

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-1**

**SUMMARY OF INCOME FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 00111000  
 INSTITUTION University of Arkansas - Fort Smith  
 FISCAL YEAR 2012-2013

COMPLETED BY: Debbie Breedlove  
 DATE COMPLETED: 09/13/2013  
 TELEPHONE NO. 479.788.7052

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)		
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)			
Tuition & Fees *	1	30,711,408	5,252,120	13,400					\$35,976,928		
Government Appropriations	Federal	2							\$0		
	State	3	23,457,702						\$23,457,702		
	Local	4	5,508,890			38			\$5,508,928		
Grants & Contracts	Federal	5		17,712,926		468,577			\$18,181,503		
	State	6	14,525	11,555,929					\$11,570,454		
	Local	7		6,169					\$6,169		
Private Gifts, Grants and Contracts	8	99,839	113,973	3,290,707					\$3,504,519		
Endowment Income	9			2,545	(2,545)				\$0		
Sales & Services	Educational Activities	10	327,460	41,818					\$369,278		
	Auxiliary Enterprises	11		6,510,722	16,612				\$6,527,334		
	Hospitals	12							\$0		
Other Sources	Invest & Gains	13		1,353	2,903	201	(32,837)	(8,359)	(14,333)	145	(\$50,927)
	Other **	14			2,715	80					\$2,795
	Other **	15	461,463				6,397,933				\$6,859,396
<b>TOTAL INCOME &amp; OTHER SOURCES</b>	16	\$60,581,287	\$11,878,168	\$32,645,724	\$281	(\$35,382)	\$6,858,189	(\$14,333)	\$145	\$111,914,079	

\* Amount before any student fee transfers

\*\* Specify

Notes on Series 17-1

University of Arkansas - Fort Smith

Column A, Line 15	
Rebates and Forfeitures	\$166,847
Late Fees and Charges	214,277
Miscellaneous Fees	6,752
Other	73,587
	<u>461,463</u>

Column F, Line 15	
Lease Proceeds	\$1,936
Loan Proceeds	1,875,018
Bond Issue Debt	4,520,000
Other	979
	<u>\$6,397,933</u>



**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-2**

**SUMMARY OF EXPENDITURES FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

**FICE CODE** 00111000  
**INSTITUTION** University of Arkansas - Fort Smith  
**FISCAL YEAR** 2012-2013

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/13/2013  
**TELEPHONE NO.** 479.788.7055

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	18,350,424		1,321,920						19,672,344
Research	2									0
Public Service	3	551,089		467,307						1,018,396
Academic Support	4	6,830,602		2,167,232			68,782			9,066,616
Student Services	5	3,806,640		686,064			9,511			4,502,215
Institutional Support	6	7,978,703		97,733			207,689			8,284,125
Operation & Main. Of Plant	7	4,615,045		77,762			88,620	361,165		5,142,592
Scholarships & Fellowships	8	4,460,697	1,275,815	27,166,469						32,902,981
Staff Benefits	9	9,458,886	497,581	566,851						10,523,318
Hospitals & Clinics	10									0
Auxiliary Enterprises	11		5,730,648	92,577						5,823,225
Debt Service	12						64,827		6,991,994	7,056,821
Loan Fund Administration	13									0
Loan Cancel. & Write-Offs	14									0
Exp. For Plant Facilities	15	111,759		380,897			8,191,852	942,445		9,626,953
Other Deductions	16				8					8
<b>Total Expenses &amp; Deductions</b>	<b>17</b>	<b>56,163,845</b>	<b>7,504,044</b>	<b>33,024,812</b>	<b>8</b>	<b>0</b>	<b>8,631,281</b>	<b>1,303,610</b>	<b>6,991,994</b>	<b>113,619,594</b>
Transfers *	Auxiliary	18								0
	Mandatory	19	4,271,186	2,729,029					(7,000,215)	0
	Non Mandatory	20	93,977	1,500,000	(30,686)		(1,563,291)			0
<b>TOTAL EXP., DEDUC. &amp; TRAN.</b>	<b>21</b>	<b>60,529,008</b>	<b>11,733,073</b>	<b>32,994,126</b>	<b>8</b>	<b>0</b>	<b>7,067,990</b>	<b>1,303,610</b>	<b>(8,221)</b>	<b>113,619,594</b>
<b>TOTAL INCOME</b>	<b>22</b>	<b>60,581,287</b>	<b>11,878,168</b>	<b>32,645,724</b>	<b>281</b>	<b>(35,382)</b>	<b>6,858,189</b>	<b>(14,333)</b>	<b>145</b>	<b>111,914,079</b>
<b>NET INC./(DEC.) FOR YEAR</b>	<b>23</b>	<b>52,279</b>	<b>145,095</b>	<b>(348,402)</b>	<b>273</b>	<b>(35,382)</b>	<b>(209,801)</b>	<b>(1,317,943)</b>	<b>8,366</b>	<b>(1,705,515)</b>

\* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-2 SUPPLEMENTAL  
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE**

(SEE INSTRUCTIONS)

FICE CODE: 00111000  
 INSTITUTION: University of Arkansas - Fort Smith  
 FISCAL YEAR: 2012-2013

COMPLETED BY: Robert Wilson  
 DATE COMPLETED: 09/12/2013  
 TELEPHONE NO. 479.788.7053

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
Lion's Den	1	10-Aug	10-Aug	0	0	\$54,163
*Note* Plan identified that 3% of housing revenue be transferred yearly	2					\$0
	3					\$0
	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
<b>TOTAL MANDATORY TRANSFERS FOR MAINTENANCE</b>	16			114,560	0	\$54,163

\*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-3**  
**AUXILIARY ENTERPRISE**  
**CASH INCOME AND EXPENDITURES**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** University of Arkanas - Fort Smith  
**FISCAL YEAR:** 2012-2013

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/12/2013  
**TELEPHONE NO.:** 479.788.7055

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1	2,943,586	3,473,567		(529,981)
Residence Hall	2	4,409,460	1,578,943	2,729,029	101,488
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5	1,277,124	1,122,551		154,573
College Union	6		47,640		(47,640)
Bookstore	7	480,496	1,090		479,406
Student Organizations And Publications	8	2,427,864	734,825		1,693,039
Student Health Services	9				-
Other (Specify On Attached Sheet)	10	339,638	545,428		(205,790)
<b>Sub-Total</b>	<b>11</b>	<b>\$11,878,168</b>	<b>\$7,504,044</b>	<b>\$2,729,029</b>	<b>1,645,095</b>
Transfers In	Auxiliary (Athletic and Activity)	12	-		0
	Other	13			0
Transfers Out	14		1,500,000		(1,500,000)
<b>GRAND TOTALS</b>	<b>15</b>	<b>\$11,878,168</b>	<b>\$9,004,044</b>	<b>\$2,729,029</b>	<b>\$145,095</b>

\* Include athletic fees.

Notes on Series 17-3

University of Arkansas - Fort Smith

**Column A, Line 10**

ATM Fees:	\$14,306
Recovery of bad deb:	\$555
Net Investment Inco:	\$1,353
Season of Entertainm	\$323,424
<b>TOTAL:</b>	<b>\$339,638</b>

**Column B, Line 10**

Bad debt expense:	\$33,510
Season of Entertainm	\$511,918
<b>TOTAL:</b>	<b>\$545,428</b>

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-4**

**BALANCE SHEET SUMMARY AND  
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** University of Arkansas - Fort Smith  
**FISCAL YEAR:** 2012-2013

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/13/2013  
**TELEPHONE NO.:** 479.788.7055

