

Arkansas Public Higher Education Operating & Capital Recommendations

2017-2019 Biennium



7-A

**Volume 2
Colleges**

Arkansas Department of Higher Education
423 Main Street, Suite 400, Little Rock, Arkansas 72201

October 2016

**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2017-2019 BIENNIUM**

**VOLUME 2
TWO-YEAR COLLEGES**

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ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

The Role and Scope of the Arkansas Northeastern College broadly establishes the College's higher education responsibility for lifelong learning opportunities delivered to its district citizens for whom, and by whom, the College was established. On December 17, 1974, the voters of Mississippi County approved establishment of a community college district and the levying of a three mill property tax to finance construction of the new campus. The College is governed by nine representatives of its enacting district and is authorized to grant the Associate in Arts, Associate in Applied Science, and Associate in Science degrees, as well as certificates, diplomas, and awards. Programs of study, courses, and components of the above degrees, certificates, diplomas, and awards include a general education core and university-parallel education for completion of the first two (2) years of a baccalaureate program or for personal objectives; technical programs or studies intended for direct employment preparation or advancement; and adult education and compensatory education for the development or enhancement of basic and advanced learning skills. Also included are avocational courses and programs in sponsorship of continuing education and a diversity of community/public services requested of the College by its district. ANC currently operates under Act 223 of the 89th General Assembly for the personal services and operating expenses for the Arkansas Northeastern College, as well as Act 214 for the re-appropriation of the balances of the capital improvement appropriations for institutions of higher education.

THE MISSION

ANC is committed to providing accessible, quality educational programs, services, and lifelong learning opportunities.

INSTITUTION HIGHLIGHTS

Workforce Development: The Arkansas Northeastern College strives to be the State's premier provider of customized workforce training. The Solutions Group, ANC's customized training division, advances local economic development by providing a wide variety of applied technical training to every major industrial employer in Mississippi County, as well as many clients throughout the region. The range, depth, and quality of training resources at ANC have been instrumental in recruiting several new employers to Mississippi County, including Arkansas' first Act 82 super-project, Big River Steel. The Solutions Group was nationally recognized in 2005 by receiving the Bellwether Award for Innovation by the Community College Futures Assembly. In 2014, the American Association of Community Colleges and Wal-Mart Foundation named ANC as one of only four national mentors for workforce development as part of the Job Ready, Willing, and Able Initiative and, in 2015, sponsored 16 professionals from colleges coast to coast to travel to Blytheville to learn of ANC's innovative best practices in workforce development, particularly serving underserved communities such as those living in generational poverty. Based on applications approved by the Arkansas Economic Development Commission through its Existing Workforce Training program,

ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

ANC has been the largest provider of customized training to the manufacturing industry among all Arkansas colleges and universities for over a decade.

High-Demand Allied Health: The Arkansas Northeastern College also seeks to be a leader in the delivery of allied health education. Since the merger of Mississippi County Community College and Cotton Boll Technical Institute in 2003, ANC has provided comprehensive offerings in the high demand field of healthcare professionals. ANC's registered nursing program consistently produces among the highest licensure success and placement rates in Arkansas. Graduates of ANC's practical nursing program also are in high demand among area employers. Accordingly, both nursing programs have student waiting lists with students completing general education requirements while awaiting program openings.

High School Partnerships: Since the inception of ArWorks in 2010 by Arkansas Department of Career Education, Arkansas Northeastern College has operated the ANC Career Coach Program. The total number of students served each year has been approximately 3,000. A significant outcome of this program has been an increase in early college access and college-going rates for high school students in the Arkansas Delta. The ANC Early College Program for concurrent college credit allows students to enroll in general education courses via live instruction or online. To maintain quality and rigor, these courses are only taught by either master's degree public school teachers or college instructors. In the last biennium, ANC served 578 high school students over a four-semester time frame who enrolled in 964 classes and successfully completed (A, B, or C in the course) at a rate 91.9%. ANC has also provided early college access to students attending the ANC Secondary Technical Center allowing students to gain concurrent college and high school credit in the fields of welding, advanced manufacturing, general aviation, construction, criminal justice, medical professions, and education. Between fall semester of 2014 and spring semester of 2016, high school students enrolled in 339 courses and successfully completed at a rate of 88.5%. These high school partnerships are positioning students to enter the post-secondary arena and/or the workforce with skills and knowledge that will be critical to their future economic success.

Career Pathways: The Arkansas Career Pathways Initiative (CPI) program is a partnership between community colleges, workforce development agencies, employers and social service providers. The CPI program offers eligible ANC students free services which include paid tuition, books and fees, childcare assistance, transportation assistance, career counseling, resume assistance, and job placement. During the last academic year (2015-16), ANC Career Pathways served 300 students who achieved 552 various certifications and degrees, providing the knowledge and skills necessary for success in the workplace. The two most recent years of Arkansas UI Wage Data Match show that ANC Career Pathways had 184 completers who entered the job market and 144, or 78%, were employed in the first quarter after graduation. In addition, data collected 12 months later on these individuals indicated that 138, or 96%, of those 144 were still employed. Over the 11 years

ARKANSAS NORTHEASTERN COLLEGE
Dr. James Shemwell, President

of the Arkansas CPI program's existence, ANC has produced the highest outcomes among all colleges for 10 of the 11 years.

Financial Stewardship & Return on Investment: The proposed draft for the new outcomes-based, productivity funding formula for higher education in Arkansas includes two national measures of spending efficiency published by the National Center for Education Statistics: 1) the Core Expense Ratio, which measures student-related expenditures relative to administrative overhead and 2) the Faculty-to-Administration-Salaries Ratio, which directly compares payroll expenditures for teaching versus administration. Data from fiscal year 2013 reveal that ANC leads all Arkansas community colleges in spending efficiency as measured by both national ratios. Act 852 of 2015 passed by the Arkansas General Assembly established the creation of the Economic Security Report, designed to provide prospective students, families, and the public at-large with vital statistics related to employment and earnings after college graduation. The Arkansas Research Center and the Arkansas Department of Workforce Services publish this report using actual Arkansas wage data of graduates of all Arkansas colleges and universities. The 2016 Economic Security Report shows that ANC led all colleges and universities in Arkansas in terms of the average full-time wages for associate degree graduates with an average full-time wage of \$43,854 during students' first year of employment. This average full-time wage for ANC's associate degree graduates also exceeded the bachelor degree full-time wage averages of every Arkansas public university with the exception of only the University of Arkansas for Medical Sciences. Most noteworthy in consideration of graduates' outstanding employment results is that ANC's tuition and mandatory fee rates continue to be the most affordable in Arkansas, providing an excellent rate of return for local residents and Arkansas taxpayers.

APPROPRIATION REQUEST

The College's Appropriation Request provides for general operations accommodative of a growth in students and district services, faculty/staff additions, campus improvements, and infrastructure additions. Combined with tuition revenue and support by private citizens, the appropriation requested will support Arkansas Northeastern College as one of Arkansas' premier two-year colleges and its delivery of efficient, accountable, higher education opportunities and services in alignment with its mission and the State goal of improving access to higher education opportunity.

The Arkansas Northeastern College requests no additional State funding appropriation by way of this request. Similarly, ANC is requesting no new positions beyond those already authorized.

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**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,058,950		10,083,685		10,576,552		10,089,035		10,089,035		10,300,904		10,300,904	
2 CASH	5,693,038		28,500,000		28,500,000		28,500,000		28,500,000		28,500,000		28,500,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$15,751,988	159	\$38,583,685	230	\$39,076,552	312	\$38,589,035	312	\$38,589,035	312	\$38,800,904	312	\$38,800,904	312
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,577,052	54%	8,577,052	22%			8,582,402	22%	8,582,402	22%	8,794,271	23%	8,794,271	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	744,458	5%	775,679	2%			775,679	2%	775,679	2%	775,679	2%	775,679	2%
15 WORKFORCE 2000	730,954	5%	730,954	2%			730,954	2%	730,954	2%	730,954	2%	730,954	2%
16 CASH FUNDS	3,995,290	25%	6,500,994	17%			7,106,367	18%	7,106,367	18%	7,106,367	18%	7,106,367	18%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,697,748	11%	21,999,006	57%			21,393,633	55%	21,393,633	55%	21,393,633	55%	21,393,633	55%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	6,486	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,751,988	100%	\$38,583,685	100%			\$38,589,035	100%	\$38,589,035	100%	\$38,800,904	100%	\$38,800,904	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$3,876,008
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$361,918
INVENTORIES	\$10,985
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$1,500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$471,605

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	7,800,000	7,800,000	7,800,000	7,800,000	7,800,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,805,497	1,800,000	1,800,000	1,800,000	1,800,000		
5 OPERATING EXPENSES	377,464	408,685	901,552	414,035	625,904		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	25,000	25,000	25,000	25,000	25,000		
8 CAPITAL OUTLAY	25,000	25,000	25,000	25,000	25,000		
9 FUNDED DEPRECIATION							
10 WORKS COMP/SURETY PREMIUM	989						
11							
12							
13 TOTAL APPROPRIATION	\$10,058,950	\$10,083,685	\$10,576,552	\$10,089,035	\$10,300,904	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	8,577,052	8,577,052		8,582,402	8,794,271		
16 EDUCATIONAL EXCELLENCE TRUST FUND	744,458	775,679		775,679	775,679		
17 SPECIAL REVENUES * [WF2000]	730,954	730,954		730,954	730,954		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	6,486						
21 TOTAL INCOME	\$10,058,950	\$10,083,685		\$10,089,035	\$10,300,904	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - Tuition Adjustment Fund

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	670,918	2,000,000	2,000,000	2,000,000	2,000,000		
2 EXTRA HELP WAGES	559,364	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	30	28,000	28,000	28,000	28,000		
4 PERSONAL SERVICES MATCHING	1,065,435	1,300,000	1,300,000	1,300,000	1,300,000		
5 OPERATING EXPENSES	2,786,042	6,122,000	6,122,000	6,122,000	6,122,000		
6 CONFERENCE FEES & TRAVEL	147,333	500,000	500,000	500,000	500,000		
7 PROFESSIONAL FEES AND SERVICES	206,383	1,500,000	1,500,000	1,500,000	1,500,000		
8 CAPITAL OUTLAY	240,663	10,000,000	10,000,000	10,000,000	10,000,000		
9 CAPITAL IMPROVEMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000		
10 DEBT SERVICE	0	1,500,000	1,500,000	1,500,000	1,500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	400,000	400,000	400,000	400,000		
12 PROMOTIONAL ITEMS	16,870	150,000	150,000	150,000	150,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,693,038	\$28,500,000	\$28,500,000	\$28,500,000	\$28,500,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,185,457	2,186,294		3,146,367	3,146,367		
19 ALL OTHER FEES	357,672	374,700		800,000	800,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	657,904	800,000					
21 INVESTMENT INCOME	24,273	40,000		60,000	60,000		
22 FEDERAL CASH FUNDS	1,697,748	21,999,006		21,393,633	21,393,633		
23 OTHER CASH FUNDS	769,984	3,100,000		3,100,000	3,100,000		
24 TOTAL INCOME	\$5,693,038	\$28,500,000		\$28,500,000	\$28,500,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	159	230	312	312	312	
TOBACCO POSITIONS						
EXTRA HELP **	74	81	521	521	521	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	49,052	81,973	0	(32,921)	52,000	79,893	0	(27,893)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	28,052	0	0	28,052	32,500	0	0	32,500
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	14,856	111,008	0	(96,152)	0	68,180	0	(68,180)
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$91,960	\$192,981	\$0	(\$101,021)	\$84,500	\$148,073	\$0	(\$63,573)
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	100,000			100,000	110,000			110,000
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$191,960	\$192,981	\$0	(\$1,021)	\$194,500	\$148,073	\$0	\$46,427

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transfer from Unrestricted E&G Fund to Auxillary Fund

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>172</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>12</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>
White Female:	<u>37</u>	Black Female:	<u>10</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>17</u>
				Total	<u>Female: 48</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>Female: 0</u>
Classified Employees:					
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>22</u>	Black Female:	<u>13</u>	Other Female:	<u>2</u>
Total		Total		Total	<u>13</u>
				Total	<u>Female: 37</u>
Faculty:					
White Male:	<u>21</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>
White Female:	<u>33</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>23</u>
				Total	<u>Female: 34</u>
Total White Male:	<u>46</u>	Total Black Male:	<u>6</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>92</u>	Total Black Female:	<u>24</u>	Total Other Female:	<u>3</u>
Total		Total		Total	<u>53</u>
				Total	<u>Female: 119</u>
Total White:	<u>138</u>	Total Black:	<u>30</u>	Total Other:	<u>4</u>
				Total Minority:	<u>34</u>
				Total	<u>Employees: 172</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

ARKANSAS NORTHEASTERN COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Net Position, the Statement of Revenues, Expenses, and Changes in Net Position, the Statement of Cash Flows, Notes to the Financial Statements and Required Supplementary Information included:</p> <p><i>Statement of Net Position</i></p> <p>a) Due to misclassification of funds received from the Foundation for a capital project, the College's net position that was restricted for capital projects was understated and the unrestricted balance was overstated by \$325,000.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i></p> <p>a) Due to a misclassification of funds received from Mid-South Community College for the Arkansas Delta Training and Education Consortium (ADTEC), other operating revenues were understated and state appropriations were overstated by \$157,081.</p> <p>b) Due to various misclassifications of expenditures, personal services were understated and supplies and services were overstated by \$144,287.</p> <p>c) Due to clerical errors, depreciation expense was understated by \$268,190.</p> <p><i>Statement of Cash Flows</i></p> <p>a) The College did not appropriately accrue accounts receivable and accounts payable causing the following errors:</p> <ol style="list-style-type: none"> 1. Federal grants and contracts (operating) were overstated by \$49,491. 2. State and local grants and contracts were overstated by \$393,030. 3. Other receipts were understated by \$24,963. 4. Payments to suppliers were overstated by \$239,089. 5. Purchases of capital assets were overstated by \$149,276. 6. <p><i>Notes to the Financial Statements</i></p> <p>a) The College's Public Fund Deposits and Investments note disclosure did not reflect uninsured and uncollateralized deposits of \$143,340 and the related custodial credit risk.</p> <p>b) The College's retirement note disclosure did not include all required information. Key information not included in the note was a schedule detailing the differences between expected and actual experience and net differences between projected and actual earnings, five-year schedules of net amount of deferred outflows and deferred inflows of resources, actuarial assumptions, and target asset allocations.</p>
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**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
 June 30, 2015**

	<p><i>Required Supplementary Information (RSI)</i></p> <p>a) The College did not include the following required schedules related to the College's pension liability in the RSI: Schedules of the College's Proportionate Share of the Net Pension Liability and Schedules of the College's Contributions.</p> <p>When these errors were brought to the attention of College personnel, the applicable information was corrected. A similar finding was reported in the previous two audits.</p>
<p><i>Institution's Response</i></p>	<p><i>Statement of Net Position</i></p> <p>a) In the future, each amount classified in the net position will be scheduled and verified as to composition to insure that all restricted amounts are included and correctly classified.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i></p> <p>a) In the future, a careful comparison of amounts reported on the state confirmation will be made with the amounts reported on the general ledger and financial statements to insure the balances are in agreement.</p> <p>b) In the future, amounts which appear in multiple documents will be cross referenced to insure agreement and careful consideration will be given to accurate classification to insure items reported on the financial statements and recorded in the general ledger are correctly classified.</p> <p>c) In the future, subsequent review of supporting documentation and cross referencing prior to publication of the financial statements will be emphasized. The amount in question was reported correctly on the general ledger and general fixed asset listing; however, it was not transferred correctly to our financial statement template.</p> <p><i>Statement of Cash Flows</i></p> <p>a) In the future, due care will be exercised in preparing the Statement of Cash Flows. Actual cash inflows and outflows will be examined to insure that those amounts are properly recorded and classified. The amounts reported as accounts receivable and payable will be scrutinized to insure our assertions with regard to existence, rights and obligations, completeness, and valuation is correct.</p> <p><i>Notes to the Financial Statements</i></p> <p>a) In the future, the College will endeavor to improve communication with the financial institutions where our cash and cash equivalents are deposited to insure all deposits are covered by FDIC insurance or fully collateralized.</p>

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
June 30, 2015

	<p>b) In the future, the College will include all required information and schedules related to our retirement note.</p> <p><i>Required Supplementary Information (RSI)</i></p> <p>a) In the future, the College will include all required schedules related to the College's pension liability in the RSI.</p>
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Arkansas State University-Beebe
Dr. Karla Fisher, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Enabling Laws

A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 60 of 2014.

Mission

ASU-Beebe’s mission is “transforming lives through quality learning experiences.” To achieve this mission, the University has developed the following strategic goals:

1. Provide learning experiences that support the diversity of our students' needs and aspirations
2. Increase enrollment and completion, persistence, and graduation rates
3. Build mechanisms to better adapt to legislative, accreditation, and other regulatory issues
4. Develop and maintain a culture of assessment to improve student success
5. Develop and manage our human, funding, and other resources

ASU-Beebe is part of the Arkansas State University System. As such, the University reports to the ASU System President and is governed by the Board of Trustees, which consists of five persons appointed by the Governor.

Introduction

Arkansas State University-Beebe began in 1927 as Junior Agricultural School of Central Arkansas, enacted by A.C.A. § 6-65-209. In 1955, the Arkansas General Assembly designated the school a campus of Arkansas State College; and, in 1967, it became Arkansas State University-Beebe. In addition to the original campus in Beebe, the institution has established campuses at the Little Rock Air Force Base in 1965, Heber Springs in 1999, and, through a merger with Foothills Technical Institute, at Searcy in 2003. In FY15, ASU-Beebe and its campuses are appropriated by Act 60 of 2014.

During FY 16, ASU-Beebe served over 5,600 students from 60 counties, 35 states, and 23 foreign countries. This student population also includes approximately 250 students living on-campus in two residence halls. During the 2015-2016 academic year, ASU-Beebe awarded 1,772 credentials.

Arkansas State University-Beebe
Dr. Karla Fisher, Chancellor

A recent study showed ASU-Beebe has over a \$316 million dollar annual economic impact on its service area. To this end, it is one of the largest employers in the area. ASU-Beebe also has several grants and initiatives serving the community include, but are not limited to: Upward Bound, Students Support Services, Career Pathways, Area Career Centers, electrician apprenticeship program, plumber apprenticeship program, Workforce Training, Economic Development Center, White County Industrial Training Council, and the Little Red Industrial Training Council.

ASU-Beebe offers many programs in technical education including, but not limited to: pharmacy technology, veterinary technology, welding technology, LPN, medical laboratory technology, computerized machining technology, diesel technology, and power sport engine technology. Furthermore, the University served over 2,500 enrollments through the Economic Development Center and workforce training program.

ASU-Beebe also offers several academic and technical programs unique to the state: Agricultural Technology (John Deere), Veterinary Technology, Pharmacy Technology, and Associate of Fine Arts. It also is the only two-year school in the state with a working farm. Additionally, the University partners with ASU-Jonesboro to offer 9 bachelor's degrees and 1 master's degrees on the ASU-Beebe Degree Center.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	14,122,727		14,185,008		14,850,274		14,195,680		14,195,680		14,493,789		14,493,789	
2 CASH	17,834,221		82,695,000		82,695,000		82,695,000		82,695,000		82,695,000		82,695,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$31,956,948	430	\$96,880,008	430	\$97,545,274	579	\$96,890,680	579	\$96,890,680	579	\$97,188,789	579	\$97,188,789	579
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	11,835,727	37%	11,835,727	12%			11,846,399	12%	11,846,399	12%	12,144,509	12%	12,144,509	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,485,055	5%	1,547,336	2%			1,547,336	2%	1,547,336	2%	1,547,335	2%	1,547,335	2%
15 WORKFORCE 2000	801,945	3%	801,945	1%			801,945	1%	801,945	1%	801,945	1%	801,945	1%
16 CASH FUNDS	16,087,709	50%	82,695,000	85%			82,695,000	85%	82,695,000	85%	82,695,000	85%	82,695,000	85%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%	0	0%
18 FEDERAL FUNDS	1,746,512	5%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$31,956,948	100%	\$96,880,008	100%			\$96,890,680	100%	\$96,890,680	100%	\$97,188,789	100%	\$97,188,789	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$6,558,844
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$442,422
INVENTORIES	\$67,407
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,228,752
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$506,816
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,288,446

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	11,597,881	11,286,449	11,855,455	12,120,708	12,134,260		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,514,931	1,894,299	1,894,299	1,904,972	2,149,529		
5 OPERATING EXPENSES	0	0	10,000	0	20,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	170,000	170,000	190,000	170,000	190,000		
10 WORKERS COMP/SURITY PREMIUM	5,655						
11							
12							
13 TOTAL APPROPRIATION	\$13,288,467	\$13,350,748	\$13,949,754	\$14,195,680	\$14,493,789	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	11,001,467	11,001,467		11,846,399	12,144,509		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,485,055	1,547,336		1,547,336	1,547,335		
17 SPECIAL REVENUES * [WF2000]	801,945	801,945		801,945	801,945		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$13,288,467	\$13,350,748		\$14,195,680	\$14,493,789	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Allocation Request/Recommendation for General Revenue

	2017-2018	2018-2019
(1) ASU - Beebe	13,361,420	13,635,680
(2) ASUB - Heber Springs	834,260	858,109
Total	14,195,680	14,493,789

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE
HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	834,260	834,260	872,366	0	0		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	0	0	18,154	0	0		
5 OPERATING EXPENSES	0	0	10,000	0	0		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$834,260	\$834,260	\$900,520	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	834,260	834,260					
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$834,260	\$834,260		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNium**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE

APPROPRIATION A71

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,209,257	6,496,960	6,496,960	8,037,360	8,037,360		
2 EXTRA HELP WAGES	570,120	900,000	900,000	1,200,000	1,200,000		
3 OVERTIME	0	15,000	15,000	25,000	25,000		
4 PERSONAL SERVICES MATCHING	2,817,209	3,920,600	3,920,600	4,620,600	4,620,600		
5 OPERATING EXPENSES	6,095,261	10,000,000	10,000,000	11,400,000	11,400,000		
6 CONFERENCE FEES & TRAVEL	234,824	425,000	425,000	485,000	485,000		
7 PROFESSIONAL FEES AND SERVICES	164,358	900,000	900,000	1,000,000	1,000,000		
8 CAPITAL OUTLAY	830,433	1,250,000	1,250,000	1,500,000	1,500,000		
9 CAPITAL IMPROVEMENTS	237,627	26,676,840	26,676,840	41,441,840	41,441,840		
10 DEBT SERVICE	1,460,627	2,500,000	2,500,000	4,000,000	4,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	824,186	7,450,000	7,450,000	8,855,200	8,855,200		
12 PROMOTIONAL ITEMS	39,025	100,000	100,000	130,000	130,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$14,482,926	\$60,634,400	\$60,634,400	\$82,695,000	\$82,695,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	9,172,971	9,305,500		10,693,170	10,907,033		
19 ALL OTHER FEES	3,376	4,000		4,400	4,400		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	105,628	94,000		106,500	107,000		
21 INVESTMENT INCOME	61,654	65,000		90,000	95,000		
22 FEDERAL CASH FUNDS	1,271,877			0	0		
23 OTHER CASH FUNDS	3,867,421	51,165,900		71,800,930	71,581,567		
24 TOTAL INCOME	\$14,482,926	\$60,634,400		\$82,695,000	\$82,695,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	337	337	486	486	486	
TOBACCO POSITIONS						
EXTRA HELP **	98	100	175	225	225	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY - BEEBE
HEBER SPRINGS

APPROPRIATION A43

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	910,101	1,540,400	1,540,400	0	0		
2 EXTRA HELP WAGES	128,939	300,000	300,000	0	0		
3 OVERTIME	0	10,000	10,000	0	0		
4 PERSONAL SERVICES MATCHING	488,871	700,000	700,000	0	0		
5 OPERATING EXPENSES	749,140	1,400,000	1,400,000	0	0		
6 CONFERENCE FEES & TRAVEL	39,415	60,000	60,000	0	0		
7 PROFESSIONAL FEES AND SERVICES	4,714	100,000	100,000	0	0		
8 CAPITAL OUTLAY	6,980	250,000	250,000	0	0		
9 CAPITAL IMPROVEMENTS	138,001	14,765,000	14,765,000	0	0		
10 DEBT SERVICE	875,391	1,500,000	1,500,000	0	0		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	7,770	1,405,200	1,405,200	0	0		
12 PROMOTIONAL ITEMS	1,973	30,000	30,000	0	0		
13							
14							
15							
16 TOTAL APPROPRIATION	\$3,351,295	\$22,060,600	\$22,060,600	\$0	\$0	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,125,332	1,178,000		0	0		
19 ALL OTHER FEES	400	400		0	0		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	4,555	5,500		0	0		
21 INVESTMENT INCOME	16,872	19,000		0	0		
22 FEDERAL CASH FUNDS	474,635			0			
23 OTHER CASH FUNDS	1,729,500	20,857,700		0	0		
24 TOTAL INCOME	\$3,351,295	\$22,060,600		\$0	\$0	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	93	93	93	93	93	
TOBACCO POSITIONS						
EXTRA HELP **	20	20	50	0	0	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - BEEBE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	892,778	357,048	502,548	33,182	932,000	399,690	514,428	17,882
3 FOOD SERVICES	451,418	475,192	0	(23,774)	500,100	559,900	0	(59,800)
4 STUDENT UNION	172,654	88,056	98,041	(13,442)	180,500	92,130	113,724	(25,354)
5 BOOKSTORE	1,100,207	980,643	0	119,564	1,155,000	1,106,625	0	48,375
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER: VENDING	29,002	0	0	29,002	26,000	0	0	26,000
8 SUBTOTAL	\$2,646,059	\$1,900,939	\$600,589	\$144,531	\$2,793,600	\$2,158,345	\$628,152	\$7,104
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			(7,104)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$2,646,059	\$1,900,939	\$600,589	\$144,531	\$2,793,600	\$2,158,345	\$628,152	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						301
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	29	Black Male:	0	Other Male:	2	Total Male: 31
White Female:	44	Black Female:	2	Other Female:	0	Total Female: 46
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	27	Black Male:	1	Other Male:	3	Total Male: 31
White Female:	66	Black Female:	2	Other Female:	1	Total Female: 69
Faculty:						
White Male:	56	Black Male:	2	Other Male:	3	Total Male: 61
White Female:	58	Black Female:	3	Other Female:	2	Total Female: 63
Total White Male:	112	Total Black Male:	3	Total Other Male:	8	Total Male: 123
Total White Female:	168	Total Black Female:	7	Total Other Female:	3	Total Female: 178
Total White:	280	Total Black:	10	Total Other:	11	Total Employees: 301
				Total Minority:	21	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$334,847
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE
June 30, 2015

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The University's internal control system did not detect or prevent material misstatements in the Consolidated Statement of Net Position. The significant error noted is identified below:</p> <ul style="list-style-type: none"> • Investments of \$6,264,682 were incorrectly classified as noncurrent assets. <p>When these errors were brought to the attention of University personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>The University concurs with the finding and will be cognizant of how these assets are to be classified in the future.</p>

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ARKANSAS STATE UNIVERSITY-MOUNTAIN HOME

Dr. Robin Myers, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Introduction: Arkansas State University-Mountain Home (ASUMH) is a public, open access, two-year campus of Arkansas State University, primarily serving students in North Central Arkansas. ASUMH provides affordable, lifelong learning and excellent teaching, enabling students of all ages to explore ethical values, develop technological and critical thinking skills, and communicate logically and effectively in order to enhance their quality of life. Furthermore, ASUMH is committed to creating a progressive community of enlightened and productive global citizens. To help students achieve educational, personal, and career goals, ASUMH offers certificates, associate degrees, community, and workforce education.

Arkansas State University-Mountain Home's vision is to provide expertise and resources which create opportunities and change lives.

The mission of ASUMH is to **LEAD** through educational opportunities:

Lifelong Learning,
Enhanced Quality of Life,
Academic Accessibility, and
Diverse Experiences

During the most recent academic year, ASUMH awarded 241 associate degrees, 135 technical certificates, 195 certificates of proficiency. The fall 2016 headcount is 1367. ASUMH also provided 15,915 workforce training contact hours during the 2015-16 academic year.

History: Act 1244 of 1991 designated the Mountain Home Education Center as a technical college. Arkansas State University-Mountain Home (ASUMH) was established on July 1, 1995 as a branch campus of the Arkansas State University System, by approval of the State Board of Higher Education. The Arkansas State University Board of Trustees has oversight responsibility for the university.

ARKANSAS STATE UNIVERSITY-MOUNTAIN HOME
Dr. Robin Myers, Chancellor

Enabling Laws: Act 200 of 1909, Act 1244 of 1991; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 193 of 2012.

Request: Arkansas State University-Mountain Home is a formula driven entity, the increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,472,039		4,472,039		5,723,853		5,173,435		5,173,435		5,282,077		5,282,077	
2 CASH	5,615,131		32,870,000		32,870,000		32,870,000		32,870,000		32,870,000		32,870,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,087,170	152	\$37,342,039	150	\$38,593,853	188	\$38,043,435	198	\$38,043,435	198	\$38,152,077	198	\$38,152,077	198
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,648,110	36%	3,648,110	10%			4,349,506	11%	4,349,506	11%	4,458,148	12%	4,458,148	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%		0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	823,929	8%	823,929	2%			823,929	2%	823,929	2%	823,929	2%	823,929	2%
16 CASH FUNDS	5,615,131	56%	32,870,000	88%			32,870,000	86%	32,870,000	86%	32,870,000	86%	32,870,000	86%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,087,170	100%	\$37,342,039	100%			\$38,043,435	100%	\$38,043,435	100%	\$38,152,077	100%	\$38,152,077	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,926,772
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$882,332
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$80,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$350,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$364,440

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,345,609	3,948,186	5,100,000	4,800,000	4,900,000		
2 EXTRA HELP WAGES	0	100,000	200,000	200,000	200,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	123,929	350,000	350,000	100,000	100,000		
5 OPERATING EXPENSES	0	73,853	73,853	73,435	82,077		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	2,501						
11							
12							
13 TOTAL APPROPRIATION	\$4,472,039	\$4,472,039	\$5,723,853	\$5,173,435	\$5,282,077	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,648,110	3,648,110		4,349,506	4,458,148		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	823,929	823,929		823,929	823,929		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,472,039	\$4,472,039		\$5,173,435	\$5,282,077	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION B80

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	945,303	4,800,000	4,800,000	4,800,000	4,800,000		
2 EXTRA HELP WAGES	293,869	500,000	500,000	500,000	500,000		
3 OVERTIME	0	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	316,823	2,540,400	2,540,400	2,540,400	2,540,400		
5 OPERATING EXPENSES	2,674,127	4,000,000	4,000,000	4,000,000	4,000,000		
6 CONFERENCE FEES & TRAVEL	63,073	200,000	200,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	371,855	1,400,000	1,400,000	1,400,000	1,400,000		
8 CAPITAL OUTLAY	1,940	900,000	900,000	900,000	900,000		
9 CAPITAL IMPROVEMENTS	0	15,489,600	15,489,600	15,489,600	15,489,600		
10 DEBT SERVICE	932,134	2,000,000	2,000,000	2,000,000	2,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000		
12 PROMOTIONAL ITEMS	16,004	30,000	30,000	30,000	30,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,615,131	\$32,870,000	\$32,870,000	\$32,870,000	\$32,870,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,749,236	3,671,371		3,671,371	3,671,371		
19 ALL OTHER FEES	663,881	609,557		609,557	609,557		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	696	5,000		5,000	5,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	1,201,318	28,584,072		28,584,072	28,584,072		
24 TOTAL INCOME	\$5,615,131	\$32,870,000		\$32,870,000	\$32,870,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	152	150	188	198	198	
TOBACCO POSITIONS						
EXTRA HELP **	47	47	70	70	70	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	843,982	690,074	0	153,908	105,000	0	0	105,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	10,044	0	(10,044)	0	0	0	0
7 OTHER	60,267	64,917	0	(4,650)	160,000	160,000	0	0
8 SUBTOTAL	\$904,249	\$765,035	\$0	\$139,214	\$265,000	\$160,000	\$0	\$105,000
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	(50,000)			(50,000)	(50,000)			(50,000)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$854,249	\$765,035	\$0	\$89,214	\$215,000	\$160,000	\$0	\$55,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Community Development Center

NOTE: Line 10 - Other Transfers - From Auxillary to E & G for Student Operation Support.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>155</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>14</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>14</u>
				Total	<u>14</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>0</u>
Classified Employees:					
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>23</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>15</u>
				Total	<u>24</u>
Faculty:					
White Male:	<u>32</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>54</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>
Total		Total		Total	<u>32</u>
				Total	<u>56</u>
Total White Male:	<u>60</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>91</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>3</u>
Total		Total		Total	<u>61</u>
				Total	<u>94</u>
Total White:	<u>151</u>	Total Black:	<u>0</u>	Total Other:	<u>4</u>
				Total Minority:	<u>4</u>
				Total	<u>155</u>
				Total	<u>155</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY MOUNTAIN HOME
June 30, 2015

<i>Finding:</i>	No Findings noted
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Arkansas State University Mid-South Dr. Debra West, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Mid-South Technical College was created by Arkansas Act 1244 of 1991, which converted 14 of Arkansas' vocational-technical schools into technical colleges, and became Mid-South Community College (MSCC) in 1993 when voters passed a millage for local support of a community college. In operation since 1979, the institution had experienced little growth or financial support during its 12 years as one of the state's smallest vocational-technical schools. The original campus offered only two instructional buildings and a maintenance shop. At that time, the institution had offered primarily adult education classes and occupational programs in practical nursing, over-the-road truck driving, welding, data processing and clerical support. Mid-South Community College experienced significant program and infrastructure expansion for 22 years through the visionary leadership of its president, Dr. Glen Fenter. Upon his retirement in July 2015, MSCC became Arkansas State University Mid-South, the fifth institution in the Arkansas State University System, with Dr. Debra West serving as the first Chancellor.

