

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-1

**SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2011

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/14/2011
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	23,454,180	155,980							\$23,610,160
Government	Federal	2								\$0
Appropriations	State	3	113,327,904	12,149,277	575,076					\$126,052,257
	Local	4								\$0
Grants & Contracts	Federal	5	515,232	103,921,795			11,745			\$104,448,772
	State	6	2,559,977	6,099,709						\$8,659,686
	Local	7								\$0
Private Gifts, Grants and Contracts	8	53,366,783	13,659	19,388,978			13,725,562			\$86,494,982
Endowment Income	9									\$0
Sales & Services	Educational Activities	10	29,745,890							\$29,745,890
	Auxiliary Enterprises	11		6,005,021						\$6,005,021
	Hospitals	12	868,679,956							\$868,679,956
Other Sources	Invest & Gains	13	11,478,428	41,356	25,094	5,860,097	329,763	239	(4,705)	\$17,730,272
	Miscellaneous	14	6,604,981	2,353,728	410,036		15,062			\$9,383,807
	Cafeteria	15	5,027,591							\$5,027,591
TOTAL INCOME & OTHER SOURCES	16	\$1,114,760,922	\$6,174,660	\$143,954,843	\$1,010,206	\$5,860,097	\$14,082,132	\$239	(\$4,705)	\$1,285,838,394

* Amount before any student fee transfers

** Specify

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2011

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/14/2011
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	103,629,006								\$103,629,006
Educ. Excellence Trust Fund	2	8,636,439								\$8,636,439
Capital Appropriation	3									\$0
Rural Practice	4				525,076					\$525,076
Tobacco Settlement	5			8,901,171						\$8,901,171
General Improvement Fund Operating	6	12,500		657,422						\$669,922
Breast Cancer Research	7			942,491						\$942,491
Komen License Plates	8			161,314						\$161,314
Domestic Peace Fund	9			355,085						\$355,085
Child Safety Centers	10			1,131,794						\$1,131,794
Poison & Drug/Disease State Mgt	11	449,959								\$449,959
Board of Collectors	12	600,000								\$600,000
State Board of Pharmacy	13				50,000					\$50,000
Other	14									\$0
Other	15									\$0
Other	16									\$0
Other	17									\$0
TOTAL STATE FUNDING	18	\$113,327,904	\$0	\$12,149,277	\$575,076	\$0	\$0	\$0	\$0	\$126,052,257

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2011

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/14/2011
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	112,922,860		5,884,540			764,795			\$119,572,195
Research	2	18,933,235		78,649,829			76,377			\$97,659,441
Public Service	3	5,189,600		23,612,077						\$28,801,677
Academic Support	4	10,362,506		1,032,325			86,266			\$11,481,097
Student Services	5	3,440,575		124,475			144,000			\$3,709,050
Institutional Support	6	91,702,618		3,779,216						\$95,481,834
Operation & Main. Of Plant	7	46,462,676		3,609,659			413,342			\$50,485,677
Scholarships & Fellowships	8	465,664		166,193	1,345,991					\$1,977,848
Staff Benefits	9	132,313,025	300,064	14,585,279	8,208		12,884			\$147,219,460
Hospitals & Clinics	10	582,096,845		3,446,601			4,682,785			\$590,226,231
Auxiliary Enterprises	11		3,197,726							\$3,197,726
Debt Service	12	17,171,908					368,398	189,988	13,095,868	\$30,826,162
Loan Fund Administration	13									\$0
Loan Cancel. & Write-Offs	14									\$0
Exp. For Plant Facilities	15	326,191		1,428,830			46,368,364	1,995,012		\$50,118,397
Other Deductions	16	2,516,785								\$2,516,785
Total Expenses & Deductions	17	\$1,023,904,488	\$3,497,790	\$136,319,024	\$1,354,199	\$0	\$52,917,211	\$2,185,000	\$13,095,868	\$1,233,273,580
Transfers *	Auxiliary	18								\$0
	Mandatory	19	16,840,357	2,411,842	2,039,568		(101,264)		(21,190,503)	\$0
	Non Mandatory	20	25,573,650	580,399	6,084,889	(200,000)	(49,044)	(29,705,548)	(2,284,346)	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$1,066,318,495	\$6,490,031	\$144,443,481	\$1,154,199	(\$49,044)	\$23,110,399	(\$99,346)	(\$8,094,635)	\$1,233,273,580
TOTAL INCOME	22	\$1,114,760,922	\$6,174,660	\$143,954,843	\$1,010,206	\$5,860,097	\$14,082,132	\$239	(\$4,705)	\$1,285,838,394
NET INC./(DEC.) FOR YEAR	23	\$48,442,427	(\$315,371)	(\$488,638)	(\$143,993)	\$5,909,141	(\$9,028,267)	\$99,585	\$8,089,930	\$52,564,814

