funding for the Arboretum through the College's operational budget. While designated a state park, the State of Arkansas Department of Parks and Tourism does not provide any funding for the park. The Arkansas College and university funding formula provides no funds for the operation of the Arboretum. The College ensures the park is open seven (7) days a week during daylight hours. SouthArk provides a full time groundskeeper. Extra help and maintenance services are provided as needed for routine maintenance of the grounds and facilities. Private contributions from local fund raising functions and volunteer labor have provided a portion of the garden and plant activity maintenance. The South Arkansas Arboretum Committee is appointed by the SouthArk Board of Trustees and directs Arboretum activities.

SOUTH ARKANSAS COMMUNITY COLLEGE
Dr. Bentley Wallace, President

Formula Funding Increase requests: Requests for increase on the BR-3 for Extra Help Wages is to match 2019-2020 actual expenses and increases for Personal Services Matching and Operating Expenses are 3% above current year authorized appropriations to attempt to meet growing expenditures. Requests on the BR-4 for Regular Salaries and Extra Help Wages reflect 10% increase requests from actual 2019-2020 expenditures to allow for increases in wages via COLAs, merit increases, merit bonuses to faculty and staff, and/or to meet market salary wages for current and future employees.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,837,569		7,232,923		7,232,923		7,305,690		7,305,690		7,305,690		7,305,690	
2 CASH	9,340,324		16,659,758		16,659,758		17,357,910		17,357,910		17,357,910		17,357,910	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$16,177,893	193	\$23,892,681	195	\$23,892,681	327	\$24,663,600	326	\$24,663,600	326	\$24,663,600	326	\$24,663,600	326
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	473,374	2%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,252,791	40%	5,731,301	24%			6,085,584	25%	6,085,584	25%	6,085,584	25%	6,085,584	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	596,763	4%	615,812	3%			615,812	3%	615,812	3%	615,812	3%	615,812	3%
15 WORKFORCE 2000	461,389	3%	461,389	2%			461,389	2%	461,389	2%	461,389	2%	461,389	2%
16 CASH FUNDS	6,143,405	39%	7,914,518	33%			8,612,670	35%	8,612,670	35%	8,612,670	35%	8,612,670	35%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,298,806	15%	8,745,240	37%			8,745,240	36%	8,745,240	36%	8,745,240	36%	8,745,240	36%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,753,154	100%	\$23,941,634	100%			\$24,520,695	100%	\$24,520,695	100%	\$24,520,695	100%	\$24,520,695	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$424,739		(\$48,953)				\$142,905		\$142,905		\$142,905		\$142,905	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,240,702
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$0
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$0
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$0
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,240,702

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2021-2023 BIENNIUM (Non-Formula Entities)**

SOUTH ARKANSAS COMMUNITY COLLEGE - ARBORETUM
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2019-2020 ACTUAL	2020-2021 BUDGETED *	2021-2023 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2021-2022		2022-2023	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	25,916	25,916	26,175	26,175	26,437	26,437
2 PERSONAL SERVICES MATCHING	11,118	11,118	12,393	12,393	12,393	12,393
3 EXTRA HELP WAGES	0	0	15,000	15,000	15,000	15,000
4 OPERATING EXPENSES	1,933	2,000	2,000	2,000	2,500	2,500
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$38,967	\$39,034	\$55,568	\$55,568	\$56,330	\$56,330
17 NET LOCAL INCOME	38,967	39,304				
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE			17,534	17,534	17,534	17,534
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$38,967	\$39,304	\$17,534	\$17,534	\$17,534	\$17,534

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,536,670	4,687,221	4,687,221	4,687,221	4,687,221		
2 EXTRA HELP WAGES	130,000	128,651	128,651	130,000	130,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,572,357	1,301,460	1,301,460	1,340,010	1,340,010		
5 OPERATING EXPENSES	1,578,542	1,095,591	1,095,591	1,128,459	1,128,459		
6 CONFERENCE FEES & TRAVEL	10,000	10,000	10,000	10,000	10,000		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	10,000	10,000	10,000	10,000	10,000		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$6,837,569	\$7,232,923	\$7,232,923	\$7,305,690	\$7,305,690	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		473,374					
15 GENERAL REVENUE	6,252,791	5,731,301		6,085,584	6,085,584		
16 EDUCATIONAL EXCELLENCE TRUST FUND	596,763	615,812		615,812	615,812		
17 SPECIAL REVENUES * [WF2000]	461,389	461,389		461,389	461,389		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,310,943	\$7,281,876		\$7,162,785	\$7,162,785	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$473,374)	(\$48,953)		\$142,905	\$142,905	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,930,434	3,632,364	3,632,364	4,323,477	4,323,477		
2 EXTRA HELP WAGES	259,341	278,236	278,236	285,275	285,275		
3 OVERTIME	18,796	34,225	34,225	34,225	34,225		
4 PERSONAL SERVICES MATCHING	918,885	1,237,734	1,237,734	1,237,734	1,237,734		
5 OPERATING EXPENSES	2,635,338	3,377,338	3,377,338	3,377,338	3,377,338		
6 CONFERENCE FEES & TRAVEL	119,411	171,267	171,267	171,267	171,267		
7 PROFESSIONAL FEES AND SERVICES	24,178	25,346	25,346	25,346	25,346		
8 CAPITAL OUTLAY	51,864	253,248	253,248	253,248	253,248		
9 CAPITAL IMPROVEMENTS	898,113	7,000,000	7,000,000	7,000,000	7,000,000		
10 DEBT SERVICE	393,748	500,000	500,000	500,000	500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	72,316	100,000	100,000	100,000	100,000		
12 PROMOTIONAL ITEMS	17,900	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,340,324	\$16,659,758	\$16,659,758	\$17,357,910	\$17,357,910	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,921,211	4,312,088		4,312,088	4,312,088		
19 ALL OTHER FEES	523,977	320,626		320,626	320,626		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	165,679	157,590		157,590	157,590		
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS	2,298,806	8,745,240		8,745,240	8,745,240		
23 OTHER CASH FUNDS	1,532,538	3,124,214		3,822,366	3,822,366		
24 TOTAL INCOME	\$8,442,211	\$16,659,758		\$17,357,910	\$17,357,910	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$898,113	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	193	195	327	326	326	
TOBACCO POSITIONS						
EXTRA HELP **	75	75	175	175	175	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	48,125	114,172		(66,047)	54,000	96,000		(42,000)
2 HOUSING				0				0
3 FOOD SERVICES	84,860	137,529		(52,669)	100,000	102,000		(2,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	770,940	829,214		(58,274)	855,000	840,325		14,675
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	322,253	701,058		(378,805)	466,405	794,706		(328,301)
8 SUBTOTAL	\$1,226,178	\$1,781,973	\$0	(\$555,795)	\$1,475,405	\$1,833,031	\$0	(\$357,626)
9 ATHLETIC TRANSFER **	66,880			66,880	42,000			42,000
10 OTHER TRANSFERS ***	490,000			490,000	328,979			328,979
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,783,058	\$1,781,973	\$0	\$1,085	\$1,846,384	\$1,833,031	\$0	\$13,353

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Includes income received for catering, conference center rentals and rental property

NOTE: Line 9 - Athletic Transfer: \$25,000 of the \$66,880 identified as a transfer came from prior year auxiliary

NOTE: Line 10 - Other Transfers: Transfers from E&G include \$430,000 for the Conference Center and \$60,000 for the Bookstore

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>151</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>26</u>	Black Male:	<u>6</u>	Other Male:	<u>0</u>	Total Male: <u>32</u>
White Female:	<u>16</u>	Black Female:	<u>10</u>	Other Female:	<u>3</u>	Total Female: <u>29</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>8</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>12</u>	Black Female:	<u>12</u>	Other Female:	<u>3</u>	Total Female: <u>27</u>
Faculty:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>16</u>
White Female:	<u>29</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>34</u>
Total White Male:	<u>48</u>	Total Black Male:	<u>12</u>	Total Other Male:	<u>1</u>	Total Male: <u>61</u>
Total White Female:	<u>57</u>	Total Black Female:	<u>27</u>	Total Other Female:	<u>6</u>	Total Female: <u>90</u>
Total White:	<u>105</u>	Total Black:	<u>39</u>	Total Other:	<u>7</u>	Total Employees: <u>151</u>
				Total Minority:	<u>46</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

SOUTH ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$698,296
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
 June 30, 2019**

<p><i>Finding No. 1 REPEAT:</i></p>	<p>The College discovered, and we confirmed, nine improper charges totaling \$1,558 to College P-card accounts from October 2018 to June 2019 after account information was fraudulently obtained. Subsequently, the cards were cancelled, and the funds were recovered. A similar finding was reported in the previous two audits.</p>
<p><i>Institution's Response:</i></p>	<p>P-card transactions are reviewed on a timely basis and the cardholder and the procurement office research any questionable charges. US Bank is notified immediately of any charges that are not made by college personnel. The bank will cancel the card and reissue a new one to the holder. Once US Bank verifies the fraudulent charges, the charges are credited to college on the next monthly statement. Additionally, the employee cardholder must sign an official affidavit provided by US Bank testifying they did not make the charges in question. The Office of State Procurement prescribes this process.</p>
<p><i>Finding No. 2 REPEAT:</i></p>	<p>Financial Statements are the responsibility of the College's management and should be presented in conformity with accounting principles generally accepted in the United States of America. The College has policies and procedures to properly record and classify transactions in the financial statements; however, a material misstatement in the financial statements was detected during the audit. This misstatement had no effect on the College's reported net position at June 30, 2019; and the financial statements were subsequently corrected by College personnel during audit fieldwork. The misstatement in the Comparative Statement of Revenues, Expenses, and Changes in Net Position consisted of an understatement of supplies and other services expenditures and an overstatement of administration building remediation costs by \$586,551.</p> <p>A similar finding was reported in the previous four audits.</p>
<p><i>Institution's Response:</i></p>	<p>The misclassification involved the capitalization of the reconstruction costs of the administration building that was damaged by a fire in April 2018. The costs capitalized for the new roof were included in the Remediation Costs, but the journal entry to record the capitalization was deducted from Supplies and Service expenditures. The VPFA/Controller's office will review the supporting documentation for the financial statements in more detail to ensure that the financial statements accurately report the information reported.</p>

SOUTHERN ARKANSAS UNIVERSITY TECH

Jason Morrison, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Southern Arkansas University Tech is a public, not-for-profit, two-year college that specializes in technical training and offers the first two years of a university transfer program. The college is located approximately 100 miles south of Little Rock in the south central section of the State. Primarily, SAU Tech serves the counties of Ouachita, Columbia, Dallas, and Calhoun. According to the U.S. Census Bureau, Census 2010, population diversity in this service area is composed of 59.3% White, 37.6% Black or African American, 3.1% Other ethnic origins

The General Assembly of Arkansas created Southwest Technical Institute (SWTI) in 1967, with the purpose of providing a technically trained workforce for the growing Highland Industrial Park in which the institution was located. The Brown Foundation of Houston, Texas, which purchased the Shumaker Naval Ammunition Depot for use as an Industrial Park, donated 70 acres of land and 6 buildings, and this formed the initial physical facilities of the school. The State Board of Education operated SWTI until 1975 when, by an Act of the Arkansas legislature, Southwest Technical Institute became Southern Arkansas University Tech (SAU Tech), under the governance of the Board of Trustees of Southern Arkansas University. With this change, the college came under the jurisdiction of the Arkansas Department of Higher Education with the authority to grant up to and including the associate of arts, associate of science, and associate of applied science degrees.