Arkansas State University Mid-South (ASU Mid-South) is a fully accredited, two-year public institution serving Crittenden and surrounding counties. Its founding marked the first local provision of higher education in a county which has lagged decades behind in educational and economic development in comparison to more prosperous areas. To address these deficits effectively, ASU Mid-South is centered on student learning, customer service, and an entrepreneurial approach to education.

Arkansas State University Mid-South
Dr. Debra West, Chancellor

ASU Mid-South is committed to building a continuum of educational opportunities through alliances with public schools and other institutions of higher education to increase college-preparedness and to provide local access to baccalaureate and graduate programs and professional training. To this end, ASU Mid-South offers 12 associate degree programs, 4 technical certificate programs, 23 certificate of proficiency programs, 13 licensure/certification programs, developmental education, and adult education. In 2015-16, the College employed 45 full-time instructors, approximately 89 adjuncts and 95 full-time staff. In addition, ASU Mid-South hosts upper-division and graduate courses through the ADTEC University Center on campus and/or has university partnerships with Arkansas State University, the University of Central Arkansas, the University of Arkansas at Fort Smith, Montana State University-Northern, the University of Memphis, and Embry-Riddle Aeronautical University.

Act 140 of the Fiscal Session 2016 is the Arkansas State University Mid-South appropriation act for personnel services and operating expenses for the fiscal year ending June 30, 2017. Although the appropriation totals \$6,395,446, RSA and WF2000 forecasted funding as of July 18, 2016, is only \$6,048,921, which is a reduction of \$346,525, or 5.42%. Although the state is developing a new funding model, the effects and final details remain unknown at this time. The current funding formula model for two-year colleges stated in A.C.A 6-61-229 calculates ASU Mid-South's total need in FY2015-16 at \$7,474,162, but the total recommendation was only \$6,121,837, or 81.9% of need. This percentage represents a \$1,352,325 deficit in funding which is desperately needed to help meet the demand for an educated and trained workforce in the Arkansas Delta.

Arkansas State University Mid-South
Dr. Debra West, Chancellor

MISSION

ASU Mid-South is a public two-year institution of higher education with an open-door admission policy, serving Crittenden County, Arkansas, and the surrounding areas with a comprehensive educational program. The College is committed to economic development in the Arkansas Delta through the provision of high quality, affordable, and convenient learning opportunities and services consistent with identified student, community, and regional needs. To meet these needs, the College provides quality academic and support programs, personnel, technology, administrative services, and facilities necessary to respond in a timely and effective manner.

A 5-member, governor-appointed Board of Trustees governs the ASU System. ASU Mid-South also has a 9-member Board of Visitors, which serves in a local advisory capacity to the College.

ASU Mid-South continues to assess and respond to the needs of its business and industry partners, to ensure the provision of education and training programs that will prepare students for high- skill, high-wage, and/or high-demand 21st century jobs. Areas of emphasis for the 2016-17 academic year include expanding accelerated programming in several technical areas, including Advanced Manufacturing; Transportation; and Process Control Technology, all of which demonstrate tremendous regional, national, and global demand. ASU Mid-South is also intensifying efforts at all levels to positively impact student retention and completion, including the elimination of late registration; more flexible scheduling options resulting from increased online and hybrid delivery of instruction; credit for prior learning; self-paced developmental courses, which allow students to accelerate developmental course completion, saving time and money;

Arkansas State University Mid-South
Dr. Debra West, Chancellor

and the incorporation of OnCourse strategies to provide additional learning support in those courses with high incidences of Ds, Fs, or Ws.

The Arkansas Delta Training and Education Consortium (ADTEC) was awarded a state regional workforce grant and, as the lead institution, ASU Mid-South is implementing strategies and enhanced programming to target demand occupations aligned with Advanced Manufacturing and Transportation, Distribution, and Logistics (TDL) Career Pathways. For all ADTEC colleges, this includes deploying programming in a regional approach to Certified Driver Training. Also a strategy of the regional workforce grant, ASU Mid-South will further develop its alignment of third-party/industry credentials with curriculum, academic credentials, and multiple entry and exit points in the targeted career pathways.

ASU Mid-South is optimistic about the new proposed funding formula, although the model is still in development and the effects cannot fully be determined until the model is finalized and implemented. While some of the inadequacies of the current formula will likely be eliminated, some of the hard realities will still exist. Technical programs are much more expensive than academic programs to implement and sustain, including costs of equipment acquisition and maintenance, technology requirements that meet industry standards, and competitive instructor salaries. More resources are required to effectively meet the education, training, and social needs of at-risk and underserved student populations, which include the minority, low income, and/or academically underprepared student population, which comprises the majority of ASU Mid-South's enrollment. There is promise, however, in the proposed weighted funding to support STEM programming,

Arkansas State University Mid-South
Dr. Debra West, Chancellor

programming that meets regional workforce demands, rewarding of industry-recognized credentials as well as academic credentials, and for achieving outcomes for the aforementioned at-risk and underserved student population.

Two-year colleges are generally designated as the primary mechanisms to provide workforce training, and state policy is a key factor in determining how effective they are in carrying out their workforce development mission. In addition to the numerous challenges previously identified, the state's historical trends in per student investment indicate not only a significant decline in state funding to Arkansas two-year colleges but also inequitable funding in comparison to the continued increases in K-12 funding.

ASU Mid-South, along with most of the other two-year colleges in Arkansas, houses a secondary technical center offering workforce training to high school students through a model designed not to duplicate technical program offerings on area high school campuses. This model supports college and career readiness through a career pathway concept, offering concurrent credit which enables students to attain a college certificate of proficiency while in high school and then progress along the pathway of stackable credentials to earn additional certificates/degrees as a college student.

The secondary technical center programs are funded primarily by the Arkansas Department of Career Education and pass-through funding from the local school districts, but state appropriations for these programs have been flat for several years, with no increase in four years and less than a 1% increase in 10+ years prior to that. The lack of adequate state funding also means restrictions on new program start-ups and limited/delayed funding of one-time equipment grants to support programs. As a result, the ability to sustain relevant, industry-driven programs is impaired, and the two-year

**Arkansas State University Mid-South
Dr. Debra West, Chancellor**

colleges must attempt to absorb the shortfall with already insufficient institutional resources. ASU Mid-South requests that the state establish adequate funding to support the secondary technical centers.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,048,921		6,048,921		6,395,446		6,048,921		6,048,921		6,175,948		6,175,948	
2 CASH	12,977,881		81,035,000		81,035,000		81,035,000		81,035,000		81,035,000		81,035,000	
3 STATE TREASURY - ADTEC	1,570,463		1,500,000		2,091,350		1,531,500		1,531,500		1,563,662		1,563,662	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$20,597,265	217	\$88,583,921	197	\$89,521,796	316	\$88,615,421	316	\$88,615,421	316	\$88,774,610	316	\$88,774,610	316
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,358,007	26%	5,358,007	6%			5,389,507	6%	5,389,507	6%	5,548,696	6%	5,548,696	6%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,190,914	11%	2,190,914	2%			2,190,914	2%	2,190,914	2%	2,190,914	2%	2,190,914	2%
16 CASH FUNDS	4,275,451	21%	65,585,000	74%			65,585,000	74%	65,585,000	74%	65,585,000	74%	65,585,000	74%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	8,702,430	42%	15,450,000	17%			15,450,000	17%	15,450,000	17%	15,450,000	17%	15,450,000	17%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	70,463	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$20,597,265	100%	\$88,583,921	100%			\$88,615,421	100%	\$88,615,421	100%	\$88,774,610	100%	\$88,774,610	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$3,651,395
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$195,777
INVENTORIES	\$17,072
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,792,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,646,546

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2017-2019 BIENNIUM (Non-Formula Entities)**

[ADTEC/UNIVERSITY CENTER](#)

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2015-2016 ACTUAL	2016-2017 BUDGETED *	2017-19 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2017-2018		2018-2019	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	1,340,946	1,075,000	1,097,575	1,097,575	1,120,642	1,120,642
2 PERSONAL SERVICES MATCHING	400,773	320,000	326,720	326,720	333,580	333,580
3 EXTRA HELP WAGES	0	8,000	8,168	8,168	8,340	8,340
4 OPERATING EXPENSES	106,086	85,000	86,785	86,785	88,600	88,600
5 CONFERENCE FEES & TRAVEL	16,057	12,000	12,252	12,252	12,500	12,500
6 CAPITAL OUTLAY	18,327	0	0	0	0	0
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,882,189	\$1,500,000	\$1,531,500	\$1,531,500	\$1,563,662	\$1,563,662
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***	382,189					
STATE FUNDS:						
19 GENERAL REVENUE	1,500,000	1,500,000	1,531,500	1,531,500	1,563,662	1,563,662
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$1,882,189	\$1,500,000	\$1,531,500	\$1,531,500	\$1,563,662	\$1,563,662

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTM0000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION _____ 109

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,238,618	4,318,921	4,700,000	4,318,921	4,435,948		
2 EXTRA HELP WAGES	303,776	300,000	350,000	300,000	300,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,067,810	1,000,000	1,000,000	1,000,000	1,000,000		
5 OPERATING EXPENSES	408,717	400,000	315,446	400,000	400,000		
6 CONFERENCE FEES & TRAVEL	30,000	30,000	30,000	30,000	40,000		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$6,048,921	\$6,048,921	\$6,395,446	\$6,048,921	\$6,175,948	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,858,007	3,858,007		3,858,007	3,985,034		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,190,914	2,190,914		2,190,914	2,190,914		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,048,921	\$6,048,921		\$6,048,921	\$6,175,948	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTM0000

INSTITUTION ADTEC/UNIVERSITY CENTER

APPROPRIATION 83F

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	298,078						
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	100,000						
5 OPERATING EXPENSES	1,169,385						
6 CONFERENCE FEES & TRAVEL	3,000						
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 ADTEC/UNIVERSITY CENTER PARTNERS		1,500,000	2,091,350	1,531,500	1,563,662		
11							
12							
13 TOTAL APPROPRIATION	\$1,570,463	\$1,500,000	\$2,091,350	\$1,531,500	\$1,563,662	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,500,000	1,500,000		1,531,500	1,563,662		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	70,463						
21 TOTAL INCOME	\$1,570,463	\$1,500,000		\$1,531,500	\$1,563,662	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 OTHER STATE TREASURY FUNDS- The funds listed in Other State Treasury Funds are Southland Greyhound Charity Days funds.

ADTEC Allocations	2015-16	2017-18	2018-19
(1) ARKANSAS NORTHEASTERN COLLEGE	157,081	160,380	163,748
(2) ARKANSAS STATE UNIVERSITY NEWPORT	150,116	160,380	163,748
(3) EAST ARKANSAS COMMUNITY COLLEGE	199,698	209,892	214,300
(4) MID-SOUTH COMMUNITY COLLEGE	347,838	409,501	418,100
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	181,710	185,526	189,422
(6) ARKANSAS STATE UNIVERSITY JONESBORO	189,944	314,301	320,901
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	5,919	91,521	93,443
TOTAL AMOUNT ALLOCATED	<u>1,232,305</u>	<u>1,531,500</u>	<u>1,563,662</u>

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2810000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION D03

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,327,443	15,250,000	15,250,000	15,250,000	15,250,000		
2 EXTRA HELP WAGES	390,004	1,600,000	1,600,000	1,600,000	1,600,000		
3 OVERTIME	0	30,000	30,000	30,000	30,000		
4 PERSONAL SERVICES MATCHING	1,382,627	5,550,000	5,550,000	5,550,000	5,550,000		
5 OPERATING EXPENSES	3,962,930	10,250,000	10,250,000	10,250,000	10,250,000		
6 CONFERENCE FEES & TRAVEL	126,717	950,000	950,000	950,000	950,000		
7 PROFESSIONAL FEES AND SERVICES	1,379,337	32,500,000	32,500,000	32,500,000	32,500,000		
8 CAPITAL OUTLAY	451,183	4,750,000	4,750,000	4,750,000	4,750,000		
9 CAPITAL IMPROVEMENTS	1,930,614	9,000,000	9,000,000	9,000,000	9,000,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	950,000	950,000	950,000	950,000		
12 PROMOTIONAL ITEMS	\$27,025	205,000	205,000	205,000	205,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$12,977,881	\$81,035,000	\$81,035,000	\$81,035,000	\$81,035,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,275,451	5,356,000		5,356,000	5,356,000		
19 ALL OTHER FEES		9,527,500		9,527,500	9,527,500		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME		103,000		103,000	103,000		
22 FEDERAL CASH FUNDS	8,702,430	15,450,000		15,450,000	15,450,000		
23 OTHER CASH FUNDS		50,598,500		50,598,500	50,598,500		
24 TOTAL INCOME	\$12,977,881	\$81,035,000		\$81,035,000	\$81,035,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	217	197	316	316	316	
TOBACCO POSITIONS						
EXTRA HELP **	89	94	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY MID-SOUTH
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	30,301	144,141	0	(113,840)	28,000	173,710	0	(145,710)
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	123,870	139,193	0	(15,323)	95,000	164,720	0	(69,720)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	61,237	0	0	61,237	85,000	0	0	85,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	7,877	0	0	7,877	10,000	0	0	10,000
8 SUBTOTAL	\$223,285	\$283,334	\$0	(\$60,049)	\$218,000	\$338,430	\$0	(\$120,430)
9 ATHLETIC TRANSFER **	113,840			113,840	120,430			120,430
10 OTHER TRANSFERS ***	0			0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$337,125	\$283,334	\$0	\$53,791	\$338,430	\$338,430	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - vending income

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MID-SOUTH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>140</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>18</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>
White Female:	<u>28</u>	Black Female:	<u>18</u>	Other Female:	<u>0</u>
				Total Male:	<u>23</u>
				Total Female:	<u>46</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>5</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>10</u>	Black Female:	<u>8</u>	Other Female:	<u>2</u>
				Total Male:	<u>6</u>
				Total Female:	<u>20</u>
Faculty:					
White Male:	<u>23</u>	Black Male:	<u>5</u>	Other Male:	<u>1</u>
White Female:	<u>10</u>	Black Female:	<u>6</u>	Other Female:	<u>0</u>
				Total Male:	<u>29</u>
				Total Female:	<u>16</u>
Total White Male:	<u>46</u>	Total Black Male:	<u>11</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>48</u>	Total Black Female:	<u>32</u>	Total Other Female:	<u>2</u>
Total White:	<u>94</u>	Total Black:	<u>43</u>	Total Other:	<u>3</u>
				Total Minority:	<u>46</u>
				Total Employees:	<u>140</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY MID-SOUTH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$406,683
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF MID-SOUTH COMMUNITY COLLEGE
June 30, 2015

<i>Finding:</i>	
<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Net Position, the Statement of Revenues, Expenses, and Changes in Net Position, and the Notes to the Financial Statements included:</p> <p><i>Statement of Net Position</i> Capital assets and the related component of net position were understated by \$34,184.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i> Due to misclassification of the cumulative effects of changes in accounting principles, personal services expense was overstated by \$1,053,888.</p> <p><i>Notes to the Financial Statements</i></p> <ul style="list-style-type: none"> a) Due to clerical errors, the College's Other Receivables note components contained misclassifications of \$175,613. b) Due to clerical errors, the remaining principal and interest to be paid was understated in the College's Pledged Revenues note disclosure by \$1,434,672. <p>These errors were brought to the attention of College personnel and the financial statements and notes were corrected.</p>
<p><i>Institution's Response</i></p>	<p><i>Statement of Net Position</i> Kitchen equipment was purchased for a new building that included a walk-in combo cooler/freezer, drain troughs, and stainless steel wall flashing that was attached to or a part of the building. These items were not capitalized as equipment nor added to the building. This was an oversight and has been corrected on our books.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i> Although it is correct that we did not record this correctly on our financial statements, we requested assistance immediately upon arrival of the legislative auditor in recording pension expense. Items had been changed in the past to be consistent with other institution's financial statements and we were waiting to record it the way</p>

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF MID-SOUTH COMMUNITY COLLEGE
June 30, 2015

	<p>Legislative Audit preferred. We were told by the auditor to wait until they were able to look at it and would get help from the regional office. This had never been an issue in the past and we did not realize we would get a comment for waiting. The amount was calculated and placed in personal services expense to balance the statement but we knew it would be moved at a later time. In the future we will record properly.</p> <p><i>Notes to the Financial Statements</i></p> <ul style="list-style-type: none">a) This was a coding error when the account was set up. The receivable was recorded as other instead of state and federal contracts. The person preparing the financial statements was aware of the coding error but did not review the note prepared by another staff member; therefore, did not catch this error. Care will be taken in the future to ensure all notes are correct.b) Again, this was due to lack of review. The wrong number was picked up by the person preparing the notes. Care will be taken in the future to ensure all notes are correct. <p>Although the comments listed above are true, in the past, auditors had assisted to insure the financial statements were correct without comments being made. In the future, we will not rely on this.</p>
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ARKANSAS STATE UNIVERSITY - NEWPORT

Dr. Sandra Massey, Chancellor

INSTITUTION HISTORY AND ORGANIZATION

Introduction: Arkansas State University-Newport (ASUN) provides life-long learning opportunities, business and industry training, and economic development support to the Northeast Arkansas region. With its three campuses located in Newport, Jonesboro, and Marked Tree, ASUN is proud to serve the counties of Jackson, White, Woodruff, Lonoke, Craighead, Poinsett and Faulkner. The college strives to advance industry and aid economic development in the region by responding to industry needs in programming and training. The faculty and staff of ASU-Newport are dedicated to providing the highest quality technical and transfer programs, and support services to students.

History: Arkansas State University-Newport was founded as White River Vocational Technical School in 1976. In 1992, the school merged with ASU-Beebe to become ASU-Beebe/Newport. After gaining approval from the Arkansas Department of Higher Education, the institution in 2002 gained accreditation from the Higher Learning Commission and became a stand-alone campus of the Arkansas State University System. In December 2007, ASUN assumed responsibility for the Arkansas State University technical centers located in Jonesboro and Marked Tree, creating the three campus organization that is collectively known as ASU-Newport. Through its three campuses, ASU-Newport provides quality and diverse educational opportunities. The college has the only High Voltage Lineman Technology program in the state. The state of the art laboratory facilities that have been constructed to support the students in this unique program are the only ones of its type in this region of the country. Another example of a program meeting industry-specific needs is the Hospitality Services and Advanced Manufacturing Technology programs on the ASUN Jonesboro campus. These programs provide high-tech education and training to students in an expanding sector of industry in the region. Recent grant opportunities have led to new programs to support the region including Precision Agriculture, Welding, and Computer Networking Technology. The college also provides quality online learning opportunities to provide the flexibility of students who require non-traditional delivery methods to obtain a degree.

ASU-Newport offers general and technical certificates, certificates of proficiency, and associate degrees. As of Fall 2015, ASUN was one of only two community colleges that had a positive growth over the previous five years with a growth rate of 29.8% over that time period. ASUN consistently ranks in the top five two year colleges for graduation rates, and as of the most recent 3 year graduation rate published by ADHE, ASUN was ranked third out of 22 two year colleges.

ARKANSAS STATE UNIVERSITY - NEWPORT
Dr. Sandra Massey, Chancellor

In Fall 2014, ASUN set an all-time record headcount enrollment with 2,476 students which was a 20.4% increase over the previous Fall semester. In Fall 2015, ASUN again set an all-time record enrollment with a headcount of 2,651 students which was a growth rate of 7.1% over the previous Fall. The college now ranks 5th overall in the state in headcount and FTE enrollment.

Governance: ASU-Newport is governed by the Arkansas State University System board of trustees, which consists of five persons appointed by the Governor of the state. The members are as follows:

Name	Term Expires
Mr. Howard Slinkard, Chair	2017
Mr. Ron Rhodes, Vice Chair	2018
Dr. Tim Langford, Secretary	2019
Mr. Niel Crowson	2020
Mrs. Stacy Crawford	2021

Charles L. Welch, ASU System President

Campus Administration	Title
Dr. Sandra Massey	Chancellor
Dr. Holly Ayers	Vice-Chancellor for Academic Affairs
Mr. Adam Adair	Vice-Chancellor for Finance & Administration
Dr. Ashley Buchman	Vice-Chancellor for Student Affairs
Mr. Charley Appleby	Vice-Chancellor for Economic & Workforce Development
Mr. Jeff Bookout	Vice-Chancellor for Strategic Initiatives
Mr. Ike Wheeler	Dean for Institutional Advancement

ARKANSAS STATE UNIVERSITY - NEWPORT
Dr. Sandra Massey, Chancellor

Mission Statement: Our Mission is to provide integrity of programs and services, affordable lifelong learning, and enhanced quality of life in the diverse community we serve.

Strategic Priority 1 – Student Success

Goal Statement:

Arkansas State University-Newport will aggressively demonstrate a strong commitment to student success in all areas of the organization.

1. We will create an integrated, intensive, and ongoing student-centric experience which leads to increased enrollment, retention and completion, and transfer and employment rates.
2. We will ensure the highest level of excellence of faculty and staff, through recruitment and an emphasis on employee retention and professional development; leading to improving the student experience, including engagement and learning.
3. We will provide high quality general education and training pathways which lead to family-supporting jobs.

Strategic Priority 2 – Institutional Excellence

Goal Statement:

Arkansas State University-Newport will actively demonstrate its commitment to the achievement of excellence by creating a culture of accountability and promoting an entrepreneurial spirit.

1. We will effectively communicate with stakeholders both internally and externally, promoting a positive image and increasing brand recognition.
2. We will ensure continuous improvement in all institutional operations guided by rigorous assessment and strengthened by accountability.
3. We will promote a culture of trust and transparency through accountability; emphasizing efficiency, stewardship and integrity that will lead to the recruitment and retention of high-quality employees.

ARKANSAS STATE UNIVERSITY - NEWPORT
Dr. Sandra Massey, Chancellor

Strategic Priority 3 – Community Engagement

Goal Statement:

Arkansas State University-Newport will assume a leading role in creating economic and cultural advancement for the communities we serve.

1. We will be a catalyst for economic development through responsiveness to industry needs leading to a greater economic strength in the Northeast Arkansas region.
2. We will actively engage external parties to obtain support that will strengthen the college and provide additional resources to enhance the student learning experience.
3. We will be highly visible in our communities to enact positive change and bring enhanced cultural opportunities to increase global awareness in our region.

Due to the level of growth the college has experienced, ASUN is requesting to add six Project/Program Administrator positions. As a result of the current and future expected growth, the college foresees the need to add staff to oversee operations and provide student support and retention services. The flexibility of these requested positions will allow us to add human resources to oversee operations in the fiscal, student, safety, and academic areas to ensure students are provided a high level of service and are successful in achieving their goals. Staff will be conservatively added in critical areas to ensure the college is supporting the needs of our students at each of our three growing campuses.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,409,921		7,409,921		8,204,315		8,893,240		8,893,240		9,079,998		9,079,998	
2 CASH	10,297,471		31,870,000		31,870,000		31,870,000		31,870,000		31,870,000		31,870,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,707,392	236	\$39,279,921	266	\$40,074,315	304	\$40,763,240	310	\$40,763,240	310	\$40,949,998	310	\$40,949,998	310
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,992,293	34%	5,992,293	15%			7,475,612	18%	7,475,612	18%	7,662,370	19%	7,662,370	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,417,628	8%	1,417,628	4%			1,417,628	3%	1,417,628	3%	1,417,628	3%	1,417,628	3%
16 CASH FUNDS	7,735,673	44%	29,120,000	74%			28,970,000	71%	28,970,000	71%	28,970,000	71%	28,970,000	71%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,561,798	14%	2,750,000	7%			2,900,000	7%	2,900,000	7%	2,900,000	7%	2,900,000	7%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$17,707,392	100%	\$39,279,921	100%			\$40,763,240	100%	\$40,763,240	100%	\$40,949,998	100%	\$40,949,998	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$1,094,987
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$300,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,800,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,355,013)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,777,679	4,777,679	5,000,000	5,443,240	5,529,998		
2 EXTRA HELP WAGES	125,000	125,000	160,000	175,000	175,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,100,000	1,612,242	1,300,000	1,450,000	1,450,000		
5 OPERATING EXPENSES	1,381,540	870,000	1,719,315	1,800,000	1,900,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
10 WORKERS COMP/SURETY PREMIUM	702						
11							
12							
13 TOTAL APPROPRIATION	\$7,409,921	\$7,409,921	\$8,204,315	\$8,893,240	\$9,079,998	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,992,293	5,992,293		7,475,612	7,662,370		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,417,628	1,417,628		1,417,628	1,417,628		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,409,921	\$7,409,921		\$8,893,240	\$9,079,998	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

APPROPRIATION B77

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,680,484	8,125,000	8,125,000	8,250,000	8,250,000		
2 EXTRA HELP WAGES	249,275	375,000	375,000	400,000	400,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	538,512	2,530,000	2,530,000	2,600,000	2,600,000		
5 OPERATING EXPENSES	4,040,897	5,200,000	5,200,000	5,300,000	5,300,000		
6 CONFERENCE FEES & TRAVEL	163,906	290,000	290,000	305,000	305,000		
7 PROFESSIONAL FEES AND SERVICES	460,033	1,350,000	1,350,000	1,200,000	1,200,000		
8 CAPITAL OUTLAY	96,450	3,100,000	3,100,000	3,300,000	3,300,000		
9 CAPITAL IMPROVEMENTS	428,169	8,500,000	8,500,000	8,650,000	8,650,000		
10 DEBT SERVICE	592,539	925,000	925,000	980,000	980,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	15,481	1,400,000	1,400,000	800,000	800,000		
12 PROMOTIONAL ITEMS	31,725	75,000	75,000	85,000	85,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$10,297,471	\$31,870,000	\$31,870,000	\$31,870,000	\$31,870,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	5,874,329	5,433,324		5,600,000	5,600,000		
19 ALL OTHER FEES	1,136,103	1,200,000		1,275,000	1,275,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	44,325	45,000		47,500	47,500		
21 INVESTMENT INCOME	28,567	30,000		30,000	30,000		
22 FEDERAL CASH FUNDS	2,561,798	2,750,000		2,900,000	2,900,000		
23 OTHER CASH FUNDS	652,349	22,411,676		22,017,500	22,017,500		
24 TOTAL INCOME	\$10,297,471	\$31,870,000		\$31,870,000	\$31,870,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	236	266	304	310	310	
TOBACCO POSITIONS						
EXTRA HELP **	30	60	60	60	60	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - NEWPORT
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	178,618	233,445	0	(54,827)	180,000	183,137	0	(3,137)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	47,501	0	0	47,501	50,000	0	0	50,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	108,275	54,551	0	53,724	95,000	69,000	0	26,000
8 SUBTOTAL	\$334,394	\$287,996	\$0	\$46,398	\$325,000	\$252,137	\$0	\$72,863
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$334,394	\$287,996	\$0	\$46,398	\$325,000	\$252,137	\$0	\$72,863

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Student Activity Fees and Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>236</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>18</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>22</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>
				Total Male:	<u>19</u>
				Total Female:	<u>27</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>10</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>
White Female:	<u>29</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>
				Total Male:	<u>12</u>
				Total Female:	<u>31</u>
Faculty:					
White Male:	<u>59</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>
White Female:	<u>81</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>
				Total Male:	<u>62</u>
				Total Female:	<u>85</u>
Total White Male:	<u>87</u>	Total Black Male:	<u>4</u>	Total Other Male:	<u>2</u>
Total White Female:	<u>132</u>	Total Black Female:	<u>11</u>	Total Other Female:	<u>0</u>
Total White:	<u>219</u>	Total Black:	<u>15</u>	Total Other:	<u>2</u>
				Total Minority:	<u>17</u>
				Total Employees:	<u>236</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - NEWPORT

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Goddess Products	\$62,984						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$3,750,497
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 2%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT
June 30, 2015

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The University's internal control system did not detect or prevent material misstatements in the Consolidated Statement of Net Position. The significant error noted is identified below:</p> <ul style="list-style-type: none"> • Investments designated for capital projects of \$5,075,115 were incorrectly classified as current assets. <p>When these errors were brought to the attention of University personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>The University concurs with the finding and will be cognizant of how these assets are to be classified in the future.</p>

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BLACK RIVER TECHNICAL COLLEGE

Dr. Eric Turner, President

ENABLING LAWS

Act 328 of 1957; A.C.A. 6-53-301; Act 142 of 2016

Black River Vocational Technical School began as a component unit of the State of Arkansas on February 26, 1973, under the provisions of Act 328 of 1957 which created the State Board of Vocational Education for the purpose of establishing in various sections of Arkansas secondary level high schools specializing in vocational technical training.

Effective July 1, 1991, under the provisions of Ark. Code Ann 6-53-301, the school's name was changed to Black River Technical College and in 1993 became an independent institution of higher education under the jurisdiction of the Arkansas Higher Education Coordinating Board.

Act 124 of the State of Arkansas 90th General Assembly, Fiscal Session, 2016, provided appropriations for Black River Technical College for the fiscal year 2016-2017 to include a maximum of 311 faculty and staff positions, 75 temporary or part time employees, State appropriations of \$8,889,277, and appropriated Cash Funds of \$64,985,400.

INSTITUTION HISTORY AND ORGANIZATION

The idea to provide vocational and technical training to enhance and enrich the lives of students of Randolph County, Arkansas began in 1972 with land donated by the City of Pocahontas on which it was planned to build a school to serve this educational purpose. On February 26, 1973, the Black River Vocational Technical School became a reality under the provisions of Act 328 of 1957 with an initial enrollment of 38 students in six programs. In 1991, the school's name was changed to Black River Technical College (BRTC) and now serves more than 3,000 students annually in 32 certificate programs and 21 associate degree programs, as well as numerous corporate and community education programs. With the completion of the new Health Science Complex in the fall of 2015, the original 46,775 square foot campus, situated on 44 acres, has grown to 362,750 square feet with a total of 35 buildings on 100 acres.

BLACK RIVER TECHNICAL COLLEGE
Dr. Eric Turner, President

BRTC not only serves a growing population with a second location in Paragould, Arkansas, but also provides training for Arkansas' law enforcement community at the Law Enforcement Training Academy and first responders with the Emergency Medical Technician program and the Fire Science Training Academy, all located on the Pocahontas campus.

The College is governed by a seven-member Board of Trustees appointed by the Governor of Arkansas. The College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools.

MISSION STATEMENT

Black River Technical College transforms lives through quality academic and career education to enhance the community we serve.

VISION STATEMENT

BRTC will lead our community in learning and economic development.