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
<b>Balance Sheet Summary</b>	Assets	Interfund Borrowing (Due From)	1			32,505							
		All Other Assets	2	5,844,030	3,656,400	1,654,033	80,062	9,680,863	2,372,990	1,393,411	1,100,033	141,485,790	1,745,654
		Total Assets	3	\$5,844,030	\$3,656,400	\$1,686,538	\$80,062	\$9,680,863	\$2,372,990	\$1,393,411	\$1,100,033	\$141,485,790	\$1,745,654
	Liab.	Interfund Borrowing (Due To)	4			32,233		272					
		All Other Liabilities	5	3,212,702	256,800	196,081	3,071		(94,921)	31,515	257,145	81,743,661	1,745,654
		Total Liabilities	6	\$3,212,702	\$256,800	\$228,314	\$3,071	\$272	(\$94,921)	\$31,515	\$257,145	\$81,743,661	\$1,745,654
	Fund Balances		7	\$2,631,328	\$3,399,600	\$1,458,224	\$76,991	\$9,680,591	\$2,467,911	\$1,361,896	\$842,888	\$59,742,129	
<b>Changes in Fund Balance</b>	Revenue And Other Additions		8	60,581,287	11,878,168	32,645,724	281	(35,382)	6,858,189	(14,333)	145	15,195,141	
	Expenditures And Other Deductions		9	56,163,845	7,504,045	33,024,812	8		8,631,281	1,303,610	6,991,994	13,484,871	
	Total Transfer Out Of (Into) *		10	4,365,163	4,229,029	(30,686)			(1,563,291)		(7,000,215)		
	Net Increase (Decrease) For Year		11	\$52,279	\$145,094	(\$348,402)	\$273	(\$35,382)	(\$209,801)	(\$1,317,943)	\$8,366	\$1,710,270	
	Fund Balance-Beginning Of Year		12	2,579,049	3,254,506	1,806,626	76,718	9,715,973	2,677,712	2,679,839	834,522	58,031,859	
	Fund Balance-End Of Year		13	\$2,631,328	\$3,399,600	\$1,458,224	\$76,991	\$9,680,591	\$2,467,911	\$1,361,896	\$842,888	\$59,742,129	

\* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-5**  
**SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,**  
**ISSUED AND RETIRED**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** University of Arkansas - Fort Smith  
**FISCAL YEAR:** 2012-2013

**COMPLETED BY:** Robert Wilson  
**DATE COMPLETED:** 09/12/2013  
**TELEPHONE NO.:** 479.788.7053

Report All Amounts To The Nearest Dollar

Categories of Indebtedness		Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
<b>Total Outstanding Loan Principal</b>						
<b>Beginning Of Year</b>	1	42,250,107	40,880,200		647,398	\$83,777,705
<b>Total Loans Negotiated During</b>						
<b>The Fiscal Year</b>	2	1,875,018				\$1,875,018
<b>Total Debt Principal Retired</b>						
<b>During The Fiscal Year</b>	3	2,827,100	1,049,550		32,412	\$3,909,062
<b>Total Outstanding Principal</b>						
<b>At End Of Fiscal Year</b>	4	\$41,298,025	\$39,830,650	\$0	\$614,986	\$81,743,661
<b>Interest &amp; Agent Fees Paid On</b>						
<b>Debt During Fiscal Year</b>	5	1,437,627	1,677,717		32,415	\$3,147,759
<b>Total Debt Service Payments</b>						
<b>During Fiscal Year</b>	6	\$4,264,727	\$2,727,267	\$0	\$64,827	\$7,056,821

Line 6 should match debt service payments shown on 17-2.

\* Specify

Notes on Series 17-5

University of Arkansas - Fort Smith

\*Column D Capital Lease paid from Plant funds

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES AND INCOME**

FICE CODE 00111000  
 INSTITUTION University of Arkansas - Fort Smith  
 FISCAL YEAR 2012-2013

(SEE INSTRUCTIONS)