In addition to offering traditional classroom courses, SAU Tech was one of the first colleges in Arkansas to receive approval through The Higher Learning Commission to offer its degrees online, and the status of its online offerings continues to thrive. SAU Tech is a diverse institution with several non-traditional programs under its umbrella. The college enjoys a sizeable enrollment in its high school concurrent credit program, which services eight counties in south Arkansas. The college also operates the Career Academy, which provides secondary vocational education programs for ten high schools in five surrounding counties. In addition, the college's Adult Education of Calhoun, Columbia and Ouachita Counties program provides basic skills training to adults with less than a high school education. Additionally, SAU Tech operates the Environmental Training Academy with a mission of providing statewide training to managers and operating personnel in the operation of city and industrial water, wastewater, and solid waste facilities as well as backflow

SOUTHERN ARKANSAS UNIVERSITY TECH

Jason Morrison, Chancellor

prevention certification. Also under SAU Tech's umbrella is the Arkansas Fire Training Academy. Through operations at the main site in Camden and through satellite operations in northeast, northwest, and central Arkansas, the Arkansas Fire Training Academy provides quality training and certification on a statewide basis to Arkansas fire services for fire and related emergency service programs. It also provides limited firefighter training on an international basis.

SAU Tech is unique in that it is the only college or university in the state that is located in an industrial park. Its location provides broad exposure to the industries located in the park and makes it well situated to address the educational needs of its industrial neighbors. SAU Tech understands its role in economic development and workforce training in south Arkansas through training opportunities for area business and industry as well as collaboration with other public and private entities in the area to develop strategies for economic growth.

The college's core values define the qualities and principles the institution regards as priorities and by which it will conduct its operations. Through The Higher Learning Commission's AQIP process, SAU Tech transformed its strategic plan, which included the development of a challenging vision statement that positions the institution for "*Leading Arkansas in Economic and Educational Transformations.*" SAU Tech recognizes its function as a two-year college in south Arkansas is multifaceted. The college's mission provides for quality educational programs delivered through various technologies and methodologies to meet the needs of its constituencies. SAU Tech believes it meets this mission through diverse programs, which afford educational opportunities to high school students, traditional as well as non-traditional students, adults requiring a high school equivalent, employees in the surrounding workforce, and statewide certification/licensure training. The college's strategic goals support its mission and focus on student success, access to higher education, quality programs, accountability and institutional effectiveness, resource development, and partnerships, collaborative efforts, and workforce and economic development.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,701,373		5,800,654		5,922,288		6,150,071		6,150,071		6,150,071		6,150,071	
2 CASH	9,136,688		16,973,800		16,973,800		14,321,180		14,321,180		14,321,180		14,321,180	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$14,838,061	149	\$22,774,454	189	\$22,896,088	240	\$20,471,251	240	\$20,471,251	240	\$20,471,251	240	\$20,471,251	240
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	236,997	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,648,456	37%	5,292,367	23%			5,786,719	28%	5,786,719	28%	5,786,719	28%	5,786,719	28%
14 EDUCATIONAL EXCELLENCE TRUST FUND	235,253	2%	242,762	1%			242,762	1%	242,762	1%	242,762	1%	242,762	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	6,242,539	41%	13,685,406	60%			10,821,180	53%	10,821,180	53%	10,821,180	53%	10,821,180	53%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,894,149	19%	3,288,394	14%			3,500,000	17%	3,500,000	17%	3,500,000	17%	3,500,000	17%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	54,661	0%	57,056	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,075,058	100%	\$22,802,982	100%			\$20,350,661	100%	\$20,350,661	100%	\$20,350,661	100%	\$20,350,661	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$236,997)		(\$28,528)				\$120,590		\$120,590		\$120,590		\$120,590	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,943,596
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$507,150
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$25,000
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$600,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,000,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,631,446

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,694,708	3,840,000	3,840,000	3,987,694	3,987,694		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	955,490	1,020,383	1,020,383	1,059,629	1,059,629		
5 OPERATING EXPENSES	899,451	900,271	908,632	943,580	943,580		
6 CONFERENCE FEES & TRAVEL	50,575	20,000	51,091	53,056	53,056		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	101,149	20,000	102,182	106,112	106,112		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$5,701,373	\$5,800,654	\$5,922,288	\$6,150,071	\$6,150,071	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		236,997					
15 GENERAL REVENUE	5,648,456	5,292,367		5,786,719	5,786,719		
16 EDUCATIONAL EXCELLENCE TRUST FUND	235,253	242,762		242,762	242,762		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	54,661	57,056					
21 TOTAL INCOME	\$5,938,370	\$5,829,182		\$6,029,481	\$6,029,481	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$236,997)	(\$28,528)		\$120,590	\$120,590	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,343,372	2,723,800	2,723,800	2,996,180	2,996,180		
2 EXTRA HELP WAGES	92,846	125,000	125,000	137,500	137,500		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	916,242	1,025,000	1,025,000	1,127,500	1,127,500		
5 OPERATING EXPENSES	2,587,848	3,000,000	3,000,000	3,300,000	3,300,000		
6 CONFERENCE FEES & TRAVEL	52,989	150,000	150,000	165,000	165,000		
7 PROFESSIONAL FEES AND SERVICES	26,831	200,000	200,000	220,000	220,000		
8 CAPITAL OUTLAY	464,741	500,000	500,000	550,000	550,000		
9 CAPITAL IMPROVEMENTS	1,973,431	8,500,000	8,500,000	5,000,000	5,000,000		
10 DEBT SERVICE	248,627	275,000	275,000	302,500	302,500		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	429,761	475,000	475,000	522,500	522,500		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,136,688	\$16,973,800	\$16,973,800	\$14,321,180	\$14,321,180	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,266,935	4,228,384		4,500,000	4,500,000		
19 ALL OTHER FEES	647,920	729,958		800,000	800,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	95,554	100,500		125,000	125,000		
21 INVESTMENT INCOME	54,431	85,000		100,000	100,000		
22 FEDERAL CASH FUNDS	2,894,149	3,288,394		3,500,000	3,500,000		
23 OTHER CASH FUNDS	2,177,699	8,541,564		5,296,180	5,296,180		
24 TOTAL INCOME	\$9,136,688	\$16,973,800		\$14,321,180	\$14,321,180	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	149	189	240	240	240	
TOBACCO POSITIONS						
EXTRA HELP **	175	175	175	175	175	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	150,275	335,399		(185,124)	171,000	367,850		(196,850)
2 HOUSING	408,405	380,734		27,671	392,620	392,620		0
3 FOOD SERVICES	42,276	49,398		(7,122)	60,000	60,000		0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER		474		(474)				0
8 SUBTOTAL	\$600,956	\$766,005	\$0	(\$165,049)	\$623,620	\$820,470	\$0	(\$196,850)
9 ATHLETIC TRANSFER **	181,159			181,159	196,850			196,850
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$782,115	\$766,005	\$0	\$16,110	\$820,470	\$820,470	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Prior year adjustment and cash short/over

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>127</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>4</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>8</u>
White Female:	<u>11</u>	Black Female:	<u>6</u>	Other Female:	<u>0</u>	Total Female: <u>17</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>13</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>16</u>
White Female:	<u>11</u>	Black Female:	<u>12</u>	Other Female:	<u>1</u>	Total Female: <u>24</u>
Faculty:						
White Male:	<u>24</u>	Black Male:	<u>4</u>	Other Male:	<u>2</u>	Total Male: <u>30</u>
White Female:	<u>28</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>32</u>
Total White Male:	<u>41</u>	Total Black Male:	<u>11</u>	Total Other Male:	<u>2</u>	Total Male: <u>54</u>
Total White Female:	<u>50</u>	Total Black Female:	<u>22</u>	Total Other Female:	<u>1</u>	Total Female: <u>73</u>
Total White:	<u>91</u>	Total Black:	<u>33</u>	Total Other:	<u>3</u>	Total Employees: <u>127</u>
				Total Minority:	<u>36</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2020
Required by A.C.A. 25-36-104**

Institution

SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
Dr. Jason Morrison, Chancellor**

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Environmental Training Academy is a division of Southern Arkansas University Tech in Camden, Arkansas. The Academy is the designated Environmental Training Center for the State of Arkansas. Created in the early 1970's, the Academy is mandated by the State Legislature to provide environmental education and training programs for municipal and industrial operator licensing Programs administered by the Arkansas Department of Health and the Arkansas Department of Environmental Quality. The Academy staff is comprised of (1) Director, (1) Assistant Director, (5) Program Coordinator/Instructors, (20) Part-time (Adjunct) Instructors and (2) Administrative Staff.

The Academy is made up of five distinct divisions:

- Water
- Wastewater
- Backflow Prevention
- Solid Waste Management
- Environmental Health & Safety

Institutional Goals, Objectives and Strategies:

The Goal of the Arkansas Environmental Training Academy is to provide quality training and certification services that lead to the protection and sustainability of the state's natural resources and the public health and welfare of the citizens of the State of Arkansas. This goal is accomplished by providing training that leads to state licensure for our students. Academy services are provided on-campus, off-campus and by Internet delivery to municipal, private, and industrial

SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
Dr. Jason Morrison, Chancellor

personnel statewide.

The Objective of the Academy is to provide quality training programs that enable municipal, private, and industrial personnel to achieve and/or maintain Arkansas Energy and Environment Division of Environmental Quality and/or Arkansas Department of Health licensure and certification programs.

The strategies involved to accomplishing these objectives include:

- Continually monitor, review, and revise our training programs to ensure they meet the latest state and federal regulations and guidelines.
- Evaluate student learning by giving pre-exams, post-exams and written classroom quizzes in all courses and monitor the pass/fail rates for applicable certification and licensure exams.
- Provide professional development opportunities for full-time and part-time staff.
- Deliver Water, Wastewater, Backflow, Solid Waste, and Environmental Health and Safety training at locations statewide.
- Deliver training via alternative learning methodologies, such as internet delivery.
- Continue to identify industry groups for the purpose of developing partnerships and to identify training needs to better serve the State of Arkansas.
- The AETA is partnered with the Texas A&M Engineering Extension Service (TEEX) as an OSHA Host Training Organization for the state of Arkansas. The AETA will provide OSHA safety training statewide.

**SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
Dr. Jason Morrison, Chancellor**

- Southern Arkansas University Tech, through Portfolio Development, provides college credit for AETA non-credit training. AETA Students can earn college credit towards the Associate of Professional Studies Degree, with an Emphasis in Environmental Management.

Training Statistics:

AETA FY 2019-2020 Biennium Training Statistics:

- Number of Classes: **614**
- Number of Students: **6,356**
- Number of Training Hours: **10,050**

The Short Term:

The Academy has achieved growth in our programs while our budget has remained flat or decreased. With the lack of sufficient funding, the Academy has sought state and federal training grants to balance our budget, those grants have all expired.

The Long Term Needs:

Due to the success and growth of our programs and the increasing demand for training state wide, the Academy is requesting an increase in funding of \$150,000 annually to hire an Instructor, Administrative Specialists I and provide revenue to support training statewide.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	392,259		418,940		521,098		526,223		526,223		526,223		526,223	
2 CASH	255,493		497,213		497,213		546,934		546,934		546,934		546,934	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$647,752	20	\$916,153	21	\$1,018,311	26	\$1,073,157	26	\$1,073,157	26	\$1,073,157	26	\$1,073,157	26
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	22,089	2%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	375,035	56%	356,284	39%			485,656	45%	485,656	45%	485,656	45%	485,656	45%
14 EDUCATIONAL EXCELLENCE TRUST FUND	39,313	6%	40,567	4%			40,567	4%	40,567	4%	40,567	4%	40,567	4%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	255,493	38%	497,213	54%			546,934	51%	546,934	51%	546,934	51%	546,934	51%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$669,841	100%	\$916,153	100%			\$1,073,157	100%	\$1,073,157	100%	\$1,073,157	100%	\$1,073,157	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$22,089)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$852,112
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$10,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$572,112

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2021-2023 BIENNIUM (Non-Formula Entities)**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2019-2020 ACTUAL	2020-2021 BUDGETED *	2021-2023 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2021-2022		2022-2023	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	269,944	393,713	461,429	461,429	461,429	461,429
2 INSTITUTIONAL SUPPORT	285,195	302,115	351,108	351,108	351,108	351,108
3 OPERATION/MAINTENANCE PLANT	9,464	11,776	13,686	13,686	13,686	13,686
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$564,603	\$707,604	\$826,223	\$826,223	\$826,223	\$826,223
17 NET LOCAL INCOME	150,250	310,753	300,000	300,000	300,000	300,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	375,035	356,284	485,656	485,656	485,656	485,656
20 EDUCATIONAL EXCELLENCE	39,318	40,567	40,567	40,567	40,567	40,567
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$564,603	\$707,604	\$826,223	\$826,223	\$826,223	\$826,223

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	253,604	283,465	310,162	310,910	310,910		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	54,873	40,000	65,821	65,980	65,980		
5 OPERATING EXPENSES	73,209	84,747	134,387	138,580	138,580		
6 CONFERENCE FEES & TRAVEL	10,573	10,728	10,728	10,753	10,753		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$392,259	\$418,940	\$521,098	\$526,223	\$526,223	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		22,089					
15 GENERAL REVENUE	375,035	356,284		485,656	485,656		
16 EDUCATIONAL EXCELLENCE TRUST FUND	39,313	40,567		40,567	40,567		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$414,348	\$418,940		\$526,223	\$526,223	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$22,089)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2170000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION A67

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	142,566	215,000	215,000	236,500	236,500		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	64,598	109,000	109,000	119,900	119,900		
5 OPERATING EXPENSES	39,879	41,213	41,213	45,334	45,334		
6 CONFERENCE FEES & TRAVEL	8,450	22,000	22,000	24,200	24,200		
7 PROFESSIONAL FEES AND SERVICES	0	10,000	10,000	11,000	11,000		
8 CAPITAL OUTLAY	0	50,000	50,000	55,000	55,000		
9 CAPITAL IMPROVEMENTS	0	50,000	50,000	55,000	55,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$255,493	\$497,213	\$497,213	\$546,934	\$546,934	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	242,585	300,000		350,000	350,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	805	1,000		2,000	2,000		
21 INVESTMENT INCOME	12,103	15,000		20,000	20,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS		181,213		174,934	174,934		
24 TOTAL INCOME	\$255,493	\$497,213		\$546,934	\$546,934	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	20	21	26	26	26	
TOBACCO POSITIONS						
EXTRA HELP **	50	50	50	50	50	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>12</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>2</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>2</u>
Faculty:						
White Male:	<u>6</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>6</u>
White Female:	<u>2</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>2</u>
Total White Male:	<u>8</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>8</u>
Total White Female:	<u>3</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>4</u>
Total White:	<u>11</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>12</u>
				Total Minority:	<u>1</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS FIRE TRAINING ACADEMY
Dr. Jason Morrison**

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Fire Training Academy (AFTA) was established in 1967 and is responsible education and training of approximately 15,000 firefighters in the Great State of Arkansas. Fire departments are paid, part-paid and volunteer. The AFTA manages training by offering classes at the main campus in Camden, satellites in Lincoln and Jonesboro, or in fire departments. Classes are offered days, nights and weekends to meet the scheduling needs of the fire departments. The AFTA generated 19,441 certificates and sponsored or taught 2,355 classes from July 2018 to June 2019.

The AFTA manages classes with the following staff:

2 administrators
9 instructors
8 classified staff
Part-time instructors as needed

TO SET THE OVERALL CONTEXT FOR THE REQUEST, IDENTIFY INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES BEHIND THE APPROPRIATION REQUEST. ADDRESS BOTH SHORT AND LONG TERM INSTITUTIONAL OBJECTIVES.

I. Arkansas Fire Training Academy Mission Statement

The mission of the Arkansas Fire Training Academy is to provide quality training and certification in fire and related emergency service programs to the Arkansas Fire Service in an effective and efficient manner.

SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS FIRE TRAINING ACADEMY
Dr. Jason Morrison

II. Institutional Goals

The AFTA is committed to providing quality training programs to fire service personnel throughout the state by various technologies and methodologies to meet the needs of the fire service. The Academy is also committed to certifying fire service personnel throughout the state by meeting requirements of the applicable National Fire Protection Association (NFPA) Professional Qualifications for Fire Service Personnel. In addition, The AFTA shall support fire departments by maintaining the State's National Fire Incident Reporting System thereby helping participating departments become eligible for specific federal grants. Within its resources, the AFTA will accomplish its missions by offering on-campus training and certification services, off-campus training and certification services by direct deliveries, regional deliveries, and by alternative delivery methodologies as well as support services.

III. Programs and Program Definitions:

Program 1 Name and Definition: Training - Fire service programs are established from recognized standards or identified needs.

Goal 1: To provide quality training programs that prepare fire service personnel at the Camden site, at remote AFTA sites, at regional facilities or at individual fire departments.

Objective 1: To provide quality training programs to prepare the fire service personnel to become certified to function at one of the identified NFPA Professional Qualifications Standards or portions thereof, one of the levels identified for individual departments to receive Act 833 monies and/or other fire service training needs that are identified.

SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS FIRE TRAINING ACADEMY
Dr. Jason Morrison

Objective Strategy: Constantly review and revise training programs to ensure they meet the latest standard(s) and reference sources.

Objective Strategy: Evaluate the student learning, establishing competencies and measuring student performances.

Objective Strategy: Provide professional development opportunities for full-time and part-time staff.

Objective Strategy: Participate in fire service conferences and workshops to ensure fire service training needs are being addressed.

Goal 2: To provide a quality certification system for all fire service personnel.

Program 2 Name and Definition: Certification: To provide a certification system that addresses student evaluation, record-keeping, and support services, whereby it can be determined if the student is competent to be certified at one of the NFPA Professional Qualifications Standard levels.

Goal 1: To provide a certification system that is fair and equitable to all fire service personnel.

Objective 1: All cognitive test items shall be cross-referenced against applicable standards or objectives and reference documents.

Objective Strategy: Written test items shall have a rubric constructed for evaluation purposes.

Objective 2: All psychomotor (skill) test items shall have a rubric constructed for evaluation purposes.

SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS FIRE TRAINING ACADEMY
Dr. Jason Morrison

Objective Strategy: Psychomotor or skill test items shall be validated by subject matter experts upon completion or revision and before implementation.

Objective 3: All certification testing shall be conducted in accordance with established adopted rules and guidelines.

Objective Strategy : All potential AFTA proctors shall be provided a copy of the rules and regulations concerning certification testing and shall evaluate students in accordance with the document.

Goal 2: To have certification programs by an independent, non-governmental party to validate the process and program.

Objective 1: Certification programs shall be submitted to applicable accrediting bodies for accreditation.

Objective Strategy: Programs shall be developed to meet the certification and accreditation needs respectively.

Objective Strategy: Upon standard or resource changes, the programs shall be updated in accordance with accrediting body recommendations

Program 3 Name and Definition: NFIRS: The National Fire Incident Reporting System is required and adopted by the State Code and the AFTA. The AFTA is designated as the agency to receive and process fire incident reports for the State of Arkansas.

Goal 1: Within resources, to maintain the state/national fire incident reporting system and assist departments when applicable.

**SOUTHERN ARKANSAS UNIVERSITY TECH
ARKANSAS FIRE TRAINING ACADEMY
Dr. Jason Morrison**

Objective 1: Receive and process fire department incident reports for participating fire departments in the state.

Objective Strategy: Publish where incident reports are to be sent and assist departments in setting up their system to allow for maximum interface with the AFTA.