PERSONAL SERVICES REQUESTS

The Technical Education department of Black River Technical College is requesting three additional project/program specialist positions in order to move current employees from twelve month faculty positions into positions indicative of their actual duties. If granted these positions, three part-time faculty positions would be deleted. This would result in a net-zero increase in positions.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,365,916		8,358,725		8,889,277		8,358,725		8,358,725		8,534,258		8,534,258	
2 CASH	12,117,087		64,985,400		64,985,400		64,985,400		64,985,400		64,985,400		64,985,400	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$20,483,003	272	\$73,344,125	280	\$73,874,677	313	\$73,344,125	313	\$73,344,125	313	\$73,519,658	313	\$73,519,658	313
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,113,516	30%	6,113,516	8%			6,113,516	8%	6,113,516	8%	6,289,049	9%	6,289,049	9%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,245,209	11%	2,245,209	3%			2,245,209	3%	2,245,209	3%	2,245,209	3%	2,245,209	3%
16 CASH FUNDS	11,042,273	54%	63,785,400	87%			63,785,400	87%	63,785,400	87%	63,785,400	87%	63,785,400	87%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,074,814	5%	1,200,000	2%			1,200,000	2%	1,200,000	2%	1,200,000	2%	1,200,000	2%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	7,191	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$20,483,003	100%	\$73,344,125	100%			\$73,344,125	100%	\$73,344,125	100%	\$73,519,658	100%	\$73,519,658	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,861,398
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$182,417
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,891,562
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$818,764
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$631,345)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.
NOTE: OTHER - Unfunded OPEB Obligation

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	6,113,516	6,113,516	6,407,278	6,113,516	6,289,049		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,251,097	2,223,088	2,223,088	2,235,209	2,235,209		
5 OPERATING EXPENSES	500	22,121	258,911	10,000	10,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	803						
11							
12							
13 TOTAL APPROPRIATION	\$8,365,916	\$8,358,725	\$8,889,277	\$8,358,725	\$8,534,258	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	6,113,516	6,113,516		6,113,516	6,289,049		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,245,209	2,245,209		2,245,209	2,245,209		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	7,191						
21 TOTAL INCOME	\$8,365,916	\$8,358,725		\$8,358,725	\$8,534,258	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

NOTE: Line 20 Other State Treasury Funds - Tuition Adjustment Reimbursement

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,962,448	15,300,000	15,300,000	15,300,000	15,300,000		
2 EXTRA HELP WAGES	270,323	1,701,000	1,701,000	1,701,000	1,701,000		
3 OVERTIME	0	34,020	34,020	34,020	34,020		
4 PERSONAL SERVICES MATCHING	432,502	5,949,000	5,949,000	5,949,000	5,949,000		
5 OPERATING EXPENSES	4,312,651	22,096,800	22,096,800	22,096,800	22,096,800		
6 CONFERENCE FEES & TRAVEL	63,728	679,860	679,860	679,860	679,860		
7 PROFESSIONAL FEES AND SERVICES	309,621	1,701,000	1,701,000	1,701,000	1,701,000		
8 CAPITAL OUTLAY	4,537,044	11,538,400	11,538,400	11,538,400	11,538,400		
9 CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE	200,069	713,880	713,880	713,880	713,880		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	169,920	169,920	169,920	169,920		
12 PROMOTIONAL ITEMS	28,701	101,520	101,520	101,520	101,520		
13							
14							
15							
16 TOTAL APPROPRIATION	\$12,117,087	\$64,985,400	\$64,985,400	\$64,985,400	\$64,985,400	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,474,855	4,520,622		4,746,663	5,000,000		
19 ALL OTHER FEES	16,676	60,000		60,000	60,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	49,426	24,000		25,000	25,000		
22 FEDERAL CASH FUNDS	1,074,814	1,200,000		1,200,000	1,200,000		
23 OTHER CASH FUNDS	6,501,316	59,180,778		58,953,737	58,700,400		
24 TOTAL INCOME	\$12,117,087	\$64,985,400		\$64,985,400	\$64,985,400	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	272	280	313	313	313	
TOBACCO POSITIONS						
EXTRA HELP **	43	75	75	75	75	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	87,924	168,544	0	(80,620)	90,000	165,000	0	(75,000)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	964,500	915,283	0	49,217	970,000	910,000	0	60,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER (Vending)	3,624	1,961	0	1,663	3,650	2,000	0	1,650
8 SUBTOTAL	\$1,056,048	\$1,085,788	\$0	(\$29,740)	\$1,063,650	\$1,077,000	\$0	(\$13,350)
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,056,048	\$1,085,788	\$0	(\$29,740)	\$1,063,650	\$1,077,000	\$0	(\$13,350)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>176</u>			
(As of November 1, 2015)								
Nonclassified Administrative Employees:						Total	Male:	<u>11</u>
White Male:	<u>11</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>25</u>
White Female:	<u>25</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Nonclassified Health Care Employees:						Total	Male:	<u>0</u>
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Classified Employees:						Total	Male:	<u>23</u>
White Male:	<u>23</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>42</u>
White Female:	<u>42</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Faculty:						Total	Male:	<u>25</u>
White Male:	<u>25</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>50</u>
White Female:	<u>49</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>			
Total White Male:	<u>59</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total	Male:	<u>59</u>
Total White Female:	<u>116</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total	Female:	<u>117</u>
Total White:	<u>175</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total	Employees:	<u>176</u>
				Total Minority:	<u>1</u>			

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

BLACK RIVER TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,372,495
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF BLACK RIVER TECHNICAL COLLEGE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Net Position, the Statement of Revenues, Expenses, and Changes in Net Position, the Statement of Cash Flows, and the Notes to the Financial Statements included:</p> <p><i>Statement of Net Position</i></p> <ul style="list-style-type: none"> a) Accounts payable and cash were overstated by a net of \$81,212. b) Cash and cash equivalents of \$278,047 were incorrectly classified as non-current. c) As a result of errors and misclassifications, the College's net position contained the following discrepancies: <ul style="list-style-type: none"> 1. Net investment in capital assets was understated by \$131,145. 2. Amounts restricted for debt retirement were overstated by \$202,288. 3. Amounts restricted for other purposes were understated by \$22,828. 4. Amounts unrestricted were understated by \$48,315. <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i></p> <ul style="list-style-type: none"> a) Due to a misclassification, an adjustment to prior year capital assets was recorded against depreciation by 540,147. b) Due to a misclassification, supplies and services were understated and depreciation was overstated by \$197,759. c) Due to a misclassification, state and local grants and contracts were overstated and capital grants were understated by \$237,594. <p><i>Statement of Cash Flows</i></p> <ul style="list-style-type: none"> a) The College did not appropriately accrue accounts receivable and accounts payable causing the following errors: <ul style="list-style-type: none"> 1. Student tuition and fees were overstated by \$76,023. 2. Federal grants and contracts (operating) were understated by \$54,411. 3. Other receipts were overstated by \$13,118. 4. Payments for employee benefits were understated by \$71,075. 5. Agency funds – net was overstated by \$12,187. b) Due to a misclassification, interest on investments was overstated by \$18,785. <p><i>Notes to the Financial Statements</i></p> <ul style="list-style-type: none"> a) Due to clerical errors, the College's Public Fund Deposits and Investment note
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**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF BLACK RIVER TECHNICAL COLLEGE
 June 30, 2015**

	<p>did not included uninsured, uncollateralized cash of \$10,136.</p> <p>b) Due to clerical errors, the future operating lease note included lease amounts of \$29,110 in error.</p>
<p><i>Institution's Response</i></p>	<p>The specific findings and how the College plans to address the prevention of material errors on the financial statements in the future follow:</p> <p><i>Statement of Net Position</i></p> <p>a) At year end, the draft for the payroll tax deposit occurred on June 30, 2015, but the manual check was not entered into our system until July 1, 2015 due to inability to transmit to AASIS during the last week of June. In the future, the draft for the payroll tax deposit will be scheduled for July which will be within IRS deposit guidelines.</p> <p>b) Due to inexperience with fund accounting, the restricted bank accounts were classified as non-current. College personnel now understand the restricted status of the fund does not preclude classification as current.</p> <p>c) College personnel now understand how the components of the net position are calculated. In the future, instructions will be included in documentation for financial statement presentation to ensure calculations are correct.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i></p> <p>a) This error was a result of an unintentional lapse when updating the financial statements to reflect the discovery that the Sherpa airplane booked to fixed assets in 2014 did not in fact belong to the College. College personnel are now more aware and understand how prior period adjustments should be reflected on the financial statements. This discovery was reported to the CAFR unit Arkansas Department of Finance and Administration in November of 2015 and was properly classified in the College's closing book.</p> <p>b) College personnel determined that expenditures originally recorded in construction in progress and capitalized equipment actually represented expenditures for repair and maintenance expense of buildings and did not meet the State's guidelines for building improvements. When removing the expenditures from capitalized assets, College personnel recorded the amount as depreciation in error. College personnel discovered the error while working with the auditors to reconcile the depreciation expense. The audit process has resulted in new awareness on the part of College personnel as to the importance of proper classification and procedures are being established for a more stringent review process for capitalized expenditures as well as other transactions.</p> <p>c) Due to inexperience, College personnel did not realize the difference between a state grant and a capital grant. College personnel now know what qualifies funds as a capital grant as well as a capital appropriation. In the future, instructions will be included in documentation for financial statement</p>

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF BLACK RIVER TECHNICAL COLLEGE
June 30, 2015**

	<p>presentation to ensure proper classification.</p> <p><i>Statement of Cash Flows</i></p> <ul style="list-style-type: none">a) Due to errors on the Statements of Net Position and Revenues, Expenses, and Changes in Net Position, several receivable and payable balances were incorrect. Also, changes made in the previous year's audit were not carried forward on the calculation for the current year. Due to errors, not all accounts payable balances were included. Due to errors, interest that is compounded on the certificates of deposit was not backed out. A checklist will be created to ensure these items and others are not overlooked when preparing the cash flow spreadsheet.b) This finding is addressed in the previous response. <p><i>Notes to the Financial Statements</i></p> <ul style="list-style-type: none">a) College personnel were not familiar with the disclosure requirements of GASB 40 and failed to disclose the uninsured, uncollateralized cash deposit amount. College personnel now understand the requirements and have put procedures in place to monitor collateralization on a monthly basis to ensure deposits are not exposed to custodial credit risk. A policy addressing custodial credit risk will be developed.b) College personnel now understand leases signed after year end should not be included in the future lease payment note. Instructions regarding the preparation of this note will be developed and maintained. <p>These errors have been noted and corrected by Mrs. Rhonda Stone, the Black River Technical College Vice President for Finance. Since my becoming president of Black River in July 2014, the Finance office has been in a leadership transition. The Black River Technical College Board of Trustees and I both recognize in the transition, errors would be made and a learning process would occur. Both the Board and I have the utmost confidence in the capabilities of Mrs. Stone and the finance team she has assembled.</p>
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COLLEGE OF THE OUACHITAS

Dr. Steve Rook, President

ENABLING LAWS

Act 617 of 1991, Act 208 of 2011, and Act 78 of 2016

INSTITUTION HISTORY AND ORGANIZATION

College of the Ouachitas' main campus is situated on 40 acres in Hot Spring County and serves a five-county area in south-central Arkansas. The counties include Clark, Dallas, Grant, Hot Spring, and Saline. The College's Adult Education program serves the five-county service area with physical locations in Hot Spring, Clark, and Grant counties.

Founded in 1969, Ouachita Vocational Technical School (OVTS) opened under the authority of the State Board of Education in January 1972 with 292 students enrolled in 11 programs. In September of 1988, the Arkansas Business Council Foundation issued a report entitled *In Pursuit of Excellence* that called for "reform of and increased support for our state's system of elementary, secondary, vocational, and higher education."

The 1991 Arkansas Legislature responded to *In Pursuit of Excellence* with a series of Acts centered on Act 1244, the "Two-Year Postsecondary Education Reorganization Act of 1991." OVTS was not included in the original legislation that became Act 1244; but, following a meeting of Malvern and Hot Spring County business leaders, legislators, and OVTS faculty and administrators, Senator George Hopkins introduced separate legislation to designate OVTS as Ouachita Technical College under the coordination of SBHE. This separate legislation (Act 617 of 1991) actually was signed into law before the enabling legislation (Act 1244) was passed; thus, Ouachita Technical College became the first Arkansas technical college.

Transfer from the State Board of Vocational Education to the State Board of Higher Education took place on July 1, 1991. The governing board of Ouachita Technical College was appointed by Governor Bill Clinton in October, 1991, and a President was hired by the Board of Trustees in August, 1992. In February, 1996, the College received initial accreditation and in February, 2001, and December 2010, continuing accreditation from The Higher Learning Commission. Under Act 208 of 2011, OTC officially changed its name to College of the Ouachitas, effective July 1, 2011,

COLLEGE OF THE OUACHITAS

Dr. Steve Rook, President

to better communicate the comprehensive nature of the College's diverse courses, academic programs, and degrees conferred.

Recent noteworthy developments include the Aspen Institute's selection of College of the Ouachitas as a Top Ten Community College in the Nation for excellence in 2012 – 2013; and the College's purchase in May, 2014 of a 26,000 square foot facility in Malvern to serve as the Center for Applied Science Technology (CAST) which houses the College's 3D Print Farm – the first of its kind.

INSTITUTIONAL VISION, MISSION, and VALUES STATEMENTS

Vision

The College is a community of successful, lifelong learners and is acknowledged as responsive to the economic development needs of the region.

Mission & Purpose

The college is a public, two-year institution of higher education that continually identifies and addresses the changing learning needs of the community it serves through:

- Developmental courses and services that promote collegiate-level success;
- Associate-degree programs and courses that prepare learners to transfer and to succeed at universities;
- Associate degree, certificate, and continuing professional education programs and courses that prepare learners to succeed in the workforce;
- Services and resources that meet the needs of students in order to support successful learning;
- Specialized training courses and services that meet the needs of business and individuals;
- Partnerships with K-12 schools, other colleges and universities, businesses, industries, public agencies, and

COLLEGE OF THE OUACHITAS

Dr. Steve Rook, President

civic groups that support learning and promote the economic development of Arkansas;

- Non-credit, lifelong learning programs and opportunities that meet community needs;
- Continuous improvement through a system of inquiry, evidence, and accountability.

Values

As a student-centered institution, the College is committed to ethical dealings with its contingencies - faculty, staff, administration, businesses, industries, students and other educational institutions and agencies. We formally adopt the following set of values to guide the direction and operations of the College.

- **Integrity:** We act honestly, courteously, decently, and fairly in all our dealings with our constituencies. Respect must characterize all of our internal and external relationships.
- **Quality and Accountability:** Quality education is the guiding principle in all our actions; consequently, we hold ourselves and each other accountable for our results through a culture of inquiry and evidence.
- **Leadership:** We lead by innovation in meeting the changing needs of our constituencies.
- **Independence:** We recognize that academic freedom, used responsibly, fosters the innovation and initiative which make the College unique.
- **Environment:** We provide an accessible, safe, clean, and attractive collegiate environment for learning and working.
- **Community:** We are an integral contributor to our community and its economic development.
- **The Individual:** We know that the commitment and contributions of all employees and students will determine our success. Each employee and student has the opportunity to participate fully, to grow professionally, and to develop to his/her potential.
- **Diversity:** We value diversity and the learning opportunities that it creates.

COLLEGE OF THE OUACHITAS
Dr. Steve Rook, President

INSTITUTIONAL ACCREDITATIONS

College of the Ouachitas is accredited by The Higher Learning Commission, 230 South LaSalle Street, Suite 7-5000, Chicago, IL 60604-1413, 800.621.7440, www.hlcommission.org. Program accreditations include Practical and Registered Nursing Programs, Arkansas State Board of Nursing; Cosmetology Program, Arkansas Department of Health; Automotive Service Technology Program (ASE), National Automotive Technician Education Foundation; and the Concurrent Enrollment Program (CEP), National Alliance of Concurrent Enrollment Partnerships (NACEP). The College also has institutional approval for Veterans' educational benefits through the Arkansas State Approving Agency of Veterans.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,668,647		4,698,647		4,942,489		4,683,647		4,683,647		4,782,004		4,782,004	
2 CASH	7,462,941		12,930,486		12,930,486		12,930,486		12,930,486		12,930,486		12,930,486	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,131,588	194	\$17,629,133	195	\$17,872,975	224	\$17,614,133	224	\$17,614,133	224	\$17,712,490	224	\$17,712,490	224
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	188,579	2%	15,000	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,527,261	29%	3,527,261	20%			3,527,261	20%	3,527,261	20%	3,625,618	20%	3,625,618	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,156,386	10%	1,156,386	7%			1,156,386	7%	1,156,386	7%	1,156,386	7%	1,156,386	7%
16 CASH FUNDS	5,473,445	45%	10,430,486	59%			10,430,486	59%	10,430,486	59%	10,430,486	59%	10,430,486	59%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,800,917	15%	2,500,000	14%			2,500,000	14%	2,500,000	14%	2,500,000	14%	2,500,000	14%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,146,588	100%	\$17,629,133	100%			\$17,614,133	100%	\$17,614,133	100%	\$17,712,490	100%	\$17,712,490	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$15,000)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	(\$1,317,885)
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$124,854
INVENTORIES	\$118,827
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$930,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,501,566)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,350,000	2,811,840	3,055,682	2,796,840	2,796,840		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	435,000	825,658	825,658	825,658	825,658		
5 OPERATING EXPENSES	1,883,136	1,061,149	1,061,149	1,061,149	1,159,506		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	511						
11							
12							
13 TOTAL APPROPRIATION	\$4,668,647	\$4,698,647	\$4,942,489	\$4,683,647	\$4,782,004	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		15,000					
15 GENERAL REVENUE	3,527,261	3,527,261		3,527,261	3,625,618		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,156,386	1,156,386		1,156,386	1,156,386		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,683,647	\$4,698,647		\$4,683,647	\$4,782,004	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$15,000)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION B62

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,669,541	3,000,000	3,000,000	3,000,000	3,000,000		
2 EXTRA HELP WAGES	740,807	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,261,710	1,800,000	1,800,000	1,800,000	1,800,000		
5 OPERATING EXPENSES	729,273	2,800,000	2,800,000	2,800,000	2,800,000		
6 CONFERENCE FEES & TRAVEL	138,000	250,000	250,000	250,000	250,000		
7 PROFESSIONAL FEES AND SERVICES	172,921	270,486	270,486	270,486	270,486		
8 CAPITAL OUTLAY	1,711,047	2,500,000	2,500,000	2,300,000	2,300,000		
9 CAPITAL IMPROVEMENTS	0	1,100,000	1,100,000	1,100,000	1,100,000		
10 DEBT SERVICE				200,000	200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	38,220	200,000	200,000	200,000	200,000		
12 PROMOTIONAL ITEMS	1,422	10,000	10,000	10,000	10,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$7,462,941	\$12,930,486	\$12,930,486	\$12,930,486	\$12,930,486	\$0	\$0
17 PRIOR YEAR FUND BALANCE***	188,579						
18 TUITION AND MANDATORY FEES	2,532,781	2,301,000		2,800,000	2,800,000		
19 ALL OTHER FEES	617,049	680,000		800,000	800,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	16,415	15,000		15,000	15,000		
21 INVESTMENT INCOME	10,785	10,000		12,000	12,000		
22 FEDERAL CASH FUNDS	1,800,917	2,500,000		2,500,000	2,500,000		
23 OTHER CASH FUNDS	2,296,415	7,424,486		6,803,486	6,803,486		
24 TOTAL INCOME	\$7,462,941	\$12,930,486		\$12,930,486	\$12,930,486	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	194	195	224	224	224	
TOBACCO POSITIONS						
EXTRA HELP **	33	45	60	60	60	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COLLEGE OF THE OUACHITAS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	64,445	109,002	0	(44,557)	50,000	105,762	0	(55,762)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	537,559	520,408	0	17,152	600,000	541,617	0	58,383
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	45,810	0	0	45,810	39,000	39,000	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$647,814	\$629,409	\$0	\$18,405	\$689,000	\$686,379	\$0	\$2,621
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$647,814	\$629,409	\$0	\$18,405	\$689,000	\$686,379	\$0	\$2,621

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

College of the Ouachitas

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						186
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	5	Black Male:	0	Other Male:	0	Total Male: 5
White Female:	10	Black Female:	3	Other Female:	0	Total Female: 13
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	12	Black Male:	1	Other Male:	0	Total Male: 13
White Female:	27	Black Female:	4	Other Female:	0	Total Female: 31
Faculty:						
White Male:	37	Black Male:	0	Other Male:	1	Total Male: 38
White Female:	78	Black Female:	5	Other Female:	3	Total Female: 86
Total White Male:	54	Total Black Male:	1	Total Other Male:	1	Total Male: 56
Total White Female:	115	Total Black Female:	12	Total Other Female:	3	Total Female: 130
Total White:	169	Total Black:	13	Total Other:	4	Total Employees: 186
				Total Minority:	17	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

COLLEGE OF THE OUACHITAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Crystal Groove Cleaning	\$186,288	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$186,288
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 100%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF COLLEGE OF THE OUACHITAS
June 30, 2015**

<i>Finding:</i>	No Findings noted
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COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS STEVE COLE, CHANCELLOR

INSTITUTION HISTORY AND ORGANIZATION

Cossatot Community College of the University of Arkansas (UA Cossatot) is an NCA-accredited two-year institution affiliated with the University of Arkansas System. It has campuses in De Queen, Nashville and Ashdown, Arkansas. UA Cossatot offers degrees and certificates in more than two dozen fields. UA Cossatot also offers four Associate degrees completely online and has a roster of more than 80 sections of online courses.

CURRENT APPROPRIATION ACTS

Act 179 of 2016 makes an appropriation for personal services and operating expenses for Cossatot Community College of the University of Arkansas for the Fiscal Year ending June 30, 2017; and for other purposes. Acts 242 and 270 of 2016 amends the Arkansas Revenue Stabilization Law that sets the funding for state agencies, including Cossatot Community College of the University of Arkansas. And Act 194 of 2016 makes a re-appropriation for the balances of capital improvement appropriations for the institutions of higher education; and for other purposes.

FOUNDING AND SERVICE AREA

The school was founded in 1975 at De Queen as Cossatot Vocational-Technical School. In 1991, the Arkansas Legislature passed Act 1244 that allowed Vo-tech schools to become community or technical colleges so they could offer Associate's degrees. This Act designated the change in name and mission to become effective July 1, 1991 as Cossatot Technical College.

In 2001, voters in Sevier County passed the first of three county quarter-cent sales taxes, which was the last remaining hurdle to join the University of Arkansas System as a community college. As of July 1, 2001, the college joined the U of A System to become Cossatot Community College of the University of Arkansas. UA Cossatot has a designated service area that consists of Sevier and Little River Counties. It shares Howard and Pike Counties with Rich Mountain Community College at Mena and the University of Arkansas Community **College at Hope**.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS STEVE COLE, CHANCELLOR

UA Cossatot is unique among Arkansas community colleges in that it benefits from a quarter-cent sales tax in three of the four counties it serves.

MISSION AND PURPOSES

UA Cossatot embraces diversity and is committed to improving the lives of those in our region by providing quality education, outstanding service, and relevant industry training.

STATEMENT OF CORE VALUES

We believe in the humanity of each individual, and that no one has the right, in word or deed, to lower the existence of another human being.

We believe each student should have the opportunity to excel to his/her full potential to acquire skills for the workplace, and to enrich himself/herself through general education to become more understanding and tolerant of human differences.

We believe integrity, honesty, perseverance, patience, kindness, justice, and faith in one's personal efforts are hallmarks to which the College shall always strive toward and help our students to achieve these values.

We believe a rural college must be comprehensive in its curriculum, open to the varying academic differences and past experience of our constituents, and through superior service and mastery of teaching, we shall help students to help themselves become the individuals they strive to be.

VISION STATEMENT

UA Cossatot is an institution esteemed by the communities it serves for producing quality graduates, collaborating in economic development activities, and participating in the education of our citizens.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS STEVE COLE, CHANCELLOR

UA Cossatot, a well-developed system of three strategically located campuses, is taking the college to the communities it serves. It is convenient for the current workforce, the unemployed, and those seeking new skills.

UA Cossatot has a flexible, tenacious staff of risk takers who seek new and improved means to deliver comprehensive curricula and services to its constituents. Curricula are up-to-date and structured with diverse learning patterns to meet the needs of its students. Services are provided with commitment, compassion, and caring.

UA Cossatot enjoys the diversity of its student population and welcomes all students from the region, state, nation, and the world.

DEGREE AND CERTIFICATE OFFERINGS

Associate level degrees offered at UA Cossatot involve two years of study (60-65 credit hours). They are intended to give graduates the ability to go straight to work in their chosen field or, in the case of an Associate of Arts degree, prepare students for junior- and senior-level courses at a 4-year institution. Technical Certificates and Certificates of Proficiency also prepare students for careers where Technical certificates generally take less than two years to complete and certificates of Proficiency take one or two semesters.

DISTANCE EDUCATION

UA Cossatot has long been a leader in Distance Education. UA Cossatot won the “Excellence in Distance Education Programming Award” made by the Arkansas Distance Learning Association (ARDLA) in 2001 and 2002. Many members of its faculty, both online and in-class, have been recognized at the national level for excellence in teaching, which is the primary focus of community colleges. Online courses at UA Cossatot have both the rigor of in-room classes and the flexibility of anytime/anywhere learning that is so appealing to many students today.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS STEVE COLE, CHANCELLOR

ACCREDITATION

Cossatot Technical College first became accredited through the Higher Learning Commission of the North Central Association of Colleges and Schools in 1998. UA Cossatot has maintained this accreditation throughout the years and has now joined the AQIP process for accreditation. AQIP (Academic Quality Improvement Program), is an alternative process to maintain accreditation status that infuses the principles and benefits of continuous improvement into the culture of the college in order to assure and advance the quality of higher education. UA Cossatot has completed several improvement projects and has written one Systems Portfolio and just completed a second one this year. Through this process, the college has achieved accreditation through 2019.

MILESTONES/CHANGES

UA Cossatot continues to see success in graduating students and increasing the number of awards students earn each year. We have calculated our upcoming graduation rate at 37% and last year we awarded 573 credentials to our 901 FTE enrolled students. The college is in the final stages of adding a Physical Therapy Assistant program, an expansion of the truck driving program, and the implementation of two grant projects that are aimed at providing welders and industrial maintenance technicians to statewide industry. Once again UA Cossatot has set another record in Hispanic enrollment, eclipsing the 25% threshold. UA Cossatot has finalized the new strategic plan, which will guide the college through 2020. This robust plan is based on the six development criteria outlined by the Higher Learning Commission and ties back to the mission of the college. The other extremely important project the college has launched is the new Legacy 2020 fundraising campaign, which is our Foundation's effort to raise in excess of two million dollars for capital expenses. UA Cossatot is excited about the future and embraces the concepts of actual performance funding and a workable and fair state concurrent enrollment policy.

GENERAL REVENUE REQUEST

UA Cossatot is a formula driven entity and does not make a request for general revenue. The general revenue recommended is from the Arkansas Department of Higher Education based on formula calculation and funding

**COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STEVE COLE, CHANCELLOR**

availability. For FY18, the recommendation for UA Cossatot is a \$72,667 decrease over Authorized Appropriation for FY17, and an increase for FY19 of \$40,628 over FY17 Authorized Appropriation. The total recommendation is approximately 75% of the formula need for UA Cossatot.

CASH APPROPRIATION REQUEST

UA Cossatot is not requesting an increase in cash appropriations for Fiscal Year 2018 and Fiscal Year 2019 over FY17 Authorized Appropriation amount. UA Cossatot must keep good cash appropriations available to continue to seek grants and contracts that will allow growth in certain programs and other services to our students and the community. Although, it has been harder to attract grants with the present state of the economy and the federal cuts, UA Cossatot will continue to seek out every opportunity that could benefit our students.

PERSONAL SERVICES REQUEST

UA Cossatot has requested no new Full-time or Part-time positions in the Non-Classified personnel services request. And no new positions for the Classified personnel services request were made for the new biennium. UA Cossatot will only ask to continue with the positions it has and the positions acquired through the central growth pool earlier in the present biennium.

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**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,749,308		4,746,139		5,467,673		5,395,006		5,395,006		5,508,301		5,508,301	
2 CASH	7,817,803		25,398,000		25,398,000		25,398,000		25,398,000		25,398,000		25,398,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,567,111	191	\$30,144,139	193	\$30,865,673	235	\$30,793,006	235	\$30,793,006	235	\$30,906,301	235	\$30,906,301	235
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,395,802	27%	3,395,802	11%			4,044,669	13%	4,044,669	13%	4,157,964	13%	4,157,964	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,350,337	11%	1,350,337	4%			1,350,337	4%	1,350,337	4%	1,350,337	4%	1,350,337	4%
16 CASH FUNDS	5,049,542	40%	14,903,000	49%			14,797,400	48%	14,797,400	48%	14,848,000	48%	14,848,000	48%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,768,261	22%	10,495,000	35%			10,600,600	34%	10,600,600	34%	10,550,000	34%	10,550,000	34%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	3,169	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,567,111	100%	\$30,144,139	100%			\$30,793,006	100%	\$30,793,006	100%	\$30,906,301	100%	\$30,906,301	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,692,323
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$897,209
INVENTORIES	\$168,355
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$391,390
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$585,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,298,731
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$698,362)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION 705

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,041,601	3,210,000	3,495,673	3,452,506	3,524,051		
2 EXTRA HELP WAGES	40,000	37,000	60,000	50,000	51,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	776,000	532,000	875,000	865,000	883,200		
5 OPERATING EXPENSES	871,139	954,337	1,005,000	1,002,500	1,023,550		
6 CONFERENCE FEES & TRAVEL	20,000	12,802	32,000	25,000	26,500		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	568						
11							
12							
13 TOTAL APPROPRIATION	\$4,749,308	\$4,746,139	\$5,467,673	\$5,395,006	\$5,508,301	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,395,802	3,395,802		4,044,669	4,157,964		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,350,337	1,350,337		1,350,337	1,350,337		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	3,169						
21 TOTAL INCOME	\$4,749,308	\$4,746,139		\$5,395,006	\$5,508,301	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - reimbursement amount from the Tuition Adjustment Funds for eligible Out of State Waivers.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION B52

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,582,669	4,250,000	4,250,000	4,250,000	4,250,000		
2 EXTRA HELP WAGES	229,443	725,000	725,000	725,000	725,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	946,838	2,015,000	2,015,000	2,015,000	2,015,000		
5 OPERATING EXPENSES	3,087,663	8,325,000	8,325,000	8,325,000	8,325,000		
6 CONFERENCE FEES & TRAVEL	95,213	918,000	918,000	918,000	918,000		
7 PROFESSIONAL FEES AND SERVICES	169,264	635,000	635,000	635,000	635,000		
8 CAPITAL OUTLAY	262,660	5,500,000	5,500,000	5,500,000	5,500,000		
9 CAPITAL IMPROVEMENTS	0	2,000,000	2,000,000	2,000,000	2,000,000		
10 DEBT SERVICE	431,435	1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	12,618	30,000	30,000	30,000	30,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$7,817,803	\$25,398,000	\$25,398,000	\$25,398,000	\$25,398,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,566,933	2,882,841		3,150,000	3,200,000		
19 ALL OTHER FEES	1,073,729	1,173,085		1,250,000	1,275,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	147,740	75,000		125,000	130,000		
21 INVESTMENT INCOME	10,988	12,074		12,500	15,000		
22 FEDERAL CASH FUNDS	2,768,261	10,495,000		10,600,600	10,550,000		
23 OTHER CASH FUNDS	1,250,152	10,760,000		10,259,900	10,228,000		
24 TOTAL INCOME	\$7,817,803	\$25,398,000		\$25,398,000	\$25,398,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	191	193	235	235	235	
TOBACCO POSITIONS						
EXTRA HELP **	58	62	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	20,143	85,122	0	(64,979)	25,000	75,793	0	(50,793)
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	72,354	98,628	0	(26,274)	76,000	83,782	0	(7,782)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	176,068	95,635	0	80,433	170,500	107,528	0	62,972
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$268,565	\$279,385	\$0	(\$10,820)	\$271,500	\$267,103	\$0	\$4,397
9 ATHLETIC TRANSFER **	64,979			64,979	50,793			50,793
10 OTHER TRANSFERS ***	(54,159)			(54,159)	(55,190)			(55,190)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$279,385	\$279,385	\$0	\$0	\$267,103	\$267,103	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - the Net income for Food Services and Book Program Revenues together and is transferred back to Unrestricted.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>151</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>7</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>16</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>8</u>
				Total	<u>17</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>0</u>
Classified Employees:					
White Male:	<u>15</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>
White Female:	<u>19</u>	Black Female:	<u>2</u>	Other Female:	<u>2</u>
Total		Total		Total	<u>17</u>
				Total	<u>23</u>
Faculty:					
White Male:	<u>19</u>	Black Male:	<u>1</u>	Other Male:	<u>3</u>
White Female:	<u>60</u>	Black Female:	<u>1</u>	Other Female:	<u>2</u>
Total		Total		Total	<u>23</u>
				Total	<u>63</u>
Total White Male:	<u>41</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>4</u>
Total White Female:	<u>95</u>	Total Black Female:	<u>3</u>	Total Other Female:	<u>5</u>
Total		Total		Total	<u>48</u>
				Total	<u>103</u>
Total White:	<u>136</u>	Total Black:	<u>6</u>	Total Other:	<u>9</u>
				Total Minority:	<u>15</u>
				Total	<u>151</u>
				Total	<u>151</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$64,737
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

ARKANSAS LEGISLATIVE AUDIT
AUDIT OF COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2015

<i>Finding:</i>	No Findings noted
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EAST ARKANSAS COMMUNITY COLLEGE
Dr. Coy Grace, President

ENABLING LAWS

Act 103 of 1973 and Act 199 of 2016

INSTITUTION HISTORY AND ORGANIZATION

The statutory justification for EACC's legal authorization was established in Legislative Act 103 as passed by the State General Assembly in 1973. EACC operates under the granted accreditation at the associate degree level by the North Central Association of Colleges and Schools.