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-3

AUXILIARY ENTERPRISE

CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2011

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/14/2011
TELEPHONE NO.: (501) 686-6810

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1				-
Residence Hall	2	1,151,675	433,531	732,667	(14,523)
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5				-
College Union	6				-
Bookstore	7	953,409	992,985		(39,576)
Student Organizations And Publications	8	130,235	55,881		74,354
Student Health Services	9	28,360	28,352		8
Other (Below)	10	3,910,981	1,987,041	1,679,175	244,765
Sub-Total	11	\$6,174,660	\$3,497,790	\$2,411,842	265,028
Transfers In	Auxiliary (Athletic and Activity)	12			0
	Other	13			0
Transfers Out	14		580,399		(580,399)
GRAND TOTALS	15	\$6,174,660	\$4,078,189	\$2,411,842	(\$315,371)

* Include athletic fees.

Notes on Series 17-3

University of Arkansas for Medical Sciences

	Income	Operating Expense	Debt Service	Net Income
Other				
Parking	3,527,618	1,335,926	1,679,175	512,517
Rental properties	151,408	83,923	-	67,485
Valet parking	222,869	578,778		(355,909)
Miscellaneous	9,086	(11,586)		20,672
Total other	3,910,981	1,987,041	1,679,175	244,765

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2011

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/14/2011
TELEPHONE NO.: (501) 686-6810

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1										
		All Other Assets	2	313,322,030	(297,572)	33,539,760	15,211,981	36,377,493	27,071,060	3,009,199	321,643,457	456,533,707	321,077
		Total Assets	3	\$313,322,030	(\$297,572)	\$33,539,760	\$15,211,981	\$36,377,493	\$27,071,060	\$3,009,199	\$321,643,457	\$456,533,707	\$321,077
	Liab.	Interfund Borrowing (Due To)	4										
		All Other Liabilities	5	106,692,496	75,750	18,299,826	1,973,754	0	4,062,462	4,226,566	304,916,456	34,041,091	321,077
		Total Liabilities	6	\$106,692,496	\$75,750	\$18,299,826	\$1,973,754	\$0	\$4,062,462	\$4,226,566	\$304,916,456	\$34,041,091	\$321,077
	Fund Balances			7	\$206,629,534	(\$373,322)	\$15,239,934	\$13,238,227	\$36,377,493	\$23,008,598	(\$1,217,367)	\$16,727,001	\$422,492,616
Changes in Fund Balance	Revenue And Other Additions		8	1,114,760,922	6,174,660	143,954,843	1,010,206	5,860,097	14,082,132	239	(4,705)	8,001,180	
	Expenditures And Other Deductions		9	1,023,904,488	3,497,790	136,319,024	1,354,199	0	52,917,211	2,185,000	13,095,868	379,343	
	Total Transfer Out Of (Into) *		10	42,414,007	2,992,241	8,124,457	(200,000)	(49,044)	(29,806,812)	(2,284,346)	(21,190,503)		
	Net Increase (Decrease) For Year		11	\$48,442,427	(\$315,371)	(\$488,638)	(\$143,993)	\$5,909,141	(\$9,028,267)	\$99,585	\$8,089,930	\$7,621,838	
	Fund Balance-Beginning Of Year		12	158,187,107	(57,951)	15,728,572	13,382,220	30,468,352	32,036,865	(1,316,952)	8,637,071	414,870,778	
	Fund Balance-End Of Year		13	\$206,629,534	(\$373,322)	\$15,239,934	\$13,238,227	\$36,377,493	\$23,008,598	(\$1,217,367)	\$16,727,001	\$422,492,616	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2011

COMPLETED BY: James Greenwalt/Cliff Ferren
DATE COMPLETED: 9-14-2011
TELEPHONE NO. 501-686-6810

Report All Amounts To The Nearest Dollar

Categories of Indebtedness		Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	16,645,044	16,905,000	254,964,951	0	\$288,514,995
Total Loans Negotiated During						
The Fiscal Year	2	10,740,178	0	48,175,289	0	\$58,915,467
Total Debt Principal Retired						
During The Fiscal Year	3	3,153,119	880,000	11,411,872	0	\$15,444,991
Total Outstanding Principal						
At End Of Fiscal Year	4	\$24,232,103	\$16,025,000	\$291,728,368	0	\$331,985,471
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	572,810	602,987	14,205,374	0	\$15,381,171
Total Debt Service Payments						
During Fiscal Year	6	\$3,725,929	\$1,482,987	\$25,617,246	\$0	\$30,826,162

