

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-1

**SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 001110
 INSTITUTION University of Arkansas - Fort Smith
 FISCAL YEAR 2013-2014

COMPLETED BY: Julie Bryant
 DATE COMPLETED: 09/11/2014
 TELEPHONE NO. 479-788-7055

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
Tuition & Fees *	1	30,637,282	5,246,013	18,790					\$35,902,085	
Government	2								\$0	
Appropriations	3	23,606,939				1,950,000			\$25,556,939	
	4	5,654,987				89			\$5,655,076	
Grants &	5			16,900,030		0			\$16,900,030	
Contracts	6	7,237		10,417,924		79,166			\$10,504,327	
	7								\$0	
Private Gifts, Grants and Contracts	8	127,922	109,255	2,785,330		1,071,668			\$4,094,175	
Endowment Income	9	9,239		6,739	66				\$16,044	
Sales &	10	285,282		27,365					\$312,647	
Services	11		6,628,424	17,130					\$6,645,554	
	12								\$0	
Other	13	3,237	12,238	159	109,471	8,740	4,480	127	\$138,452	
Sources	14								\$0	
	15	353,700	123,104	3,340		528,124	112,886		\$1,121,154	
TOTAL INCOME & OTHER SOURCES	16	\$60,685,825	\$12,119,034	\$30,176,807	\$0	\$109,537	\$3,637,787	\$117,366	\$127	\$106,846,483

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

University of Arkansas - Fort Smith

Column A, Line 15	Column B, Line 15	Column C, Line 15	Column F, Line 15	Column G, Line 15
Rebates and Forfeitures \$143,651	Vending Commissi \$54,945	Athletics Misc	\$3,000 Lease Proceeds	\$5,681 Insurance Proceeds \$112,886
Late Fees and Charges 141,373	Game Guarantees 24,000	Other	340 Loan Proceeds	168,720
Miscellaneous Fees 3,990	Food Service 20,000		\$3,340 Bond Sale Proceed	31,774
Other 64,686	NCAA/Conf 11,782		Bond Issue Debt A	172,000
\$353,700	Athletics Misc 5,917		Bond Debt Defease	145,000
	Other 6,460		Sale of Property 4,949	
	\$123,104		\$528,124	

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 001110
INSTITUTION University of Arkansas - Fort Smith
FISCAL YEAR 2013-2014

COMPLETED BY: Robert Wilson
DATE COMPLETED: 09/05/2014
TELEPHONE NO. 479-788-7053

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational	Auxiliary	Educational			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
		Current Funds (A)	Current Funds (B)	Current Funds (C)						
Revenue Stabilization Act Funds	1	20,415,091								\$20,415,091
Educ. Excellence Trust Fund	2	3,054,036								\$3,054,036
Workforce 2000	3									\$0
Merit Adjustment Fund	4									\$0
Merit Adjustment Fund Remittance (Negative #)	5									\$0
General Improvement Fund Operating	6									\$0
General Allotment Reserve Fund	7									\$0
Tuition Adjustment	8	137,812								\$137,812
GIF Funds Capital	9						1,950,000			\$1,950,000
<Other>	10									\$0
<Other>	11									\$0
TOTAL STATE FUNDING	12	\$23,606,939	\$0	\$0	\$0	\$0	\$1,950,000	\$0	\$0	\$25,556,939

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 001110
INSTITUTION University of Arkansas - Fort Smith
FISCAL YEAR 2013-2014