By an authorized constitutional amendment, a State legislative act, and a vote of the people of St. Francis County, EACC was authorized to grant degrees through regulations of the State Board of Higher Education.

The Board of Trustees, comprised of nine members appointed by the Governor of the State of Arkansas, is the legal governing agency that establishes official policies for the institution. The Board, subject to the rules and regulations of the Arkansas Department of Higher Education, and to State and Federal laws and regulations, operates within the context of its policies and procedures as enumerated in the Board of Trustees Policy Manual.

The nine members of the Governor-appointed Board of Trustees are completely autonomous from the administration. Their terms are staggered to ensure continuity on the Board.

The President is the Chief Executive Officer of the College. Since September of 1999, Dr. Coy Grace has served as the college's President.

Act 199 of the 90th General Legislature makes an appropriation for personal services and operating expenses for East Arkansas Community College. East Arkansas Community College is requesting no increase in positions for the next biennium.

EAST ARKANSAS COMMUNITY COLLEGE
Dr. Coy Grace, President

MISSION STATEMENT

The mission of East Arkansas Community College is to enhance the quality of life for everyone within the EACC service community by providing opportunities for educational excellence, economic stimulation, and cultural enrichment.

VISION STATEMENT

As an open-door, two-year institution of higher education, East Arkansas Community College's primary focus is a commitment to learning by educating and preparing students to become responsible citizens and contributing members of society. In addition, the College realizes the importance of serving other clients, including area businesses, industries, and educational institutions. In order to actualize its mission, the College is committed to promoting the intellectual and cultural advancement of the community, fostering diversity, tolerance, and mutual respect among its constituents by offering the human and physical resources of the college, and continued improvements through professional development of its faculty and staff.

Through these efforts and through its cooperation with other educational institutions, the College is an active partner in the economic and social progress of eastern Arkansas. The specific objectives used to fulfill the vision of EACC are to offer:

1. Correlated programs of study that will transfer to four-year institutions.
2. Occupational degrees and certificate programs consistent with the needs of our students and service area.
3. Lifelong learning and industrial training programs to meet the needs of the community.
4. Qualified high school students the option to earn college credit through articulation agreements.
5. A broad range of educational opportunities by providing access to other institutions through cooperative agreements, joint programs, and distance learning.
6. Developmental education that assists individuals in improving learning skills and overcoming educational deficiencies.
7. Personalized guidance and counseling services which promote the proper placement of students in all courses and programs of study.
8. Opportunities for faculty and staff development.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,565,224		6,597,817		6,914,173		6,603,402		6,603,402		6,742,074		6,742,074	
2 CASH	4,074,232		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,639,456	213	\$33,997,817	238	\$34,314,173	308	\$34,003,402	308	\$34,003,402	308	\$34,142,074	308	\$34,142,074	308
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,788,058	54%	5,788,058	17%			5,793,643	17%	5,793,643	17%	5,932,315	17%	5,932,315	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	777,166	7%	809,759	2%			809,759	2%	809,759	2%	809,759	2%	809,759	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	2,858,620	27%	24,062,351	71%			24,174,400	71%	24,174,400	71%	24,873,446	73%	24,873,446	73%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,215,622	11%	3,337,649	10%			3,225,600	9%	3,225,600	9%	2,526,554	7%	2,526,554	7%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,639,466	100%	\$33,997,817	100%			\$34,003,402	100%	\$34,003,402	100%	\$34,142,074	100%	\$34,142,074	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$10)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$4,037,592
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$135,000
INVENTORIES	\$22,450
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$57,656
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,560,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,556,243
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$185,000
OTHER (FOOTNOTE BELOW)	\$192,543
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$228,700

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,476,513	4,568,545	4,750,198	4,653,485	4,799,450		
2 EXTRA HELP WAGES	21,000	21,000	21,000	21,000	21,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,150,000	1,150,000	1,150,000	1,150,000	1,150,000		
5 OPERATING EXPENSES	917,143	858,272	992,975	778,917	771,624		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	568						
11							
12							
13 TOTAL APPROPRIATION	\$6,565,224	\$6,597,817	\$6,914,173	\$6,603,402	\$6,742,074	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,788,058	5,788,058		5,793,643	5,932,315		
16 EDUCATIONAL EXCELLENCE TRUST FUND	777,166	809,759		809,759	809,759		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,565,224	\$6,597,817		\$6,603,402	\$6,742,074	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	947,815	2,500,000	2,500,000	2,500,000	2,500,000		
2 EXTRA HELP WAGES	107,725	300,000	300,000	300,000	300,000		
3 OVERTIME	0	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	669,029	1,694,734	1,694,734	1,694,734	1,694,734		
5 OPERATING EXPENSES	1,341,351	2,604,000	2,604,000	2,604,000	2,604,000		
6 CONFERENCE FEES & TRAVEL	84,190	321,000	321,000	321,000	321,000		
7 PROFESSIONAL FEES AND SERVICES	103,531	300,000	300,000	300,000	300,000		
8 CAPITAL OUTLAY	666,075	1,250,000	1,250,000	1,250,000	1,250,000		
9 CAPITAL IMPROVEMENTS	136,232	15,593,266	15,593,266	15,593,266	15,593,266		
10 DEBT SERVICE	0	300,000	300,000	300,000	300,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	2,500,000	2,500,000	2,500,000	2,500,000		
12 PROMOTIONAL	18,283	27,000	27,000	27,000	27,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$4,074,232	\$27,400,000	\$27,400,000	\$27,400,000	\$27,400,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,026,057	2,240,351		2,341,166	2,434,813		
19 ALL OTHER FEES	446,735	820,843		845,469	870,833		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	522,415	660,532		680,342	708,422		
21 INVESTMENT INCOME	20,992	15,000		15,000	17,000		
22 FEDERAL CASH FUNDS	1,215,622	3,337,649		3,225,600	2,526,554		
23 OTHER CASH FUNDS	842,421	20,325,625		20,292,423	20,842,378		
24 TOTAL INCOME	\$4,074,242	\$27,400,000		\$27,400,000	\$27,400,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	(\$10)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	213	238	308	308	308	
TOBACCO POSITIONS						
EXTRA HELP **	111	249	249	249	249	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	1,800	0	0	1,800	1,500	0	0	1,500
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	520,658	519,390	0	1,268	659,032		0	659,032
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	21,919	0	(21,919)
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$522,458	\$519,390	\$0	\$3,068	\$660,532	\$21,919	\$0	\$638,613
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	(56,366)			(56,366)	(54,425)			(54,425)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$466,092	\$519,390	\$0	(\$53,298)	\$606,107	\$21,919	\$0	\$584,188

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transfer from Unrestricted E & G to Auxiliary

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>157</u>
						(As of November 1, 2015)
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>18</u>	Black Female:	<u>4</u>	Other Female:	<u>2</u>	Total Female: <u>24</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>5</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total Male: <u>7</u>
White Female:	<u>18</u>	Black Female:	<u>15</u>	Other Female:	<u>0</u>	Total Female: <u>33</u>
Faculty:						
White Male:	<u>25</u>	Black Male:	<u>4</u>	Other Male:	<u>3</u>	Total Male: <u>32</u>
White Female:	<u>40</u>	Black Female:	<u>8</u>	Other Female:	<u>1</u>	Total Female: <u>49</u>
Total White Male:	<u>38</u>	Total Black Male:	<u>10</u>	Total Other Male:	<u>3</u>	Total Male: <u>51</u>
Total White Female:	<u>76</u>	Total Black Female:	<u>27</u>	Total Other Female:	<u>3</u>	Total Female: <u>106</u>
Total White:	<u>114</u>	Total Black:	<u>37</u>	Total Other:	<u>6</u>	Total Employees: <u>157</u>
				Total Minority:	<u>43</u>	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

EAST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$475,608
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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NATIONAL PARK COLLEGE

Dr. John Hogan, President

ENABLING LAWS

Act 678 of 2003 and Act 141 of 2016

INSTITUTION HISTORY AND ORGANIZATION

National Park College is a comprehensive public two-year college and represents the merger of Garland County Community College and Quapaw Technical Institute. Both institutions began offering post-secondary coursework in 1973. National Park Community College was created by Act 678 of the 2003 Regular Session of the 84th General Assembly; official operations began on July 1, 2003. Enabling legislation includes appropriation Act 141 of the 2016 Fiscal Session of the General Assembly. In 2014, the college rebranded to become National Park College (NPC). NPC is under the oversight of an elected board of trustees. As a formula driven institution, NPC concurs with recommendations of the Arkansas Higher Education Coordinating Board.

The NPC campus is located on 128 acres in Garland County outside the city limits of Hot Springs. NPC serves both the students in Garland County and the students in Montgomery and Pike Counties, but NPC also draws students from Clark, Hot Spring, and Saline Counties. Many of these students come from disadvantaged rural areas and would not be pursuing higher education if National Park College was not available to them. The College exists to serve the needs of its students and the greater community.

MISSION STATEMENT

Learning is our focus; student success is our goal.

VALUES

Access – We assist students in achieving their individual educational goals by creating a learning community that is accessible, convenient, caring, affordable, and secure.

NATIONAL PARK COLLEGE Dr. John Hogan, President

Excellence – We strive for exemplary performance in all we do. Assessment of student learning is a means of measuring our success.

Accountability - We are all accountable to ourselves and to one another in a learning community. We expect all members to act responsibly, behave ethically, and grow professionally.

Collaboration – We facilitate partnerships that enhance learning, solve problems, promote economic development, and improve quality of life.

Mutual Respect and Support – We recognize the dignity and inherent worth of all individuals. We create opportunities to explore diversity of ideas, individuals, and cultures through open communication.

INSTITUTIONAL VISION

We aspire to be the premier comprehensive community college in the state by providing learning for life opportunities while offering exemplary service to our community.

As our mission states, learning is our focus; student success is our goal. NPC seeks to respond to the needs of students of varying levels of abilities. NPC offers the following degrees in various areas of study: Associate of Arts, Associate of Science, Associate of Liberal Studies, and Associate of Applied Science in Liberal Arts and Sciences, and Associate of Applied Science. In addition, the College also offers Technical Certificate and Certificate of Proficiency programs, secondary and post-secondary occupational education, Adult Education, and Community Service/Continuing Education/Workforce Development.

INSTITUTIONAL ACCREDITATIONS

NPC is accredited by The Higher Learning Commission. Program accreditations are as follows:

NATIONAL PARK COLLEGE
Dr. John Hogan, President

- The Associate of Science in Nursing (RN) and the Practical Nurse Certificate (LPN) are approved by the Arkansas State Board of Nursing. The Associate-level degree program is also accredited by the Commission for Education in Nursing, Inc. (ACEN).
- The Health Information Technology Associate of Applied Science program is accredited by the Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM).
- The Medical Coding Program, a Technical Certificate, is approved by the American Health Information Management Association (AHIMA).
- The Medical Laboratory Technology Program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences (NAACLS).
- The Radiography Program is accredited by the Joint Review Committee on Education in Radiologic Technology (JRCERT).
- National Park College's business programs are accredited by the Accreditation Council for business Schools and Programs (ACBSP).
- National Park College's Paramedic Program is accredited by the Commission on Accreditation of Allied Health Education Programs (CAAHEP) upon the recommendation of the Committee on Accreditation of Educational Programs for the Emergency Medical Services Professions (CoAEMSP).
- The Automotive program is also accredited by the National Automotive Technicians Education Foundation (NATEF).
- The Welding Program is accredited by the National Center for Construction Education and Research (NCCER).
- The Hospitality Program was awarded provisional accreditation in 2016 and will be accredited by the Accreditation Commission for Programs in Hospitality Administration (ACPHA) in 2017.
- The Respiratory Therapy program was awarded provisional status by the Commission on Accreditation for Respiratory Care (CoARC) in 2012 and will be fully accredited after the self-study in 2017.

NEW AND DELETED PROGRAMS; REALLOCATION OF FUNDS

New Approved Programs

- Aerospace Fabrication and Repair

NATIONAL PARK COLLEGE

Dr. John Hogan, President

- Addiction Studies
- Business Information Systems
- Engineering

Deleted Programs

- Health Informatics
- Pharmacy Technology
- Residential Carpentry

REALLOCATED FUNDS

Due to continued cut-backs in state funding, any possibilities of reallocation of funds were taken as cost savings. Thus reallocations could not occur.

ALLOCATION OF NEW FUNDS

National Park College made no request for additional positions.

National Park College did not request additional cash appropriation.

National Park College is a formula driven entity and did not make a request for general revenue. Any increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Any new funds received would be allocated for hiring new faculty, possible Quality Pay increases, and anticipated utility increases.

CONCLUSION

National Park College continues to provide postsecondary educational opportunities through quality learning experiences that contribute to the intellectual and cultural development of its students. The College continues to strengthen institutional quality through excellence in instruction. It provides quality lifelong learning through diverse and innovative comprehensive educational programs. All are encouraged to commit to the challenges that lie ahead as NPC continues to maximize its resources, striving for excellence, with a commitment to learning.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,876,872		10,925,619		11,434,559		10,933,972		10,933,972		11,163,586		11,163,586	
2 CASH	8,505,345		46,015,000		46,015,000		46,015,000		46,015,000		46,015,000		46,015,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$19,382,217	325	\$56,940,619	310	\$57,449,559	384	\$56,948,972	383	\$56,948,972	383	\$57,178,586	383	\$57,178,586	383
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	9,046,489	47%	9,046,489	16%			9,054,842	16%	9,054,842	16%	9,284,456	16%	9,284,456	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,162,362	6%	1,211,109	2%			1,211,109	2%	1,211,109	2%	1,211,109	2%	1,211,109	2%
15 WORKFORCE 2000	668,021	3%	668,021	1%			668,021	1%	668,021	1%	668,021	1%	668,021	1%
16 CASH FUNDS	8,505,345	44%	27,287,530	48%			26,015,000	46%	26,015,000	46%	26,015,000	45%	26,015,000	45%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	18,727,470	33%			20,000,000	35%	20,000,000	35%	20,000,000	35%	20,000,000	35%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$19,382,217	100%	\$56,940,619	100%			\$56,948,972	100%	\$56,948,972	100%	\$57,178,586	100%	\$57,178,586	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$5,211,642
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,000,000
INVENTORIES	\$428,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$30,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,650,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$1,853,642
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION _____ 302

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	8,717,256	8,383,384	8,383,384	8,750,000	8,850,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,409,274	1,741,060	2,100,000	1,357,797	1,462,411		
5 OPERATING EXPENSES	723,064	775,000	925,000	800,000	825,000		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION	26,175	26,175	26,175	26,175	26,175		
10 WORKERS COMP/SURETY PREMIUM	1,103						
11							
12							
13 TOTAL APPROPRIATION	\$10,876,872	\$10,925,619	\$11,434,559	\$10,933,972	\$11,163,586	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	9,046,489	9,046,489		9,054,842	9,284,456		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,162,362	1,211,109		1,211,109	1,211,109		
17 SPECIAL REVENUES * [WF2000]	668,021	668,021		668,021	668,021		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$10,876,872	\$10,925,619		\$10,933,972	\$11,163,586	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2120000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION A72

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,194,025	9,626,240	9,626,240	9,626,240	9,626,240		
2 EXTRA HELP WAGES	814,479	1,265,000	1,265,000	1,265,000	1,265,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	620,994	1,310,904	1,310,904	1,310,904	1,310,904		
5 OPERATING EXPENSES	3,515,006	7,902,856	7,902,856	7,902,856	7,902,856		
6 CONFERENCE FEES & TRAVEL	142,522	300,000	300,000	300,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	271,405	2,200,000	2,200,000	2,200,000	2,200,000		
8 CAPITAL OUTLAY	44,407	10,500,000	10,500,000	10,500,000	10,500,000		
9 CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	892,645	1,900,000	1,900,000	1,900,000	1,900,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000		
12 PROMOTIONAL ITEMS	9,862	10,000	10,000	10,000	10,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$8,505,345	\$46,015,000	\$46,015,000	\$46,015,000	\$46,015,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	7,405,345	7,981,804		7,921,184	7,921,184		
19 ALL OTHER FEES		15,000		20,000	20,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,100,000	2,272,143		1,500,000	1,500,000		
21 INVESTMENT INCOME		10,000		17,000	17,000		
22 FEDERAL CASH FUNDS		18,727,470		20,000,000	20,000,000		
23 OTHER CASH FUNDS		17,008,583		16,556,816	16,556,816		
24 TOTAL INCOME	\$8,505,345	\$46,015,000		\$46,015,000	\$46,015,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL	AHECB	LEGISLATIVE RECOMMENDATION
				REQUEST 2017-2019	RECOMMEND 2017-2019	2017-2019
REGULAR POSITIONS	325	310	384	383	383	
TOBACCO POSITIONS						
EXTRA HELP **	307	307	402	402	402	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	6,707	7,057	0	(350)	9,000	7,900	0	1,100
5 BOOKSTORE	1,370,736	1,264,170	0	106,566	1,545,000	1,545,000	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	48,628	0	(48,628)	73,600	73,600	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$1,377,443	\$1,319,855	\$0	\$57,588	\$1,627,600	\$1,626,500	\$0	\$1,100
9 ATHLETIC TRANSFER **	0			0				0
10 OTHER TRANSFERS ***	61,600			61,600	73,600			73,600
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,439,043	\$1,319,855	\$0	\$119,188	\$1,701,200	\$1,626,500	\$0	\$74,700

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Intramurals, Orientation, Special Events, Student Activities, Community Projects, Phi Thete Kaap, Auxiliary Enterprises

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>308</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>17</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>
White Female:	<u>35</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>
				Total Male:	<u>19</u>
				Total Female:	<u>37</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>1</u>
Classified Employees:					
White Male:	<u>20</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>40</u>	Black Female:	<u>4</u>	Other Female:	<u>5</u>
				Total Male:	<u>21</u>
				Total Female:	<u>49</u>
Faculty:					
White Male:	<u>74</u>	Black Male:	<u>0</u>	Other Male:	<u>3</u>
White Female:	<u>95</u>	Black Female:	<u>4</u>	Other Female:	<u>5</u>
				Total Male:	<u>77</u>
				Total Female:	<u>104</u>
Total White Male:	<u>111</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>4</u>
Total White Female:	<u>171</u>	Total Black Female:	<u>9</u>	Total Other Female:	<u>11</u>
Total White:	<u>282</u>	Total Black:	<u>11</u>	Total Other:	<u>15</u>
				Total Minority:	<u>26</u>
				Total Employees:	<u>308</u>

FORM BR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2016
Required by A.C.A. 25-36-104**

Institution

NATIONAL PARK COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF NATIONAL PARK COLLEGE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Statement of Net Position, the Statement of Revenues, Expenses, and Changes in Net Position, the Statement of Cash Flows, and the Notes to the Financial Statements included:</p> <p><i>Statement of Net Position</i> Due to recording the incorrect amount at June 30, 2015, post employment healthcare/life insurance benefits payable was overstated by \$72,000 causing the College's unrestricted net position to be understated by the same amount.</p> <p><i>Statement of Revenues, Expenses, and Changes in Net Position</i> Due to a misclassification, non-operating revenues were overstated by \$1,677,120 causing operating personal services to be overstated by the same amount.</p> <p><i>Statement of Cash Flows</i> There were misstatements totaling \$1,607,701 related to various accounts due to errors and misclassifications that resulted in the following:</p> <ul style="list-style-type: none"> • •Student tuition and fees (net of scholarship discounts and allowances) were overstated by \$121,496. • •Operating grants and contracts were overstated by \$82,735. • •Bookstore auxiliary enterprise payments to suppliers were overstated by \$711,488. • •Other auxiliary enterprise payments to suppliers were understated by \$573. • •Payments to employees for salaries and benefits were understated by \$413,176. • •Payments to suppliers were understated by \$13,293. • •Non-operating Federal grants and contracts were understated by \$92,362. • •Direct lending loan receipts were overstated by \$138,144. • •Payments to trustees for interest and paying agent fees on capital debt were understated by \$2,942. • •Acquisitions and construction of capital assets were understated by \$22,163. • •Interest on investments were overstated by \$9,329. <p><i>Notes to the Financial Statements</i></p> <ol style="list-style-type: none"> a) Due to clerical errors, the carrying amount in the College's Public Fund Deposits and Investments note disclosure was understated by \$153,244. b) Due to misclassification, State receivables were overstated by \$73,328, Federal receivables were understated by \$72,756 and Auxiliary receivables
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**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF NATIONAL PARK COLLEGE
 June 30, 2015**

	<p>were understated by \$572 in the Disaggregation of Receivables and Payables note disclosure.</p> <p>The financial statements were corrected by College personnel during the audit. A similar finding was reported in the previous audit.</p>
<p><i>Institution's Response</i></p>	<p>The reduction in OPEB was not known by College staff until April 28, 2016. It was therefore impossible to be booked prior to the close of the FY 2015 ledgers, which happened on August 20, 2015.</p> <p>This misstatement was primarily caused by the College's OPEB reduction being incorrectly reported in the FY 2015 financial statements as a non-operating inflow rather than being netted against operating expenses-personal services. The additional reduction in OPEB expenses was the balance of this misstatement. For FY 2016, the College's financial statements will be prepared by an independent accounting firm that has experience in governmental accounting/GASB requirements. In future years, the College will have staff in place who will be well-versed in reporting methods and standards as required by GASB.</p> <p>General revenue funds due to the College were not deposited in the College's bank account until after July 1, 2015. The Arkansas Department of Finance and Administration counts these year-end deposits as "cash-in-transit" but the College records these funds as receivables. In the future, the College will include these transfers from DF&A as cash equivalents in the financial statements.</p> <p>This was an oversight on our part. The College's ledgers show the proper classification of receivables and payables; however, some of the receivables and payables were inadvertently misclassified in the Notes to the Financial Statements for FY 2015. In the future, this section of the financial statements, as well as other sections, will be reviewed by staff not directly assigned to the preparation of the financials.</p>

NORTH ARKANSAS COLLEGE

Dr. Randy Esters

ENABLING LAWS

A.C.A. 6-61-501, Act 172 of 2016

INSTITUTION HISTORY AND ORGANIZATION

North Arkansas College, located in Harrison, Arkansas, is a comprehensive, public two-year college that offers transfer and technical degree programs, one-year technical certificates, certificates of proficiency, customized business and industry training, adult basic education (GED) classes and non-credit community education courses. The college's main service area includes the counties of Boone, Carroll, Marion, Searcy, Newton, and Madison. Dr. Randy Esters became the fourth serving president of the college in July 2016.

Authorized by Arkansas code 6-61-501, the college started in 1974 as North Arkansas College Community with Boone County as the community college tax district. In 1993, the college merged with Twin Lakes Technical College and became the state's first community college-technical college union and adopted the name North Arkansas Community/Technical College. In 1997, the college was renamed North Arkansas College.

The mission of North Arkansas College is to provide high quality, affordable, convenient opportunities for learning and community enrichment. The college's vision is to be a premier institution, achieving excellence through innovation, technology, continuous improvement and quality instruction. The values of Northark include Commitment, Learning, Integrity, Accountability and Respect.

North Arkansas College is accredited by The Higher Learner Commission and is a member of the North Central Association of Colleges and Schools. The college was the first institution in the state to be accredited as an AQIP institution, the Academic Quality Improvement Program which is an alternate means of accreditation now offered by the Higher Learning Commission. AQIP allows participating institutions to meet accreditation standards by developing and using processes which lead to continuation improvement.

In 2012 Northark became the first college in Arkansas to be accepted into the Continuous Quality Improvement Network

NORTH ARKANSAS COLLEGE
Dr. Randy Esters

(CQIN). The membership organization affords CEO's of colleges and universities as well as corporations associated with higher education significant networking and learning opportunities to foster continuous improvement and achievement of performance excellence. Also, as a measure of performance excellence utilizing the Malcolm Baldrige evaluation process, Northark received the prestigious Governor's Quality Award – Commitment Level in 2013, the Governor's Quality Award – Achievement Level in 2014 and in 2015, was the first educational institution in history to earn the highest honor and coveted Arkansas Governor's Quality Award for Performance Excellence.

The college is an acknowledged leader in forming community partnerships and providing health education in northern Arkansas. In 1996, the college partnered with North Arkansas Regional Medical Center to create the North Arkansas Partnership for Health Education (NAPHE), an organization dedicated to professional and community health education. NAPHE has been recognized by the U.S. Department of Education and the U.S. Department of Agriculture and is a model for partnership programs across the nation.

North Arkansas College is not requesting any additional personnel positions. The requested funding amounts are from the Arkansas two-year college funding model.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,001,126		9,020,375		9,481,584		9,023,674		9,023,674		9,213,171		9,213,171	
2 CASH	10,414,773		49,385,000		49,385,000		49,385,000		49,385,000		49,385,000		49,385,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$19,415,899	355	\$58,405,375	355	\$58,866,584	408	\$58,408,674	399	\$58,408,674	399	\$58,598,171	399	\$58,598,171	399
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	7,966,964	41%	7,966,964	14%			7,970,263	14%	7,970,263	14%	8,159,760	14%	8,159,760	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	458,985	2%	478,234	1%			478,234	1%	478,234	1%	478,234	1%	478,234	1%
15 WORKFORCE 2000	575,177	3%	575,177	1%			575,177	1%	575,177	1%	575,177	1%	575,177	1%
16 CASH FUNDS	6,978,198	36%	9,197,988	16%			11,425,000	20%	11,425,000	20%	11,425,000	19%	11,425,000	19%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	3,436,575	18%	40,187,012	69%			37,960,000	65%	37,960,000	65%	37,960,000	65%	37,960,000	65%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$19,415,899	100%	\$58,405,375	100%			\$58,408,674	100%	\$58,408,674	100%	\$58,598,171	100%	\$58,598,171	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,775,728
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	\$9,993
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$624,662
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,850,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$123,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$96,927)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	6,750,000	6,750,000	6,900,000	6,750,000	6,850,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,119,132	1,150,000	1,325,000	1,150,000	1,200,000		
5 OPERATING EXPENSES	1,131,096	1,120,375	1,256,584	1,123,674	1,163,171		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	898						
11							
12							
13 TOTAL APPROPRIATION	\$9,001,126	\$9,020,375	\$9,481,584	\$9,023,674	\$9,213,171	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	7,966,964	7,966,964		7,970,263	8,159,760		
16 EDUCATIONAL EXCELLENCE TRUST FUND	458,985	478,234		478,234	478,234		
17 SPECIAL REVENUES * [WF2000]	575,177	575,177		575,177	575,177		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$9,001,126	\$9,020,375		\$9,023,674	\$9,213,171	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,060,205	5,350,000	5,350,000	5,400,000	5,450,000		
2 EXTRA HELP WAGES	639,487	750,000	750,000	750,000	800,000		
3 OVERTIME	8,291	10,000	10,000	30,000	40,000		
4 PERSONAL SERVICES MATCHING	1,696,554	2,500,000	2,500,000	2,500,000	2,700,000		
5 OPERATING EXPENSES	3,088,640	7,000,000	7,000,000	7,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	157,403	375,000	375,000	370,000	380,000		
7 PROFESSIONAL FEES AND SERVICES	144,787	350,000	350,000	400,000	400,000		
8 CAPITAL OUTLAY	169,680	2,000,000	2,000,000	2,000,000	2,000,000		
9 CAPITAL IMPROVEMENTS	0	12,000,000	12,000,000	11,000,000	11,000,000		
10 DEBT SERVICE	0	1,000,000	1,000,000	3,000,000	3,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,449,726	18,000,000	18,000,000	16,885,000	16,565,000		
12 PROMOTIONAL ITEMS	0	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$10,414,773	\$49,385,000	\$49,385,000	\$49,385,000	\$49,385,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,963,943	3,764,513		4,000,000	4,000,000		
19 ALL OTHER FEES	323,325	403,475		500,000	500,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	22,888	30,000		40,000	40,000		
22 FEDERAL CASH FUNDS	3,436,575	40,187,012		37,960,000	37,960,000		
23 OTHER CASH FUNDS	2,668,042	5,000,000		6,885,000	6,885,000		
24 TOTAL INCOME	\$10,414,773	\$49,385,000		\$49,385,000	\$49,385,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	355	355	408	399	399	
TOBACCO POSITIONS						
EXTRA HELP **	48	48	500	500	500	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	16,784	291,003	0	(274,219)	7,500	308,852	0	(301,352)
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	6,982	0	0	6,982	5,000	0	0	5,000
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	1,181,269	1,029,602	0	151,667	1,394,390	1,122,909	0	271,481
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	16,705	0	(16,705)	0	14,800	0	(14,800)
7 OTHER	16,478	18,873	0	(2,395)	41,700	84,665	0	(42,965)
8 SUBTOTAL	\$1,221,513	\$1,356,183	\$0	(\$134,670)	\$1,448,590	\$1,531,226	\$0	(\$82,636)
9 ATHLETIC TRANSFER **	0			0	111,000			111,000
10 OTHER TRANSFERS ***	130,613			130,613	25,900			25,900
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,352,126	\$1,356,183	\$0	(\$4,057)	\$1,585,490	\$1,531,226	\$0	\$54,264

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Facility Use Fees, Tournament - Net, Vending, Corporate Sponsor, Summer Camps

NOTE: Line 10 Other Transfers - Student Government Fees

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>186</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>40</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>14</u>
				Total	<u>Female: 40</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>Female: 0</u>
Classified Employees:					
White Male:	<u>25</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>41</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>25</u>
				Total	<u>Female: 41</u>
Faculty:					
White Male:	<u>28</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>37</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>29</u>
				Total	<u>Female: 37</u>
Total White Male:	<u>67</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>0</u>
Total White Female:	<u>118</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>
Total		Total		Total	<u>68</u>
				Total	<u>Female: 118</u>
Total White:	<u>185</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>
				Total Minority:	<u>1</u>
				Total	<u>Employees: 186</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

NORTH ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NORTH ARKANSAS COLLEGE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

INSTITUTION HISTORY AND ORGANIZATION

The NorthWest Arkansas Community College (NWACC) taxing district was authorized pursuant to Act 252 of the First Special Session of 1989 on August 15, 1989. Voters in the Rogers and Bentonville public school districts passed a 3-mil property tax. Its inaugural classes were attended by more than 1,200 students in the fall of 1990 at a variety of locations, including high schools, chambers of commerce, municipal and leased facilities throughout the district.

Day, evening, and weekend classes are offered at NWACC's main campus in Bentonville at alternative locations in Rogers, Springdale, Farmington, and Fayetteville, and online. The College opened its fifth major facility on the main campus in January 2013, a three-story, 81,043 square foot Center for Health Professions. This dedicated health education building has allowed NWACC to expand its Associates Degree Nursing (A.D.N. RN) program, as well as other allied health programs.