Objective Strategy: Process reports received in a timely manner

Objective Strategy: Advise departments on participation.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	1,681,439		1,798,574		2,449,491		2,776,226		2,776,226		2,776,226		2,776,226	
2 CASH	161,039		732,955		732,955		806,251		806,251		806,251		806,251	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$1,842,478	45	\$2,531,529	44	\$3,182,446	67	\$3,582,477	67	\$3,582,477	67	\$3,582,477	67	\$3,582,477	67
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	99,003	4%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	1,680,943	87%	1,596,896	63%			2,673,551	75%	2,673,551	75%	2,673,551	75%	2,673,551	75%
14 EDUCATIONAL EXCELLENCE TRUST FUND	99,499	5%	102,675	4%			102,675	3%	102,675	3%	102,675	3%	102,675	3%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	161,039	8%	532,955	21%			556,251	16%	556,251	16%	556,251	16%	556,251	16%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	200,000	8%			250,000	7%	250,000	7%	250,000	7%	250,000	7%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$1,941,481	100%	\$2,531,529	100%			\$3,582,477	100%	\$3,582,477	100%	\$3,582,477	100%	\$3,582,477	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$99,003)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$704,855
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$30,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$250,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$304,855

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2021-2023 BIENNIUM (Non-Formula Entities)**

ARKANSAS FIRE TRAINING ACADEMY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2019-2020 ACTUAL	2020-2021 BUDGETED *	2021-2023 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2021-2022		2022-2023	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,020,028	965,331	1,341,462	1,341,462	1,341,462	1,341,462
2 STUDENT SERVICES	95,529	137,953	191,705	191,705	191,705	191,705
3 INSTITUTIONAL SUPPORT	517,027	597,722	830,618	830,618	830,618	830,618
4 OPERATIONS/MAINT PLANT	262,085	225,873	637,441	637,441	637,441	637,441
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,894,669	\$1,926,879	\$3,001,226	\$3,001,226	\$3,001,226	\$3,001,226
17 NET LOCAL INCOME	114,227	227,308	225,000	225,000	225,000	225,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,680,943	1,596,896	2,673,551	2,673,551	2,673,551	2,673,551
20 EDUCATIONAL EXCELLENCE	99,499	102,675	102,675	102,675	102,675	102,675
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$1,894,669	\$1,926,879	\$3,001,226	\$3,001,226	\$3,001,226	\$3,001,226

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	779,605	1,012,003	1,133,439	1,214,022	1,214,022		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	238,956	250,040	318,599	348,277	348,277		
5 OPERATING EXPENSES	601,343	461,531	840,762	919,081	919,081		
6 CONFERENCE FEES & TRAVEL	11,535	50,000	106,026	115,903	115,903		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	50,000	25,000	50,665	178,943	178,943		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,681,439	\$1,798,574	\$2,449,491	\$2,776,226	\$2,776,226	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		99,003					
15 GENERAL REVENUE	1,680,943	1,596,896		2,673,551	2,673,551		
16 EDUCATIONAL EXCELLENCE TRUST FUND	99,499	102,675		102,675	102,675		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,780,442	\$1,798,574		\$2,776,226	\$2,776,226	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$99,003)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2170000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION A66

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	0	150,000	150,000	210,000	210,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	17,778	50,000	50,000	55,000	55,000		
5 OPERATING EXPENSES	51,893	100,000	100,000	110,000	110,000		
6 CONFERENCE FEES & TRAVEL	0	55,000	55,000	60,500	60,500		
7 PROFESSIONAL FEES AND SERVICES	0	77,955	77,955	85,751	85,751		
8 CAPITAL OUTLAY	91,368	100,000	100,000	110,000	110,000		
9 CAPITAL IMPROVEMENTS	0	200,000	200,000	175,000	175,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$161,039	\$732,955	\$732,955	\$806,251	\$806,251	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	146,454	350,000		400,000	400,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	5,726	50,000		75,000	75,000		
21 INVESTMENT INCOME	8,859	15,000		25,000	25,000		
22 FEDERAL CASH FUNDS		200,000		250,000	250,000		
23 OTHER CASH FUNDS		117,955		56,251	56,251		
24 TOTAL INCOME	\$161,039	\$732,955		\$806,251	\$806,251	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	45	44	67	67	67	
TOBACCO POSITIONS						
EXTRA HELP **	55	55	55	55	55	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS FIRE TRAINING ACADEMY
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>27</u>
						(As of November 1, 2019)
Nonclassified Administrative Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>0</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>7</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>8</u>
Faculty:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>2</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>2</u>
Total White Male:	<u>15</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>0</u>	Total Male: <u>16</u>
Total White Female:	<u>10</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>11</u>
Total White:	<u>25</u>	Total Black:	<u>2</u>	Total Other:	<u>0</u>	Total Employees: <u>27</u>
				Total Minority:	<u>2</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

ARKANSAS FIRE TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH
June 30, 2019**

<i>Finding:</i>	No Findings noted
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SOUTHEAST ARKANSAS COLLEGE

Steven Bloomberg, President

ENABLING LAWS

Act 1244 of 1991, Act 88 of 2014

INSTITUTION HISTORY AND ORGANIZATION

MISSION STATEMENT

Southeast Arkansas College is committed to building a community of lifelong learners who will become the leaders of tomorrow.

INSTITUTION HISTORY AND ORGANIZATION

Southeast Arkansas College was created by Act 1244 of the 78th General Assembly of the State of Arkansas, which was signed into law by the Governor on April 17, 1991.

As contained in Act 1244, "The purpose of this Act is to serve as legislative charter . . . for the establishment, organization and administration of a system of educational institutions throughout the state offering courses of instruction in technical, vocational and adult education programs, industry training and two-year college transfer programs. The system established under this Act shall provide educational programs which are easily accessible by all segments of the population to benefit from training, retraining or upgrade training for employment and which is highly responsive to individuals needing to achieve basic, general and specialized education to meet the needs of the workplace."

The Act further states that "technical college means an institution of higher education established under this Act dedicated primarily to the educational needs of the service area offering a comprehensive program including, but without limitation, vocational, trade and technical specialty courses and programs, courses in general adult education and courses comparable in content and quality to freshman and sophomore courses which may carry transfer credit to a four-year institution in a chosen course of study."

The above act re-designated and redefined the mission of eleven (11) existing postsecondary vocational-technical

SOUTHEAST ARKANSAS COLLEGE

Steven Bloomberg, President

schools located throughout the State to technical colleges. Similarly, state authority for these institutions was transferred from the Arkansas Board of Vocational-Technical Education to the Arkansas Board of Higher Education. The latter serves as the state coordinating agency for all public universities, community colleges and technical colleges in the State of Arkansas.

The predecessor of Southeast Arkansas College was Pines Vocational-Technical School, which began offering postsecondary vocational-technical programs as Arkansas Vocational-Technical School on September 21, 1959. With the enactment of Act 1244, on July 1, 1991, all land, buildings, equipment, and personnel associated with Pines Vocational-Technical School was transferred to Pines Technical College. In October of 1991, the Governor appointed the Charter members of the Pines Technical College Board of Trustees. The College's first president was appointed by the Board of Trustees effective December 1, 1992. In order to better reflect the College's service area, the College changed its name from Pines Technical College to Southeast Arkansas Technical College on July 1, 1996. The word "Technical" was removed from the College's name on July 8, 1998.

General Revenue Request

Southeast Arkansas College is a formula driven entity and did not make a request for general revenue. The decrease in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board based upon the funding formula results.

Cash Appropriation Request

Southeast Arkansas College is not requesting an increase in Cash Appropriation.

Personal Service Request

Southeast Arkansas College is not requesting additional positions for the 2021-23 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,375,885		7,431,229		7,621,361		7,509,228		7,509,228		7,509,228		7,509,228	
2 CASH	3,653,259		34,700,000		34,700,000		34,700,000		34,700,000		34,700,000		34,700,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,029,144	352	\$42,131,229	362	\$42,321,361	362	\$42,209,228	362	\$42,209,228	362	\$42,209,228	362	\$42,209,228	362
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	233,747	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,580,430	50%	5,221,887	12%			5,386,789	13%	5,386,789	13%	5,386,789	13%	5,386,789	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,975,199	18%	1,975,199	5%			1,975,199	5%	1,975,199	5%	1,975,199	5%	1,975,199	5%
16 CASH FUNDS	3,501,992	31%	31,700,000	75%			31,700,000	75%	31,700,000	75%	31,700,000	75%	31,700,000	75%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	151,267	1%	3,000,000	7%			3,000,000	7%	3,000,000	7%	3,000,000	7%	3,000,000	7%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	54,003	0%	56,368	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$11,262,891	100%	\$42,187,201	100%			\$42,061,988	100%	\$42,061,988	100%	\$42,061,988	100%	\$42,061,988	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$233,747)		(\$55,972)				\$147,240		\$147,240		\$147,240		\$147,240	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,526,351
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$318,158
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,271,031
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$927,162

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	4,994,661	5,075,199	5,600,000	5,600,000	5,600,000		
2 EXTRA HELP WAGES	600,000	584,669	750,000	750,000	750,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,600,000	1,600,000	1,100,000	1,100,000	1,100,000		
5 OPERATING EXPENSES	180,827	171,361	171,361	59,228	59,228		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP	397						
11							
12							
13 TOTAL APPROPRIATION	\$7,375,885	\$7,431,229	\$7,621,361	\$7,509,228	\$7,509,228	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		233,747					
15 GENERAL REVENUE	5,580,430	5,221,887		5,386,789	5,386,789		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,975,199	1,975,199		1,975,199	1,975,199		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	54,003	56,368					
21 TOTAL INCOME	\$7,609,632	\$7,487,201		\$7,361,988	\$7,361,988	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$233,747)	(\$55,972)		\$147,240	\$147,240	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,087,421	2,000,000	2,000,000	2,000,000	2,000,000		
2 EXTRA HELP WAGES	149,573	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	0	200,000	200,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	360,287	1,800,000	1,800,000	1,800,000	1,800,000		
5 OPERATING EXPENSES	1,683,166	5,000,000	5,000,000	5,000,000	5,000,000		
6 CONFERENCE FEES & TRAVEL	70,412	500,000	500,000	500,000	500,000		
7 PROFESSIONAL FEES AND SERVICES	123,104	1,500,000	1,500,000	1,500,000	1,500,000		
8 CAPITAL OUTLAY	179,296	15,000,000	15,000,000	15,000,000	15,000,000		
9 CAPITAL IMPROVEMENTS	0	6,000,000	6,000,000	6,000,000	6,000,000		
10 DEBT SERVICE	0	500,000	500,000	500,000	500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,200,000	1,200,000	1,200,000	1,200,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$3,653,259	\$34,700,000	\$34,700,000	\$34,700,000	\$34,700,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,136,963	2,700,810		3,000,000	3,000,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	98,281	125,000		125,000	125,000		
22 FEDERAL CASH FUNDS	151,267	3,000,000		3,000,000	3,000,000		
23 OTHER CASH FUNDS	266,748	28,874,190		28,575,000	28,575,000		
24 TOTAL INCOME	\$3,653,259	\$34,700,000		\$34,700,000	\$34,700,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	352	362	362	362	362	
TOBACCO POSITIONS						
EXTRA HELP **	200	200	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	43,156	104,528	0	(61,372)				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	20,237	218,768		(198,531)				0
8 SUBTOTAL	\$63,393	\$323,296	\$0	(\$259,903)	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$63,393	\$323,296	\$0	(\$259,903)	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: SEARK at Seabrook Wellness Facility

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>168</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>4</u>	Black Male:	<u>5</u>	Other Male:	<u>1</u>	Total Male: <u>10</u>
White Female:	<u>9</u>	Black Female:	<u>6</u>	Other Female:	<u>2</u>	Total Female: <u>17</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>8</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>13</u>	Black Female:	<u>17</u>	Other Female:	<u>2</u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>33</u>	Black Male:	<u>8</u>	Other Male:	<u>1</u>	Total Male: <u>42</u>
White Female:	<u>25</u>	Black Female:	<u>28</u>	Other Female:	<u>0</u>	Total Female: <u>53</u>
Total White Male:	<u>42</u>	Total Black Male:	<u>21</u>	Total Other Male:	<u>3</u>	Total Male: <u>66</u>
Total White Female:	<u>47</u>	Total Black Female:	<u>51</u>	Total Other Female:	<u>4</u>	Total Female: <u>102</u>
Total White:	<u>89</u>	Total Black:	<u>72</u>	Total Other:	<u>7</u>	Total Employees: <u>168</u>
				Total Minority:	<u>79</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

SOUTHEAST ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$149,400
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2019**

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Deborah J. Frazier, Chancellor

ENABLING LAWS Act 1244 of 1991; Act 133 of 2020

INSTITUTION HISTORY AND ORGANIZATION

Gateway Vocational Technical School came into existence in 1975 to serve the needs of a four-county region of Northeast Arkansas. The mission of the school at that time was to provide postsecondary skills training for immediate employment in areas such as auto mechanics, welding, building trades, office occupations, and practical nursing.