COMPLETED BY: Julie Bryant
DATE COMPLETED: 09/09/2014
TELEPHONE NO. 479-788-7055

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	18,372,201		1,493,826						19,866,027
Research	2									0
Public Service	3	671,659		389,526						1,061,185
Academic Support	4	6,175,266		787,987						6,963,253
Student Services	5	3,817,959		692,637						4,510,596
Institutional Support	6	7,983,975		157,603			1,250			8,142,828
Operation & Main. Of Plant	7	4,515,757		231,644			496,110	300,396		5,543,907
Scholarships & Fellowships	8	5,049,592	1,346,026	25,145,897						31,541,515
Staff Benefits	9	10,114,730	511,274	584,357						11,210,361
Hospitals & Clinics	10									0
Auxiliary Enterprises	11		6,046,629	167,990			158,718			6,373,337
Debt Service	12						0		7,792,714	7,792,714
Loan Fund Administration	13									0
Loan Cancel. & Write-Offs	14									0
Exp. For Plant Facilities	15	184,194		807,129			3,397,429	166,733		4,555,485
Other Deductions	16									0
Total Expenses & Deductions	17	56,885,333	7,903,929	30,458,596	0	0	4,053,507	467,129	7,792,714	107,561,208
Transfers *	Auxiliary	18								0
	Mandatory	19	4,692,676	3,231,172			(1,229,226)		(7,800,980)	(1,106,358)
	Non Mandatory	20	(910,002)	975,000	2	350,000	(154,642)	846,000		1,106,358
TOTAL EXP., DEDUC. & TRAN.	21	60,668,007	12,110,101	30,458,598	0	350,000	2,669,639	1,313,129	(8,266)	107,561,208
TOTAL INCOME	22	60,685,825	12,119,034	30,176,807	0	109,537	3,637,787	117,366	127	106,846,483
NET INC./(DEC.) FOR YEAR	23	17,818	8,933	(281,791)	0	(240,463)	968,148	(1,195,763)	8,393	(714,725)

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0. **Column A, Line 19 includes \$97,925 mandatory transfer for maintenance of Boreham Library**

Notes on Series 17-2 **Column B, Line 19 includes \$56,717 mandatory transfer for maintenance of the Lions' Den Student Housing Facility** University of Arkansas - Fort Smith

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-2 SUPPLEMENTAL
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE**

(SEE INSTRUCTIONS)

FICE CODE: 001110
 INSTITUTION: University of Arkansas - Fort Smith
 FISCAL YEAR: 2013-2014

COMPLETED BY: Robert Wilson
 DATE COMPLETED: 9/5/2014
 TELEPHONE NO. 479-788-7053

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
Lion's Den Series 2009 Issue	1	10-Aug	10-Aug	114,560	0	\$56,717
Note Plan identified that 3% of housing revenue be transferred yearly for the Lion's De	2					\$0
Boreham Library Series 2010B Issue	3	Mar-13	Mar-13	39,170	\$2.50	\$97,925
	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
TOTAL MANDATORY TRANSFERS FOR MAINTENANCE	16			153,730	0	\$154,642

*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-3
AUXILIARY ENTERPRISE
CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 001110
INSTITUTION: University of Arkansas - Fort Smith
FISCAL YEAR: 2013-2014

COMPLETED BY: Julie Bryant
DATE COMPLETED: 09/10/2014
TELEPHONE NO.: 479-788-7055

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1	3,037,910	3,579,338		(541,428)
Residence Hall	2	4,470,278	1,605,606	3,174,455	(309,783)
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5	1,561,922	1,395,908		166,014
College Union	6				-
Bookstore	7	436,870	19,336		417,534
Student Organizations And Publications	8	2,347,625	750,461		1,597,164
Student Health Services	9				-
Other (Specify On Attached Sheet)	10	264,429	553,280		(288,851)
Sub-Total	11	\$12,119,034	\$7,903,929	\$3,174,455	1,040,650
Transfers In	Auxiliary (Athletic and Activity)	12	538,330		538,330
	Other	13			0
Transfers Out	14		1,570,047		(1,570,047)
GRAND TOTALS	15	\$12,657,364	\$9,473,976	\$3,174,455	\$8,933

* Include athletic fees.