In addition, the Melba Shewmaker Southern Region National Child Protection Training Center renovation was completed on December 16, 2013. NWACC serves as the first of only four regional partners of the Gundersen National Child Protection Training Center, which is dedicated to eradicating child abuse and neglect in the United States within three generations. The center serves current and future child protection professionals in a 16-state region, teaching mandatory reporters, teachers, social workers, counselors, law enforcement officers, first responders, health professionals, attorneys, and members of judicial and childcare systems to "Recognize, Report, and Respond" to child abuse and maltreatment. NWACC has already implemented courses and curriculum for students on its home campus, throughout Arkansas and other states.

In 2014, NWACC purchased 20 acres of property in Springdale, AR to become home to a new educational and training center that will promote economic development for the region. The center planned for this location will house current programming being delivered in Washington County which includes general education and transfer curricula. New center programming will include workforce training (credit and non-credit formats) with a focus on mechatronics, apprenticeship programs and construction technology. Expanded programming (already being offered, but expanded by market demand)

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

includes STEM-related curricula, general business transfer, information management and applications, and agriculture food and life sciences. In addition, dental and some health-related programs will be offered.

NWACC served 16,682 individual learners in Academic Year 2016. This included 11,172 unduplicated college credit students, 3,636 students in workforce development courses, 1,874 learners in Adult Education classes. NWACC's ADHE assigned service area is Benton and Washington counties, which has a combined estimated population of over 500,000 residents. In serving this dynamic region of Arkansas, NWACC recognizes the importance of providing varied and abundant opportunities for learning. NWACC is a comprehensive, learning-centered two-year college dedicated primarily to meeting the educational needs of its defined service area. NWACC offers a comprehensive education program including, but without limitation, technical occupational programs, freshman and sophomore programs for students to transfer to four-year colleges, specialty courses, professional continuing education courses, community enrichment classes, and business and industry training to meet the needs of the emerging and existing workforce.

In accomplishing its mission and goals, NWACC employees value academic, professional and personal integrity; they facilitate continuous learning for students and stakeholders; they value human resources over physical resources; they develop the potential of the individual to achieve excellence, anticipate and respond to needs by encouraging innovative ideas and technologies, respect differences and view them as strengths, and advance knowledge through individual and team challenges.

NWACC is accredited by The Higher Learning Commission of the North Central Association of Schools and Colleges (312-263-0456). NWACC participates in The Higher Learning Commission's Academic Quality Improvement Program (AQIP), a process for re-accreditation based on the principles and benefits of imbedding continuous improvement into the culture of the college.

NWACC has been one of the fastest growing higher education institutions in Arkansas. Student semester credit hours grew 76% from 87,466 in academic year 2004 to 153,971 in AY 2015. The college has flat state support, but is fortunate to have a diversified funding base. The base consists of property taxes, state and federal aid, student tuition and fees, and

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

other institutionally generated revenues. Total expenditure operating budget have decreased 4% since FY2014 to \$40,656,704 projected in FY17. NWACC allocates 46% of revenues to instruction, 17% to instructional administration, 10% to student support, 14% to administration, and 13% to maintenance of physical plant. Additionally; NWACC budgets 7.6% of the E&G funds as the Board of Trustees reserve and contingency fund.

NWACC is governed by a 9 member Board of Trustees that effective November 2012 are now elected by voting zones within the two public school districts as required by A.C.A. § 6-61-529. The Board of Trustees has established the following five goals and objectives based on the College's constituent communities.

NorthWest Arkansas Community College will become a premier educational provider in northwest Arkansas by developing a responsive educational delivery system customized to the needs of learners and receiving organizations.

1. For the learner community we will:

Provide expanded access to educational opportunities for the population in general, but especially for under-served learners in all age groups who are disconnected from education by choice or circumstance and will actively support student retention and completion.

2. For the business community we will:

Enhance partnerships and collaborations with business and industry through innovative approaches to design and deliver training and education.

3. For the owner community (taxpayers) we will:

Enhance NWACC's capacity to achieve its initiatives by diversifying its resource base.

Provide educational and comprehensive programs, services and facilities to the owner community (taxpayers).

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

4. For the pre-kindergarten through grade 16 community we will:

Forge a systemic linkage with P-16 and University partners to achieve “seamlessness” in curriculum, technology and learner support and service delivery.

5. For the college community we will:

Align the organizational infrastructure of NWACC with College growth.

NWACC's FY17 personal services and operating expenses are covered in Act 260 of the 2016 fiscal session.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	11,646,430		11,689,510		17,251,512		15,341,622		15,341,622		15,663,796		15,663,796	
2 CASH	32,769,960		202,510,000		202,510,000		202,510,000		202,510,000		202,510,000		202,510,000	
3 CHILD PROTECTION TRAINING CENTER	0		0		118,282		120,800		120,800		123,337		123,337	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$44,416,390	1,010	\$214,199,510	901	\$219,879,794	1,064	\$217,972,422	1,071	\$217,972,422	1,071	\$218,297,133	1,071	\$218,297,133	1,071
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	10,619,202	24%	10,619,202	5%			14,392,114	7%	14,392,114	7%	14,716,825	7%	14,716,825	7%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,027,228	2%	1,070,308	0%			1,070,308	0%	1,070,308	0%	1,070,308	0%	1,070,308	0%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	26,748,274	60%	104,510,000	49%			127,010,000	58%	127,010,000	58%	127,010,000	58%	127,010,000	58%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	6,021,686	14%	98,000,000	46%			75,500,000	35%	75,500,000	35%	75,500,000	35%	75,500,000	35%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$44,416,390	100%	\$214,199,510	100%			\$217,972,422	100%	\$217,972,422	100%	\$218,297,133	100%	\$218,297,133	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$12,358,252
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$8,931,501
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,958,715
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW) - GASB 68	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,531,964)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER - GASB 68 Pension Obligation

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2017-2019 BIENNIUM (Non-Formula Entities)**

NWACC - CHILD PROTECTION TRAINING CENTER

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2015-2016 ACTUAL	2016-2017 BUDGETED *	2017-19 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2017-2018		2018-2019	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES						
2 PERSONAL SERVICES MATCHING						
3 EXTRA HELP WAGES						
4 OPERATING EXPENSES	66,982	40,000	120,800	120,800	123,337	123,337
5 PROFESSIONAL FEES AND SERVICES	60,756	78,000	78,000	78,000	78,000	78,000
6 SCHOLARSHIPS/AWARDS	2,816	23,300	23,300	23,300	23,300	23,300
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$130,554	\$141,300	\$222,100	\$222,100	\$224,637	\$224,637
17 NET LOCAL INCOME	130,554	141,300	101,300	101,300	101,300	101,300
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	0	0	120,800	120,800	123,337	123,337
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$130,554	\$141,300	\$222,100	\$222,100	\$224,637	\$224,637

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ 313

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	11,636,607	11,689,510	17,251,512	15,341,622	15,663,796		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	9,823						
11							
12							
13 TOTAL APPROPRIATION	\$11,646,430	\$11,689,510	\$17,251,512	\$15,341,622	\$15,663,796	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	10,619,202	10,619,202		14,271,314	14,593,488		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,027,228	1,070,308		1,070,308	1,070,308		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$11,646,430	\$11,689,510		\$15,341,622	\$15,663,796	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWA0100

INSTITUTION NWACC - CHILD PROTECTION TRAINING CENTER

APPROPRIATION N33

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES	0	0	118,282	120,800	123,337		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$118,282	\$120,800	\$123,337	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	0	0		120,800	123,337		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$0	\$0		\$120,800	\$123,337	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B17

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	11,339,657	47,500,000	47,500,000	47,500,000	47,500,000		
2 EXTRA HELP WAGES	750,008	3,000,000	3,000,000	3,000,000	3,000,000		
3 OVERTIME	0	470,000	470,000	470,000	470,000		
4 PERSONAL SERVICES MATCHING	7,984,575	24,000,000	24,000,000	24,000,000	24,000,000		
5 OPERATING EXPENSES	8,458,591	76,400,000	76,400,000	76,400,000	76,400,000		
6 CONFERENCE FEES & TRAVEL	318,346	1,600,000	1,600,000	1,600,000	1,600,000		
7 PROFESSIONAL FEES AND SERVICES	1,135,481	6,000,000	6,000,000	6,000,000	6,000,000		
8 CAPITAL OUTLAY	2,758,872	19,500,000	19,500,000	19,500,000	19,500,000		
9 CAPITAL IMPROVEMENTS	0	15,000,000	15,000,000	15,000,000	15,000,000		
10 DEBT SERVICE	0	9,000,000	9,000,000	9,000,000	9,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	24,431	40,000	40,000	40,000	40,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$32,769,960	\$202,510,000	\$202,510,000	\$202,510,000	\$202,510,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	15,962,168	19,315,825		51,400,000	51,400,000		
19 ALL OTHER FEES	1,615,109	3,420,000		12,030,000	12,030,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	480	40,000		40,000	40,000		
22 FEDERAL CASH FUNDS	6,021,686	98,000,000		75,500,000	75,500,000		
23 OTHER CASH FUNDS	9,170,518	81,734,175		63,540,000	63,540,000		
24 TOTAL INCOME	\$32,769,960	\$202,510,000		\$202,510,000	\$202,510,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	1,010	901	1,064	1,071	1,071	
TOBACCO POSITIONS						
EXTRA HELP **	93	360	360	360	360	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	6,662	7,577	0	(915)	8,000	8,000	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	248,576	88	0	248,489	205,000	0	0	205,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	232,590	397,147	0	(164,557)	217,172	422,172	0	(205,000)
8 SUBTOTAL	\$487,828	\$404,812	\$0	\$83,017	\$430,172	\$430,172	\$0	\$0
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	(202,349)			(202,349)	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$285,479	\$404,812	\$0	(\$119,333)	\$430,172	\$430,172	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Other auxiliary revenues are from vending machines, parking fees, and external public safety services

NOTE: Line 10 Other Transfers - Excess auxiliary funds are transferred to the unrestricted e&g fund group to support educational needs.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>640</u>
						(As of November 1, 2015)
Nonclassified Administrative Employees:						
White Male:	<u>30</u>	Black Male:	<u>2</u>	Other Male:	<u>2</u>	Total Male: <u>34</u>
White Female:	<u>56</u>	Black Female:	<u>3</u>	Other Female:	<u>5</u>	Total Female: <u>64</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>27</u>	Black Male:	<u>1</u>	Other Male:	<u>7</u>	Total Male: <u>35</u>
White Female:	<u>85</u>	Black Female:	<u>1</u>	Other Female:	<u>8</u>	Total Female: <u>94</u>
Faculty:						
White Male:	<u>173</u>	Black Male:	<u>6</u>	Other Male:	<u>9</u>	Total Male: <u>188</u>
White Female:	<u>204</u>	Black Female:	<u>11</u>	Other Female:	<u>10</u>	Total Female: <u>225</u>
Total White Male:	<u>230</u>	Total Black Male:	<u>9</u>	Total Other Male:	<u>18</u>	Total Male: <u>257</u>
Total White Female:	<u>345</u>	Total Black Female:	<u>15</u>	Total Other Female:	<u>23</u>	Total Female: <u>383</u>
Total White:	<u>575</u>	Total Black:	<u>24</u>	Total Other:	<u>41</u>	Total Employees: <u>640</u>
				Total Minority:	<u>65</u>	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,789,765
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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OZARKA COLLEGE

Dr. Richard L. Dawe, President

Ozarka College History and Organization

Ozarka College opened its doors as Ozarka Vocational-Technical School in 1975 to provide vocational training to residents of Fulton, Izard, Sharp and Stone Counties. The first class of 43 students graduated in July 1976 with degrees in Automotive Service, Food Service, Business Education, Building Trades, Industrial Equipment Technology, Licensed Practical Nursing and Major Appliance Service. Classes leading to GED certificates were also offered.

Ozarka now enrolls approximately 1,200 students per semester. In 1991, the Arkansas State Legislature passed ACT 1244, transforming Ozarka and a number of other vocational technical schools into technical colleges. In addition to the courses already offered, Ozarka began to provide courses for college transfer credit through articulation agreements with other state colleges and universities.

Ozarka formed a partnership with Arkansas State University to facilitate the development of college transfer coursework. In August 1993, Ozarka Technical College became a candidate for accreditation. In 1996, the Higher Learning Commission of the North Central Association of Colleges and Schools determined that Ozarka had fulfilled all requirements for accreditation.

In keeping with its mission and continued evolution, the College made another name change to Ozarka College in 1999, dropping the "Technical" to emphasize the full range of available degree programs.

Ozarka College now has transfer agreements in place with four-year colleges and universities around the state, allowing students in the Associate of Arts and Associate of Science programs to transfer easily.

As the campus has widened the scope of educational programs, services have been expanded to other locations in the region. With the main campus located in Melbourne, Ozarka also operates off-campus sites in Ash Flat, Mammoth Spring, and Mountain View as well as most high schools in the four county service region and southern Missouri. Distance education classes, including full degree programs, are also available via internet which offers Ozarka students more flexibility in scheduling classes.

The Ozarka College Mission is: Ozarka College provides life-changing experiences through education. The Mission is the basis for all of the College's primary activities that include approval to deliver fifteen certificates of proficiency, ten

OZARKA COLLEGE
Dr. Richard L. Dawe, President

technical certificates, seven associate of applied science degrees, and five associate of science degrees and one associate of art degree as approved by the Arkansas Department of Higher Education. Ozarka College also provides adult basic education for the area, and concurrent and continuing education.

Oversight to Ozarka College operations is provided by the Board of Trustees, a seven person, Governor appointed Board representing the four-county service area. Trustees serve a seven-year term of office.

Ozarka College is fully accredited by the North Central Association of the Higher Learning Commission through the 2020-2021 academic year. The Licensed Practical Nursing program is approved by the Arkansas State Board of Nursing, the Automotive Service Technology Program is accredited by the National Automotive Technicians Education Foundation, and the College is approved by the Veterans Administration for individuals eligible for educational benefits under the GI Bill.

Ozarka College's appropriations are determined by the funding model. The latest Appropriation Acts for operation of the College include the Personal Services and Operating Expenses Act, Act 144 of 2016 and the Revenue Stabilization Act, Act 270 of 2016; and the Re-appropriation of Capital Improvement Act, Act 194 of 2016.

As Ozarka College looks to the future for advancing service to its constituents, it is developing new and relevant programs to ensure graduates are prepared to quickly enter the workforce or advance in their careers. A new and exciting program includes a degree in Aviation Science – Professional Pilot for students seeking careers in aviation. New programs being considered include an Agricultural Science degree to serve the agricultural needs of the region, and an Information Science associate degree to address technology career field needs.

Ozarka College is not requesting additional personnel positions nor appropriation for the 2017 – 2019 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION OZARKA COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,399,670		4,398,316		6,094,340		5,254,817		5,254,817		5,354,668		5,354,668	
2 CASH	6,042,728		14,351,000		14,351,000		14,351,000		14,351,000		14,351,000		14,351,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,442,398	152	\$18,749,316	182	\$20,445,340	219	\$19,605,817	219	\$19,605,817	219	\$19,705,668	219	\$19,705,668	219
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	322,290	3%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,126,475	30%	3,126,475	17%			3,482,976	18%	3,482,976	18%	3,582,827	18%	3,582,827	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,271,841	12%	1,271,841	7%			1,271,841	6%	1,271,841	6%	1,271,841	6%	1,271,841	6%
16 CASH FUNDS	4,890,623	47%	10,451,000	56%			10,451,000	53%	10,451,000	53%	10,451,000	53%	10,451,000	53%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	829,815	8%	3,900,000	21%			3,900,000	20%	3,900,000	20%	3,900,000	20%	3,900,000	20%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	1,354	0%	0	0%			500,000	3%	500,000	3%	500,000	3%	500,000	3%
21 TOTAL INCOME	\$10,442,398	100%	\$18,749,316	100%			\$19,605,817	100%	\$19,605,817	100%	\$19,705,668	100%	\$19,705,668	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$1,245,623
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$259,263
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$959,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$17,360

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,023,400	3,106,900	3,850,000	3,400,000	3,400,000		
2 EXTRA HELP WAGES	125,000	125,000	150,000	150,000	150,000		
3 OVERTIME		100	100	100	100		
4 PERSONAL SERVICES MATCHING	1,250,000	1,165,116	1,593,040	1,203,517	1,303,368		
5 OPERATING EXPENSES	200	200	200	200	200		
6 CONFERENCE FEES & TRAVEL	200	200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES	0	200	200	200	200		
8 CAPITAL OUTLAY	0	200	200	200	200		
9 FUNDED DEPRECIATION	0	200	200	200	200		
10 CAPITAL IMPROVEMENTS	0	200	200	200	200		
11 MOUNTAIN VIEW CAMPUS HEALTH & FITNESS CENTER	0	0	500,000	500,000	500,000		
12 WORKERS COMP/SURETY PREMIUM	870						
13 TOTAL APPROPRIATION	\$4,399,670	\$4,398,316	\$6,094,340	\$5,254,817	\$5,354,668	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,126,475	3,126,475		3,482,976	3,582,827		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,271,841	1,271,841		1,271,841	1,271,841		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	1,354			500,000	500,000		
21 TOTAL INCOME	\$4,399,670	\$4,398,316		\$5,254,817	\$5,354,668	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - Tuition Adjustment Fund of \$1,347 and M&R Proceeds of \$7

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION B63

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,930,511	3,150,000	3,150,000	3,150,000	3,150,000		
2 EXTRA HELP WAGES	93,813	275,000	275,000	275,000	275,000		
3 OVERTIME	0	1,000	1,000	1,000	1,000		
4 PERSONAL SERVICES MATCHING	1,329,782	1,850,000	1,850,000	1,850,000	1,850,000		
5 OPERATING EXPENSES	2,177,232	4,400,000	4,400,000	4,400,000	4,400,000		
6 CONFERENCE FEES & TRAVEL	7,372	100,000	100,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES	0	250,000	250,000	250,000	250,000		
8 CAPITAL OUTLAY	161,641	225,000	225,000	225,000	225,000		
9 CAPITAL IMPROVEMENTS	0	3,500,000	3,500,000	3,500,000	3,500,000		
10 DEBT SERVICE	342,378	575,000	575,000	575,000	575,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	0	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$6,042,728	\$14,351,000	\$14,351,000	\$14,351,000	\$14,351,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***	322,290						
18 TUITION AND MANDATORY FEES	2,991,039	4,500,000		4,500,000	4,500,000		
19 ALL OTHER FEES	393,173	1,250,000		1,250,000	1,250,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	5,030	30,000		30,000	30,000		
22 FEDERAL CASH FUNDS	829,815	3,900,000		3,900,000	3,900,000		
23 OTHER CASH FUNDS	1,501,380	4,671,000		4,671,000	4,671,000		
24 TOTAL INCOME	\$6,042,728	\$14,351,000		\$14,351,000	\$14,351,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL	AHECB	LEGISLATIVE RECOMMENDATION
				REQUEST 2017-2019	RECOMMEND 2017-2019	2017-2019
REGULAR POSITIONS	152	182	219	219	219	
TOBACCO POSITIONS						
EXTRA HELP **	100	100	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	35,824	111,009	0	(75,185)	24,300	77,436	0	(53,136)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	534,249	0	514,757	19,492	165,550	0	0	165,550
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	141,882	200,764	0	(58,882)	204,200	167,627	0	36,573
8 SUBTOTAL	\$711,954	\$311,773	\$514,757	(\$114,576)	\$394,050	\$245,063	\$0	\$148,987
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$711,954	\$311,773	\$514,757	(\$114,576)	\$394,050	\$245,063	\$0	\$148,987

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 OTHER - Preschool

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>156</u>
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>20</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>20</u>
Nonclassified Health Care Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>9</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>9</u>
Classified Employees:						
White Male:	<u>9</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>9</u>
White Female:	<u>28</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>28</u>
Faculty:						
White Male:	<u>28</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>28</u>
White Female:	<u>44</u>	Black Female:	<u>0</u>	Other Female:	<u>3</u>	Total Female: <u>47</u>
Total White Male:	<u>52</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>52</u>
Total White Female:	<u>101</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>3</u>	Total Female: <u>104</u>
Total White:	<u>153</u>	Total Black:	<u>0</u>	Total Other:	<u>3</u>	Total Employees: <u>156</u>
				Total Minority:	<u>3</u>	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$30,720
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF OZARKA COLLEGE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DR. G. KEITH PINCHBACK - CHANCELLOR**

ENABLING LAWS

Act 560 of 1965 and Act 168 of the Fiscal Session, 2016

MISSION AND CORE VALUES

PCCUA is a multi-campus, two year college serving the communities in Eastern Arkansas. The College is committed to helping every student succeed. We provide high-quality, accessible educational opportunities and skills development to promote life-long learning, and we engage in the lives of our students and our communities.

Phillips Community College respects the diversity of its student body and community. The College also recognizes the worth and potential of each student. Therefore, the college affirms the following beliefs and values:

Student Success

We are committed to the success of every student. We believe all students, given the right conditions, can learn. We believe those conditions include high expectations reflected in a rigorous curriculum and personal engagement evidenced by a faculty and staff who invest themselves in the lives of our students and our communities.

The Power of Education

We believe learning begins at birth and should last a lifetime. We believe in the power of education to transform lives and build strong, productive communities.

Diversity

We respect the inherent worth and dignity of every person.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS DR. G. KEITH PINCHBACK - CHANCELLOR

HISTORY AND ORGANIZATION

In 1964, leaders from all parts of Arkansas became enthusiastic about the public community college concept and undertook the difficult task of amending the state's constitution to permit the development of a system of community colleges in Arkansas. The official history of Phillips County Community College dates from two events in 1965: (1) Act 560 of the Arkansas State Legislature, which paved the way for establishing community colleges; and (2) an affirmative vote by the people of Phillips County on October 23, 1965, which provided the local financial support for the College.

The Board of Trustees was appointed by the Governor of the State and met for the first time on November 1, 1965, officially creating Phillips County Community College. An early order of business for the Board was the election of Dr. John Easley as the first President of the College. The Board of Trustees decided that since construction of a new plant would take approximately two years, classes would begin in the Naval Reserve Building in Helena. The building served as home for Phillips County Community College for two years. In May, 1968, the first class was graduated. Construction of the permanent College facilities was also completed in 1968. In 1972, the Fine Arts Center and Lily Peter Auditorium were completed with the help of generous support from the community. In 1976, the Nursing Education Complex was completed, and in 1982, the Technical & Industrial Education Complex was opened. The administration/data processing building was completed in 1986, and a year later, the College's library was renovated and named the Lewis Library, thanks to the generosity of the A. B. Lewis family. Since that time, the College has experienced dramatic growth with an expansion of the curriculum and facilities. Enrollment increased steadily in the early 1990's, and the Asa W. Bonner Student Center was dedicated in 1991. The Mitchell Science Annex was added to the Nursing Education Complex in 1992. In 1993, the historic Pillow-Thompson House in Helena was donated to the College by Josephine Thompson and her son, George de Man. The house was renovated with the generous support of community and historic preservation grants and reopened in May of 1997.

In March 1996, the people of Arkansas County passed a referendum to annex that county into the PCCC taxing district. The Board of Trustees changed the name of the college to Phillips Community College to reflect the multi-county support and began plans to expand the off-campus programs in Stuttgart. In June 1996, the State transferred the former Rice Belt

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DR. G. KEITH PINCHBACK - CHANCELLOR

Technical Institute in DeWitt to Phillips Community College and on July 1, 1996, Phillips became a member of the University of Arkansas System. The campuses in Arkansas County have grown to include day and evening classes in Stuttgart and general education programs in DeWitt. The Stuttgart facility is equipped with traditional classrooms, science labs, computer labs, a large technical training lab, compressed video labs for distance learning, a library, large lecture room, bookstore, and offices. Construction of the Grand Prairie Center on the Stuttgart Campus was completed in 2011. The DeWitt facility expansion and reconstruction included an addition of 14,000 square feet and renovations to 15,000 square feet. The addition includes traditional and distance learning classrooms, library, and student center. In 2003, the Grand Prairie War Memorial Auditorium Board voted to offer a long-term lease of the auditorium to the College as a technology training center. In 2007, the National Guard Armory in DeWitt was leased to the College as a headquarters for the agriculture program.

Phillips Community College of the University of Arkansas has always been a leader and innovator in higher education in Arkansas. The College has grown from an original enrollment of fewer than 250 students in 14 program areas to over 1,700 students in a wide range of academic, occupational/technical, and continuing education programs both on-campus and on-line. New programs are continually being researched and planned so that PCCUA will remain responsive to the needs and interests of the people of Eastern Arkansas and on the Grand Prairie.

ACCREDITATION

Phillips Community College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools.

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**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-2017		2016-2017		2017-2018				2018-2019			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,349,799		10,381,540		10,898,221		10,386,979		10,386,979		10,605,105		10,605,105	
2 CASH	7,166,857		45,630,000		45,630,000		45,630,000		45,630,000		45,630,000		45,630,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,516,656	295	\$56,011,540	304	\$56,528,221	325	\$56,016,979	325	\$56,016,979	325	\$56,235,105	325	\$56,235,105	325
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	9,063,088	52%	9,063,088	16%			9,068,527	16%	9,068,527	16%	9,286,653	17%	9,286,653	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	756,855	4%	788,596	1%			788,596	1%	788,596	1%	788,596	1%	788,596	1%
15 WORKFORCE 2000	529,856	3%	529,856	1%			529,856	1%	529,856	1%	529,856	1%	529,856	1%
16 CASH FUNDS	4,398,671	25%	42,130,000	75%			42,130,000	75%	42,130,000	75%	42,130,000	75%	42,130,000	75%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	2,768,186	16%	3,500,000	6%			3,500,000	6%	3,500,000	6%	3,500,000	6%	3,500,000	6%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$17,516,656	100%	\$56,011,540	100%			\$56,016,979	100%	\$56,016,979	100%	\$56,235,105	100%	\$56,235,105	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$8,456,956
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	2,389,293
INVENTORIES	61,247
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	50,000
MAJOR CRITICAL SYSTEMS FAILURES	300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	1,850,343
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	300,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,506,073

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
HELENA CAMPUS

APPROPRIATION 308

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2015-2016	2016-2017	2016-2017	2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	5,552,141	5,572,592	5,967,610	5,572,592	5,692,280		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,196,418	1,200,814	1,233,111	1,200,814	1,226,605		
5 OPERATING EXPENSES	445,725	453,660	459,395	447,363	456,971		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMPE/SURETY PREMIUM	1,041						
11							
12							
13 TOTAL APPROPRIATION	\$7,195,325	\$7,227,066	\$7,660,116	\$7,220,769	\$7,375,856	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	6,438,470	6,438,470		6,432,173	6,587,260		
16 EDUCATIONAL EXCELLENCE TRUST FUND	756,855	788,596		788,596	788,596		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,195,325	\$7,227,066		\$7,220,769	\$7,375,856	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION 1BW

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2015-2016	2016-2017	2016-2017	2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	749,684	764,023	776,288	752,509	763,268		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	275,788	276,462	281,144	276,462	280,415		
5 OPERATING EXPENSES	297,559	282,546	286,772	298,286	302,551		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,323,031	\$1,323,031	\$1,344,204	\$1,327,257	\$1,346,234	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	793,175	793,175		797,401	816,378		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	529,856	529,856		529,856	529,856		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,323,031	\$1,323,031		\$1,327,257	\$1,346,234	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION 413

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2015-2016	2016-2017	2016-2017	2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,262,523	1,267,700	1,305,579	1,267,700	1,298,075		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	255,954	257,004	264,683	257,004	263,161		
5 OPERATING EXPENSES	312,966	306,739	323,639	314,249	321,779		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,831,443	\$1,831,443	\$1,893,901	\$1,838,953	\$1,883,015	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,831,443	1,831,443		1,838,953	1,883,015		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,831,443	\$1,831,443		\$1,838,953	\$1,883,015	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
HELENA CAMPUS

APPROPRIATION A73

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	0	3,500,000	3,500,000	3,500,000	3,500,000		
2 EXTRA HELP WAGES	606,835	750,000	750,000	750,000	750,000		
3 OVERTIME	38,524	140,000	140,000	140,000	140,000		
4 PERSONAL SERVICES MATCHING	883,196	1,500,000	1,500,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	2,608,003	4,675,000	4,675,000	4,675,000	4,675,000		
6 CONFERENCE FEES & TRAVEL	214,169	300,000	300,000	400,000	400,000		
7 PROFESSIONAL FEES AND SERVICES	339,228	1,000,000	1,000,000	1,000,000	1,000,000		
8 CAPITAL OUTLAY	214,686	2,900,000	2,900,000	2,800,000	2,800,000		
9 CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	738,089	2,800,000	2,800,000	2,800,000	2,800,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	7,198	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,649,928	\$27,590,000	\$27,590,000	\$27,590,000	\$27,590,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,779,255	1,734,253		1,811,610	1,811,610		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	30,062	25,000		25,000	25,000		
21 INVESTMENT INCOME	17,562	15,000		15,000	15,000		
22 FEDERAL CASH FUNDS	2,768,186	3,500,000		3,500,000	3,500,000		
23 OTHER CASH FUNDS	1,054,863	22,315,747		22,238,390	22,238,390		
24 TOTAL INCOME	\$5,649,928	\$27,590,000		\$27,590,000	\$27,590,000.00	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	166	174	188	188	188	
TOBACCO POSITIONS						
EXTRA HELP **	95	600	600	600	600	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION B08

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	130,921	750,000	750,000	750,000	750,000		
2 EXTRA HELP WAGES	34,071	200,000	200,000	200,000	200,000		
3 OVERTIME	0	70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	21,240	250,000	250,000	250,000	250,000		
5 OPERATING EXPENSES	9,290	1,150,000	1,150,000	1,150,000	1,150,000		
6 CONFERENCE FEES & TRAVEL	3,109	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES	39,252	50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY	16,271	250,000	250,000	250,000	250,000		
9 CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE	0	1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$254,154	\$8,770,000	\$8,770,000	\$8,770,000	\$8,770,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	254,154	350,000		350,000	350,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS		8,420,000		8,420,000	8,420,000		
24 TOTAL INCOME	\$254,154	\$8,770,000		\$8,770,000	\$8,770,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	64	64	66	66	66	
TOBACCO POSITIONS						
EXTRA HELP **	17	150	150	150	150	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION A81

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	500,059	1,100,000	1,100,000	1,100,000	1,100,000		
2 EXTRA HELP WAGES	58,191	275,000	275,000	275,000	275,000		
3 OVERTIME	254	70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	284,107	425,000	425,000	425,000	425,000		
5 OPERATING EXPENSES	393,572	1,150,000	1,150,000	1,150,000	1,150,000		
6 CONFERENCE FEES & TRAVEL	5,401	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES	45	50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY	21,146	250,000	250,000	250,000	250,000		
9 CAPITAL IMPROVEMENTS	0	4,900,000	4,900,000	4,900,000	4,900,000		
10 DEBT SERVICE	0	1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$1,262,775	\$9,270,000	\$9,270,000	\$9,270,000	\$9,270,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	662,775	635,757		640,000	640,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	600,000	8,634,243		8,630,000	8,630,000		
24 TOTAL INCOME	\$1,262,775	\$9,270,000		\$9,270,000	\$9,270,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	0	0		0	0	0	0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	65	66	71	71	71	
TOBACCO POSITIONS						
EXTRA HELP **	20	150	150	150	150	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	60,353	0	0	60,353	45,300	0	0	45,300
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	26,624	0	(26,624)	0	35,000	0	(35,000)
7 OTHER	99,103	18,492	0	80,611	88,000	88,000	0	0
8 SUBTOTAL	159,456	45,116	0	114,340	133,300	123,000	0	10,300
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	(55,000)			(55,000)	(10,300)			(10,300)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	104,456	45,116	0	59,340	123,000	123,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other: Facility Rental income/expenses.