Act 1244 of 1991, “Two-year Postsecondary Education Reorganization Act of 1991”, converted fourteen of the state’s vocational technical schools into technical colleges or branches of other institutions. Act 1244 also mandated that the new colleges achieve NCA accreditation within an established time frame. In September 1995 NCA granted initial candidacy to Gateway Technical College. In the fall of 1996 NCA extended initial candidacy to the College’s new Associate of Arts program. In October 1997 Gateway Technical College was merged with the University of Arkansas System and with the passage of a county sales tax in March 1998 became the University of Arkansas Community College at Batesville. This merger provided the campus with support from a state wide system that includes a total of 11 campuses and the UA Division of Agriculture, UA Clinton School of Public Service, UA Criminal Justice Institute, Arkansas Archeological Survey and the Arkansas School for Mathematics, Sciences & the Arts. The current appropriation authority is pursuant to Act 260 of 2019.

Oversight for the college is through the University of Arkansas System and a 10-member Board of Trustees which is appointed by the governor. A local Board of Visitors, composed of 12 members, provides guidance for the operation of the college. UACCB is accredited by the Higher Learning Commission and is a member of the North Central Association.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Deborah J. Frazier, Chancellor

MISSION STATEMENT

The University of Arkansas Community College at Batesville provides quality educational opportunities within a supportive learning environment. We promote success through community partnerships, responsive programs, and an enduring commitment to improvement.

VALUES

At UACCB we value... UACCB will be recognized for excellence in
Unity through collaboration education, leadership, service, and innovation
Achievement in educational goals in response to education, economic and
Commitment to excellence social needs.
Contribution to community
Balance in learning and life

VISION

UACCB will be recognized for excellence in education, leadership, service, and innovation in response to education, economic and social needs.

GOALS

In order to accomplish its mission and fulfill its vision, UACCB has established a set of strategic goals. Each organizational area is expected to work toward its respective goals and correspondingly contribute to the greater strategic institutional efforts.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

BUDGET ASSUMPTIONS AND PHILOSOPHY

The revenue forecast for FY2021 is \$3,510,612 in Category A, \$206,507 in Category, \$206,507 in Category B and \$206,507 in Category C. Workforce 2000 funds of \$866,760 is also projected to be funded. The administrative cabinet members worked with their area budget managers in developing this budget. The FY21 budget sets a direction toward improving student success and retention and meeting the needs of our community through community-based programs. The budget is more closely aligned with the institution's strategic plan.

The operating budget was developed assuming the following:

- State appropriations will be \$4,790,386. All of categories A, B and C.
- Revenue from the ¼ cent county sales tax is projected to generate \$1,500,000
- Department operating budgets were formulated very closely to the 2020 levels
- Continuation of third-party vendors for custodial services and security
- Debt service for FY21 will be set at \$207,556. This debt service is for the Workforce Training Center

ESTIMATED REVENUES

Revenues for FY21 projections are \$3,923,626 for general revenues, Workforce 2000 at \$866,760 and local sales tax projections at \$1,500,000.

BUDGET ALLOCATIONS

The budget was prepared with sensitivity to the projected needs of the campus as it continues to develop and cope with the economic situation. Reallocations have been made to cover the cost of departmental budgets.

Scholarships remain a very important part of the UACCB mission. The FY21 budget has funded our student scholarship account at \$450,000, as increase from FY20. This budget funds institutional scholarships and financial awards.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

Debt service for FY21 is \$207,556. This payment is to the Arkansas Revolving Loan Account in which funds were used to assist in the construction of the Workforce Training Center.

PERSONNEL REQUEST

No additional positions over authorized have been requested.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,824,490		4,790,386		5,096,829		4,790,386		4,790,386		5,096,829		5,096,829	
2 CASH	5,492,415		12,921,000		12,921,000		12,921,000		12,921,000		12,921,000		12,921,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,316,905	230	\$17,711,386	223	\$18,017,829	272	\$17,711,386	272	\$17,711,386	272	\$18,017,829	272	\$18,017,829	272
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	237,443	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,195,173	40%	3,923,626	22%			4,192,273	23%	4,192,273	23%	5,096,829	27%	5,096,829	27%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	866,760	8%	866,760	5%			866,760	5%	866,760	5%	866,760	5%	866,760	5%
16 CASH FUNDS	5,492,414	52%	12,921,000	72%			12,921,000	72%	12,921,000	72%	12,921,000	68%	12,921,000	68%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,554,347	100%	\$17,948,829	100%			\$17,980,033	100%	\$17,980,033	100%	\$18,884,589	100%	\$18,884,589	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$237,442)		(\$237,443)				(\$268,647)		(\$268,647)		(\$866,760)		(\$866,760)	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,801,293
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,402,055
INVENTORIES	\$241,173
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$225,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,450,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$150,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$233,065

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTG0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	3,973,714	3,940,386	4,246,829	4,310,214	4,310,214		
2 EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	750,000	750,000	750,000	750,000	750,000		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	776						
11							
12							
13 TOTAL APPROPRIATION	\$4,824,490	\$4,790,386	\$5,096,829	\$5,160,214	\$5,160,214	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		237,443					
15 GENERAL REVENUE	4,195,173	3,923,626		4,192,273	4,192,273		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	866,760	866,760		866,760	866,760		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$5,061,933	\$5,027,829		\$5,059,033	\$5,059,033	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$237,443)	(\$237,443)		\$101,181	\$101,181	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION B39

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	257,454	1,250,000	1,250,000	1,250,000	1,250,000		
2 EXTRA HELP WAGES	94,498	195,000	195,000	195,000	195,000		
3 OVERTIME	0	1,000	1,000	1,000	1,000		
4 PERSONAL SERVICES MATCHING	786,042	1,250,000	1,250,000	1,250,000	1,250,000		
5 OPERATING EXPENSES	2,555,614	3,500,000	3,500,000	3,500,000	3,500,000		
6 CONFERENCE FEES & TRAVEL	120,544	150,000	150,000	150,000	150,000		
7 PROFESSIONAL FEES AND SERVICES	86,306	250,000	250,000	250,000	250,000		
8 CAPITAL OUTLAY	1,591,957	3,500,000	3,500,000	3,500,000	3,500,000		
9 CAPITAL IMPROVEMENTS	0	1,500,000	1,500,000	1,500,000	1,500,000		
10 DEBT SERVICE	0	550,000	550,000	550,000	550,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	750,000	750,000	750,000	750,000		
12 PROMOTIONAL ITEMS	0	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,492,415	\$12,921,000	\$12,921,000	\$12,921,000	\$12,921,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,219,370	3,396,206		3,226,396	3,400,000		
19 ALL OTHER FEES	332,836	124,766		118,528	120,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	48,693	70,000		50,000	50,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	1,891,515	9,330,028		9,526,076	9,351,000		
24 TOTAL INCOME	\$5,492,414	\$12,921,000		\$12,921,000	\$12,921,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$1	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	230	223	272	272	272	
TOBACCO POSITIONS						
EXTRA HELP **	97	100	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	111,708	138,447		(26,739)	105,000	148,846		(43,846)
4 STUDENT UNION				0				0
5 BOOKSTORE	560,446	460,240		100,206	600,000	520,254		79,746
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		36,721		(36,721)				0
7 OTHER	20,501	1,766		18,735	20,000	55,900		(35,900)
8 SUBTOTAL	\$692,655	\$637,174	\$0	\$55,481	\$725,000	\$725,000	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$692,655	\$637,174	\$0	\$55,481	\$725,000	\$725,000	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7: Other - Cosmetology Sales and Services, Independence Hall Rent, Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						100
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	20	Black Male:	0	Other Male:	0	Total Male: 10
White Female:	20	Black Female:	1	Other Female:	1	Total Female: 22
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	5	Black Male:	0	Other Male:	0	Total Male: 5
White Female:	22	Black Female:	0	Other Female:	1	Total Female: 23
Faculty:						
White Male:	12	Black Male:	0	Other Male:	0	Total Male: 12
White Female:	27	Black Female:	1	Other Female:	0	Total Female: 28
Total White Male:	27	Total Black Male:	0	Total Other Male:	0	Total Male: 27
Total White Female:	69	Total Black Female:	2	Total Other Female:	2	Total Female: 73
Total White:	96	Total Black:	2	Total Other:	2	Total Employees: 100
				Total Minority:	4	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
Marcis & Associates	\$239,095		X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,890,600
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 13%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
 June 30, 2019**

<p><i>Finding No. 1:</i></p>	<p>In accordance with Ark. Code Ann. § 6-60-209, we performed tests of the student enrollment data for the year ended June 30, 2019, as reported to the Arkansas Department of Higher Education, to provide reasonable assurance that the data was properly reported. During our review, we noted the following items:</p> <ul style="list-style-type: none"> • Two students out of seventy-nine students tested withdrew prior to the eleventh class day (or equivalent) but were included in enrollment numbers submitted to the Arkansas Department of Higher Education.
<p><i>Institution's Response:</i></p>	<p>The two students in question initiated a complete withdrawal prior to the 11th day census date. The withdrawals were processed. At the same time, faculty are asked to report non-attending students; these two students were not reported. Faculty reports are utilized to build the file submitted to ADHE. UACCB Registrar will inform the UACCB faculty and staff of the ADHE reporting requirements and will provide training to ensure ADHE reporting procedures are followed. The Registrar will emphasize the importance of verifying class attendance records against the updated rosters available in the Student Information System. Notifications and training will be provided to UACCB faculty and staff on the student withdrawal process and of ADHE reporting guidelines to ensure the student's status as of the institution's census date is reflected in the ADHE report. Information Services will review the validation report to address report timing.</p>

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA

Laura Clark, Chancellor

ENABLING LAWS

Act 388 of 1995; Act 40 of 2020

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas at Hope/Texarkana is located on Arkansas Highway 29, at the southern city limits of Hope, Arkansas. Hope is 30 miles east of Texarkana and 110 miles southwest of Little Rock. The College is located on a 72-acre site originally obtained by the citizens of the area for Red River Vocational-Technical School, which was established in 1965. Red River operated as a vocational-technical school until June 30, 1991. On July 1, 1991, Red River Vocational-Technical School officially became Red River Technical College and operated under the guidelines of the Arkansas Department of Higher Education. In 1995, the Arkansas Legislature passed an act that provided for the merger of state two-year colleges and universities. On March 5, 1996, the citizens of Hempstead County approved a 1/4-cent sales tax to support the expansion of the College. On July 1, 1996, Red River Technical College became a division of the University of Arkansas System and was renamed the University of Arkansas Community College at Hope. In 2012, the College expanded its operation to include an instructional facility in Texarkana, Arkansas. The Texarkana instructional site encompasses 22 acres and is located at 3501 U of A Way, Texarkana Arkansas.