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

University of Arkansas for Medical Sciences

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1109 _____
INSTITUTION University of Arkansas for Medical Sciences _____
FISCAL YEAR 2011 _____

(SEE INSTRUCTIONS)

COMPLETED BY: Cliff Ferren _____
DATE COMPLETED: 9/14/2011 _____
TELEPHONE NO. (501) 686-6810 _____

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		87,693,479			\$87,693,479		\$87,693,479	
Dept. Oper. Expense	2	14,029,720		809	10,582,076	540,393	21,376,113	46,529,111	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	2,223,182	12,798,941		3,228,949	681,743	3,499,428	22,432,243	
Public Service	6	263,070	3,478,646		1,332,660	39,554	934,842	6,048,772	
Libraries	7	355,698	1,203,693		1,819,736		368,468	3,747,595	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	1,034,574	7,131,769	83	1,972,531	221,038	1,770,200	12,130,195	
Student Services	11				61,448	61,448		61,448	
Institutional Support	12	15,307,366	63,143,581	20,118	28,918,115	1,421,417	27,132,172	135,942,769	
Utilities	13				14,283,799			14,283,799	
Other Plant Maintenance	14	13,865,797	6,642,868		14,006,607	345,359	5,034,568	39,895,199	
Scholarships & Fellowships	15				465,664	465,664		465,664	
Hospital & Clinics	16	182,360,773	136,203,438	313,945	251,848,781	7,543,467	72,146,018	650,416,422	
Other	17				4,137,704	68,872	51,216	4,257,792	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							16,840,357	
Transfers -Non Mandatory	20							25,573,650	
TOTAL	21	\$229,440,180	\$318,296,415	\$334,955	\$332,658,070	\$10,861,843	\$891,591,463	\$1,066,318,495	
Tuition and Fees	22							\$23,454,180	
Other Income	23							\$977,978,838	
Prior Year Balance	24								
General Revenue Appropriated	25							\$113,327,904	
Total Unrestricted E&G Revenue	26							\$1,114,760,922	

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1109 (SEE INSTRUCTIONS) COMPLETED BY: Cliff Ferren
 INSTITUTION University of Arkansas for Medical Sciences DATE COMPLETED: 9/14/2011
 FISCAL YEAR 2011 TELEPHONE NO. (501) 686-6810

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,936,862			2,936,862		2,936,862	
Dept. Oper. Expense	2	235,130		2,676,363	36,185	2,947,678	684,698	3,632,376	
Off-Campus Credit	3					-		-	
Non-Credit Instruction	4					-		-	
Research	5	3,543,736	36,928,615	36,927,183	1,250,296	78,649,830	9,296,857	87,946,687	
Public Service	6	2,800,782	7,798,597	12,819,322	193,376	23,612,077	2,959,587	26,571,664	
Libraries	7		68,442	53,311	2,722	124,475	18,867	143,342	
Museums & Galleries	8					-		-	
Organized Activities	9					-		-	
Other Academic Support	10	51	125,956	906,318		1,032,325	27,958	1,060,283	
Student Services	11					-		-	
Institutional Support	12	162,419	2,298,499	1,091,637	226,661	3,779,216	547,208	4,326,424	
Utilities	13					-		-	
Other Plant Maintenance	14	240,101	2,492,974	844,318	32,266	3,609,659	660,068	4,269,727	
Scholarships & Fellowships	15			166,194		166,194		166,194	
Hospital & Clinics	16	245,465	1,663,764	431,436	1,105,936	3,446,601	390,036	3,836,637	
Other	17				1,428,828	1,428,828		1,428,828	
Transfers - Auxiliary	18							-	
Transfers - Mandatory	19							2,039,568	
Transfers -Non Mandatory	20							6,084,889	
TOTAL	21	7,227,684	54,313,709	-	55,916,082	4,276,270	121,733,745	14,585,279	144,443,481

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1109</u>	COMPLETED BY:	<u>Rebecca Kershaw</u>
INSTITUTION:	<u>University of Arkansas for Medical Sciences</u>	DATE COMPLETED:	<u>Sep 14, 2011</u>
FISCAL YEAR:	<u>2011</u>	TELEPHONE NO.:	<u>501-686-6815</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	148,828,326	5,492,629	3,048,127	31,970,043
Auxiliary Enterprises	2	372,967	7,766		
Hospital & Clinics	3	68,968,014	1,179,316		
Totals	4	\$218,169,307	\$6,679,711	\$3,048,127	\$31,970,043

Notes on Series 17-8

University of Arkansas for Medical Sciences