NOTE: Line 10 Other Transfers: Transfer from Auxiliary to General from bookstore income

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						201
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	9	Black Male:	6	Other Male:	0	Total Male: 15
White Female:	29	Black Female:	14	Other Female:	0	Total Female: 43
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	16	Other Male:	0	Total Male: 30
White Female:	32	Black Female:	19	Other Female:	0	Total Female: 51
Faculty:						
White Male:	16	Black Male:	0	Other Male:	1	Total Male: 17
White Female:	37	Black Female:	7	Other Female:	1	Total Female: 45
Total White Male:	39	Total Black Male:	22	Total Other Male:	1	Total Male: 62
Total White Female:	98	Total Black Female:	40	Total Other Female:	1	Total Female: 139
Total White:	137	Total Black:	62	Total Other:	2	Total Employees: 201
				Total Minority:	64	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$126,483
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>To verify the accuracy of student enrollment data submitted to the Arkansas Department of Higher Education, we examined supporting documentation and discovered two students, reported as enrolled, had withdrawn from a Spring 2015 class, prior to the eleventh class day.</p>
<p><i>Institution's Response</i></p>	<p>The eleventh class day was miscalculated when the students submitted forms to withdraw from the two courses in question. Both students received "W" grades for the two courses and were reported to ADHE as enrolled. The students' records have been corrected to properly reflect the withdrawal from these courses.</p> <p>We have corrected our procedures, including updating our withdrawal forms, to prevent this from occurring again.</p>

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2015**

U.S. DEPARTMENT OF EDUCATION

Finding Number: 2015-002
State/Educational Agency(s): Phillips Community College of the University of Arkansas
CFDA Number(s) and Program Title(s): 84.063 – Federal Pell Grant Program
(Student Financial Assistance Cluster)
Federal Award Number(s): P063P151086
Federal Award Year(s): 2015
Compliance Requirement(s) Affected: Special Tests and Provisions
Type of Finding: Noncompliance and Significant Deficiency

Criteria:

In accordance with 34 CFR § 668.22 of the Student Assistance General Provisions, when a recipient of Title IV grant or loan assistance withdraws from the College during a payment or enrollment period in which the recipient began attendance, the College must determine the amount of Title IV aid earned by the student as of the student's withdrawal date. If the amount disbursed to the student is greater than the amount the student earned, the unearned funds must be returned to the Title IV programs. Institutional charges are used to determine the portion of unearned federal student aid that the College is responsible for returning. Institutional charges are tuition; fees; room and board, if applicable; and other educationally-related expenses assessed by the College.

Condition and Context:

The College did not include facility fees in the return of Title IV funds calculation for the five returns tested for compliance from a population of 51 calculations of returns. In addition, the College returned more funds than required on four of the five students tested. The net effect of these errors caused an excess return of funds totaling \$1,918.

Questioned Costs:

None

Cause:

The College's calculations of returns to the Title IV programs were performed based on specific criteria input into the database. When inputting tuition and fee charges, the College failed to include the facility fee as required by 34 CFR § 668.22 (g)(ii)(2). Management did not implement procedures to ensure the correct amount of unearned funds was returned to the Title IV program.

Effect:

The College returned institutional costs of \$1,918 to the Federal Pell Grant Program in excess of the amount required.

Recommendation:

ALA staff recommend the College strengthen procedures to ensure that returns to the Title IV programs are calculated correctly and contact the U.S. Department of Education for resolution of this matter.

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2015**

Finding Number: 2015-002 (U.S. DEPARTMENT OF EDUCATION (Continued))
State/Educational Agency(s): Phillips Community College of the University of
CFDA Number(s) and Program Title(s): Arkansas 84.063 – Federal Pell Grant Program
(Student Financial Assistance Cluster)
Federal Award Number(s): P063P151086
Federal Award Year(s): 2015
Compliance Requirement(s) Affected: Special Tests and Provisions
Type of Finding: Noncompliance and Significant Deficiency

Views of Responsible Officials and Planned Corrective Action:

A full review was conducted on the students listed during the review. Procedures are being developed and will be in place for the spring 2016 semester to ensure that all new fees and charges that are to be added to a student's account during the registration process are included in the student's Return of Title IV Funds Calculation. The financial aid office will be notified by the business office when any new fees or charges are added. In addition, the R2T4 institutional charges will be compared to the student's statement to be sure that all required charges are included.

Anticipated Completion Date: Spring 2016 Semester

Contact Person: Stan Sullivant
Vice Chancellor for Finance and Administration
Phillips Community College of the University of Arkansas
P.O. Box 785
Helena, AR 72342
(870) 816-1274
ssullivant@pccua.edu

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PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

INSTITUTION HISTORY AND ORGANIZATION

When the Arkansas General Assembly created the Arkansas Technical and Community College System via Act 1244 of 1991, Pulaski Vocational-Technical School and 12 other vocational-technical schools became technical colleges under the coordination of the Arkansas Higher Education Coordinating Board. The school was renamed Pulaski Technical College. Currently, Act 190 of the 2012 fiscal session of the Arkansas General Assembly provides appropriations for personal services and operating expenses for fiscal year 2012-2013. The College is governed by a seven-member board of trustees appointed by the governor. As of May 11, 2016 the PTC Board of Trustees voted unanimously to join the University of Arkansas System. With that vote, the University of Arkansas System Trustees also approved unanimously to have PTC join the System on May 26, 2016. It is anticipated that needed legislative changes required for the merger will be approved by the Arkansas State Legislature by February 2, 2017.

ACCREDITATION AFFILIATION

Pulaski Technical College (PTC) is a fully accredited two-year higher education institution. PTC is accredited by the Higher Learning Commission and operates under federal auspices of the United States Department of Education and state oversight of the Arkansas Department of Higher Education. It is locally directed by its seven-member Board of Trustees.

Each of PTC's technical programs has an advisory committee that helps direct the rigor and relevancy of curriculum and learning opportunities offered to students. Many of the college's technical programs are state and/or nationally certified as well.

VISION STATEMENT

Pulaski Technical College will be Arkansas' leading comprehensive two-year college dedicated to student success.

PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

MISSION STATEMENT

Pulaski Technical College provides high quality university transfer, career and technical, and workforce education that promote student learning, success, and the economic development of the state.

Values

Integrity, Improvement, Individuality

PRIMARY ACTIVITIES AND SUPPORTING INSTITUTIONAL GOALS

Goal 1: Student Learning and Success. PTC will continue its commitment to student success by providing programs and services that will help students achieve their goals.

Goal 2: Continuing Education and Community Engagement. PTC will provide continuing education and community services that are responsive to the needs of citizens and organizations in central Arkansas.

Goal 3: Economic and Workforce Development. PTC will address the workforce development needs of central Arkansas by (a) developing and strengthening partnerships with area school districts, colleges, universities, and hospitals; (b) delivering high quality technical programs that respond to the changing employment needs and opportunities in the region; and, (c) providing high quality customized training for business and industry.

Goal 4: Access. PTC will provide central Arkansans with accessible, high quality, affordable technical education and university transfer programs.

Goal 5: Quality Learning Environment. PTC will provide a quality learning environment by promoting excellence in teaching and learning and maintaining up-to-date facilities and technology.

PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

Goal 6: Sustainability. PTC will continue seeking additional financial, physical, and human resources to support the development and ongoing improvement of our programs and services.

Goal 7: Continuous Planning and Effectiveness. PTC will continuously assess and respond to changing student and community needs, evaluate and improve the effectiveness of our programs and services, and update our planning goals and strategies. PTC will begin a new strategic planning cycle in FY 17.

MAJOR MILESTONES

Several successful milestones include the following: 1) The College transition to the UA-System will be a new chapter in its history and a very positive step for advancing student success options, as well as providing innovative business choices to the college operations; 2) The College default rate is continuing to drop from a high of 28.6% in 2012 to a current rate of 18%. This success is due to the diligent work of staff and collaborating with the Arkansas Student Loan Authority; 3) Examining student success specifically, PTC is moving to a centralized advising system to better meet student demand and academic retention; 4) Additionally, the College's Academic Success program has moved to eight week courses that has shown initial positive results in both student success rates, as well as improved success in the students' subsequent course progression; 5) To also support both new and potential student success, PTC has partnered with the Pulaski County Special School District and Little Rock School District to place Adult Education programs on its two largest sites. 6) Lastly, the College has also established "key performance indicators" that are aligned to the seven strategic goals of the College and can be found at:

http://www.pulaskitech.edu/irpe/content/PTC_Balanced_Scorecard_pdf.

PLANNING AND PERFORMANCE MEASUREMENT

In order to promote continuous planning and improvement, PTC is undertaking the following:

1. Quarterly updating and monitoring of the FY17 Annual Goals of PTC. These include: 1) Commitment to Quality Improvement; 2) Strategic Planning; 3) Implementing Jenzabar Student Information System and 4) Advancing

PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

Student Success Strategies. Identified employee responsibility; target dates; and resource needs for the accomplishment of College and departmental goals are specified.

2. Providing continuous communication and partnership engagement with business, industry, and community leaders about the education and training needs of central Arkansas.
3. Develop and implement an active program assessment system that documents student achievement in PTC academic and technical programs.
4. Design and implement an academic and non-academic program review process. Continuously monitor and evaluate the productivity and effectiveness of all instructional programs.
5. Continue to enhance data support for institutional decision making, to include planning, budgeting, and institutional assessment (i.e., Key Performance Indicators of: Graduation Rate; Successful Course Completion; Retention; Graduate Employment; Employer Partner Satisfaction; and SSCH)
6. Maintain a participatory, coordinated process for planning, budgeting, and assessment to ensure that institutional decisions are aligned with institutional needs and priorities.
7. Maintain a shared governance culture that encourages and recognizes collaboration, innovation, and continuous program improvement.

GENERAL REVENUE REQUEST

Pulaski Technical College is a formula-driven entity and did not make a request for general revenue. The increase in general revenue funding is the result of the recommendation made by the Arkansas Higher Education Coordinating Board. The Coordinating Board recommended general revenue of \$15,137,437 and Workforce 2000 funding of \$2,273,722 for 2016-2017 and for 2017-2018.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	17,411,209		17,411,209		23,633,802		17,411,209		17,411,209		17,776,844		17,776,844	
2 CASH	33,030,634		298,160,000		298,160,000		298,160,000		298,160,000		298,160,000		298,160,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$50,441,843	554	\$315,571,209	568	\$321,793,802	998	\$315,571,209	998	\$315,571,209	998	\$315,936,844	998	\$315,936,844	998
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	15,137,437	30%	15,137,437	5%			15,137,437	5%	15,137,437	5%	15,503,072	5%	15,503,072	5%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,273,772	5%	2,273,772	1%			2,273,772	1%	2,273,772	1%	2,273,772	1%	2,273,772	1%
16 CASH FUNDS	31,527,122	63%	296,951,821	94%			296,951,821	94%	296,951,821	94%	296,951,821	94%	296,951,821	94%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,503,512	3%	1,208,179	0%			1,208,179	0%	1,208,179	0%	1,208,179	0%	1,208,179	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$50,441,843	100%	\$315,571,209	100%			\$315,571,209	100%	\$315,571,209	100%	\$315,936,844	100%	\$315,936,844	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$11,783,833
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$964,493
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$292,258
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,682,709
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$3,173,956
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,120,417

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	17,399,329	17,411,209	23,633,802	17,411,209	17,776,844		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 PUBLIC EMPLOYEE CLAIMS	7,719						
11 WORKERS COMP/SURETY PREMIUM	4,161						
12							
13 TOTAL APPROPRIATION	\$17,411,209	\$17,411,209	\$23,633,802	\$17,411,209	\$17,776,844	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	15,137,437	15,137,437		15,137,437	15,503,072		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,273,772	2,273,772		2,273,772	2,273,772		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$17,411,209	\$17,411,209		\$17,411,209	\$17,776,844	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2930000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION B66

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,805,361	18,800,000	18,800,000	18,800,000	18,800,000		
2 EXTRA HELP WAGES	1,159,778	3,500,000	3,500,000	3,500,000	3,500,000		
3 OVERTIME	4,841	100,000	100,000	100,000	100,000		
4 PERSONAL SERVICES MATCHING	5,884,762	25,000,000	25,000,000	25,000,000	25,000,000		
5 OPERATING EXPENSES	8,921,471	150,000,000	150,000,000	150,000,000	150,000,000		
6 CONFERENCE FEES & TRAVEL	250,249	700,000	700,000	700,000	700,000		
7 PROFESSIONAL FEES AND SERVICES	878,285	7,000,000	7,000,000	7,000,000	7,000,000		
8 CAPITAL OUTLAY	828,629	8,000,000	8,000,000	8,000,000	8,000,000		
9 CAPITAL IMPROVEMENTS	7,422,977	75,000,000	75,000,000	75,000,000	75,000,000		
10 DEBT SERVICE	5,851,794	10,000,000	10,000,000	10,000,000	10,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	22,487	60,000	60,000	60,000	60,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$33,030,634	\$298,160,000	\$298,160,000	\$298,160,000	\$298,160,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	23,673,011	25,531,296		26,531,296	27,531,296		
19 ALL OTHER FEES	2,312,299	1,200,500		1,200,500	1,200,500		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	277,622	292,600		292,600	292,600		
21 INVESTMENT INCOME	81,189	65,000		65,000	65,000		
22 FEDERAL CASH FUNDS	1,503,512	1,208,179		1,208,179	1,208,179		
23 OTHER CASH FUNDS	5,183,001	269,862,425		268,862,425	267,862,425		
24 TOTAL INCOME	\$33,030,634	\$298,160,000		\$298,160,000	\$298,160,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	554	568	998	998	998	
TOBACCO POSITIONS						
EXTRA HELP **	400	400	400	400	400	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PULASKI TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	198,184	0	(198,184)	0	175,000	0	(175,000)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	358,006	0	0	358,006	400,000	0	0	400,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER: Snack and Beverage Vending	36,630	0	0	36,630	32,300	0	0	32,300
8 SUBTOTAL	\$394,636	\$198,184	\$0	\$196,452	\$432,300	\$175,000	\$0	\$257,300
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$394,636	\$198,184	\$0	\$196,452	\$432,300	\$175,000	\$0	\$257,300

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM BR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>554</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>19</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>
White Female:	<u>49</u>	Black Female:	<u>16</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>22</u>
				Total	<u>65</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>0</u>
Classified Employees:					
White Male:	<u>27</u>	Black Male:	<u>7</u>	Other Male:	<u>3</u>
White Female:	<u>33</u>	Black Female:	<u>12</u>	Other Female:	<u>3</u>
Total		Total		Total	<u>37</u>
				Total	<u>48</u>
Faculty:					
White Male:	<u>152</u>	Black Male:	<u>20</u>	Other Male:	<u>7</u>
White Female:	<u>167</u>	Black Female:	<u>33</u>	Other Female:	<u>3</u>
Total		Total		Total	<u>179</u>
				Total	<u>203</u>
Total White Male:	<u>198</u>	Total Black Male:	<u>29</u>	Total Other Male:	<u>11</u>
Total White Female:	<u>249</u>	Total Black Female:	<u>61</u>	Total Other Female:	<u>6</u>
Total		Total		Total	<u>238</u>
				Total	<u>316</u>
Total White:	<u>447</u>	Total Black:	<u>90</u>	Total Other:	<u>17</u>
				Total Minority:	<u>107</u>
				Total	<u>554</u>
				Total	<u>554</u>

FORM BR-6

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF PULASKI TECHNICAL COLLEGE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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Rich Mountain Community College
Dr. Phillip Wilson, President

ENABLING LAWS

Act 16 of 1983, Act 329 of 2015 and Act 329 of 2015

INSTITUTION HISTORY AND ORGANIZATION

Rich Mountain Community College began in 1973 as Rich Mountain Vocational-Technical School serving Polk, Scott, and Montgomery counties under the Arkansas Department of Vocational Education. Additionally, Henderson State University offered the first two years of general education courses toward a Bachelor's degree at various sites in Polk County. The Polk County Committee for Higher Education worked to establish the college in Mena to combine these services.

Act 16 of 1983 was authored by State Representative Ode Maddox and established the community college system in Arkansas. In April of that year, the voters of Polk County approved a 5-mill tax to establish the Polk County community college district. Rich Mountain Community College opened July 1, 1983 with an enrollment of 290 students. The college immediately applied for candidacy for accreditation with the North Central Association. After the required number of compliance years, Rich Mountain Community College received full accreditation in 1990.

By 1993, ten years into its successful history, RMCC's enrollment had increased to 766 students and the faculty had grown from its original 11 full-time faculty to 16 full-time faculty. Programs and offerings changed to include computer programming, and course offerings in the math and science fields increased substantially. Federal programs to ensure student success were added through grant applications.

In fall 2001, RMCC reached the 1,000 student mark. In 2003 the North Central Association designated Rich Mountain as a "model rural community college," at the completion of their site visit. Progress continued with the addition of an aviation maintenance program in partnership with the City of Mena and a grant through the Department of Labor. An entire

Rich Mountain Community College
Dr. Phillip Wilson, President

building was added to accommodate advances in Machine Tool technologies. Grant funds allowed for renovations to the RMCC historic National Guard Armory. A campus walking trail and outdoor amphitheater were also completed during this time.

By 2008, the RMCC strategic plan included significant needed renovations to several college buildings. In 2009, the timeline for progress drastically changed when a tornado destroyed parts of the campus, causing over three million dollars in damage. Although every building was impacted, classes and services were not interrupted more than a few days. Classes relocated to various building around Mena, and summer sessions were held on the Acorn Public School campus. Following the reconstruction of the RMCC campus, Student Services were moved into the Tower Room, creating a one-stop admissions center upon completion of needed renovations.

Since its inception, through 2012, RMCC has graduated 2,235 students in various fields of endeavor. At this time, under the direction of President Dr. Phillip Wilson, plans are to build on the past successes. Programs now include cosmetology, registered nursing, and online delivery of entire degrees. Delivery methods now include traditional site classes, compressed interactive video, and online courses. RMCC has grown from one original building to a multiple site learning institution with locations in all three counties of its service area. Multiple progressive renovations to campus buildings have taken place over the years: the Tower Room houses admissions, financial aid, and the registrar, among others for a one-stop-shop:

- A new Learning Commons was created within the library.
- An expanded Student Union includes food service.

New buildings added to the College's footprint:

- Culinary Arts Facility
- A multi-purpose facility, the Ouachita Center, provides space for cultural offerings on campus, such as performances by the Actors Guild.

Rich Mountain Community College Dr. Phillip Wilson, President

Over its thirty-year history, RMCC has been at the forefront of progress for the Polk, Scott, and Montgomery county service area. Its mission to provide exemplary educational opportunities for the residents of the Ouachita Mountain region continues to be the guide for programming, service, and involvement in the community.

MISSION, VISION, AND VALUES

Mission

Rich Mountain Community College exists to provide all residents of the Ouachita Mountain Region with exemplary educational and enrichment opportunities to improve the quality of life and standard of living.

Vision

Rich Mountain Community College is a gateway of opportunity for all residents who seek its services, and demonstrates respect for the worth and dignity of each person.

Values

Rich Mountain Community College, as a vibrant contributor to the quality of life of the Ouachita Mountain Region, seeks to provide an excellent learning environment based upon the following core values: All who engage Rich Mountain Community College resources are treated with respect and challenged to maximize their potential.

All students are encouraged to grow as individuals and citizens while expected to accept responsibility for learning.

A commitment to providing an atmosphere of respect and cooperation where diversity, ideas, inquiry, and the continued pursuit of self-development is emphasized.

Rich Mountain Community College
Dr. Phillip Wilson, President

Dedication to responsible stewardship and continued sustainability of human, fiscal, and natural resources.

Maintaining and expanding the impact the college has on the communities it serves through data driven responsible decision making.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	3,412,013		3,420,616		3,601,187		3,422,090		3,422,090		3,493,954		3,493,954	
2 CASH	3,040,162		10,195,000		10,195,000		10,195,000		10,195,000		10,195,000		10,195,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$6,452,175	117	\$13,615,616	118	\$13,796,187	133	\$13,617,090	133	\$13,617,090	133	\$13,688,954	133	\$13,688,954	133
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,206,869	50%	3,206,869	24%			3,208,343	24%	3,208,343	24%	3,280,207	24%	3,280,207	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND	205,144	3%	213,747	2%			213,747	2%	213,747	2%	213,747	2%	213,747	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	2,155,147	33%	6,974,985	51%			6,970,000	51%	6,970,000	51%	6,970,000	51%	6,970,000	51%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	885,015	14%	3,220,015	24%			3,225,000	24%	3,225,000	24%	3,225,000	24%	3,225,000	24%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$6,452,175	100%	\$13,615,616	100%			\$13,617,090	100%	\$13,617,090	100%	\$13,688,954	100%	\$13,688,954	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,351,656
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$220,000
INVENTORIES	\$170,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$925,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$900,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$16,656

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,395,000	2,400,000	2,455,000	2,400,000	2,425,000		
2 EXTRA HELP WAGES			29,187				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	520,000	520,000	565,000	520,000	530,000		
5 OPERATING EXPENSES	496,655	500,616	552,000	502,090	538,954		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	358						
11							
12							
13 TOTAL APPROPRIATION	\$3,412,013	\$3,420,616	\$3,601,187	\$3,422,090	\$3,493,954	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,206,869	3,206,869		3,208,343	3,280,207		
16 EDUCATIONAL EXCELLENCE TRUST FUND	205,144	213,747		213,747	213,747		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$3,412,013	\$3,420,616		\$3,422,090	\$3,493,954	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2190000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION A26

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,116,024	3,250,000	3,250,000	3,250,000	3,250,000		
2 EXTRA HELP WAGES	283,860	375,000	375,000	375,000	375,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	351,210	1,500,000	1,500,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	1,069,917	1,750,000	1,750,000	1,750,000	1,750,000		
6 CONFERENCE FEES & TRAVEL	58,960	375,000	375,000	375,000	375,000		
7 PROFESSIONAL FEES AND SERVICES	0	15,000	15,000	15,000	15,000		
8 CAPITAL OUTLAY	160,191	2,250,000	2,250,000	2,250,000	2,250,000		
9 CAPITAL IMPROVEMENTS	0	300,000	300,000	300,000	300,000		
10 DEBT SERVICE	0	380,000	380,000	380,000	380,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$3,040,162	\$10,195,000	\$10,195,000	\$10,195,000	\$10,195,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,132,121	2,194,930		2,200,000	2,200,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	4,363	4,750		4,750	4,750		
22 FEDERAL CASH FUNDS	885,015	3,220,015		3,225,000	3,225,000		
23 OTHER CASH FUNDS	18,663	4,775,305		4,765,250	4,765,250		
24 TOTAL INCOME	\$3,040,162	\$10,195,000		\$10,195,000	\$10,195,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	117	118	133	133	133	
TOBACCO POSITIONS						
EXTRA HELP **	50	50	80	80	80	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	410,507	464,917		(54,410)	400,000	394,890		5,110
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$410,507	\$464,917	\$0	(\$54,410)	\$400,000	\$394,890	\$0	\$5,110
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$410,507	\$464,917	\$0	(\$54,410)	\$400,000	\$394,890	\$0	\$5,110

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>94</u>			
(As of November 1, 2015)								
Nonclassified Administrative Employees:						Total	Male:	<u>7</u>
White Male:	<u>7</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>11</u>
White Female:	<u>11</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Nonclassified Health Care Employees:						Total	Male:	<u>0</u>
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Classified Employees:						Total	Male:	<u>4</u>
White Male:	<u>4</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>12</u>
White Female:	<u>12</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Faculty:						Total	Male:	<u>21</u>
White Male:	<u>20</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total	Female:	<u>39</u>
White Female:	<u>38</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>			
Total White Male:	<u>31</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>	Total	Male:	<u>32</u>
Total White Female:	<u>61</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total	Female:	<u>62</u>
Total White:	<u>92</u>	Total Black:	<u>1</u>	Total Other:	<u>1</u>	Total	Employees:	<u>94</u>
				Total Minority:	<u>2</u>			

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

RICH MOUNTAIN COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$110,250
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF RICH MOUNTAIN COMMUNITY COLLEGE
June 30, 2015**

<i>Finding No. 1:</i>	The College had uninsured and uncollateralized deposits of \$464,564 at June 30, 2015 in noncompliance with Ark. Code Ann. §§ 19-8-201 – 19-8-203.
<i>Institution's Response</i>	We concur with the audit finding. The bank in question had signed a contract ensuring all respective funds would be collateralized. Once we learned the contract had not been carried out properly, we contacted the bank immediately.

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SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

ENABLING LAWS

Act 171 of 1975, Act 1244 of 1991 and Act 205 of 2016

INSTITUTION HISTORY AND ORGANIZATION

Amendment Number 52 to the Arkansas Constitution provide the constitutional authority for the establishment of state supported community colleges in Arkansas. Arkansas Code title 6 Education, Chapter 61 Post Secondary Institutions Generally, Subchapters 5 and 6 provide the statutory authority for the establishment and operation of the college. The original Oil Belt Vocation – Technical School located on East Main opened its doors in 1967. Act 1244 of 1991 authorized the name change to Oil Belt Technical College in 1991 and authorized an expanded mission.

Act 171 of the regular session of 1975 authorized Southern State College to establish a branch campus in El Dorado beginning July 1, 1975, to be known as the Southern State College, El Dorado Branch. In 1976 the name was changed to Southern Arkansas University, El Dorado Branch. The Branch was governed by the Board of Trustees of the Southern Arkansas University.

Under the Arkansas Code provisions noted above, a Steering Committee was formed to gather signatures calling for a special election to merge Oil Belt Technical College, a state-supported technical college governed by the State Board of Higher Education and Southern Arkansas University – El Dorado Branch, a two-year state-supported branch governed by the Southern Arkansas University Board of Trustees. Both boards endorsed holding the election and on March 31, 1992, the majority of electors voting in the special election in Union County established the Union County Community College District authorizing a one-half mill levy on the real and personal property of Union County to support the College. The Governor appointed the first Board of Trustees and named the institution the South Arkansas Community College. The Board was officially sworn in on April 14, 1992. The College had a transition period until July 1, 1992, when the predecessor colleges were officially abolished. The new College began operation under its own budgetary authority and Board of Trustees. The College operates its East Campus (formerly Oil Belt Technical College) and its West Campus (formerly Southern Arkansas University—El Dorado Branch) in El Dorado. The College has offered classes in Warren since 2003. Located at 300 South West Avenue, the campus still includes the Junior College Building built in 1905 with

SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

the site continually used for public education since 1858. Currently Act 203 of the Fiscal Session of 2016 authorizes an appropriation for Personal Services and Operating Expenses.

Board of Trustees

South Arkansas Community College is governed by a nine-member Board of Trustees. Under the option selected by the Board of Trustees as provided by Act 1349 of 1995, the positions on the Board shall become vacant as current terms expire and persons who are residents and qualified electors of the community college district shall be appointed by the Governor for a term of six (6) years.

Mission Statement

South Arkansas Community College promotes excellence in learning, teaching, and service; provides lifelong educational opportunities; and serves as a cultural, intellectual and economic resource for the community.

Purposes

The primary activities of South Arkansas Community College are outlined in the College's purposes as follows: to provide an environment that fosters excellence in learning and teaching; to provide a university transfer program of high academic quality for students who plan to continue their education; to offer degree and certificate programs to develop or enhance occupational skills that prepare learners for a changing global economy; to provide developmental courses to prepare students to do college level work; to provide adult education and workforce development; to provide comprehensive student services to enhance students' success; to promote the civic and cultural life and the economic development of the community; and to make education accessible through innovative instructional methods. A major milestone for the South Arkansas Community College is being the lead institution in the South West Arkansas Community College Consortium (SWACCC) comprised of seven two-year colleges committed to the economic development of the 28 counties in the southwest region of Arkansas. SWACCC is the recipient of a grant for \$8,419,390 awarded in 2013 from the United States Department of Labor, Employment and Trade Adjustment Assistance Community College and Career Training (TAACCCT) program. With donations from the SouthArk Foundation, the College also recently completed a green space which provides a natural and cultural resource for our campus and community.

SOUTH ARKANSAS COMMUNITY COLLEGE
Dr. Barbara R. Jones, President

Non-Formula Request: The South Arkansas Arboretum is approximately twelve acres of property owned by the El Dorado School District and leased to the State of Arkansas Department of Parks and Tourism. Act 1039 of 1990 added the classification of arboretum to the Arkansas State Park System as the fiftieth state park. Located in El Dorado (Union County), it is Arkansas' only state park located within a city. An operating agreement between the State of Arkansas Department of Parks and Tourism and South Arkansas Community College (SouthArk) dated May 20, 1994, state that the College will manage and operate the park.

Features: The Arboretum offers education and recreational activities for visitors and features plants indigenous to Arkansas' West Gulf Coastal Plain region, plus flowering azaleas and camellias. Signs identify many of the trees, including shortleaf and loblolly pines, southern and sweet bay magnolias, black gum white ash, American sycamore, Carolina beech, American holly, black cherry, sugar maple, and oak species such as water, post, southern red, white and over cup oaks. The site's facilities include more than two miles of walking trails. Limited numbers of wildflowers bloom spring through fall. Birds seen year-round include northern cardinals, blue jays, Carolina wrens and chickadees, tufted titmice, northern mockingbirds, and American robins. Summer tanagers and Acadian and great-crested flycatchers have also been reported. Building improvements include restrooms, a pavilion, bridges and a gazebo. Projects have included perimeter fencing, a service road into the property, trails, the construction of wooden bridges and two small dams to create ponds. Though the rolling terrain of the property was intended for preserving native vegetation, flowering azaleas and camellias were imported to a portion of the acreage to make the arboretum attractive to visitors. By the early 1990s the Arboretum had fallen on hard times. Community leaders including Jodie Mahony, former State legislator, sought to preserve the park and obtained some general improvement funds and other monies for the facility. It was at this point that the lease/operating agreement were enacted to help preserve the park.

Current Funding: Currently, SouthArk provides funding for the Arboretum through the College's operational budget. While designated a state park, the State of Arkansas Department of Parks and Tourism does not provide any funding for the park. The Arkansas College and university funding formula provides not funds for the operation of the Arboretum. The College ensures the park is open seven (7) days a week during daylight hours. The College provides a full time groundskeeper and custodian for the restrooms. Extra help and maintenance services are provided as needed for routine maintenance aof the grounds and facilities. Private contributions from local fund raising functions and volunteer

SOUTH ARKANSAS COMMUNITY COLLEGE
Dr. Barbara R. Jones, President

labor have provided a portion of the garden and plant activity maintenance. The South Arkansas Community College Foundation has a fund specifically for donations to the Arboretum. The South Arkansas Arboretum Committee (appointed by the SouthArk Board of Trustees) and the Friends of the South Arkansas Arboretum Organization solicit donations to help fund seasonal plantings and projects in the park.