MISSION

The mission of the University of Arkansas Community College Hope-Texarkana is to connect students and community partners to quality academic and technical education, beneficial student support services, customized business and industry training, community development endeavors, and prevailing educational opportunities through exemplary and timely educational technologies, effective content delivery methods, and efficient organizational structures in an environment of integrity and respect that fosters student success, economic improvement, and civic and cultural enrichment.

VISION

The University of Arkansas at Hope/Texarkana envisions itself as a twenty-first century model community college. To make our vision a reality the College will reach beyond traditional boundaries so that students can overcome obstacles,

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA
Laura Clark, Chancellor

achieve dreams and reach their full potential. We will empower a network of diverse and committed individuals to work creatively and collaboratively toward shared principles and the common goal of student success. Our learning environments will be nurturing, accepting, personalized and student-focused. We will vow to the community that we serve to always be attentive to our mission, cohesive in our approach and responsive to changing needs.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,305,505		7,089,542		7,089,542		7,118,508		7,118,508		7,118,508		7,118,508	
2 CASH	5,764,310		13,376,197		13,376,197		13,376,197		13,376,197		13,376,197		13,376,197	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,069,815	157	\$20,465,739	165	\$20,465,739	208	\$20,494,705	207	\$20,494,705	207	\$20,494,705	207	\$20,494,705	207
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	666,302	3%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,012,860	39%	4,739,641	23%			5,019,982	25%	5,019,982	25%	5,019,982	25%	5,019,982	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,958,947	15%	1,958,947	9%			1,958,947	10%	1,958,947	10%	1,958,947	10%	1,958,947	10%
16 CASH FUNDS	3,613,526	28%	7,076,197	34%			7,576,197	37%	7,576,197	37%	7,576,197	37%	7,576,197	37%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,150,784	17%	6,300,000	30%			5,800,000	28%	5,800,000	28%	5,800,000	28%	5,800,000	28%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,736,117	100%	\$20,741,087	100%			\$20,355,126	100%	\$20,355,126	100%	\$20,355,126	100%	\$20,355,126	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$666,302)		(\$275,348)				\$139,579		\$139,579		\$139,579		\$139,579	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$2,402,352
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$321,105
INVENTORIES	\$1,061,786
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$3,208
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,101,614
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$235,361)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	4,930,702	5,489,542	5,489,542	5,518,508	5,518,508		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,374,210	1,600,000	1,600,000	1,600,000	1,600,000		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	593						
11							
12							
13 TOTAL APPROPRIATION	\$6,305,505	\$7,089,542	\$7,089,542	\$7,118,508	\$7,118,508	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		666,302					
15 GENERAL REVENUE	5,012,860	4,739,641		5,019,982	5,019,982		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,958,947	1,958,947		1,958,947	1,958,947		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,971,807	\$7,364,890		\$6,978,929	\$6,978,929	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$666,302)	(\$275,348)		\$139,579	\$139,579	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA

APPROPRIATION A98

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,290,795	1,744,197	1,744,197	1,744,197	1,744,197		
2 EXTRA HELP WAGES	104,457	175,000	175,000	175,000	175,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	597,693	700,000	700,000	700,000	700,000		
5 OPERATING EXPENSES	3,223,136	4,250,000	4,250,000	4,250,000	4,250,000		
6 CONFERENCE FEES & TRAVEL	47,018	100,000	100,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES	19,951	250,000	250,000	250,000	250,000		
8 CAPITAL OUTLAY	0	500,000	500,000	500,000	500,000		
9 CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE	481,260	557,000	557,000	557,000	557,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 BLADESMITHING SCHOOL GRANTS, PERSONAL SERVICES AND OPERATING EXPENSES	0	100,000	100,000	100,000	100,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,764,310	\$13,376,197	\$13,376,197	\$13,376,197	\$13,376,197	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,229,345	2,949,965		3,149,965	3,149,965		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	143,256	100,000		100,000	100,000		
21 INVESTMENT INCOME	56,168						
22 FEDERAL CASH FUNDS	2,150,784	6,300,000		5,800,000	5,800,000		
23 OTHER CASH FUNDS	184,757	4,026,232		4,326,232	4,326,232		
24 TOTAL INCOME	\$5,764,310	\$13,376,197		\$13,376,197	\$13,376,197	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	157	165	208	207	207	
TOBACCO POSITIONS						
EXTRA HELP **	57	200	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	351,545	1,424		350,121	374,200			374,200
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	20,849			20,849	20,000			20,000
8 SUBTOTAL	\$372,394	\$1,424	\$0	\$370,970	\$394,200	\$0	\$0	\$394,200
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$372,394	\$1,424	\$0	\$370,970	\$394,200	\$0	\$0	\$394,200

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Hempstead Hall Ticket revenue and auditorium rentals

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>168</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>10</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>20</u>	Black Female:	<u>14</u>	Other Female:	<u>0</u>	Total Female: <u>34</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>7</u>
White Female:	<u>19</u>	Black Female:	<u>6</u>	Other Female:	<u>0</u>	Total Female: <u>25</u>
Faculty:						
White Male:	<u>28</u>	Black Male:	<u>6</u>	Other Male:	<u>0</u>	Total Male: <u>34</u>
White Female:	<u>49</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>54</u>
Total White Male:	<u>43</u>	Total Black Male:	<u>11</u>	Total Other Male:	<u>1</u>	Total Male: <u>55</u>
Total White Female:	<u>88</u>	Total Black Female:	<u>25</u>	Total Other Female:	<u>0</u>	Total Female: <u>113</u>
Total White:	<u>131</u>	Total Black:	<u>36</u>	Total Other:	<u>1</u>	Total Employees: <u>168</u>
				Total Minority:	<u>37</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
Marcis (Renewal of existinig contract)	\$361,481		X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$361,481
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 100%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE-TEXARKANA
June 30, 2019

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit regarding a loss of \$1,889 as the result of a payroll identity theft for the period February 26, 2019 through March 27, 2019. Working with their Information Technology (IT) Department, management reported that an employee's email account was compromised, which the IT Director later confirmed. Through an email with documentation sent to the Payroll Department, the employee's direct deposit information was changed to an apparent fraudulent account. Management filed a report with the Hope Police Department on March 18, 2019, and indicated they believe this was an isolated incident and no other systems or emails were affected.</p>
<p><i>Institution's Response:</i></p>	<p>The College has implemented a Direct Deposit Process Change policy to discontinue accepting direct deposit changes by email and require employees to request changes in person with the Payroll Department. If an employee is unable to request the change in person, the Payroll Department is required to perform phone call verification to a phone number previously on file for the employee and obtain an employee notarized Authorization Agreement for Direct Deposit form.</p>

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
LISA WILLENBERG, CHANCELLOR

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Morrilton is a two-year, public college which offers a comprehensive curriculum of university-transfer and career-specific training programs as well as adult education, workforce training, and community outreach programs. The college has served west central Arkansas for 57 years, from an initial enrollment of 278 students and 13 programs in 1963 to the almost 1,900 credit students enrolled for the fall 2020 semester.

UACCM strives to provide high quality, affordable education in a nurturing environment. The college provides business and industry with a highly qualified pool of employees and enhances the skills of both the current and emerging workforce. UACCM offers certificate of proficiency, technical certificate, and associate degree options to accommodate students with a variety of goals. UACCM is committed to excellence in learning and personal enrichment. It is a simple mission with a focus on the student!

A core component of UACCM is technical education. The campus offers career programs including air conditioning, heating, and refrigeration; automotive service technology; business; collision repair and refinishing; commercial driver training; computer information systems; construction technology; dietary management; drafting; early childhood development; emergency medical technician; industrial mechanics and maintenance; nursing assisting; practical nursing; registered nursing; surveying; and welding. Demand for these programs is high because they offer excellent opportunities for graduates and they address the workforce shortage of skilled technicians. The programs have strong support from businesses and industry, many of which offer internship opportunities, technical expertise, equipment donations, and monetary support for scholarships.

The Associate of Arts and Associate of Science degree programs allow students to complete the general education requirements of a bachelor's degree. There are several advantages to starting a bachelor's degree at UACCM. First, the tuition is typically half that of the four-year university tuition rate, which allows students to complete their freshman and sophomore years with little to no debt. Second, class sizes at UACCM are small, which allow students individual attention. Smaller classes ensure instructors know students personally, and the student may feel less intimidated about asking questions and engaging in class discussions.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
LISA WILLENBERG, CHANCELLOR

A statewide articulation agreement between Arkansas public colleges and universities helps ensure transferability of general education courses. Beyond that agreement, UACCM also has over 70 degree-specific 2 + 2 plans with the state's universities, which map out a catered list of classes needed for the student planning to transfer into a specific bachelor's degree. The 2+2 plans allow for an extremely smooth transfer to the student's selected university. UACCM offers these 2+2 degree options in art, business, computer information science, dental hygiene, education, English, family and consumer science, forestry, general education, health-related, history, mathematics, nutrition, psychology, science, sociology, STEM (pre-engineering), and teaching.

UACCM enjoys several advantages of being part of the University of Arkansas System. As one of 20 entities in the System, UACCM benefits from the UA brand that helps create awareness, strengthens its reputation, and garners respect for high academic and training standards. Being a part of the UA System also opens the doors to partnerships with other UA System schools. The most recent example of that is the new UA Fayetteville transfer achievement scholarship that allows UACCM graduates to transfer to the University of Arkansas at Fayetteville and continue to pay the UACCM tuition rate during their junior and senior year. Students may pay the UACCM tuition rate at UA-Fayetteville for up to 10 semesters or they complete their bachelor's degree, resulting in thousands of dollars in savings.

UACCM students are provided with an array of support services, including academic advising, career services, counseling and disability services, testing services, and free tutoring. Financial aid in the form of grants, scholarships, loans, career pathways, veteran benefits, and vocational rehab services are available to eligible students.