COMPLETED BY: Julie Bryant  
 DATE COMPLETED: 09/11/2013  
 TELEPHONE NO. 479-788-7055

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		15,236,073			\$15,236,073		\$15,236,073	
Dept. Oper. Expense	2	171,240	324,740	113,688	1,852,918	9,548	2,472,134	4,049,004	6,521,138
Off-Campus Credit	3		72,051			72,051	15,707	87,758	
Non-Credit Instruction	4	1,025	310,269	41,669	220,679	573,642	44,917	618,559	
Research	5					0		0	
Public Service	6	338	356,500	20,366	173,885	551,089	99,117	650,206	
Libraries	7	146,011	312,497	33,037	472,438	102,211	1,066,194	160,836	1,227,030
Museums & Galleries	8	585	58,212	2	11,867	70,666	19,800	90,466	
Organized Activities	9	17	2,685	13,603	34,663	50,968	967	51,935	
Other Academic Support	10	924,099	3,816,032	166,826	836,510	5,743,467	1,465,644	7,209,111	
Student Services	11	366,895	2,193,780	201,331	1,036,957	3,798,963	901,821	4,700,784	
Institutional Support	12	1,757,229	3,907,784	137,708	2,174,256	7,976,977	1,942,422	9,919,399	
Utilities	13				1,527,649	1,527,649		1,527,649	
Other Plant Maintenance	14	1,275,546	577,580	33,631	1,217,633	3,104,390	758,651	3,863,041	
Scholarships & Fellowships	15				4,460,697	4,460,697		4,460,697	
Hospital & Clinics	16					0		0	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							4,271,186	
Transfers -Non Mandatory	20							93,976	
<b>TOTAL</b>	<b>21</b>	<b>\$4,642,985</b>	<b>\$27,168,203</b>	<b>\$761,861</b>	<b>\$14,020,152</b>	<b>\$111,759</b>	<b>\$46,704,960</b>	<b>\$9,458,886</b>	<b>\$60,529,008</b>
Tuition and Fees	22								\$30,711,408
Other Income	23								\$9,624,713
Prior Year Balance	24								
General Revenue Appropriated	25								\$20,245,166
<b>Total Unrestricted E&amp;G Revenue</b>	<b>26</b>								<b>\$60,581,287</b>

Form Revised 8/1/97



**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS  
ACTUAL/BUDGETED EXPENDITURES**

**FICE CODE** 00111000 (SEE INSTRUCTIONS) **COMPLETED BY:** Julie Bryant  
**INSTITUTION** University of Arkansas - Fort Smith **DATE COMPLETED:** 09/12/2013  
**FISCAL YEAR** 2012-2013 **TELEPHONE NO.** 479.788.7055

Report All Amounts To The Nearest Dollar.

**ACTUAL OR BUDGETED:**

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		611870			\$611,870		\$611,870	
Dept. Oper. Expense	2	470	4037	18959	373279	36694	161286	594,725	
Off-Campus Credit	3		100543		25666	126,209	21883	148,092	
Non-Credit Instruction	4	18855	88454		79787	187,096	29308	216,404	
Research	5					0		0	
Public Service	6	32996	232636	1196	200479	467,307	88997	556,304	
Libraries	7			46366	748147	168913		963,426	
Museums & Galleries	8		0		4531	4,531		4,531	
Organized Activities	9		14930	8489	50956	81,626	3279	84,905	
Other Academic Support	10	30783	381034	64719	807663	1,284,199	112100	1,396,299	
Student Services	11	122	310438	97320	278184	686,064	113198	799,262	
Institutional Support	12		50167	16982	30584	97,733	35201	132,934	
Utilities	13				9615	9,615		9,615	
Other Plant Maintenance	14		4570		73192	168038	1599	247,399	
Scholarships & Fellowships	15				27166469	27,166,469		27,166,469	
Hospital & Clinics	16					0		0	
Other	17			5664	86913	92,577		92,577	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							(30,686)	
<b>TOTAL</b>	<b>21</b>	<b>\$83,226</b>	<b>\$1,798,679</b>	<b>\$259,695</b>	<b>\$29,935,465</b>	<b>\$380,896</b>	<b>\$32,457,961</b>	<b>\$566,851</b>	<b>\$32,994,126</b>

Form Revised 8/1/97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-8**  
**EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF**  
**OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE**

(SEE INSTRUCTIONS)

FICE CODE: \_\_\_\_\_  
 INSTITUTION: \_\_\_\_\_  
 FISCAL YEAR: \_\_\_\_\_ 2013 \_\_\_\_\_

COMPLETED BY: Cheryl Quinley  
 DATE COMPLETED: 09/10/2013  
 TELEPHONE NO. 479-788-7057

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year  (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets  (D)
			Unrestricted (B)	Restricted (C)	
<b>Educational and General</b>	1	11,989,338	847,105	197,316	8,587,946
<b>Auxiliary Enterprises</b>	2	1,118,091	5,051		171,561
<b>Hospital &amp; Clinics</b>	3				
<b>Totals</b>	4	\$13,107,429	\$852,156	\$197,316	\$8,759,507