Goals of the South Arkansas Arboretum:

- To provide an educational site by serving as a living laboratory for elementary, secondary and college age groups, as well as the general public
- To provide a recreational site for walkers and joggers where nature's beauty may be enjoyed
- To provide a preservation site for the unique West Gulf Coastal Plain flora and fauna
- To provide the community with a natural location for scheduled events

Usage:

Each year thousands visit the park. The park is used by various school groups for Easter egg hunts, birthday parties, fall festival, weddings, club meetings and photographers for beautiful natural backgrounds, walkers, seasonal events, gardeners, and many others from South Arkansas.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,029,201		7,049,513		7,470,033		7,128,333		7,128,333		7,276,453		7,276,453	
2 CASH	8,073,877		35,303,015		35,303,015		35,303,015		35,303,015		35,303,015		35,303,015	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$15,103,078	218	\$42,352,528	225	\$42,773,048	330	\$42,431,348	327	\$42,431,348	327	\$42,579,468	327	\$42,579,468	327
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,034,307	40%	6,034,307	14%			6,113,127	14%	6,113,127	14%	6,261,247	15%	6,261,247	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	531,526	4%	553,817	1%			553,817	1%	553,817	1%	553,817	1%	553,817	1%
15 WORKFORCE 2000	461,389	3%	461,389	1%			461,389	1%	461,389	1%	461,389	1%	461,389	1%
16 CASH FUNDS	6,526,195	43%	25,803,015	61%			25,803,015	61%	25,803,015	61%	25,803,015	61%	25,803,015	61%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,547,682	10%	9,500,000	22%			9,500,000	22%	9,500,000	22%	9,500,000	22%	9,500,000	22%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	1,979	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,103,078	100%	\$42,352,528	100%			\$42,431,348	100%	\$42,431,348	100%	\$42,579,468	100%	\$42,579,468	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,487,833
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$300,000
INVENTORIES	\$50,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$400,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,400,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$326,833

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2017-2019 BIENNIUM (Non-Formula Entities)**

SOUTH ARKANSAS COMMUNITY COLLEGE - ARBORETUM

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2015-2016 ACTUAL	2016-2017 BUDGETED *	2017-19 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2017-2018		2018-2019	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	22,503	22,662	22,662	22,662	22,662	22,662
2 PERSONAL SERVICES MATCHING	11,153	11,200	11,200	11,200	11,200	11,200
3 EXTRA HELP WAGES		5,138	5,138	5,138	5,138	5,138
4 OPERATING EXPENSES	31,973	36,000	36,000	36,000	36,000	36,000
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$65,629	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	65,629	75,000	75,000	75,000	75,000	75,000
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$65,629	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ 793

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,488,780	4,490,000	4,725,000	4,490,000	4,590,000		
2 EXTRA HELP WAGES	115,000	120,000	130,000	120,000	130,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,410,000	1,415,000	1,500,000	1,415,000	1,450,000		
5 OPERATING EXPENSES	1,004,643	1,004,513	1,095,033	1,083,333	1,086,453		
6 CONFERENCE FEES & TRAVEL	10,000	10,000	10,000	10,000	10,000		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	10,000	10,000	10,000	10,000		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	778						
11							
12							
13 TOTAL APPROPRIATION	\$7,029,201	\$7,049,513	\$7,470,033	\$7,128,333	\$7,276,453	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	6,034,307	6,034,307		6,113,127	6,261,247		
16 EDUCATIONAL EXCELLENCE TRUST FUND	531,526	553,817		553,817	553,817		
17 SPECIAL REVENUES * [WF2000]	461,389	461,389		461,389	461,389		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	1,979						
21 TOTAL INCOME	\$7,029,201	\$7,049,513		\$7,128,333	\$7,276,453	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds is the reimbursement amount from the Tuition Adjustment Funds for eligible Out of State Waivers.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,310,926	8,895,663	8,895,663	8,895,663	8,895,663		
2 EXTRA HELP WAGES	220,656	1,044,591	1,044,591	1,044,591	1,044,591		
3 OVERTIME	24,937	81,225	81,225	81,225	81,225		
4 PERSONAL SERVICES MATCHING	991,795	3,744,429	3,744,429	3,744,429	3,744,429		
5 OPERATING EXPENSES	3,118,029	8,531,408	8,531,408	8,531,408	8,531,408		
6 CONFERENCE FEES & TRAVEL	111,313	781,498	781,498	781,498	781,498		
7 PROFESSIONAL FEES AND SERVICES	0	712,590	712,590	712,590	712,590		
8 CAPITAL OUTLAY	47,122	653,535	653,535	653,535	653,535		
9 CAPITAL IMPROVEMENTS	64,677	7,000,000	7,000,000	7,000,000	7,000,000		
10 DEBT SERVICE	166,507	833,076	833,076	833,076	833,076		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	5,640	3,000,000	3,000,000	3,000,000	3,000,000		
12 PROMOTIONAL ITEMS	12,274	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$8,073,877	\$35,303,015	\$35,303,015	\$35,303,015	\$35,303,015	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,693,374	4,926,969		4,951,604	4,976,362		
19 ALL OTHER FEES	482,336	638,992		642,187	645,398		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	114,039	2,181,383		2,290,452	2,301,904		
21 INVESTMENT INCOME	5,932	7,500		7,500	8,000		
22 FEDERAL CASH FUNDS	1,547,682	9,500,000		9,500,000	9,500,000		
23 OTHER CASH FUNDS	2,230,514	18,048,171		17,911,272	17,871,351		
24 TOTAL INCOME	\$8,073,877	\$35,303,015		\$35,303,015	\$35,303,015	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	218	225	330	327	327	
TOBACCO POSITIONS						
EXTRA HELP **	75	75	175	175	175	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	67,847	70,651	0	(2,804)	70,000	90,000	0	(20,000)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	1,114,070	1,011,147	0	102,923	1,237,000	1,146,774	0	90,226
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	366,022	676,382	0	(310,360)	441,633	673,158	0	(231,525)
8 SUBTOTAL	\$1,547,939	\$1,758,180	\$0	(\$210,241)	\$1,748,633	\$1,909,932	\$0	(\$161,299)
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	286,000			286,000	263,988			263,988
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,833,939	\$1,758,180	\$0	\$75,759	\$2,012,621	\$1,909,932	\$0	\$102,689

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Conference Center, Rental Income, Student Services, Edie's Village

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>218</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>17</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>
White Female:	<u>30</u>	Black Female:	<u>7</u>	Other Female:	<u>2</u>
Total		Total		Total	<u>19</u>
				Total	<u>Female: 39</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>Female: 0</u>
Classified Employees:					
White Male:	<u>13</u>	Black Male:	<u>7</u>	Other Male:	<u>0</u>
White Female:	<u>20</u>	Black Female:	<u>10</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>20</u>
				Total	<u>Female: 30</u>
Faculty:					
White Male:	<u>37</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>
White Female:	<u>60</u>	Black Female:	<u>10</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>40</u>
				Total	<u>Female: 70</u>
Total White Male:	<u>67</u>	Total Black Male:	<u>11</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>110</u>	Total Black Female:	<u>27</u>	Total Other Female:	<u>2</u>
Total		Total		Total	<u>79</u>
				Total	<u>Female: 139</u>
Total White:	<u>177</u>	Total Black:	<u>38</u>	Total Other:	<u>3</u>
				Total Minority:	<u>41</u>
				Total	<u>Employees: 218</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

SOUTH ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial statements are the responsibility of the College's management and should be presented in conformity with accounting principles generally accepted in the United States of America. The College has policies and procedures to properly record and classify transactions in the financial statements; however, certain misclassifications were detected. These misclassifications had no effect on the College's reported net position or cash balance at June 30, 2015, and the financial statements were subsequently corrected by College personnel during audit fieldwork. Misclassifications in the Statement of Cash Flows consisted of the following:</p> <ol style="list-style-type: none"> 1. Bond issuance costs and discounts paid directly from bond proceeds and bond proceeds/premiums/accrued interest deposited directly with trustee were understated by \$91,979 and \$3,242,744, respectively, at the noncash transactions portion of the Statement of Cash Flows. Additionally, proceeds of new debt were overstated by \$3,330,000 in the Capital and Related Financing Activities section of the Statement of Cash Flows. 2. At the Non-Capital Financing Activities section of the Statement of Cash Flows, gifts were understated by \$467,495 and payments to suppliers in the Operating Activities section were understated by the same amount.
<p><i>Institution's Response</i></p>	<p>The misclassification in the recording of the payoff of the 2009 general obligation bonds and the receipt of the proceeds of the 2014 general obligation bonds was recorded in the Cash Flow Statement as if the funds had flowed through the operating accounts of the College instead of the cash account established by the trustee of the 2014 bond issue. Corrections were made to the Statement of Cash Flows for the year ending June 30, 2015. The Controller's office will perform a more detailed review of the financial statements to ensure the accuracy of the information presented.</p> <p>There was a misclassification of funds received as a gift being recorded as an adjustment to the payments to suppliers. Corrections were made to the Statement of Cash Flows for the year ending June 30, 2015. The Controller's office will perform a more detailed review of the financial statements to ensure the accuracy of the information presented.</p>

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SOUTHERN ARKANSAS UNIVERSITY TECH

Trey Berry, SAU System President

INSTITUTION HISTORY AND ORGANIZATION

Southern Arkansas University Tech (ACT 249 of 2012-2013) is a public, not-for-profit, two-year college that specializes in technical training and offers the first two years of a university transfer program. The college is located approximately 100 miles south of Little Rock in the south central section of the State. Primarily, SAU Tech serves the counties of Ouachita, Columbia, Dallas, and Calhoun. According to the U.S. Census Bureau, Census 2010, population diversity in this service area is composed of 59.3% White, 37.6% Black or African American, 3.1% Other ethnic origins

The General Assembly of Arkansas created Southwest Technical Institute (SWTI) in 1967, with the purpose of providing a technically trained workforce for the growing Highland Industrial Park in which the institution was located. The Brown Foundation of Houston, Texas, which purchased the Shumaker Naval Ammunition Depot for use as an Industrial Park, donated 70 acres of land and 6 buildings, and this formed the initial physical facilities of the school. The State Board of Education operated SWTI until 1975 when, by an Act of the Arkansas legislature, Southwest Technical Institute became Southern Arkansas University Tech (SAU Tech), under the governance of the Board of Trustees of Southern Arkansas University. With this change, the college came under the jurisdiction of the Arkansas Department of Higher Education with the authority to grant up to and including the associate of arts, associate of science, and associate of applied science degrees.

In addition to offering traditional classroom courses, SAU Tech was one of the first colleges in Arkansas to receive approval through The Higher Learning Commission to offer its degrees online, and the status of its online offerings continues to thrive. SAU Tech is a diverse institution with several non-traditional programs under its umbrella. The college enjoys a sizeable enrollment in its high school concurrent credit program, which services eight counties in south Arkansas. The college also operates the Career Academy, which provides secondary vocational education programs for ten high schools in five surrounding counties. In addition, the college's Adult Education of Calhoun, Columbia and Ouachita Counties program provides basic skills training to adults with less than a high school education. Additionally, SAU Tech operates the Environmental Training Academy with a mission of providing statewide training to managers and operating personnel in the operation of city and industrial water, wastewater, and solid waste facilities as well as backflow prevention certification. Also under SAU Tech's umbrella is the Arkansas Fire Training Academy. Through operations at

SOUTHERN ARKANSAS UNIVERSITY TECH
Trey Berry, SAU System President

the main site in Camden and through satellite operations in northeast, northwest, and central Arkansas, the Arkansas Fire Training Academy provides quality training and certification on a statewide basis to Arkansas fire services for fire and related emergency service programs. It also provides limited firefighter training on an international basis.

SAU Tech is unique in that it is the only college or university in the state that is located in an industrial park. Its location provides broad exposure to the industries located in the park and makes it well situated to address the educational needs of its industrial neighbors. SAU Tech understands its role in economic development and workforce training in south Arkansas through training opportunities for area business and industry as well as collaboration with other public and private entities in the area to develop strategies for economic growth.

The college's core values define the qualities and principles the institution regards as priorities and by which it will conduct its operations. Through The Higher Learning Commission's AQIP process, SAU Tech transformed its strategic plan, which included the development of a challenging vision statement that positions the institution for "*Leading Arkansas in Economic and Educational Transformations.*" SAU Tech recognizes its function as a two-year college in south Arkansas is multifaceted. The college's mission provides for quality educational programs delivered through various technologies and methodologies to meet the needs of its constituencies. SAU Tech believes it meets this mission through diverse programs, which afford educational opportunities to high school students, traditional as well as non-traditional students, adults requiring a high school equivalent, employees in the surrounding workforce, and statewide certification/licensure training. The college's strategic goals support its mission and focus on student success, access to higher education, quality programs, accountability and institutional effectiveness, resource development, and partnerships, collaborative efforts, and workforce and economic development.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,920,701		5,923,834		7,375,534		6,496,407		6,496,407		6,632,832		6,632,832	
2 CASH	6,331,247		19,625,000		19,625,000		19,625,000		19,625,000		19,625,000		19,625,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,251,948	194	\$25,548,834	193	\$27,000,534	236	\$26,121,407	236	\$26,121,407	236	\$26,257,832	236	\$26,257,832	236
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,705,511	47%	5,705,511	22%			6,278,084	24%	6,278,084	24%	6,414,509	24%	6,414,509	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND	209,536	2%	218,323	1%			218,323	1%	218,323	1%	218,323	1%	218,323	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	5,548,798	45%	14,727,217	58%			12,625,000	48%	12,625,000	48%	12,625,000	48%	12,625,000	48%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	782,449	6%	4,897,783	19%			7,000,000	27%	7,000,000	27%	7,000,000	27%	7,000,000	27%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	5,654	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,251,948	100%	\$25,548,834	100%			\$26,121,407	100%	\$26,121,407	100%	\$26,257,832	100%	\$26,257,832	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,148,708
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$514,246
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$84,462

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNium**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	3,868,091	3,900,000	4,602,134	4,053,582	4,138,708		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,000,000	1,000,000	1,272,201	1,120,561	1,144,093		
5 OPERATING EXPENSES	957,562	923,834	1,272,201	1,120,561	1,144,093		
6 CONFERENCE FEES & TRAVEL	40,000	50,000	69,972	61,632	62,926		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	54,394	50,000	159,026	140,071	143,012		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	654						
11							
12							
13 TOTAL APPROPRIATION	\$5,920,701	\$5,923,834	\$7,375,534	\$6,496,407	\$6,632,832	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,705,511	5,705,511		6,278,084	6,414,509		
16 EDUCATIONAL EXCELLENCE TRUST FUND	209,536	218,323		218,323	218,323		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	5,654						
21 TOTAL INCOME	\$5,920,701	\$5,923,834		\$6,496,407	\$6,632,832	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - Tuition Adjustment; Appropriation 294 was over reimbursed by \$5,497 due to an error in posting a deferment block and unblock by DF&A. Both Appropriations 295 and 296, collectively, were underreimbursed by the equivalent. Tech did not detect the error until after June 30; however CSS0000 was completely zeroed out at year end. The error was corrected internally through a due to/from journal entry.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	2,102,478	3,800,000	3,800,000	3,800,000	3,800,000		
2 EXTRA HELP WAGES	61,530	200,000	200,000	200,000	200,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	827,874	1,300,000	1,300,000	1,300,000	1,300,000		
5 OPERATING EXPENSES	2,570,518	4,200,000	4,200,000	4,200,000	4,200,000		
6 CONFERENCE FEES & TRAVEL	84,195	150,000	150,000	150,000	150,000		
7 PROFESSIONAL FEES AND SERVICES	31,500	400,000	400,000	400,000	400,000		
8 CAPITAL OUTLAY	9,419	500,000	500,000	500,000	500,000		
9 CAPITAL IMPROVEMENTS	0	6,000,000	6,000,000	6,000,000	6,000,000		
10 DEBT SERVICE	343,413	1,075,000	1,075,000	1,075,000	1,075,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	300,320	2,000,000	2,000,000	2,000,000	2,000,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$6,331,247	\$19,625,000	\$19,625,000	\$19,625,000	\$19,625,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,217,359	4,095,500		4,095,500	4,095,500		
19 ALL OTHER FEES	471,347	525,517		525,517	525,517		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	111,528	104,200		104,200	104,200		
21 INVESTMENT INCOME	4,229	2,000		2,000	2,000		
22 FEDERAL CASH FUNDS	782,449	4,897,783		7,000,000	7,000,000		
23 OTHER CASH FUNDS	1,744,335	10,000,000		7,897,783	7,897,783		
24 TOTAL INCOME	\$6,331,247	\$19,625,000		\$19,625,000	\$19,625,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	194	193	236	236	236	
TOBACCO POSITIONS						
EXTRA HELP **	175	175	175	175	175	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	204,172	197,770	0	6,402	210,293	210,293	0	0
3 FOOD SERVICES	0		0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	0	0	0	0	0	0	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$204,172	\$197,770	\$0	\$6,402	\$210,293	\$210,293	\$0	\$0
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$204,172	\$197,770	\$0	\$6,402	\$210,293	\$210,293	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						137
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	7	Black Male:	4	Other Male:	0	Total Male: 11
White Female:	11	Black Female:	6	Other Female:	0	Total Female: 17
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	16	Black Male:	5	Other Male:	0	Total Male: 21
White Female:	17	Black Female:	12	Other Female:	1	Total Female: 30
Faculty:						
White Male:	27	Black Male:	0	Other Male:	2	Total Male: 29
White Female:	27	Black Female:	2	Other Female:	0	Total Female: 29
Total White Male:	50	Total Black Male:	9	Total Other Male:	2	Total Male: 61
Total White Female:	55	Total Black Female:	20	Total Other Female:	1	Total Female: 76
Total White:	105	Total Black:	29	Total Other:	3	Total Employees: 137
				Total Minority:	32	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$269,630
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH
June 30, 2015**

<i>Finding:</i>	No Findings noted
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ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Trey Berry, SAU System President

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Environmental Training Academy is a division of Southern Arkansas University Tech in Camden, Arkansas. The Academy is the designated Environmental Training Center for the State of Arkansas. Created in the early 1970's, the Academy is mandated by the State Legislature to provide environmental education and training programs for municipal and industrial operator licensing Programs administered by the Arkansas Department of Health and the Arkansas Department of Environmental Quality. The Academy staff is comprised of (1) Director, (1) Assistant Director, (5) Program Coordinator/Instructors, (20) Part-time (Adjunct) Instructors and (2) Administrative Staff.

The Academy is made up of five distinct divisions:

- Wastewater
- Water
- Solid Waste Management
- Backflow Prevention
- Environmental Health & Safety

Institutional Goals, Objectives and Strategies:

The Goal of the Arkansas Environmental Training Academy is to provide quality training and certification services that lead to the protection and sustainability of the state's natural resources and the public health and welfare of the citizens of the State of Arkansas. This goal is accomplished by providing training that leads to state licensure for our students. Academy services are provided on-campus, off-campus and by Internet delivery to municipal, private, and industrial personnel statewide.

The Objective of the Academy is to provide quality training programs that enable municipal, private, and industrial personnel to achieve and/or maintain Arkansas Department of Environmental Quality (ADEQ) and/or Arkansas

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Trey Berry, SAU System President

Department of Health (ADH) licensure and/or certification.

The strategies involved to accomplishing these objectives are to:

- Continually monitoring, reviewing, and revising training programs to ensure they meet the latest state and federal regulations, reference sources, and guidelines.
- Evaluate student learning by giving pre-exams, post-exams and written classroom quizzes in all courses and monitor the pass/fail rates for each certification exam given.
- Provide professional development opportunities for full-time and part-time staff
- Conduct training programs at locations throughout the State.
- Deliver identified programs via alternative learning methodologies, such as internet delivery.
- Continue to identify industry groups (Water, Wastewater, Solid Waste, Environmental Health and Safety, Backflow Prevention, etc.) for the purpose of developing partnerships and to identify training needs to better serve the State of Arkansas
- In February 2016 the AETA partnered with the Texas A&M Engineering Extension Service (TEEX) to become an OSHA Host Training Organization for the state of Arkansas. The AETA will provide OSHA safety training statewide.
- The Arkansas Environmental Training Academy through Southern Arkansas University Tech continues to offer Portfolio credit to non-credit students to convert Non-Credit Environmental Courses into College Credit.

Training Statistics: Student enrollment has increased 85.4% in the last 10-years (FY 06-15).

- AETA FY 2015 Statewide Training Statistics:

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
Trey Berry, SAU System President

- Number of Classes: **331**
- Number of Students: **3,533**
- Number of Training Hours: **5,636.5**
- Training Locations: **38**
- Communities Served: **442**

The Short Term:

The Academy has achieved growth in our programs while our budget has remained flat or decreased. With the lack of sufficient funding, the Academy has sought state and federal training grants to balance our budget, those grants have will expire on June 30, 2016.

The Long Term:

Due to the success and growth of our programs and the increasing demand for training state wide, the Academy is requesting an increase in funding of \$100,000 annually to hire an additional Instructor, fill our vacant Administrative Specialists I positions and provide funds to support training statewide.

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**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	402,671		405,635		625,608		512,876		512,876		520,775		520,775	
2 CASH	335,388		1,573,000		1,573,000		1,573,000		1,573,000		1,573,000		1,573,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$738,059	20	\$1,978,635	20	\$2,198,608	26	\$2,085,876	26	\$2,085,876	26	\$2,093,775	26	\$2,093,775	26
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	368,404	50%	368,404	19%			476,393	23%	476,393	23%	484,292	23%	484,292	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	35,015	5%	36,483	2%			36,483	2%	36,483	2%	36,483	2%	36,483	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	335,388	45%	973,000	49%			973,000	47%	973,000	47%	973,000	46%	973,000	46%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	600,000	30%			600,000	29%	600,000	29%	600,000	29%	600,000	29%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	748	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$738,807	100%	\$1,978,635	100%			\$2,085,876	100%	\$2,085,876	100%	\$2,093,775	100%	\$2,093,775	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$748)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$533,322
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$63,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$25,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$225,322

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2017-2019 BIENNIUM (Non-Formula Entities)**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2015-2016 ACTUAL	2016-2017 BUDGETED *	2017-19 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2017-2018		2018-2019	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	424,262	407,415	471,316	471,316	475,927	475,927
2 INSTITUTIONAL SUPPORT	274,828	281,771	325,965	325,965	329,155	329,155
3 OPERATION & MAINT OF PLANT	8,118	8,700	10,065	10,065	10,163	10,163
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$707,208	\$697,886	\$807,346	\$807,346	\$815,245	\$815,245
17 NET LOCAL INCOME	303,789	292,999	294,470	294,470	294,470	294,470
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	368,404	368,404	476,393	476,393	484,292	484,292
20 EDUCATIONAL EXCELLENCE	35,015	36,483	36,483	36,483	36,483	36,483
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$707,208	\$697,886	\$807,346	\$807,346	\$815,245	\$815,245

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CSS0000

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	240,591	300,000	372,367	305,268	309,970		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	60,000	50,000	79,023	64,783	65,781		
5 OPERATING EXPENSES	92,042	42,757	161,340	132,267	134,304		
6 CONFERENCE FEES & TRAVEL	10,000	12,878	12,878	10,558	10,720		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	38						
11							
12							
13 TOTAL APPROPRIATION	\$402,671	\$405,635	\$625,608	\$512,876	\$520,775	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	368,404	368,404		476,393	484,292		
16 EDUCATIONAL EXCELLENCE TRUST FUND	35,015	36,483		36,483	36,483		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS		748					
21 TOTAL INCOME	\$403,419	\$405,635		\$512,876	\$520,775	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$748)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - Appropriation 294 was over reimbursed by \$5,497 due to an error in posting a deferment block and unblock by DF&A. Both Appropriations 295 and 296, collectively, were underreimbursed by the equivalent. Tech did not detect the error until after June 30; however CSS0000 was completely zeroed out at year end. The error was corrected internally through a due to/from journal entry.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2170000

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION A67

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	192,042	532,000	532,000	532,000	532,000		
2 EXTRA HELP WAGES		50,000	50,000	50,000	50,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	69,610	150,000	150,000	150,000	150,000		
5 OPERATING EXPENSES	33,581	152,689	152,689	152,689	152,689		
6 CONFERENCE FEES & TRAVEL	16,763	63,311	63,311	63,311	63,311		
7 PROFESSIONAL FEES AND SERVICES	280	50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY	0	175,000	175,000	175,000	175,000		
9 CAPITAL IMPROVEMENTS	23,112	400,000	400,000	400,000	400,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$335,388	\$1,573,000	\$1,573,000	\$1,573,000	\$1,573,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	335,388	400,000		400,000	400,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS		600,000		600,000	600,000		
23 OTHER CASH FUNDS		573,000		573,000	573,000		
24 TOTAL INCOME	\$335,388	\$1,573,000		\$1,573,000	\$1,573,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	20	20	26	26	26	
TOBACCO POSITIONS						
EXTRA HELP **	50	50	50	50	50	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	0	0	0	0	0	0	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>8</u>
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>2</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>2</u>
Faculty:						
White Male:	<u>4</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>4</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Total White Male:	<u>6</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>6</u>
Total White Female:	<u>1</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>2</u>
Total White:	<u>7</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>8</u>
				Total Minority:	<u>1</u>	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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ARKANSAS FIRE TRAINING ACADEMY

Trey Berry, SAU System President

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Fire Training Academy (AFTA) was established in 1967 and is responsible for training about 15,000 firefighters in the State of Arkansas. Fire departments are paid, part-paid and volunteer. The AFTA manages training by offering classes at the main campus in Camden, satellites in Lincoln and Jonesboro, or in their own departments. Classes are offered days, nights and weekends to meet the scheduling needs of the fire departments. The AFTA generated 21,788 certificates and sponsored or taught 2,146 classes from July 1, 2014-June 30, 2015.

The AFTA manages classes with the following staff:

2 administrators
10 instructors
11 classified staff

Arkansas Fire Academy Mission Statement:

The mission of the Arkansas Fire Training Academy is to provide quality training and certification in fire and related emergency service programs to the Arkansas Fire Service in an effective and efficient manner.

I. Institutional Goals:

The Academy is committed to provide quality training programs to fire service personnel throughout the state by various technologies and methodologies to meet the needs of the fire service. The Academy is also committed to certifying fire service personnel throughout the state by meeting requirements of the applicable National Fire Protection Association (NFPA) Professional Qualification for Fire Service Personnel. In addition, the Academy shall support fire departments by maintaining the State's National Fire Incident Reporting System

ARKANSAS FIRE TRAINING ACADEMY

Trey Berry, SAU System President

thereby making participating departments eligible to receive specific federal grants. Within its resources, the Academy will accomplish its missions by offering on-campus training and certification services, off-campus training and certification services by direct deliveries, regional deliveries, any alternative delivery methodologies and through support services.

II. Programs and Program Definitions:

Program 1 Name and Definition: Training – Fire service training programs are established from recognized standards or identified needs.

Goal 1: To provide quality training programs that prepare fire service personnel at the Camden site, at remote Academy sites, at regional facilities or at individual fire departments.

Objective 1: To provide quality training programs to prepare fire service personnel to become certified to/or function at one of the identified NFPA Professional Qualification Standards or portions thereof, one of the levels identified for individual departments to receive their Act 833 monies and/or to other fire service training needs that are identified.

Objective Strategy: Constantly review and revise training programs to ensure they meet the latest standards(s) and reference source.

Objective Strategy: Evaluate student learning by establishing acceptable competencies and measuring student performance.

Objective Strategy: Provide professional development opportunities for full-time and part-time staff.

Objective Strategy: Participate in fire service conferences and workshops to ensure fire service training needs are being addressed.

Goal 2: To provide a quality certification system for all fire service personnel.

ARKANSAS FIRE TRAINING ACADEMY
Trey Berry, SAU System President

Program 2 Name and Definition: Certification: To provide a certification system that address student evaluation, Record keeping, and support services, whereby it can be determined if the student is competent to be certified at one of the NFPA Professional Qualification Standard levels.

Goal 1: To provide a certification system that is fair and equitable to all fire service personnel

Objective 1: All cognitive test items shall be cross-referenced against applicable standards or objectives and reference documents

Objective Strategy: Written test items shall be validated by subject matter experts upon completion or revision and before implementation.

Objective 2: All psychomotor (skill) test items shall have a rubric constructed for evaluation purposes.

Objective Strategy: Psychomotor or skill test items shall be validated by subject matter experts upon completion or revision and before implementation

Objective 3: All certification testing shall be conducted in accordance with established adopted rules and guidelines.

Objective Strategy: All potential Academy proctors shall be provided a copy of the rules and regulations concerning certification testing and shall evaluate students in accordance with the document.

Goal 2: To have certification programs accredited by an independent, non-governmental party to validate the process and program.

Objective 1: Certification programs shall be submitted to applicable accrediting bodies for accreditation.

ARKANSAS FIRE TRAINING ACADEMY

Trey Berry, SAU System President

Objective Strategy: Programs shall be developed to meet the certification and accreditation needs respectively.

Objective Strategy: Upon standard or resource changes, the programs shall be updated in accordance with accrediting body recommendations.

Program 3 Name and Definition: NFIRS: The National Fire Incident Reporting System is required and adopted by the state code and Arkansas Fire Training Academy. The Academy is designated as the agency to receive and process fire incident reports for the State of Arkansas.

Goal 1: Within resources, to maintain the state/national fire incident reporting system and assist departments when applicable.

Objective 1: Receive and process fire department incident reports for participating fire departments in the state.

Objective Strategy: Publish where incident reports are to be sent and assist departments in setting up their system to allow for maximum interface with the Academy.

Objective Strategy: Process reports received in a timely manner.

Objective Strategy: Advise departments on their participation.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	1,735,094		1,748,309		2,397,142		2,464,029		2,464,029		2,873,783		2,873,783	
2 CASH	218,792		2,541,000		2,541,000		2,541,000		2,541,000		2,541,000		2,541,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$1,953,886	48	\$4,289,309	48	\$4,938,142	67	\$5,005,029	67	\$5,005,029	67	\$5,414,783	67	\$5,414,783	67
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	1,651,221	84%	1,651,221	38%			2,371,690	47%	2,371,690	47%	2,781,444	51%	2,781,444	51%
14 EDUCATIONAL EXCELLENCE TRUST FUND	88,622	5%	92,339	2%			92,339	2%	92,339	2%	92,339	2%	92,339	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	218,792	11%	1,541,000	36%			1,541,000	31%	1,541,000	31%	1,541,000	28%	1,541,000	28%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	1,000,000	23%			1,000,000	20%	1,000,000	20%	1,000,000	18%	1,000,000	18%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	4,749	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$1,958,635	100%	\$4,289,309	100%			\$5,005,029	100%	\$5,005,029	100%	\$5,414,783	100%	\$5,414,783	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$4,749)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$279,789
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$20,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$39,789

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2017-2019 BIENNIUM (Non-Formula Entities)**

SAUT - ARKANSAS FIRE TRAINING ACADEMY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2015-2016 ACTUAL	2016-2017 BUDGETED *	2017-19 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2017-2018		2018-2019	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,145,979	1,137,550	1,552,344	1,552,344	1,787,040	1,787,040
2 STUDENT SERVICES	107,227	125,400	171,126	171,126	196,998	196,998
3 INSTITUTIONAL SERVICES	527,232	523,081	713,816	713,816	821,736	821,736
4 OPERATIONS & MAINT OF PLANT	201,279	200,012	272,943	272,943	314,209	314,209
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,981,717	\$1,986,043	\$2,710,229	\$2,710,229	\$3,119,983	\$3,119,983
17 NET LOCAL INCOME	241,874	242,483	246,200	246,200	246,200	246,200
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,651,221	1,651,221	2,371,690	2,371,690	2,781,444	2,781,444
20 EDUCATIONAL EXCELLENCE	88,622	92,339	92,339	92,339	92,339	92,339
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$1,981,717	\$1,986,043	\$2,710,229	\$2,710,229	\$3,119,983	\$3,119,983

FORM BR-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CSS0000

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	942,917	825,000	1,109,211	1,140,166	1,329,769		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	251,162	275,000	311,799	320,490	373,786		
5 OPERATING EXPENSES	518,999	549,536	822,789	845,751	986,395		
6 CONFERENCE FEES & TRAVEL	21,916	48,773	49,410	50,789	59,235		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	50,000	103,933	106,833	124,598		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	100						
11							
12							
13 TOTAL APPROPRIATION	\$1,735,094	\$1,748,309	\$2,397,142	\$2,464,029	\$2,873,783	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,651,221	1,651,221		2,371,690	2,781,444		
16 EDUCATIONAL EXCELLENCE TRUST FUND	88,622	92,339		92,339	92,339		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS		4,749					
21 TOTAL INCOME	\$1,739,843	\$1,748,309		\$2,464,029	\$2,873,783	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	(\$4,749)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM BR-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 Other State Treasury Funds - Appropriation 294 was over reimbursed by \$5,497 due to an error in posting a deferment block and unblock by DF&A. Both Appropriations 295 and 296, collectively, were underreimbursed by the equivalent. Tech did not detect the error until after June 30; however CSS0000 was completely zeroed out at year end. The error was corrected internally through a due to/from journal entry.

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2170000

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION A66

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	98,355	558,525	558,525	558,525	558,525		
2 EXTRA HELP WAGES	0	76,775	76,775	76,775	76,775		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	51,533	165,883	165,883	165,883	165,883		
5 OPERATING EXPENSES	56,090	713,133	713,133	713,133	713,133		
6 CONFERENCE FEES & TRAVEL	2,749	166,559	166,559	166,559	166,559		
7 PROFESSIONAL FEES AND SERVICES	70	277,955	277,955	277,955	277,955		
8 CAPITAL OUTLAY	9,995	382,170	382,170	382,170	382,170		
9 CAPITAL IMPROVEMENTS	0	200,000	200,000	200,000	200,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$218,792	\$2,541,000	\$2,541,000	\$2,541,000	\$2,541,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	201,267	500,000		500,000	500,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	17,525	141,000		141,000	141,000		
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS		1,000,000		1,000,000	1,000,000		
23 OTHER CASH FUNDS		900,000		900,000	900,000		
24 TOTAL INCOME	\$218,792	\$2,541,000		\$2,541,000	\$2,541,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	48	48	67	67	67	
TOBACCO POSITIONS						
EXTRA HELP **	55	55	55	55	55	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SAUT - ARKANSAS FIRE TRAINING ACADEMY
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	0	0	0	0	0	0	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9 ATHLETIC TRANSFER **	0			0	0			0
10 OTHER TRANSFERS ***	0			0	0			0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM BR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>34</u>
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>9</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>10</u>
Faculty:						
White Male:	<u>20</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>20</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Total White Male:	<u>22</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>22</u>
Total White Female:	<u>11</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>12</u>
Total White:	<u>33</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>34</u>
				Total Minority:	<u>1</u>	

FORM BR-6

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SOUTHEAST ARKANSAS COLLEGE
Dr. Stephen Hilterbran, President

ENABLING LAWS

Act 1244 of 1991, Act 88 of 2014

INSTITUTION HISTORY AND ORGANIZATION

MISSION STATEMENT

Southeast Arkansas College provides quality education and workforce development to meet the needs of our service area.