UACCM's 53,843-square-foot Workforce Training Center (WTC) is a state-of-the-art facility that houses Automotive Service Technology; Heating, Air Conditioning and Refrigeration Technology; Welding Technology; and Industrial Mechanics and Maintenance Technology labs, as well as a space for specialized workforce training and the UACCM Workforce Development and Community Education office. The WTC's 5,000-square-foot Tyson Training Hall is an open space that allows for any kind of training needed by new or existing businesses/industries. The Workforce Development and Community Education office can also provide any pre-employment screening or training needed by new or existing companies.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
LISA WILLENBERG, CHANCELLOR

UACCM partners with area high schools to offer a concurrent program for high school students wishing to enroll in technical programs at UACCM. These classes may be at little to no cost to the student, with the tuition and fees shared between UACCM and the student's high school. This partnership gives high school students the opportunity to earn credits toward a college degree in a technical field, while encouraging them to continue their education beyond high school. Each year, several concurrent students graduate from UACCM with their technical certificates or associate degrees while simultaneously completing their high school graduation requirements.

In fall 2020, UACCM began a partnership with the Office of Skills Development to operate the UACCM Career Center. The Center offers skills training free of charge to high school juniors and seniors from 20 regional schools. Programs include automotive technology, collision repair and refinishing, construction technology, industrial mechanics and maintenance, medical professions, and welding.

UACCM has a workforce and community education program that offers the flexibility to custom design short-term training courses specific to the needs of individual employers. These courses may be taught at the business site or on the UACCM campus.

UACCM has been one of the region's greatest assets for almost six decades, and continues to be a great value in terms of affordability, convenience, and personal attention students receive. The college's funding is through ACT 134 of the 2020 Fiscal Session and is an excellent example of business and education working together to provide opportunities for Arkansans.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,102,622		6,636,463		6,794,239		6,774,386		6,774,386		6,774,386		6,774,386	
2 CASH	9,445,224		29,000,000		29,000,000		29,000,000		29,000,000		29,000,000		29,000,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$15,547,846	168	\$35,636,463	248	\$35,794,239	292	\$35,774,386	291	\$35,774,386	291	\$35,774,386	291	\$35,774,386	291
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	209,190	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,985,709	33%	5,099,641	14%			5,483,200	15%	5,483,200	15%	5,483,200	15%	5,483,200	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,291,186	8%	1,291,186	4%			1,291,186	4%	1,291,186	4%	1,291,186	4%	1,291,186	4%
16 CASH FUNDS	8,249,227	54%	26,000,000	73%			26,000,000	73%	26,000,000	73%	26,000,000	73%	26,000,000	73%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	676,822	4%	3,000,000	8%			3,000,000	8%	3,000,000	8%	3,000,000	8%	3,000,000	8%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	34,917	0%	36,446	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,237,861	100%	\$35,636,463	100%			\$35,774,386	100%	\$35,774,386	100%	\$35,774,386	100%	\$35,774,386	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$309,985		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$7,626,917
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$50,000
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,000,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,026,917

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	4,810,654	5,345,277	5,503,053	5,483,200	5,483,200		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,291,186	1,291,186	1,291,186	1,291,186	1,291,186		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	782						
11							
12							
13 TOTAL APPROPRIATION	\$6,102,622	\$6,636,463	\$6,794,239	\$6,774,386	\$6,774,386	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		209,190					
15 GENERAL REVENUE	4,985,709	5,099,641		5,483,200	5,483,200		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,291,186	1,291,186		1,291,186	1,291,186		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	34,917	36,446					
21 TOTAL INCOME	\$6,311,812	\$6,636,463		\$6,774,386	\$6,774,386	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$209,190)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION B64

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
			APPROPRIATION 2020-2021	AHECB RECOMMENDATION 2021-2022	AHECB RECOMMENDATION 2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,882,193	4,500,000	4,500,000	4,500,000	4,500,000		
2 EXTRA HELP WAGES	401,994	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	1,298	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	1,095,695	3,000,000	3,000,000	3,000,000	3,000,000		
5 OPERATING EXPENSES	3,785,705	7,000,000	7,000,000	7,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	58,986	200,000	200,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	117,388	550,000	550,000	550,000	550,000		
8 CAPITAL OUTLAY	188,732	1,500,000	1,500,000	1,500,000	1,500,000		
9 CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	900,468	1,200,000	1,200,000	1,200,000	1,200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	12,765	40,000	40,000	40,000	40,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,445,224	\$29,000,000	\$29,000,000	\$29,000,000	\$29,000,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	6,347,397	8,400,000		8,400,000	8,400,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	92,304	300,000		300,000	300,000		
21 INVESTMENT INCOME	169,364	300,000		300,000	300,000		
22 FEDERAL CASH FUNDS	676,822	3,000,000		3,000,000	3,000,000		
23 OTHER CASH FUNDS	1,640,162	17,000,000		17,000,000	17,000,000		
24 TOTAL INCOME	\$8,926,049	\$29,000,000		\$29,000,000	\$29,000,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$519,175	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL	AHECB	LEGISLATIVE RECOMMENDATION 2021-2023
				REQUEST 2021-2022	RECOMMEND 2021-2022	
REGULAR POSITIONS	168	248	292	291	291	
TOBACCO POSITIONS						
EXTRA HELP **	43	110	110	110	110	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>187</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>12</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>24</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>25</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>33</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>	Total Female: <u>35</u>
Faculty:						
White Male:	<u>37</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>37</u>
White Female:	<u>62</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>64</u>
Total White Male:	<u>61</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>1</u>	Total Male: <u>63</u>
Total White Female:	<u>119</u>	Total Black Female:	<u>2</u>	Total Other Female:	<u>3</u>	Total Female: <u>124</u>
Total White:	<u>180</u>	Total Black:	<u>3</u>	Total Other:	<u>4</u>	Total Employees: <u>187</u>
				Total Minority:	<u>7</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$277,223
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
June 30, 2019

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

Dr. Phillip Wilson, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Enabling Laws

Act 16 of 1983 and Act 41 of 2020

Mission

UA Rich Mountain provides transformative education to all learners.

Introduction

University of Arkansas Community College Rich Mountain began in 1973 as Rich Mountain Vocational-Technical School serving Polk, Scott, and Montgomery counties under the Arkansas Department of Vocational Education. The first classes began in the fall of 1975, with the campus consisting of a single vocational-technical building. In 1976, Henderson State University came to Polk County as an outreach program and offered residents the first two years of general education courses toward a bachelor's degree.

Arkansas Act 16 of 1983, authored by State Representative Ode Maddox, established the community college in Mena. In April of that year, Polk County voters approved a 5-mill tax to establish the Polk County Community College District. On July 1, 1983, with the approval of the Arkansas legislature, Henderson State University offerings in Mena were combined with the Rich Mountain Vocational-Technical School to form Rich Mountain Community College.

Classes began August 22, 1983, with an enrollment of 290 students. Rich Mountain Community College received full accreditation in 1990 from the Higher Learning Commission. To ensure continuous improvement, the College participates in a process of assurance reviews and comprehensive evaluations on a ten-year cycle.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN
Dr. Phillip Wilson, Chancellor

By 2008, the College's strategic plan included significant needed renovations to several college buildings. In 2009, the time-line for progress drastically changed when a tornado destroyed parts of the campus, causing over three million dollars in damage. Although every building was impacted, classes and services were not interrupted more than a few days. Classes relocated to various building around Mena, and summer sessions were held on the Acorn Public School

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	3,302,637		3,751,228		3,863,206		3,790,481		3,790,481		3,790,481		3,790,481	
2 CASH	8,084,465		18,060,000		18,060,000		10,195,000		10,195,000		10,195,000		10,195,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,387,102	115	\$21,811,228	128	\$21,923,206	144	\$13,985,481	144	\$13,985,481	144	\$13,985,481	144	\$13,985,481	144
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	133,208	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,174,800	28%	3,383,343	15%			3,478,484	25%	3,478,484	25%	3,478,484	25%	3,478,484	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	230,322	2%	237,674	1%			237,674	2%	237,674	2%	237,674	2%	237,674	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	4,657,357	40%	13,160,000	60%			5,695,000	41%	5,695,000	41%	5,695,000	41%	5,695,000	41%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	3,427,108	30%	4,900,000	22%			4,500,000	32%	4,500,000	32%	4,500,000	32%	4,500,000	32%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	30,723	0%	32,070	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$11,520,310	100%	\$21,846,295	100%			\$13,911,158	100%	\$13,911,158	100%	\$13,911,158	100%	\$13,911,158	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$133,208)		(\$35,067)				\$74,323		\$74,323		\$74,323		\$74,323	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$3,230,647
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$607,759
INVENTORIES	\$5,775
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,009,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,050,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$33,113

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CWR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

APPROPRIATION 112

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,364,281	2,368,000	2,450,000	2,500,000	2,500,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	530,000	520,000	530,000	530,000	530,000		
5 OPERATING EXPENSES	408,356	863,228	883,206	760,481	760,481		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$3,302,637	\$3,751,228	\$3,863,206	\$3,790,481	\$3,790,481	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		133,208					
15 GENERAL REVENUE	3,174,800	3,383,343		3,478,484	3,478,484		
16 EDUCATIONAL EXCELLENCE TRUST FUND	230,322	237,674		237,674	237,674		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	30,723	32,070					
21 TOTAL INCOME	\$3,435,845	\$3,786,295		\$3,716,158	\$3,716,158	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$133,208)	(\$35,067)		\$74,323	\$74,323	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2190000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

APPROPRIATION A26

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	1,250,000	1,250,000	1,250,000	3,250,000	3,250,000		
2 EXTRA HELP WAGES	375,000	375,000	375,000	375,000	375,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	756,074	1,000,000	1,000,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	1,103,265	1,325,000	1,350,000	1,750,000	1,750,000		
6 CONFERENCE FEES & TRAVEL	100,000	100,000	100,000	375,000	375,000		
7 PROFESSIONAL FEES AND SERVICES	0	5,000	5,000	15,000	15,000		
8 CAPITAL OUTLAY	4,500,000	4,500,000	4,500,000	1,250,000	1,250,000		
9 CAPITAL IMPROVEMENTS	0	9,000,000	9,000,000	1,300,000	1,300,000		
10 DEBT SERVICE	0	480,000	480,000	380,000	380,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	126	25,000					
13							
14							
15							
16 TOTAL APPROPRIATION	\$8,084,465	\$18,060,000	\$18,060,000	\$10,195,000	\$10,195,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,324,752	2,418,776		2,500,000	2,500,000		
19 ALL OTHER FEES	300,262	295,361		315,000	315,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	22,956	25,000		30,000	30,000		
21 INVESTMENT INCOME	106,257	35,000		20,000	20,000		
22 FEDERAL CASH FUNDS	3,427,108	4,900,000		4,500,000	4,500,000		
23 OTHER CASH FUNDS	1,903,130	10,385,863		2,830,000	2,830,000		
24 TOTAL INCOME	\$8,084,465	\$18,060,000		\$10,195,000	\$10,195,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	115	128	144	144	144	
TOBACCO POSITIONS						
EXTRA HELP **	62	80	80	80	80	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	340,975		(340,975)	0	760,325		(760,325)
2 HOUSING	98,580	103,362		(4,782)	734,400	146,851	398,350	189,199
3 FOOD SERVICES	148,983	155,189		(6,206)	507,400	286,887		220,513
4 STUDENT UNION	0			0				0
5 BOOKSTORE	400,144	315,372		84,772	459,000	273,659		185,341
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0	53,950			53,950
8 SUBTOTAL	\$647,707	\$914,898	\$0	(\$267,191)	\$1,754,750	\$1,467,722	\$398,350	(\$111,322)
9 ATHLETIC TRANSFER **	117,469			117,469	120,075			120,075
10 OTHER TRANSFERS ***	150,000			150,000				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$915,176	\$914,898	\$0	\$278	\$1,874,825	\$1,467,722	\$398,350	\$8,753