Notes on Series 17-3

University of Arkansas - Fort Smith

Column A, Line 10

ATM Fees:	\$10,050
Recovery of bad deb	121
Net Investment Incor	12,208
Season of Entertainir	242,050
TOTAL:	\$264,429

Column B, Line 10

Other Miscellaneous	\$150
Bad debt expense:	85,041
Season of Entertainir	468,089
TOTAL:	\$553,280

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 001110
INSTITUTION: University of Arkansas - Fort Smith
FISCAL YEAR: 2013-2014

COMPLETED BY: Julie Bryant
DATE COMPLETED: 09/10/2014
TELEPHONE NO.: 479-788-7055

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1										
		All Other Assets	2	6,123,965	3,641,038	1,268,794	80,062	9,440,238	17,003,879	166,133	1,139,045	139,438,817	1,555,836
		Total Assets	3	\$6,123,965	\$3,641,038	\$1,268,794	\$80,062	\$9,440,238	\$17,003,879	\$166,133	\$1,139,045	\$139,438,817	\$1,555,836
	Liab.	Interfund Borrowing (Due To)	4						0				
		All Other Liabilities	5	3,474,666	232,504	92,516	3,071	110	14,030,619	0	287,764	77,543,998	1,555,836
		Total Liabilities	6	\$3,474,666	\$232,504	\$92,516	\$3,071	\$110	\$14,030,619	\$0	\$287,764	\$77,543,998	\$1,555,836
	Fund Balances		7	\$2,649,299	\$3,408,534	\$1,176,278	\$76,991	\$9,440,128	\$2,973,260	\$166,133	\$851,281	\$61,894,819	
Changes in Fund Balance	Revenue And Other Additions		8	60,685,825	12,119,034	30,176,807	0	109,537	3,637,787	117,366	127	15,452,693	
	Expenditures And Other Deductions		9	56,885,333	7,903,929	30,458,596	0	0	4,053,507	467,129	7,792,714	13,300,003	
	Total Transfer Out Of (Into) *		10	3,782,674	4,206,172	2		350,000	(1,383,868)	846,000	(7,800,980)		
	Net Increase (Decrease) For Year		11	17,818	8,933	(281,791)	0	(240,463)	968,148	(1,195,763)	8,393	2,152,690	
	Fund Balance-Beginning Of Year		12	2,631,481	3,399,601	1,458,069	76,991	9,680,591	2,005,112	1,361,896	842,888	59,742,129	
	Fund Balance-End Of Year		13	\$2,649,299	\$3,408,534	\$1,176,278	\$76,991	\$9,440,128	\$2,973,260	\$166,133	\$851,281	\$61,894,819	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 001110
INSTITUTION: University of Arkansas - Fort Smith
FISCAL YEAR: 2013-2014

COMPLETED BY: Robert Wilson
DATE COMPLETED: 09/05/14
TELEPHONE NO.: 479-788-7053

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal					
Beginning Of Year	1 41,298,025	39,830,650		614,986	\$81,743,661
Total Loans Negotiated During					
The Fiscal Year	2 11,713,706	145,000		(614,986)	\$11,243,720
Total Debt Principal Retired					
During The Fiscal Year	3 3,185,583	1,499,800		0	\$4,685,383
Total Outstanding Principal					
At End Of Fiscal Year	4 \$49,826,148	\$38,475,850	\$0	\$0	\$88,301,998
Interest & Agent Fees Paid On					
Debt During Fiscal Year	5 1,442,672	1,664,659		0	\$3,107,331
Total Debt Service Payments					
During Fiscal Year	6 \$4,628,255	\$3,164,459	\$0	\$0	\$7,792,714

Line 6 should match debt service payments shown on 17-2.

* Column D Capital Lease moved from Plant funds to E&G in FY14

Notes on Series 17-5

University of Arkansas - Fort Smith

*Column D Capital Lease paid from Plant funds

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 001110
INSTITUTION University of Arkansas - Fort Smith
FISCAL YEAR 2013-2014

(SEE INSTRUCTIONS)