INSTITUTION HISTORY AND ORGANIZATION

Southeast Arkansas College was created by Act 1244 of the 78th General Assembly of the State of Arkansas, which was signed into law by the Governor on April 17, 1991.

As contained in Act 1244, "The purpose of this Act is to serve as legislative charter . . . for the establishment, organization and administration of a system of educational institutions throughout the state offering courses of instruction in technical, vocational and adult education programs, industry training and two-year college transfer programs. The system established under this Act shall provide educational programs which are easily accessible by all segments of the population to benefit from training, retraining or upgrade training for employment and which is highly responsive to individuals needing to achieve basic, general and specialized education to meet the needs of the workplace."

The Act further states that "technical college means an institution of higher education established under this Act dedicated primarily to the educational needs of the service area offering a comprehensive program including, but without limitation, vocational, trade and technical specialty courses and programs, courses in general adult education and courses comparable in content and quality to freshman and sophomore courses which may carry transfer credit to a four-year institution in a chosen course of study."

The above act re-designated and redefined the mission of eleven (11) existing postsecondary vocational-technical

SOUTHEAST ARKANSAS COLLEGE
Dr. Stephen Hilterbran, President

schools located throughout the State to technical colleges. Similarly, state authority for these institutions was transferred from the Arkansas Board of Vocational-Technical Education to the Arkansas Board of Higher Education. The latter serves as the state coordinating agency for all public universities, community colleges and technical colleges in the State of Arkansas.

The predecessor of Southeast Arkansas College was Pines Vocational-Technical School, which began offering postsecondary vocational-technical programs as Arkansas Vocational-Technical School on September 21, 1959. With the enactment of Act 1244, on July 1, 1991, all land, buildings, equipment, and personnel associated with Pines Vocational-Technical School was transferred to Pines Technical College. In October of 1991, the Governor appointed the Charter members of the Pines Technical College Board of Trustees. The College's first president was appointed by the Board of Trustees effective December 1, 1992. In order to better reflect the College's service area, the College changed its name from Pines Technical College to Southeast Arkansas Technical College on July 1, 1996. The word "Technical" was removed from the College's name on July 8, 1998.

General Revenue Request

Southeast Arkansas College is a formula driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

Cash Appropriation Request

Southeast Arkansas College is not requesting an increase in Cash Appropriation.

Personal Service Request

Southeast Arkansas College is not requesting additional positions for the 2017-19 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,611,997		7,611,997		7,977,071		7,611,997		7,611,997		7,771,849		7,771,849	
2 CASH	4,635,749		32,450,000		32,450,000		32,450,000		32,450,000		32,450,000		32,450,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,247,746	358	\$40,061,997	358	\$40,427,071	359	\$40,061,997	359	\$40,061,997	359	\$40,221,849	359	\$40,221,849	359
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,636,798	46%	5,636,798	14%			5,636,798	14%	5,636,798	14%	5,796,650	14%	5,796,650	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,975,199	16%	1,975,199	5%			1,975,199	5%	1,975,199	5%	1,975,199	5%	1,975,199	5%
16 CASH FUNDS	3,918,265	32%	25,450,000	64%			25,450,000	64%	25,450,000	64%	25,450,000	63%	25,450,000	63%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	717,484	6%	7,000,000	17%			7,000,000	17%	7,000,000	17%	7,000,000	17%	7,000,000	17%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,247,746	100%	\$40,061,997	100%			\$40,061,997	100%	\$40,061,997	100%	\$40,221,849	100%	\$40,221,849	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$808,801
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$278,655
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,220,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$699,854)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	5,544,180	5,529,400	5,600,000	5,600,000	5,600,000		
2 EXTRA HELP WAGES	734,522	750,000	750,000	750,000	750,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,195,800	1,100,000	1,100,000	1,100,000	1,200,000		
5 OPERATING EXPENSES	136,798	232,597	527,071	161,997	221,849		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	697						
11							
12							
13 TOTAL APPROPRIATION	\$7,611,997	\$7,611,997	\$7,977,071	\$7,611,997	\$7,771,849	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,636,798	5,636,798		5,636,798	5,796,650		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,975,199	1,975,199		1,975,199	1,975,199		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,611,997	\$7,611,997		\$7,611,997	\$7,771,849	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	618,703	2,000,000	2,000,000	2,000,000	2,000,000		
2 EXTRA HELP WAGES	0	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME	0	200,000	200,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	809,393	1,500,000	1,500,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	2,401,342	11,000,000	11,000,000	11,000,000	11,000,000		
6 CONFERENCE FEES & TRAVEL	86,904	400,000	400,000	400,000	400,000		
7 PROFESSIONAL FEES AND SERVICES	288,508	1,000,000	1,000,000	1,000,000	1,000,000		
8 CAPITAL OUTLAY	430,899	6,000,000	6,000,000	6,000,000	6,000,000		
9 CAPITAL IMPROVEMENTS	0	8,150,000	8,150,000	8,150,000	8,150,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,200,000	1,200,000	1,200,000	1,200,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$4,635,749	\$32,450,000	\$32,450,000	\$32,450,000	\$32,450,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,687,572	3,311,440		3,311,440	3,311,440		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	12,302	12,500		12,500	12,500		
22 FEDERAL CASH FUNDS	717,484	7,000,000		7,000,000	7,000,000		
23 OTHER CASH FUNDS	218,391	22,126,060		22,126,060	22,126,060		
24 TOTAL INCOME	\$4,635,749	\$32,450,000		\$32,450,000	\$32,450,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	358	358	359	359	359	
TOBACCO POSITIONS						
EXTRA HELP **	200	200	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	79,549	139,524	0	(59,975)	96,000	135,508	0	(39,508)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	0	0	0	0	0	0	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$79,549	\$139,524	\$0	(\$59,975)	\$96,000	\$135,508	\$0	(\$39,508)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$79,549	\$139,524	\$0	(\$59,975)	\$96,000	\$135,508	\$0	(\$39,508)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM BR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:						<u>191</u>
(As of November 1, 2015)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total Male: <u>9</u>
White Female:	<u>14</u>	Black Female:	<u>14</u>	Other Female:	<u>1</u>	Total Female: <u>29</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>7</u>	Other Male:	<u>0</u>	Total Male: <u>19</u>
White Female:	<u>15</u>	Black Female:	<u>21</u>	Other Female:	<u>0</u>	Total Female: <u>36</u>
Faculty:						
White Male:	<u>26</u>	Black Male:	<u>12</u>	Other Male:	<u>1</u>	Total Male: <u>39</u>
White Female:	<u>30</u>	Black Female:	<u>28</u>	Other Female:	<u>1</u>	Total Female: <u>59</u>
Total White Male:	<u>45</u>	Total Black Male:	<u>21</u>	Total Other Male:	<u>1</u>	Total Male: <u>67</u>
Total White Female:	<u>59</u>	Total Black Female:	<u>63</u>	Total Other Female:	<u>2</u>	Total Female: <u>124</u>
Total White:	<u>104</u>	Total Black:	<u>84</u>	Total Other:	<u>3</u>	Total Employees: <u>191</u>
				Total Minority:	<u>87</u>	

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

SOUTHEAST ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$166,177
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF SOUTHEAST ARKANSAS COLLEGE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>During our verification of student enrollment data submitted to the Arkansas Department of Higher Education, we detected the College incorrectly reported 14 of 50 students as having withdrawn from a course, instead of completing the course.</p>
<p><i>Institution's Response</i></p>	<p>The cause of the error was manual addition and editing of information in a spreadsheet, rather than fixing the data at the database level. A line was inserted incorrectly and resulted in an uneven shift of information. At that time, there was one person working on and submitting the file.</p> <p>After reviewing the cause, there are now more checks in place. No data is being manually adjusted in spreadsheets or outside channels; the data is being fixed at the database level, as is appropriate. Reports that show potential errors have been developed. The Registrar's office reviews the file as a whole, but they also receive a report that contains only possible errors to prevent unnecessary searching and to highlight potential issues. Errors are corrected in Colleague, so the data that is pulled is not manually adjusted after the fact. The report considers errors to be things like NULL values where it should not be, or other values such as letters where numbers should be, etc. A series of case statements are used to determine the validity of the data. At this point, we believe any errors would be at the database level and would be clerical in nature.</p>

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Deborah J. Frazier, Chancellor

ENABLING LAWS Act 1244 of 1991; Act 60 of 2016

INSTITUTION HISTORY AND ORGANIZATION

Gateway Vocational Technical School came into existence in 1975 to serve the needs of a four-county region of Northeast Arkansas. The mission of the school at that time was to provide postsecondary skills training for immediate employment in areas such as auto mechanics, welding, building trades, office occupations, and practical nursing.

Act 1244 of 1991, “Two-year Postsecondary Education Reorganization Act of 1991”, converted fourteen of the state’s vocational technical schools into technical colleges or branches of other institutions. Act 1244 also mandated that the new colleges achieve NCA accreditation within an established time frame. In September 1995 NCA granted initial candidacy to Gateway Technical College. In the fall of 1996 NCA extended initial candidacy to the College’s new Associate of Arts program. In October 1997 Gateway Technical College was merged with the University of Arkansas System and with the passage of a county sales tax in March 1998 became the University of Arkansas Community College at Batesville. This merger provided the campus with support from a state wide system that includes a total of 11 campuses and the UA Division of Agriculture, UA Clinton School of Public Service, UA Criminal Justice Institute, Arkansas Archeological Survey and the Arkansas School for Mathematics, Sciences & the Arts. The current appropriation authority is pursuant to Act 60 of 2016.

Oversight for the college is through the University of Arkansas System and a 10 member Board of Trustees which is appointed by the governor. A local Board of Visitors, composed of 12 members, provides guidance for the operation of the college. UACCB is accredited by the Higher Learning Commission and is a member of the North Central Association. UACCB’s service area was defined by the Arkansas Department of Higher Education to include Independence and Cleburne counties with Stone and Sharp counties shared with other higher education institutions.

MISSION STATEMENT

The University of Arkansas Community College at Batesville provides quality educational opportunities within a supportive learning environment. We promote success through community partnerships, responsive programs, and an enduring commitment to improvement.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

Values Vision

At UACCB we value... UACCB will be recognized for excellence in

Unity through collaboration education, leadership, service, and innovation

Achievement in educational goals in response to education, economic and

Commitment to excellence social needs.

Contribution to community

Balance in learning and life

In order to accomplish its mission and fulfill its vision, UACCB has established a set of strategic goals. Each organizational area is expected to work toward its respective goals and correspondingly contribute to the greater strategic institutional efforts.

GENERAL REVENUE REQUEST

The Arkansas Higher Education Coordinating Board has recommended an increase in general revenue funds of \$0 in FY18 and \$104,954 in FY19. The funds will be used for improvement of facilities, expansion of programs, technology infrastructure and to bring faculty salary levels in line with SREB recommendations.

PERSONNEL REQUEST

No additional positions over authorized have been requested.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,997,821		4,997,821		5,253,844		4,997,821		4,997,821		5,102,775		5,102,775	
2 CASH	5,723,544		45,285,000		45,285,000		45,285,000		45,285,000		45,285,000		45,285,000	
3														
4														
5														
6														
7														
8														
10														
11 TOTAL	\$10,721,365	223	\$50,282,821	245	\$50,538,844	272	\$50,282,821	272	\$50,282,821	272	\$50,387,775	272	\$50,387,775	272
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,131,061	39%	4,131,061	8%			4,131,061	8%	4,131,061	8%	4,236,015	8%	4,236,015	8%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	866,760	8%	866,760	2%			866,760	2%	866,760	2%	866,760	2%	866,760	2%
16 CASH FUNDS	5,723,544	53%	45,285,000	90%			45,285,000	90%	45,285,000	90%	45,285,000	90%	45,285,000	90%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,721,365	100%	\$50,282,821	100%			\$50,282,821	100%	\$50,282,821	100%	\$50,387,775	100%	\$50,387,775	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$3,160,086
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$749,139
INVENTORIES	\$285,506
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$225,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,375,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$375,441

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTG0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,144,490	4,147,821	4,403,844	4,147,821	4,252,775		
2 EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	750,000	750,000	750,000	750,000	750,000		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	3,331						
11							
12							
13 TOTAL APPROPRIATION	\$4,997,821	\$4,997,821	\$5,253,844	\$4,997,821	\$5,102,775	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	4,131,061	4,131,061		4,131,061	4,236,015		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	866,760	866,760		866,760	866,760		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,997,821	\$4,997,821		\$4,997,821	\$5,102,775	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION B39

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,320,737	7,300,000	7,300,000	7,300,000	7,300,000		
2 EXTRA HELP WAGES	149,704	500,000	500,000	500,000	500,000		
3 OVERTIME		5,000	5,000	5,000	5,000		
4 PERSONAL SERVICES MATCHING	1,165,426	3,900,000	3,900,000	3,900,000	3,900,000		
5 OPERATING EXPENSES	2,455,403	9,175,000	9,200,000	9,200,000	9,200,000		
6 CONFERENCE FEES & TRAVEL	105,945	350,000	350,000	350,000	350,000		
7 PROFESSIONAL FEES AND SERVICES	34,412	300,000	300,000	300,000	300,000		
8 CAPITAL OUTLAY	12,776	10,200,000	10,200,000	10,200,000	10,200,000		
9 CAPITAL IMPROVEMENTS		8,300,000	8,300,000	8,300,000	8,300,000		
10 DEBT SERVICE	460,333	1,200,000	1,200,000	1,200,000	1,200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		4,000,000	4,000,000	4,000,000	4,000,000		
12 PROMOTIONAL	18,808	55,000	30,000	30,000	30,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,723,544	\$45,285,000	\$45,285,000	\$45,285,000	\$45,285,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,063,371	3,152,218		3,300,000	3,400,000		
19 ALL OTHER FEES	257,081	283,070		300,000	302,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	46,103						
21 INVESTMENT INCOME	27,594	20,000		30,000	33,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	2,329,395	41,829,712		41,655,000	41,550,000		
24 TOTAL INCOME	\$5,723,544	\$45,285,000		\$45,285,000	\$45,285,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	223	245	272	272	272	
TOBACCO POSITIONS						
EXTRA HELP **	90	85	100	100	100	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	86,452	127,146	0	(40,694)	85,000	123,885	0	(38,885)
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	691,739	629,470	0	62,269	837,500	786,572	0	50,928
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		35,152	0	(35,152)	0	0	0	0
7 OTHER	38,367	1,118	0	37,249	53,000	65,043	0	(12,043)
8 SUBTOTAL	\$816,558	\$792,886	\$0	\$23,672	\$975,500	\$975,500	\$0	\$0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$816,558	\$792,886	\$0	\$23,672	\$975,500	\$975,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Cosmetology Income and Independence Hall Rental

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BA
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>163</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>25</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>
				Total Male:	<u>8</u>
				Total Female:	<u>26</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>23</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>
				Total Male:	<u>8</u>
				Total Female:	<u>24</u>
Faculty:					
White Male:	<u>27</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>66</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>
				Total Male:	<u>28</u>
				Total Female:	<u>68</u>
Total White Male:	<u>43</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>0</u>
Total White Female:	<u>114</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>4</u>
Total White:	<u>157</u>	Total Black:	<u>2</u>	Total Other:	<u>4</u>
				Total Minority:	<u>6</u>
				Total Employees:	<u>163</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
 AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>University personnel discovered funds totaling \$3,077 from a student nursing club had not been deposited but were kept in a locked box in the custody of a student who served as the club's Treasurer. University Administration requested an investigative report from a local police department. Subsequently, the Treasurer resigned and reimbursed \$3,077 to the club, indicating a friend had taken the money.</p>
<p><i>Institution's Response</i></p>	<p>While controls were in place that should have prevented this occurrence from happening, the following corrective actions have been taken:</p> <ul style="list-style-type: none"> • Advised nursing faculty of the following statement in the student handbook: <i>Student Organizations Funds:</i> All registered student organizations are required to keep all organization funds in a UACCB agency account maintained by the College. • Faculty and incoming students have been trained on the proper handling of club funds. Training sessions have occurred this fall and will continue each semester. • The Student Nursing Association (SNA) was issued a written warning that if a similar incident happens again, fund-raising activities would be prohibited. <i>Fund-Raising (Solicitation) Policy:</i> All events are subject to general College policies contained in the Student Handbook. Failure to comply with College policies may preclude an organization from having additional fund-raising events. • Revised the approval form for fundraising activities to include the signature of the VC for Finance and Administration/Business Office personnel to assure that funds are directly deposited into the student account after each activity. Funds must be deposited the following day of the activity. • Faculty sponsor had already severed ties with UACCB before this occurrence but has been informed that she is not eligible for rehire with the U of A system. • Incident reported to the Batesville Police Department.

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

Chris Thomason, Chancellor

ENABLING LAWS

Act 388 of 1995; Act 180 of 2016

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Hope is located on Highway 29 South in the city limits of Hope, Arkansas. The College is located on a 98.6 acre site originally obtained by the citizens of the area for Red River Vocational-Technical School in 1965. In 1991 the Legislature passed Act 1244 which allowed area vocational schools to become Technical Colleges. On July 1, 1992, Red River Vocational-Technical School officially became Red River Technical College and operated under the guidelines of the Arkansas Department of Higher Education. In 1995, the Arkansas Legislature passed Act 388 that provided for the merger of state two-year colleges and universities. On March 5, 1996, the citizens of Hempstead County approved a 1/4-cent sales tax to support the expansion of the College. On July 1, 1996, Red River Technical College became a division of the University of Arkansas System and was renamed the University of Arkansas Community College at Hope. In 2012, the College expanded its operation to include an instructional facility in Texarkana, Arkansas. A second instructional building was opened January, 2016. The Texarkana instructional site encompasses 22 acres and is located at 3501 U of A Way, Texarkana, Arkansas.

MISSION

The University of Arkansas Community College at Hope is an accredited, open-access, two-year institution of higher education that connects students and community partners to quality learning through effective and timely educational technologies, student-centered support services, emerging content delivery methods that support excellence in teaching and learning, value in training and workforce development, advancement in life-long learning, and elevation in civic and cultural enrichment.

VISION

The University of Arkansas Community College at Hope envisions itself as a twenty-first century model community college. To make our vision a reality UACCH will reach beyond traditional boundaries so that students can overcome obstacles, achieve dreams and reach their full potential. We will empower a network of diverse and committed individuals to work creatively and collaboratively toward shared principles and the common goal of student success. Our learning

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
Chris Thomason, Chancellor

environments will be nurturing, accepting, personalized and student-focused. We vow to the community that we serve to always be attentive to our mission, cohesive in our approach and responsive to changing needs.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,450,944		6,450,944		6,749,516		6,450,944		6,450,944		6,586,414		6,586,414	
2 CASH	5,273,141		12,049,640		12,049,640		12,049,640		12,049,640		12,049,640		12,049,640	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,724,085	155	\$18,500,584	197	\$18,799,156	212	\$18,500,584	212	\$18,500,584	212	\$18,636,054	212	\$18,636,054	212
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,491,997	38%	4,491,997	24%			4,491,997	24%	4,491,997	24%	4,627,467	25%	4,627,467	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,958,947	17%	1,958,947	11%			1,958,947	11%	1,958,947	11%	1,958,947	11%	1,958,947	11%
16 CASH FUNDS	5,273,141	45%	6,149,640	33%			6,149,640	33%	6,149,640	33%	6,149,640	33%	6,149,640	33%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	5,900,000	32%			5,900,000	32%	5,900,000	32%	5,900,000	32%	5,900,000	32%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$11,724,085	100%	\$18,500,584	100%			\$18,500,584	100%	\$18,500,584	100%	\$18,636,054	100%	\$18,636,054	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$1,265,073
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$623,745
INVENTORIES	\$496,158
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$90,875
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,215,202
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,360,907)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,892,224	5,218,149	5,218,149	5,218,149	5,311,623		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,557,695	1,232,795	1,531,367	1,232,795	1,274,791		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	1,025						
11							
12							
13 TOTAL APPROPRIATION	\$6,450,944	\$6,450,944	\$6,749,516	\$6,450,944	\$6,586,414	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	4,491,997	4,491,997		4,491,997	4,627,467		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,958,947	1,958,947		1,958,947	1,958,947		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,450,944	\$6,450,944		\$6,450,944	\$6,586,414	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION A98

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	761,589	1,474,640	1,474,640	1,474,640	1,474,640		
2 EXTRA HELP WAGES	117,453	150,000	150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	407,497	1,000,000	1,000,000	1,000,000	1,000,000		
5 OPERATING EXPENSES	3,023,221	4,250,000	4,250,000	4,250,000	4,250,000		
6 CONFERENCE FEES & TRAVEL	56,976	150,000	150,000	150,000	150,000		
7 PROFESSIONAL FEES AND SERVICES	96,164	125,000	125,000	125,000	125,000		
8 CAPITAL OUTLAY	0	300,000	300,000	300,000	300,000		
9 CAPITAL IMPROVEMENTS	0	2,800,000	2,800,000	2,800,000	2,800,000		
10 DEBT SERVICE	810,241	900,000	900,000	900,000	900,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	900,000	900,000	900,000	900,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,273,141	\$12,049,640	\$12,049,640	\$12,049,640	\$12,049,640	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,543,608	2,870,000		2,870,000	2,870,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	115,455						
21 INVESTMENT INCOME	3,744						
22 FEDERAL CASH FUNDS		5,900,000		5,900,000	5,900,000		
23 OTHER CASH FUNDS	2,610,334	3,279,640		3,279,640	3,279,640		
24 TOTAL INCOME	\$5,273,141	\$12,049,640		\$12,049,640	\$12,049,640	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	155	197	212	212	212	
TOBACCO POSITIONS						
EXTRA HELP **	75	79	200	200	200	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	0	0	0	0	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	422,960	2,107	0	420,853	384,000	100,000	0	284,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	0	0	0	0	0	0	0	0
8 SUBTOTAL	\$422,960	\$2,107	\$0	\$420,853	\$384,000	\$100,000	\$0	\$284,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$422,960	\$2,107	\$0	\$420,853	\$384,000	\$100,000	\$0	\$284,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM BR-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>146</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>5</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>17</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>6</u>
				Total	<u>17</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	<u>0</u>
				Total	<u>0</u>
Classified Employees:					
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>18</u>	Black Female:	<u>5</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>14</u>
				Total	<u>24</u>
Faculty:					
White Male:	<u>33</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>
White Female:	<u>45</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>38</u>
				Total	<u>47</u>
Total White Male:	<u>52</u>	Total Black Male:	<u>6</u>	Total Other Male:	<u>0</u>
Total White Female:	<u>80</u>	Total Black Female:	<u>6</u>	Total Other Female:	<u>2</u>
Total		Total		Total	<u>58</u>
				Total	<u>88</u>
Total White:	<u>132</u>	Total Black:	<u>12</u>	Total Other:	<u>2</u>
				Total Minority:	<u>14</u>
				Total	<u>146</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
June 30, 2015**

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
Dr. LARRY DAVIS, CHANCELLOR

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Morrilton is a two-year, community college serving west-central Arkansas. UACCM celebrated 50 years as an institution, 20 years as a college and 10 years as part of the University of Arkansas System in 2011 and in the Spring of 2013 we celebrated the 50th anniversary of our first graduates. This 50/20/10 celebration time allowed the college to reflect on its proud history and its bright future. The college is currently funded through ACT 64 of the 2016 Fiscal Session.

UACCM is committed to excellence in learning and personal enrichment. It is a simple mission that focuses on the student!

During the past fifteen years as a member of the UA System, UACCM has grown into the largest two-year college in the system and one of the top six in the state in annualized FTE. UACCM offers a variety of degree options including transfer programs and occupational/technical programs. A core component is technical education. The campus offers technical programs such as nursing, drafting, surveying, auto mechanics, welding, auto collision repair, heating and air conditioning, and industrial technology and maintenance. Demand for these programs is high because they offer excellent opportunities for graduates. These programs have strong support from business and industry. Scholarships, internship opportunities, technical expertise and funds have been committed by these businesses to support the programs. Their support is vital to the success of the program and its graduates. It is an excellent example of business and education working together to provide opportunities for Arkansans.

The success of technical programs is directly related to the quality of the training space and the access to technical equipment. The current technical facilities are over 55 years old. Although well maintained, the facilities are in need of updating and expansion. During 2015, the Arkansas Department of Higher Education along with the Board of Trustees of the University of Arkansas System approved the issuance of Revenue Bonds for UACCM to provide for the future by investing in its technical programs with the construction of a new Workforce Training Center (WTC). Plans are nearing finalization and Construction is set to begin in the Fall 2016 with an anticipated completion date during early 2018.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
Dr. LARRY DAVIS, CHANCELLOR

In addition, UACCM Administration along with local service area School Districts and Superintendents and Conway County School Counts officials partnered to develop a unique Technical Pathway Program for High School concurrent students during their last two years of High School. The program provides an opportunity to graduate High School and at the same time potentially graduating with either a Certificate of Proficiency, a Technical Certificate, and/or an Associate's Degree in various disciplines.

With limited resources and increasing demands, it is important for the campus to look toward conservation and efficiency to address financial needs. This has prompted campus officials to work on energy conservation projects. Examples of the projects include installing low-flow valves on all faucets, working with consultants on a Super Tune Up program to evaluate all package AC units on campus and get them in top working order. Other examples include a lighting retrofit program that will place high efficiency lighting in older areas of campus. Occupancy sensors are also being added to help reduce energy usage. Some of these programs are offered free of charge through energy consultants and other programs offered financial incentives that will help UACCM attain a quicker payback on the projects. Saving money on utilities allows the reallocation of financial resources to other areas.

Being good stewards of all resources is a top priority at UACCM. That charge is taken seriously by UACCM and remains a guiding force behind all decisions. We look forward to being a key partner in the workforce training designated by the state as a key component in the future of the state economy.

**INSTITUTION APPROPRIATION SUMMARY
2017-2019 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2015-2016		2016-17		2016-17		2017-18				2018-19			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,313,341		6,313,341		7,533,929		6,891,090		6,891,090		7,035,803		7,035,803	
2 CASH	7,755,850		43,625,000		43,625,000		43,625,000		43,625,000		43,625,000		43,625,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$14,069,191	161	\$49,938,341	299	\$51,158,929	300	\$50,516,090	293	\$50,516,090	293	\$50,660,803	293	\$50,660,803	293
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,022,155	36%	5,022,155	10%			5,599,904	11%	5,599,904	11%	5,744,617	11%	5,744,617	11%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,291,186	9%	1,291,186	3%			1,291,186	3%	1,291,186	3%	1,291,186	3%	1,291,186	3%
16 CASH FUNDS	7,148,251	51%	42,625,000	85%			42,625,000	84%	42,625,000	84%	42,625,000	84%	42,625,000	84%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	607,599	4%	1,000,000	2%			1,000,000	2%	1,000,000	2%	1,000,000	2%	1,000,000	2%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$14,069,191	100%	\$49,938,341	100%			\$50,516,090	100%	\$50,516,090	100%	\$50,660,803	100%	\$50,660,803	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2016:	\$2,509,105
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$900,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$50,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$9,105

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2017-2019 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	4,970,873	5,022,155	6,000,000	5,599,904	5,744,617		
2 EXTRA HELP WAGES	70,000		165,000				
3 OVERTIME	0	0	25,000	0	0		
4 PERSONAL SERVICES MATCHING	1,267,988	1,291,186	1,343,929	1,291,186	1,291,186		
5 OPERATING EXPENSES	0	0	0	0	0		
6 CONFERENCE FEES & TRAVEL	0	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 FUNDED DEPRECIATION							
10 WORKERS COMP/SURETY PREMIUM	4,480						
11							
12							
13 TOTAL APPROPRIATION	\$6,313,341	\$6,313,341	\$7,533,929	\$6,891,090	\$7,035,803	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,022,155	5,022,155		5,599,904	5,744,617		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,291,186	1,291,186		1,291,186	1,291,186		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,313,341	\$6,313,341		\$6,891,090	\$7,035,803	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM BR-3

**APPROPRIATION ACT FORM - CASH FUNDS
2017-2019 BIENNIUM**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION B64

DESCRIPTION	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED APPROPRIATION 2016-2017	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2017-2018	2018-2019	2017-2018	2018-2019
1 REGULAR SALARIES	1,845,999	9,000,000	9,000,000	9,000,000	9,000,000		
2 EXTRA HELP WAGES	232,714	600,000	600,000	600,000	600,000		
3 OVERTIME		25,000	25,000	25,000	25,000		
4 PERSONAL SERVICES MATCHING	1,017,980	4,000,000	4,000,000	4,000,000	4,000,000		
5 OPERATING EXPENSES	3,791,349	9,000,000	9,000,000	9,000,000	9,000,000		
6 CONFERENCE FEES & TRAVEL	37,141	250,000	250,000	250,000	250,000		
7 PROFESSIONAL FEES AND SERVICES	89,419	750,000	750,000	750,000	750,000		
8 CAPITAL OUTLAY	243,516	3,000,000	3,000,000	3,000,000	3,000,000		
9 CAPITAL IMPROVEMENTS		15,000,000	15,000,000	15,000,000	15,000,000		
10 DEBT SERVICE	488,175	1,200,000	1,200,000	1,200,000	1,200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		750,000	750,000	750,000	750,000		
12 PROMOTIONAL ITEMS	9,557	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$7,755,850	\$43,625,000	\$43,625,000	\$43,625,000	\$43,625,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	6,247,346	7,500,000		8,000,000	8,000,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	93,067	150,000		200,000	200,000		
21 INVESTMENT INCOME	191,530	250,000		500,000	500,000		
22 FEDERAL CASH FUNDS	607,599	1,000,000		1,000,000	1,000,000		
23 OTHER CASH FUNDS	616,308	34,725,000		33,925,000	33,925,000		
24 TOTAL INCOME	\$7,755,850	\$43,625,000		\$43,625,000	\$43,625,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2015-2016	BUDGETED 2016-2017	AUTHORIZED 2016-2017	INSTITUTIONAL REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2017-2019	2017-2019	2017-2019
REGULAR POSITIONS	161	299	300	293	293	
TOBACCO POSITIONS						
EXTRA HELP **	23	110	110	110	110	

FORM BR-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2015-2016				BUDGETED 2016-2017			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	77,815	97,110	0	(19,295)	0	0	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	1,073,202	996,711	0	76,491	50,000	0	0	50,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7 OTHER	7,517	995	0	6,522	10,000	0	0	10,000
8 SUBTOTAL	\$1,158,534	\$1,094,816	\$0	\$63,718	\$60,000	\$0	\$0	\$60,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(343,975)			(343,975)	(60,000)			(60,000)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$814,559	\$1,094,816	\$0	(\$280,257)	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM BR-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Auxiliary vending revenues/expenses

NOTE: Line 10 Other Transfers - Auxiliary transfer to Unrestricted Funds as a result of closing the Campus Bookstore and Daily Food Service

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2015-2016:					<u>161</u>
(As of November 1, 2015)					
Nonclassified Administrative Employees:					
White Male:	<u>10</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>29</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>
				Total Male:	<u>11</u>
				Total Female:	<u>30</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>9</u>	Black Male:	<u>2</u>	Other Male:	<u>2</u>
White Female:	<u>35</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>
				Total Male:	<u>13</u>
				Total Female:	<u>36</u>
Faculty:					
White Male:	<u>32</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>38</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>
				Total Male:	<u>32</u>
				Total Female:	<u>39</u>
Total White Male:	<u>51</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>3</u>
Total White Female:	<u>102</u>	Total Black Female:	<u>2</u>	Total Other Female:	<u>1</u>
Total White:	<u>153</u>	Total Black:	<u>4</u>	Total Other:	<u>4</u>
				Total Minority:	<u>8</u>
				Total Employees:	<u>161</u>

FORM BR-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2016
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
EJ'S CLEANING SERVICE	\$246,286	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$415,026
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 59%

**ARKANSAS LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
June 30, 2015**

<i>Finding:</i>	No Findings noted
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