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Fundraising/Booster Revenue = \$42,550; Game Attendance/Event Revenue = \$11,400

NOTE: Line 10 - Other Transfers: Temporary transfer from E&G Fund to cover start up costs for Food Services/Housing

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						<u>115</u>
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	<u>11</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>13</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>13</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>5</u>
White Female:	<u>12</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>12</u>
Faculty:						
White Male:	<u>19</u>	Black Male:	<u>0</u>	Other Male:	<u>3</u>	Total Male: <u>22</u>
White Female:	<u>52</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>52</u>
Total White Male:	<u>35</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>3</u>	Total Male: <u>38</u>
Total White Female:	<u>77</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>77</u>
Total White:	<u>112</u>	Total Black:	<u>0</u>	Total Other:	<u>3</u>	Total Employees: <u>115</u>
				Total Minority:	<u>3</u>	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE RICH MOUNTAIN

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$170,500
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT RICH MOUNTAIN
June 30, 2019

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS – PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., Chancellor

INSTITUTION HISTORY AND ORGANIZATION

When the Arkansas General Assembly created the Arkansas Technical and Community College System via Act 1244 of 1991, Pulaski Vocational-Technical School and 12 other vocational-technical schools became technical colleges under the coordination of the Arkansas Higher Education Coordinating Board. The school was renamed Pulaski Technical College (PTC). On May 11, 2016 the PTC Board of Trustees voted unanimously to join the University of Arkansas System. With that vote, the University of Arkansas System Trustees also approved unanimously to have PTC join the System on May 26, 2016. Pulaski Technical College officially became a member of the University of Arkansas System effective February 1, 2017 and was renamed University of Arkansas – Pulaski Technical College, or UA – Pulaski Tech. Currently, Act 38 of the 2020 fiscal session of the Arkansas General Assembly provides appropriations for personal services and operating expenses for fiscal year 2020-2021.

UA-Pulaski Tech is a fully accredited two-year higher education institution. UA-PTC is accredited by the Higher Learning Commission and governed by the University Of Arkansas Board Of Trustees.

UA-Pulaski Tech’s Mission, Vision, and Value Statements serve as a foundation for driving the College’s defined strategies and culture towards positive outcomes in the future. UA-PTC is a student-centric institution that works to connect the talent, knowledge, and resources needed to promote student success and economic growth in central Arkansas and beyond. In order to further these efforts, UA-PTC’s Mission, Vision, and Values Statements are:

Mission Statement: University of Arkansas – Pulaski Technical College provides access to high-quality education that promotes student learning and enables individuals to develop to their fullest potential.

Vision Statement: Empowering lives through learning and service.

Values Statements: Students First - UA-PTC keeps students at the center of all we do; Learning - UA-PTC commits to lifelong learning that enhances individual and community development; Excellence - UA-PTC strives for continual

UNIVERSITY OF ARKANSAS – PULASKI TECHNICAL COLLEGE

Margaret A. Ellibee, Ph.D., Chancellor

improvement and innovation to realize the highest potential of students, employees, and the College; Integrity - UA-PTC acts with honesty and principle; Inclusiveness - UA-PTC promotes a diverse and inclusive culture; and Community - UA-PTC fosters campus and community collaborations through open communication and relationship building.

UA-Pulaski Tech provides access to high-quality education that promotes student learning and enables individuals to develop to their fullest potential. UA-PTC offers technical/occupational programs, associate degrees for transfer to four-year university programs, developmental studies and continuing education/community service programs and customized training for the citizens and businesses of Arkansas.

UA-Pulaski Tech extends its reach beyond the campus to work directly with our region's employers, to ensure that their employees remain skilled and competitive in the global marketplace. Within the last year, hundreds of employees from Arkansas businesses and industries acquired new training that has improved their skills. Improved skill levels and academic achievement translate into higher incomes that benefit the students and their families, local industry and business, and boost the state's economy.

UA-Pulaski Tech has begun implementation of its 2017 strategic plan. The initiative, which includes realigning divisions, will achieve operational effectiveness that will create clear pathways for UA-PTC student success, better position the institution to meet the state's performance-based funding model and maintain affordable tuition. The priorities within the 2017 Strategic Plan include 1) Cultivate Student Success; 2) Ensure Faculty and Staff Excellence; 3) Create Systems of Organizational Effectiveness; and 4) Tell Our Story.

**INSTITUTION APPROPRIATION SUMMARY
2021-2023 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2019-2020		2020-2021		2020-2021		2021-2022				2022-2023			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,772,070		17,081,143		17,375,745		17,074,615		17,074,615		17,074,615		17,074,615	
2 CASH	22,324,223		56,135,000		56,135,000		56,135,000		56,135,000		56,135,000		56,135,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$39,096,293	499	\$73,216,143	488	\$73,510,745	894	\$73,209,615	898	\$73,209,615	898	\$73,209,615	898	\$73,209,615	898
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	632,788	1%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	14,986,063	38%	14,023,209	19%			14,466,046	20%	14,466,046	20%	14,466,046	20%	14,466,046	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,273,772	6%	2,273,772	3%			2,273,772	3%	2,273,772	3%	2,273,772	3%	2,273,772	3%
16 CASH FUNDS	20,608,681	52%	18,135,000	25%			18,900,000	26%	18,900,000	26%	18,900,000	26%	18,900,000	26%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,715,542	4%	38,000,000	52%			37,235,000	51%	37,235,000	51%	37,235,000	51%	37,235,000	51%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	145,023	0%	151,374	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$39,729,081	100%	\$73,216,143	100%			\$72,874,818	100%	\$72,874,818	100%	\$72,874,818	100%	\$72,874,818	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$632,788)		\$0				\$334,797		\$334,797		\$334,797		\$334,797	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2020:	\$26,820,842
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,807,485
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,900,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$3,500,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$16,513,357

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2021-2023 BIENNIUM**

FUND CTP0000

INSTITUTION UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	16,772,070	17,081,143	17,375,745	16,000,000	16,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING				1,074,615	1,074,615		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$16,772,070	\$17,081,143	\$17,375,745	\$17,074,615	\$17,074,615	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		632,788					
15 GENERAL REVENUE	14,986,063	14,023,209		14,466,046	14,466,046		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,273,772	2,273,772		2,273,772	2,273,772		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	145,023	151,374					
21 TOTAL INCOME	\$17,404,858	\$17,081,143		\$16,739,818	\$16,739,818	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$632,788)	\$0		\$334,797	\$334,797	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 - Other State Treasury Funds: Includes Restricted Reserve and Rainy Day Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2021-2023 BIENNIUM**

FUND 2930000

INSTITUTION UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

APPROPRIATION B66

DESCRIPTION	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED APPROPRIATION 2020-2021	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2021-2022	2022-2023	2021-2022	2022-2023
1 REGULAR SALARIES	2,375,559	4,000,000	4,000,000	4,000,000	4,000,000		
2 EXTRA HELP WAGES	627,466	2,000,000	2,000,000	2,000,000	2,000,000		
3 OVERTIME	0	35,000	35,000	35,000	35,000		
4 PERSONAL SERVICES MATCHING	5,406,773	10,000,000	10,000,000	10,000,000	10,000,000		
5 OPERATING EXPENSES	9,437,723	15,000,000	15,000,000	15,000,000	15,000,000		
6 CONFERENCE FEES & TRAVEL	139,165	500,000	500,000	500,000	500,000		
7 PROFESSIONAL FEES AND SERVICES	142,746	4,000,000	4,000,000	4,000,000	4,000,000		
8 CAPITAL OUTLAY	972,698	3,000,000	3,000,000	3,000,000	3,000,000		
9 CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	3,182,945	7,500,000	7,500,000	7,500,000	7,500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	39,148	100,000	100,000	100,000	100,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$22,324,223	\$56,135,000	\$56,135,000	\$56,135,000	\$56,135,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	13,915,706	13,963,814		14,000,000	14,000,000		
19 ALL OTHER FEES	2,199,332	2,056,186		2,000,000	2,000,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	602,142	365,000		400,000	400,000		
21 INVESTMENT INCOME	628,080	250,000		500,000	500,000		
22 FEDERAL CASH FUNDS	1,715,542	38,000,000		37,235,000	37,235,000		
23 OTHER CASH FUNDS	3,263,421	1,500,000		2,000,000	2,000,000		
24 TOTAL INCOME	\$22,324,223	\$56,135,000		\$56,135,000	\$56,135,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2019-2020	BUDGETED 2020-2021	AUTHORIZED 2020-2021	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2021-2022	2021-2022	2021-2023
REGULAR POSITIONS	499	488	894	898	898	
TOBACCO POSITIONS						
EXTRA HELP **	69	400	400	400	400	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2019-2020				BUDGETED 2020-2021			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES		272,831		(272,831)	200,000			(200,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	201,915			201,915	200,000			200,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER	156,353	14,554		141,799	90,000	90,000		0
8 SUBTOTAL	\$358,268	\$287,386	\$0	\$70,882	\$290,000	\$290,000	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$358,268	\$287,386	\$0	\$70,882	\$290,000	\$290,000	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other: Auditorium Rental/Fees; Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2019-2020:						525
(As of November 1, 2019)						
Nonclassified Administrative Employees:						
White Male:	22	Black Male:	5	Other Male:	1	Total Male: 28
White Female:	44	Black Female:	18	Other Female:	0	Total Female: 62
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	29	Black Male:	5	Other Male:	3	Total Male: 37
White Female:	20	Black Female:	13	Other Female:	3	Total Female: 36
Faculty:						
White Male:	141	Black Male:	16	Other Male:	7	Total Male: 164
White Female:	152	Black Female:	39	Other Female:	7	Total Female: 198
Total White Male:	192	Total Black Male:	26	Total Other Male:	11	Total Male: 229
Total White Female:	216	Total Black Female:	70	Total Other Female:	10	Total Female: 296
Total White:	408	Total Black:	96	Total Other:	21	Total Employees: 525
				Total Minority:	117	

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2020

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)						
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran	Women
N/A								

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$480,394
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS - PULASKI TECHNICAL COLLEGE
June 30, 2019**

<i>Finding:</i>	No Findings noted
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