COMPLETED BY: Robert Wilson
DATE COMPLETED: 9/10/14
TELEPHONE NO. 479-788-7053

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		15,136,986			\$15,136,986		\$15,136,986	
Dept. Oper. Expense	2	167,918	446,778	127,855	1,799,050	19,640	4,457,359	7,018,600	
Off-Campus Credit	3	12	50,375	4	3,628	54,019	16,531	70,550	
Non-Credit Instruction	4	823	338,846	56,948	235,944	632,561	62,796	695,357	
Research	5					0		0	
Public Service	6	23,784	458,880	51,289	137,706	671,659	154,029	825,688	
Libraries	7	118,951	330,459	9,133	412,841	116,200	174,839	1,162,423	
Museums & Galleries	8	420	46,784	33	20,356	67,593	9,802	77,395	
Organized Activities	9	107	2,430	22,786	27,064	52,387	2,022	54,409	
Other Academic Support	10	897,513	3,351,435	148,722	786,232	15,764	1,331,881	6,531,547	
Student Services	11	385,650	2,129,602	180,099	1,108,064	790	974,805	4,779,010	
Institutional Support	12	1,794,685	3,908,141	103,963	2,177,185	31,801	2,051,850	10,067,625	
Utilities	13				1,539,114	1,539,114		1,539,114	
Other Plant Maintenance	14	1,309,483	571,111	40,720	1,076,907	2,998,221	878,816	3,877,037	
Scholarships & Fellowships	15				5,049,592	5,049,592		5,049,592	
Hospital & Clinics	16					0		0	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							4,692,676	
Transfers -Non Mandatory	20							(910,002)	
TOTAL	21	\$4,699,346	\$26,771,827	\$741,552	\$14,373,683	\$184,195	\$46,770,603	\$10,114,730	\$60,668,007
Tuition and Fees	22							\$30,637,282	
Other Income	23							\$6,441,604	
Prior Year Balance	24								
General Revenue Appropriated	25							\$23,606,939	
Total Unrestricted E&G Revenue	26							\$60,685,825	

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 001110 (SEE INSTRUCTIONS) **COMPLETED BY:** Julie Bryant
INSTITUTION University of Arkansas - Fort Smith **DATE COMPLETED:** 09/10/2014
FISCAL YEAR 2013-2014 **TELEPHONE NO.** 479-788-7055

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		699,228			\$699,228		\$699,228	
Dept. Oper. Expense	2		14,856	24,667	413,228	421,069	176,493	1,050,313	
Off-Campus Credit	3		117,861		35,109	152,970	27,985	180,955	
Non-Credit Instruction	4	19,308	65,903		103,665	188,876	25,457	214,333	
Research	5					0		0	
Public Service	6	20,928	189,984	6,362	172,252	389,526	74,104	463,630	
Libraries	7			42,924	26,423	122,837	0	122,837	
Museums & Galleries	8				7,738	7,738		7,738	
Organized Activities	9		18,180	2,476	35,642	56,298	3,087	59,385	
Other Academic Support	10	279,484	31,498	64,128	267,683	642,793	97,672	740,465	
Student Services	11		332,622	100,619	259,397	692,638	135,936	828,574	
Institutional Support	12	1,000	70,000	14,826	71,777	157,603	43,623	201,226	
Utilities	13				11,810	11,810		11,810	
Other Plant Maintenance	14			937	230,707	318,995	0	550,639	
Scholarships & Fellowships	15				25,145,897	25,145,897		25,145,897	
Hospital & Clinics	16					0		0	
Other	17			5,572	162,418	13,576	181,566	181,566	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							2	
TOTAL	21	\$320,720	\$1,540,132	\$262,511	\$26,943,746	\$807,130	\$29,874,239	\$584,357	\$30,458,598

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>001110</u>	COMPLETED BY:	<u>Cheryl Quinley</u>
INSTITUTION:	<u>University of Arkansas - Fort Smith</u>	DATE COMPLETED:	<u>09/05/2014</u>
FISCAL YEAR:	<u>2013-2014</u>	TELEPHONE NO.:	<u>479-788-7057</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	12,265,094	471,186	421,069	3,423,983
Auxiliary Enterprises	2	1,153,171	10,986	13,576	8,613
Hospital & Clinics	3				
Totals	4	\$13,418,265	\$482,172	\$434,645	\$3,432,596

Notes on Series 17-8

University of Arkansas - Fort Smith